

PB 294334

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DYNAMIC SEISMIC ANALYSIS OF  
LONG SEGMENTED LIFELINES

By

Ivan Nelson and Paul Weidlinger

Grant Report No. 10

Prepared for

National Science Foundation (ASRA Directorate)  
1800 G Street  
Washington, D.C. 20550

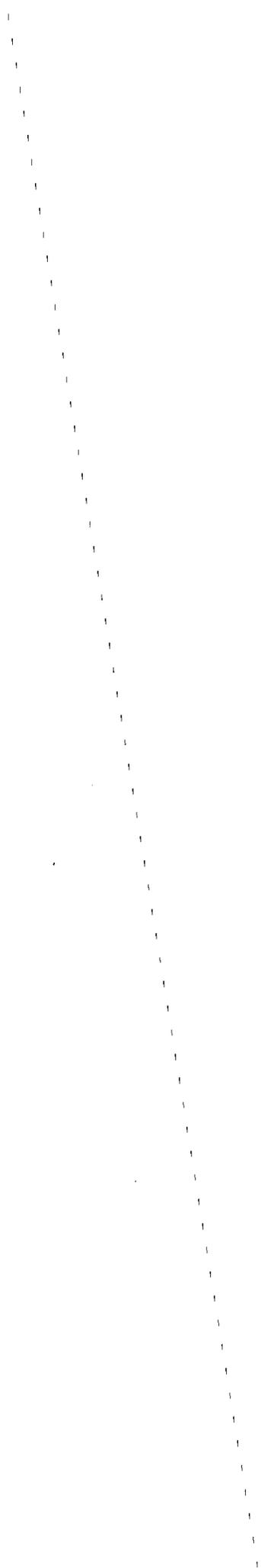
Grant No. PFR 78-15049

NOVEMBER 1978

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|   |                                |  |   |
|---|--------------------------------|--|---|
| REPORT DOCUMENTATION PAGE   | 1. REPORT NO.<br>NSF/RA-780465 | 2.   | 3. Recipient Accession No.<br><b>PB294334</b> |
| 4. Title and Subtitle<br>Dynamic Seismic Analysis of Long Segmented Lifelines   |                                | 5. Report Date<br>November 1978  |   |
| 7. Author(s)<br>I. Nelson, P. Weidlinger  |                                | 8. Performing Organization Rept. No.<br>Grant Report No. 10                                    |   |
| 9. Performing Organization Name and Address<br>Weidlinger Associates, Consulting Engineers *<br>110 East 59th Street<br>New York, New York 10022  |                                | 10. Project/Task/Work Unit No.<br><br>11. Contract(C) or Grant(G) No.<br>(C)<br>(G) PFR7815049 |   |
| 12. Sponsoring Organization Name and Address<br>Applied Science and Research Applications (ASRA)<br>National Science Foundation<br>1800 G Street, N.W.<br>Washington, D.C. 20550  |                                | 13. Type of Report & Period Covered<br><br>14.   |   |
| 15. Supplementary Notes<br>Suite 245, Building 4<br>* Also: 3000 Sand Hill Road<br>Menlo Park, California 94025   |                                |  |   |
| 16. Abstract (Limit: 200 words)<br>The difference in ground motion along a lifeline, the incoherent motion, is an essential component of the input. A long, straight, segmented pipe, with each link attached to the ground via a spring and dashpot is subjected to incoherent ground motion caused by a phase delay. The equations governing the axial response of the system are developed. Modal decomposition is used and closed form expressions are given for the natural frequencies and mode shapes. Examples are given showing the center joint displacement time history when the lifeline is subjected to earthquake loading. Spectral techniques can be used to bound the motion with the Interference Response (IR) spectrum. This spectrum is the maximum difference in motion (response) of two adjacent points which are excited by a difference in ground input. It is seen that the IR spectrum is a useful tool in the dynamic analysis of lifeline over a broad range of parameters. |                                |  |   |
| 17. Document Analysis a. Descriptors<br>Earthquakes<br>Dynamic structural analysis<br>Seismology<br><br>Earthquake resistant structures<br>Highways<br>Bridges (structures)<br><br>Pipelines<br>Tunnels<br><br>b. Identifiers/Open-Ended Terms<br><br>Long segmented lifelines<br><br>c. COSATI Field/Group<br><br>i  |                                |  |   |
| 18. Availability Statement<br>NTIS  |                                | 19. Security Class (This Report)   | 21. No. of Pages<br>118                       |
|   |                                | 20. Security Class (This Page)   | 22. Price<br>A06-A01                          |



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TABLE OF CONTENTS

|  | <u>Page</u> |
|--|-------------|
| ABSTRACT . . . . .   | i           |
| LIST OF SYMBOLS. . . . .   | ii          |
| I INTRODUCTION . . . . .   | 1           |
| II EQUATIONS OF MOTION. . . . .  | 3           |
| A. Pipe With Very Soft Joints . . . . .  | 8           |
| B. Pipe Without Intermediate Support Or Bridge. . . . .  | 10          |
| C. Center Joint Displacement Of Long Continuously Supported<br>Pipe . . . . .                    | 13          |
| III NUMERICAL RESULTS FOR LONG SUPPORTED PIPES . . . . .   | 18          |
| A. Mode Shapes And Influence Coefficients . . . . .  | 18          |
| B. Time Histories . . . . .  | 21          |
| C. Response To Earthquake Input . . . . .  | 23          |
| D. Interference Response Spectra. . . . .  | 30          |
| IV SUMMARY AND CONCLUSIONS. . . . .  | 32          |
| REFERENCES . . . . .   | 33          |
| APPENDIX A: MODE SHAPES AND INFLUENCE COEFFICIENTS. . . . .                                      | 35          |
| APPENDIX B: SUMMARY OF SEPIPE CALCULATIONS . . . . .   | 41          |
| APPENDIX C: ANALYTIC SOLUTIONS FOR SINUSOIDAL TYPE INPUT. . . . .                                | 53          |
| 1. Undamped Case - Sinusoidal Input. . . . .   | 53          |
| 2. Undamped Case - Haversine Input . . . . .   | 57          |
| 3. Haversine Input - Damping Included. . . . .   | 59          |
| APPENDIX D: CONTINUOUS PIPE SUBJECTED TO A STATIC DISCONTINUOUS<br>GROUND DISPLACEMENT . . . . . | 69          |
| 1. Physical Interpretation of $D_m^k$ . . . . .  | 69          |
| 2. Static Solution For An Infinite Continuous Pipe . . . . .                                     | 73          |
| 3. Static Solution For A Finite Continuous Pipe. . . . .   | 75          |
| 4. Static Finite Difference Solution For An Infinite<br>Pipe. . . . .                            | 79          |



ABSTRACT

The difference in ground motion along a lifeline, the incoherent motion, is an essential component of the input. A long, straight, segmented pipe, with each link attached to the ground via a spring and dashpot is subjected to incoherent ground motion caused by a phase delay. The equations governing the axial response of the system are developed. Modal decomposition is used and closed form expressions are given for the natural frequencies and mode shapes.

Examples are given showing the center joint displacement time history when the lifeline is subjected to earthquake loading. Spectral techniques can be used to bound the motion with the Interference Response (IR) spectrum. This spectrum is the maximum difference in motion (response) of two adjacent points which are excited by a difference in ground input.

It is seen that the IR spectrum is a useful tool in the dynamic analysis of lifelines over a broad range of parameters.

Any opinions, findings, conclusions or recommendations expressed in this publication are those of the author(s) and do not necessarily reflect the views of the National Science Foundation.



LIST OF SYMBOLS

- $A$  = cross-sectional area of pipe; also constant  
 $B$  = constant  
 $c_B$  = boundary damping coefficient  
 $c_g$  = ground damping coefficient  
 $c_p$  = pipe-joint damping coefficient  
 $D_m^k$  = influence coefficient for center joint displacement in mode  $k$ , for input at joint  $m$ , see Eq. (51)  
 $E$  = Young's modulus  
 $f_k$  = natural frequency of  $k^{\text{th}}$  mode, Hz.  
 $J_k$  = joint modal participation factor, see Eq. (38)  
 $k$  = integer, mode number  
 $k_B$  = boundary stiffness  
 $k_g$  = ground stiffness  
 $k_p$  = pipe-joint stiffness  
 $L$  = total length of pipe  
 $l$  = length of pipe segment; also, integer (mode number)  
 $m$  = mass of pipe segment and attached soil; also, integer (joint number)  
 $N$  = number of segments  
 $P_k$  = modal participation factor, see Eq. (31)  
 $q_k$  =  $k^{\text{th}}$  generalized coordinate  
 $r_{km}$  = response in  $k^{\text{th}}$  mode due to input at joint  $m$ , see Eq. (49)  
 $S_I, \bar{S}_I$  = interference response spectrum, cm, see Eq. (22)  
 $[T], [T_c]$  = tridiagonal matrices, diagonal terms (except corners) = 2, off diagonal terms = - 1  
 $t$  = time, sec  
 $t_{11}, t_{NN}$  = corner terms of  $[T]$  given by Eq. (5)  
 $x_i$  = absolute displacement of  $i^{\text{th}}$  segment, cm



$[z_B]$  = matrix (1 x N) of boundary displacements, see Eq. (4)

$z_i$  = free field ground displacement at  $i^{\text{th}}$  segment, cm

$\bar{z}$  = coherent ground displacement, cm

$\Delta x$  = difference in displacement between successive segments, center joint displacement, cm

$\Delta y = \Delta x - \Delta z$

$\Delta z$  = difference in free field ground displacement between successive successive segments, cm

$\delta_{kl}$  = Kroneker delta

$\eta_k$  = relative generalized coordinate in  $k^{\text{th}}$  mode, see Eqs. (28) and (39)

$\theta$  = angle, radians

$\lambda_k$  =  $k^{\text{th}}$  eigenvalue of [T]

$\xi$  = fraction of critical damping

$\tau$  = phase delay time between successive segments, sec

$\tau_L$  = phase delay time between ends of pipe, sec

$[\phi^k]$  =  $k^{\text{th}}$  eigenvector of [T]

$\phi_j^k$  =  $j^{\text{th}}$  element of  $[\phi^k]$

$\Omega$  = circular frequency of (component of) the input

$\omega_g = \sqrt{k_{g/m}}$  = circular frequency,  $\text{sec}^{-1}$

$\omega_k$  = circular natural frequency of  $k^{\text{th}}$  mode of undamped system,  $\text{sec}^{-1}$

### Subscripts

$i, j, m$  = segment or joint number

$k, l$  = mode number

$g$  = ground

$p$  = pipe-joint

### Superscripts

$k, l$  = mode number



## I INTRODUCTION

Highways, bridges, tunnels and pipelines are called lifelines. A characteristic that distinguishes a lifeline from other structures is that it extends (essentially parallel to the ground surface) over a distance which is long compared to its other dimensions. The foundations, therefore, are either at widely separated points (e.g., bridges) or they extend continuously over long distances (pipes, tunnels). For this reason, in considering the effects of ground shaking, we cannot assume a priori that the motion at all points of ground contact is identical (i.e., that the ground motion is coherent). The significance of this has been recognized by other researchers concerned with lifelines, e.g., Newmark (Ref. [1]), Christian (Ref. [2]) and Matsushima (Ref. [3]).

When the motion is no longer the same at all points, i.e., when it is not coherent, the relative displacement of the points of contact produces stresses in the structure, whereas identical (i.e., coherent) excitation at continuous or closely spaced foundation points may result in primarily rigid body displacement, with no significant strain. The analysis and design of lifelines subjected to earthquake induced motion is, therefore, different from that of buildings, where we customarily assume that the ground motion over the entire foundation plane is coherent and that the relevant response is the displacement relative to the ground. The seismic analysis of buildings is well developed, e.g. Refs. [4] and [5]. When the structure may be considered linear, modal analysis and the use of response spectra is the most common procedure.

The important component of the ground input for lifelines is the incoherent motion. The various sources of incoherent ground motion are discussed by Weidlinger and Nelson (Refs. [6] and [7]). In the present paper, the difference caused by a phase delay between adjacent foundation

points will be the only source of non-coherent motion considered.

Failures of buried pipes subjected to ground shaking have been observed in both the United States and Japan. Many of the failures occurred at joints and involved pull out or crushing, Refs. [8] and [9]. In the present paper, a long, straight, segmented pipe, with each link attached to the ground through a ground spring and dashpot is subjected to a ground motion which advances along the axis of the pipe at a constant velocity. The input and the response are both restricted to the axial direction. The equations which govern the motion of the system are developed. Modal decomposition is used, and for specific boundary conditions, closed form expressions are given for the natural frequencies and mode shapes. While the present analysis is for a discrete system, it obviously may be viewed as an approximate solution for a continuous system as well.

Examples are given showing the center joint displacement time history when the lifeline is subjected to earthquake type loading. Spectral techniques can be used to bound the motion if the standard shock spectrum is replaced by the Interference Response spectrum. This spectrum is the maximum difference in motion (response) of two adjacent points which are excited by a difference in ground input  $\Delta z(t)$ . It is described more fully and its properties are given by Weidlinger and Nelson (Refs. [6] and [7]).

## II EQUATIONS OF MOTION

It is convenient to represent a lifeline as a multi-degree of freedom discrete system. Consider the long, segmented pipe shown in Fig. 1, where the rigid (or elastic) links are interconnected by elastic springs and viscous dashpots. In addition, each segment is joined to the ground by another spring dashpot combination. It is assumed that the properties are uniform along the length of the pipe. A typical joint with two attached pipe segments of length  $\ell$  is shown in Fig. 2. The stiffness  $k_p$  represents the effective stiffness of the weak elastomeric (or other caulking material) joint plus any contribution of the stiff pipe segment, while  $c_p$  is used to approximate the hysteresis in the joint. In the case of a continuous pipe,  $\ell$  is the finite difference interval and  $k_p$  is the axial stiffness of the element (i.e.,  $k_p = EA/\ell$ ). The mass  $m$  includes the mass of the pipe segment plus possible contributions from the surrounding soil and the enclosed fluid. The soil stiffness  $k_g$  and damping  $c_g$ , as well as  $m$ , may only be found by solving the soil structure interaction problem. This will not be done here, but it will be assumed that the values are known and are constant, i.e., independent of frequency in the range of interest. It is noted that in general  $c_g$  includes both radiation and material damping.

The equation of motion of the typical  $i^{\text{th}}$  link is given by

$$\begin{aligned} m\ddot{x}_i + c_g \dot{x}_i - c_p (\dot{x}_{i-1} - 2\dot{x}_i + \dot{x}_{i+1}) + k_g x_i - k_p (x_{i-1} - 2x_i + x_{i+1}) \\ = c_g \dot{z}_i + k_g z_i \end{aligned} \quad (1)$$

where  $x_i$  is the absolute motion of the  $i^{\text{th}}$  link, and  $z_i$  is the free field ground motion at the center of the  $i^{\text{th}}$  link. The two ends of the pipe require special attention. The first link, Fig. 3, is assumed to be

directly attached to the ground via boundary spring and dashpot  $k_B$  and  $c_B$ . If axial motion along the pipe is considered, and if the pipe is attached to a transverse pipe, then the transverse pipe moves with the ground so that  $z_0$  is the motion of the ground at the pipe end. the equation of motion of the first link is thus

$$\begin{aligned} m\ddot{x}_1 + (c_B + c_p + c_g)\dot{x}_1 - c_p \dot{x}_2 + (k_B + k_p + k_g)x_1 - k_p x_2 \\ = c_B \dot{z}_0 + c_g \dot{z}_1 + k_B z_0 + k_g z_1 \end{aligned} \quad (2)$$

A similar expression may be written at the other end by letting  $x_1 \rightarrow x_N$ ,  $x_2 \rightarrow x_{N-1}$ , and  $z_0 \rightarrow z_{N+1}$ , where N is the total number segments.

The system defined by Eqs. (1) and (2) is tridiagonal. It may be written in matrix form as

$$\begin{aligned} m[\ddot{x}] + \{c_g [I] + c_p [T_c]\}[\dot{x}] + \{k_g [I] + k_p [T]\}[x] \\ = c_g [\dot{z}] + k_g [z] + c_B [\dot{z}_B] + k_B [z_B] \end{aligned} \quad (3)$$

where [I] is the identity matrix, and where  $[T_c]$  and [T] are tridiagonal matrices whose elements (except for the corners) are -1, 2 and -1. The boundary matrix  $[z_B]$  is a  $1 \times N$  matrix defined by

$$[z_B]^T = [z_0, 0, 0 \dots 0, z_{N+1}] \quad (4)$$

The two corner terms of [T] are

$$t_{11} = t_{NN} = 1 + k_B/k_p \quad (5)$$

The two matrices  $[T_c]$  and [T] are identical, except  $c_B/c_p$  replaces  $k_B/k_p$  in the two corner terms.

We note that the only off-diagonal terms in the system, Eq. (3), result from [T] and  $[T_c]$ . Consequently, the natural frequencies of free vibration

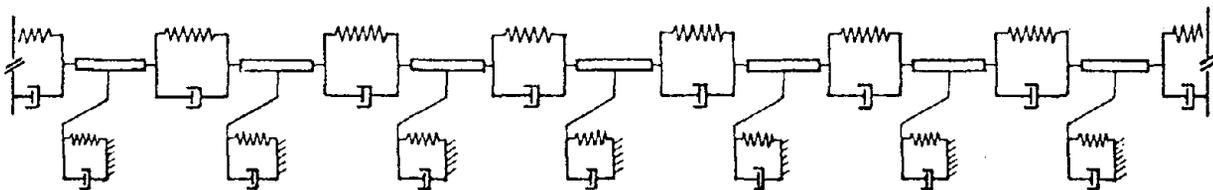


FIG. 1 LONG JOINTED PIPE SUPPORTED BY SPRINGS AND DASHPOTS (AXIAL MOTION ONLY CONSIDERED)

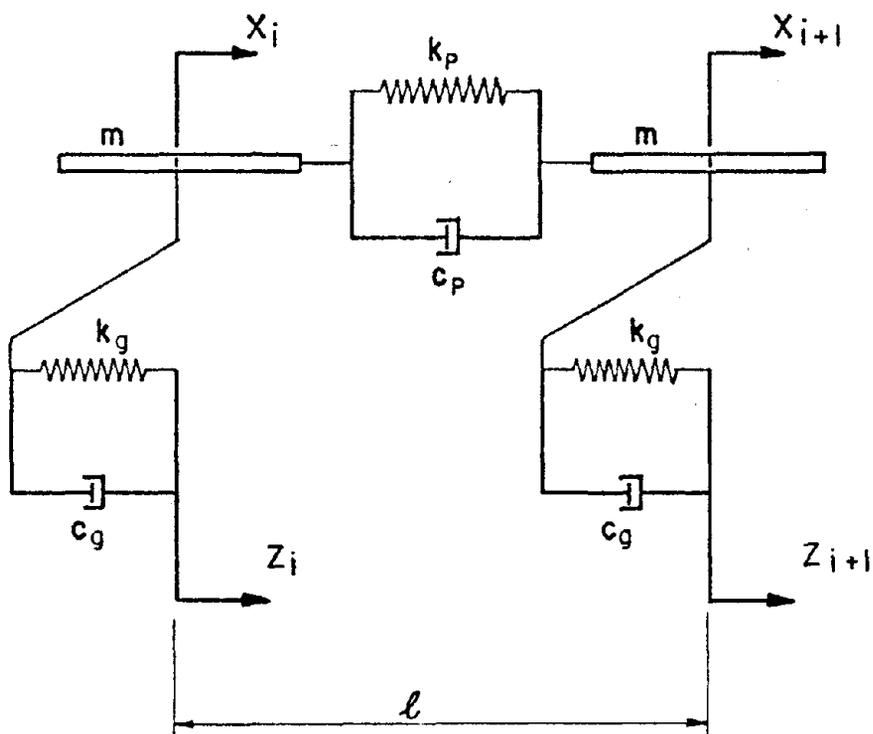
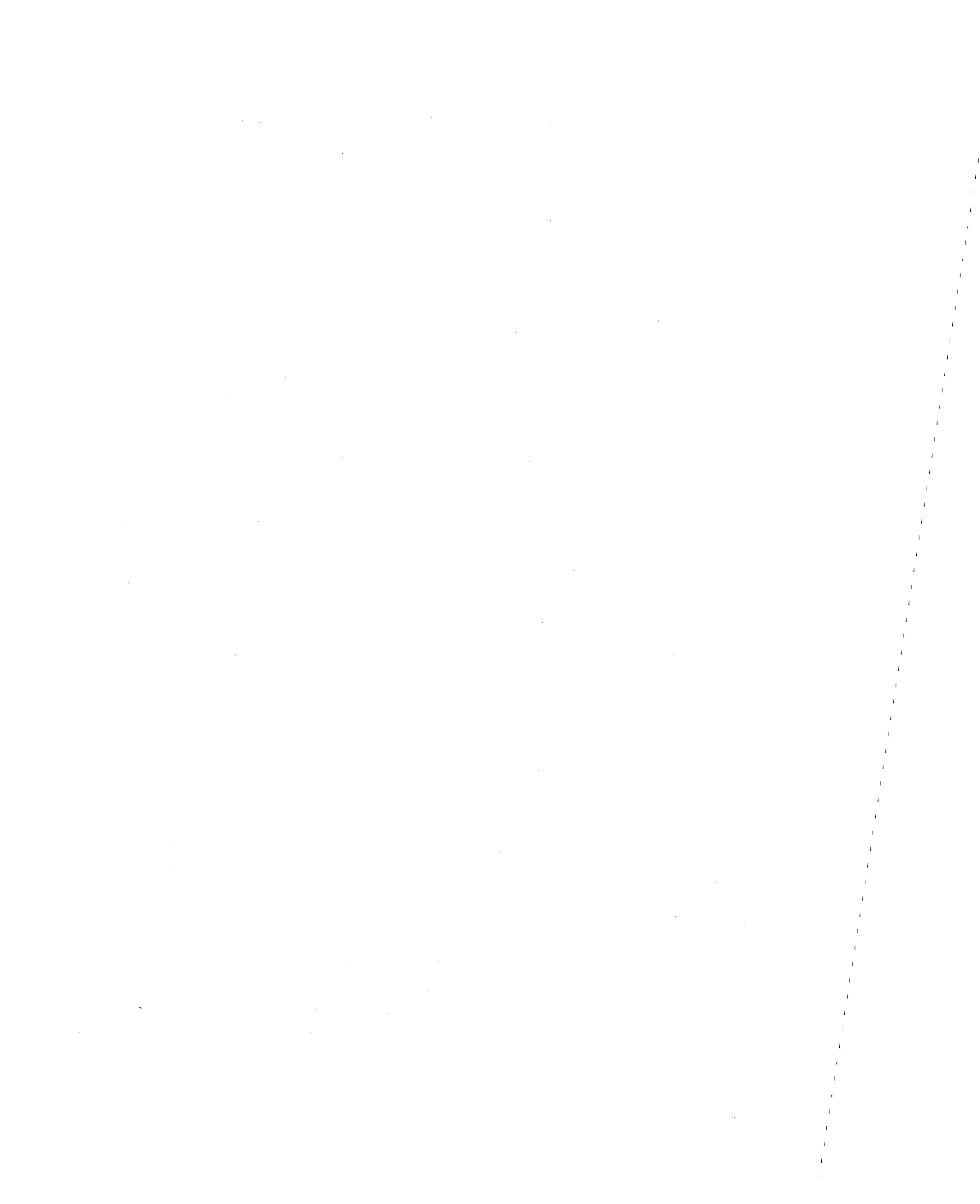


FIG. 2 TWO UNDERGROUND PIPE SEGMENTS CONNECTED BY JOINT



of the undamped system are given by

$$\omega_k^2 = \frac{k_g}{m} + \frac{k_p}{m} \lambda_k \quad (6)$$

where  $\lambda_k$  are the N eigenvalues of [T], i.e.,

$$[T] [\phi^k] = \lambda_k [\phi^k] \quad (7)$$

where  $[\phi^k]$  is the eigenvector which corresponds to  $\lambda_k$ . If the eigenvectors (or mode shapes) are assumed in the form

$$\phi_j^k = A \cos j\theta_k + B \sin j\theta_k \quad (8)$$

then

$$\lambda_k = 2(1 - \cos \theta_k) \quad (9)$$

where the  $\theta_k$  are the N roots of the equation

$$\sin(N+1)\theta_k - 2(1 - k_B/k_p) \sin N\theta_k + (1 - k_B/k_p)^2 \sin(N-1)\theta_k = 0 \quad (10)$$

The  $\phi_j^k$  are normalized for each mode k so that

$$\sum_{j=1}^N (\phi_j^k)^2 = 1, \quad k = 1, 2, \dots, N \quad (11)$$

We next write the solution to the system, Eq. (3), as the sum of the modal contributions,

$$x_j(t) = \sum_{k=1}^N \phi_j^k q_k(t) \quad (12)$$

When the system is premultiplied by the transpose of the vector  $[\phi^k]$ , and use is made of the orthogonality condition

$$\sum_{j=1}^N \phi_j^k \phi_j^l = \delta_{kl} \quad (13)$$

( $\delta_{kl}$  is the Kroneker delta), one obtains the equation for the  $k^{\text{th}}$  generalized coordinate,  $q_k(t)$



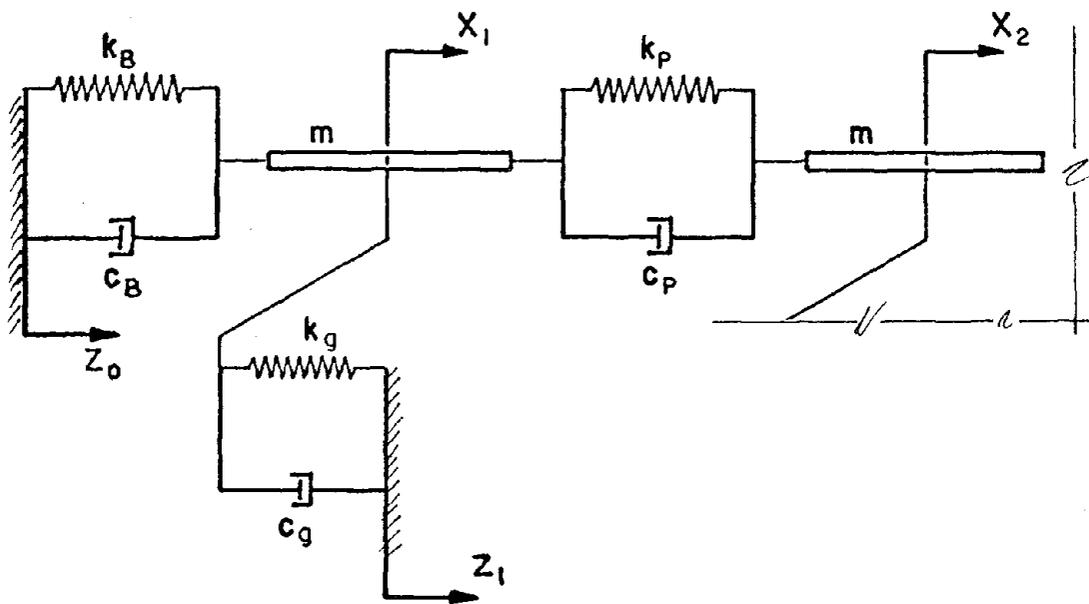


FIG. 3 PIPE END CONDITION



$$\ddot{q}_k + \left(\frac{c}{m} + \lambda_k \frac{c}{m}\right) \dot{q}_k + \left(\frac{k}{m} + \lambda_k \frac{k}{m}\right) q_k = \frac{k}{m} \sum_{j=1}^N \phi_j^k z_j + \frac{c}{m} \sum_{j=1}^N \phi_j^k \dot{z}_j + \frac{k_B}{m} (\phi_1^k z_0 + \phi_N^k z_{N+1}) + \frac{c_B}{m} (\phi_1^k \dot{z}_0 + \phi_N^k \dot{z}_{N+1}) \quad (14)$$

It is noted that if

$$\frac{c_B/c}{p} = \frac{k_B/k}{p} \quad (15)$$

then  $[T_c]$  is identical to  $[T]$  and the modal damping term in Eq. (14) is exact. Otherwise, it is a good approximation.

Up to this point, except for the last comment, no mathematical approximations have been made. To progress further, various special cases must be examined in detail.

#### A. Pipe With Very Soft Joints

The simplest special case is that in which the joints are very soft. Mathematically, this is the limiting case as  $k_p/k_g \rightarrow 0$  and  $c_p/c_g \rightarrow 0$ . All  $N$  natural frequencies then coalesce into a single value<sup>\*)</sup>

$$\omega_k = \omega_g = \sqrt{\frac{k}{m}} \quad , k = 1, 2, \dots, N \quad (16)$$

While the expressions for the normal modes, Eq. (8), are still valid, there is no need to use a modal approach. Referring back to the equation of motion of a typical link, Eq. (1), one sees that the equations are completely uncoupled, i.e.,

$$\ddot{x}_i + 2\omega_g \xi_g \dot{x}_i + \omega_g^2 x_i = \omega_g^2 z_i + 2\omega_g \xi_g \dot{z}_i \quad (17)$$

---

\*) This is true provided none of the  $\lambda_k$  are large, see Eq. (6). When  $k_B \gg k_p$ , two values of  $\lambda_k$  will be large, but Eq. (16) will still apply to the remaining  $N-2$  frequencies.

where

$$\xi_g = \frac{c_g}{2m\omega_g} \quad (18)$$

We may write a similar expression for the adjacent link  $i + 1$ . Subtracting the two equations, and denoting  $x_{i+1} - x_i$  as  $\Delta x$ , and  $z_{i+1} - z_i$  as  $\Delta z$

$$\Delta \ddot{x} + 2\omega_g \xi_g \Delta \dot{x} + \omega_g^2 \Delta x = \omega_g^2 \Delta z + 2\omega_g \xi_g \Delta \dot{z} \quad (19)$$

Equation (19) relates the relative displacement of two successive links to the incoherent component of the input,  $\Delta z(t)$ , over the length  $l$  of a single link. Letting

$$\Delta y = \Delta x - \Delta z \quad (20)$$

Eq. (19) transforms into

$$\Delta \ddot{y} + 2\omega_g \xi_g \Delta \dot{y} + \omega_g^2 \Delta y = - \Delta \ddot{z} \quad (21)$$

The form of Eq. (21) is identical to that for the relative displacement  $\Delta y$  of a single degree of freedom oscillator of circular frequency  $\omega_g$ , damping ratio  $\xi_g$  and input ground acceleration  $\Delta \ddot{z}(t)$ .

The physical quantity of interest is the maximum difference in absolute displacement of adjacent links,  $\text{MAX}[\Delta x(t)]$ . Since the polarity and direction of propagation of the ground motion generally is unknown a priori, usually one wishes the magnitude of  $\Delta x(t)$  without regard to sign,  $\text{MAX}|\Delta x(t)|$ .

By analogy to the standard response spectrum, Weidlinger and Nelson (Refs. [6] and [7]) defined the Interference Response spectrum as

$$\bar{S}_I(\omega, \xi, l) \equiv \text{MAX}|\Delta x(t)| \quad (22)$$

where  $\Delta x(t)$  is the solution to Eq. (19) when the input is  $\Delta z(t)$ . Rather

than view  $\bar{S}_I$  as associated with a particular incoherent motion  $\Delta z(t)$ , which is generally not available, it will be associated with the total seismic motion  $z(t)$  of the earthquake and the interference interval  $\ell$ . In this paper, only incoherent motion caused by a phase delay  $\tau$  will be considered, so that the Interference Response spectrum may be written as  $S_I(\omega, \xi, \tau)$ .

Examples of Interference Response spectra, log-log plots of  $S_I$  versus period, for several earthquake records are given in Refs. [6] and [7]. The curves are parametric in the phase delay time  $\tau$ . Methods of estimating  $S_I$ , when the standard response spectra are available, are also suggested in Refs. [6] and [7]. It should be noted that for the current case of a pipe with very soft joints, the solution  $\text{MAX}|\Delta x(t)|$  is immediately available, if  $S_I$  is known, by simply evaluating  $S_I$  at  $\omega = \omega_g$ ,  $\xi = \xi_g$  and the appropriate delay time  $\tau$ .

#### B. Pipe Without Intermediate Support Or Bridge

The other extreme is the case where the lifeline is supported only at the ends, such as a bridge. In this case,  $k_g$  and  $c_g$  are both zero, so that

$$\omega_k^2 = \frac{k_p}{m} \lambda_k \quad (23)$$

and

$$\xi_k = \frac{\lambda_k c_p}{2m\omega_k} \quad (24)$$

The equation of motion for the  $k^{\text{th}}$  generalized coordinate, Eq. (14), becomes

$$\begin{aligned} \ddot{q}_k + 2\omega_k \xi_k \dot{q}_k + \omega_k^2 q_k &= \frac{k_B}{m} (\phi_1^k z_o + \phi_N^k z_{N+1}) \\ &+ \frac{c_B}{m} (\phi_1^k \dot{z}_o + \phi_N^k \dot{z}_{N+1}) \end{aligned} \quad (25)$$

Since the structure is symmetric, there will be symmetric and antisymmetric modes. For the symmetric modes,  $\phi_1^k = \phi_N^k$ , so that the right hand side of Eq. (25) can be written

$$\begin{aligned} & \frac{k_B}{m} \phi_1^k (z_0 + z_{N+1}) + \frac{c_B}{m} \phi_1^k (\dot{z}_0 + \dot{z}_{N+1}) \\ &= \frac{k_B \phi_1^k}{m} \bar{z} + \frac{c_B \phi_1^k}{m} \dot{\bar{z}} \quad , k \text{ symmetric} \end{aligned} \quad (26)$$

where  $\bar{z}(t)$  is the coherent component of the input. For the antisymmetric modes,  $\phi_1^k = -\phi_N^k$ , so that the right hand side involves the incoherent motion  $\Delta z(t) = z_0(t) - z_{N+1}(t)$ , or

$$\begin{aligned} \ddot{q}_k + 2\omega_k \xi_k \dot{q}_k + \omega_k^2 q_k &= \frac{k_B}{m} \phi_1^k \Delta z(t) \\ &+ \frac{c_B}{m} \phi_1^k \Delta \dot{z}(t) \quad , k \text{ antisymmetric} \end{aligned} \quad (27)$$

We introduce the relative coordinate

$$\eta_k = q_k - \frac{k_B}{m\omega_k^2} \phi_1^k \Delta z \quad (28)$$

so that Eq. (27) becomes

$$\begin{aligned} \ddot{\eta}_k + 2\omega_k \xi_k \dot{\eta}_k + \omega_k^2 \eta_k &= -\frac{k_B \phi_1^k}{m\omega_k^2} \Delta \ddot{z} \\ &+ \frac{c_B}{m} \left(1 - \frac{k_B c_p}{k c_B}\right) \phi_1^k \Delta \dot{z} \quad , k \text{ antisymmetric} \end{aligned} \quad (29)$$

The expression in the parentheses in the second term on the right hand side of Eq. (29) will vanish under the same condition which was assumed to justify modal damping, namely Eq. (15). Consequently, Eq. (29) may be written

$$\ddot{\eta}_k + 2\omega_k \xi_k \dot{\eta}_k + \omega_k^2 \eta_k = -P_k \Delta \ddot{z} \quad , k \text{ antisymmetric} \quad (30)$$

where

$$P_k = \frac{k_B \phi_1^k}{m\omega_k^2} \quad , k \text{ antisymmetric} \quad (31)$$

is the modal participation factor for the  $k^{\text{th}}$  mode.

We assume the critical quantity to be the relative displacement  $\Delta x$  across the center joint, or the strain  $\Delta x/l$  in the center for a continuous system. In order for there to be a joint at exactly the center of the pipe,  $N$  must be even. In that case, the contribution to the center joint displacement of the symmetric modes is zero. The contribution to the center joint displacement of the  $k^{\text{th}}$  antisymmetric mode is

$$\begin{aligned} \Delta x^{(k)} &= x_{\frac{N}{2}}^{(k)} - x_{\frac{N}{2}+1}^{(k)} = \left( \phi_{\frac{N}{2}}^{(k)} - \phi_{\frac{N}{2}+1}^{(k)} \right) q_k(t) \\ &= 2\phi_{\frac{N}{2}}^{(k)} q_k(t) \quad , \quad k \text{ antisymmetric} \end{aligned} \quad (32)$$

Finally, the total center joint displacement will be the sum of all the modal contributions

$$\Delta x(t) = x_{\frac{N}{2}} - x_{\frac{N}{2}+1} = \sum_{k \text{ antisym.}}^N 2\phi_{\frac{N}{2}}^{(k)} q_k(t) \quad (33)$$

In order to use spectral techniques, one observes that when the  $\dot{\Delta z}$  term vanishes in Eq. (29), Eq. (27) becomes

$$\ddot{q}_k + 2\omega_k \xi_k \dot{q}_k + \omega_k^2 q_k = \omega_k^2 P_k \Delta z + 2\omega_k \xi_k P_k \dot{\Delta z} \quad (34)$$

which is similar to Eq. (19), except  $q_k$  replaces  $\Delta x$ , and the incoherent ground input is multiplied by the modal participation factor  $P_k$ .

Consequently, the maximum absolute value of the  $k^{\text{th}}$  generalized coordinate is given by

$$\text{MAX} |q_k(t)| = P_k \bar{S}_I(\omega_k, \xi_k, L) \quad (35)$$

where  $L$  is the total distance between support points. Recalling Eq. (32), the contribution of the  $k^{\text{th}}$  (antisymmetric) mode to the center joint displacement is

$$\text{MAX}|\Delta x^{(k)}(t)| = 2\phi_{\frac{N}{2}}^k P_k \bar{S}_I(\omega_k, \xi_k, L) \quad (36)$$

or, in the case of incoherence due to a phase delay

$$\text{MAX}|\Delta x^{(k)}(t)| = J_k S_I(\omega_k, \xi_k, \tau_L) \quad (37)$$

where the alternate form of the interference spectrum has been used ( $\tau_L$  is the delay time corresponding to  $L$ ) and where

$$J_k = 2\phi_{\frac{N}{2}}^k P_k = \frac{2k_B}{m\omega_k} \phi_1^k \phi_{\frac{N}{2}}^k, \quad k \text{ antisymmetric} \quad (38)$$

is a joint modal participation factor.

The final step is to "sum" all the modal contributions. Here, the problem is completely analogous to that faced in the seismic analysis of multi-degree of freedom buildings using the conventional response spectra. If the peak modal responses all occurred simultaneously, then the direct sum would be appropriate. For distinct and widely spaced natural frequencies this is highly unlikely, and most authorities, e.g., Refs. [4], [5] and [10], suggest using the square root of the sum of the squares of the modal contributions. The same approach could be used here.

### C. Center Joint Displacement Of Long Continuously Supported Pipe

Returning to the general case, let us consider the quantity of interest to be the relative displacement between two adjacent links near the center of a long continuously supported, either buried or above ground, pipe. This corresponds to the opening up or closing of the center joint. For very long pipes,  $N \gg 1$ , the end conditions will have a negligible effect on the motion near the center, and thus, the  $z_0$  and  $z_{N+1}$  terms on the right hand side of Eq. (14) may be dropped. In addition, we introduce the relative generalized coordinate

$$\eta_k = q_k - \frac{\omega_g^2}{\omega_k^2} \sum_{j=1}^N \phi_j^k z_j \quad (39)$$

where  $\omega_g$  is given by Eq. (16). The fraction of critical damping in the  $k^{\text{th}}$  mode (with  $\xi_g$  given by Eq. (18)) then becomes

$$\xi_k = \xi_g \frac{(1 + \lambda_k^c p/c_g)}{\sqrt{1 + \lambda_k^k p/k_g}} \quad (40)$$

Equation (14), in terms of the relative coordinate, and dropping boundary contributions, transforms into

$$\begin{aligned} \ddot{\eta}_k + 2\omega_k \xi_k \dot{\eta}_k + \omega_k^2 \eta_k = & - \frac{\omega_g^2}{\omega_k^2} \sum_{j=1}^N \phi_j^k \ddot{z}_j \\ & + 2\omega_g \xi_g \left[ 1 - \frac{(1 + \lambda_k^c p/c_g)}{(1 + \lambda_k^k p/k_g)} \right] \sum_{j=1}^N \phi_j^k \dot{z}_j \end{aligned} \quad (41)$$

In principle, Eq. (41) as is may be solved in the time domain if all the  $z_j(t)$  were given. However, it is worthwhile to simplify Eq. (41) further by dropping the second term on the right hand side. There are three instances when this is justified. First, if  $c_p/c_g = k_p/k_g$  the term is identically zero. Second, for an above ground structure, the damping  $\xi_g \ll 1$ , so that the contribution of the term is small. Finally, for a buried structure, both  $c_p/c_g \ll 1$  and  $k_p/k_g \ll 1$ , while  $\lambda_k \leq 4$  typically<sup>\*)</sup>. Consequently, the expression in the bracket will be small.

The sum of input ground accelerations may be written as

$$\begin{aligned} \sum_{j=1}^N \phi_j^k \ddot{z}_j \equiv & \phi_1^k (\ddot{z}_1 - \ddot{z}_2) + (\phi_1^k + \phi_2^k) (\ddot{z}_2 - \ddot{z}_3) \\ & + \dots + (\ddot{z}_m - \ddot{z}_{m+1}) \sum_{j=1}^m \phi_j^k + \dots + \ddot{z}_N \sum_{j=1}^N \phi_j^k \end{aligned} \quad (42)$$

<sup>\*)</sup> By Gerschgorin's theorem,  $0 \leq \lambda_k \leq 4$ ,  $k = 1, 2, \dots, N-2$  while  $k_B/k_p \leq \lambda_{N-1} \leq \lambda_N \leq 2 + k_B/k_p$ , for  $k_B/k_p > 2$ .

Since the structure is symmetric (including the boundary conditions) the normal modes will be either symmetric or antisymmetric.

For large values of  $N$ , the response near the center of the pipe should not depend strongly on whether  $N$  is odd or even. For convenience, we choose  $N$  as even, so that there is a joint at exactly the center of the pipe. In that case, the contribution to the center joint displacement of the symmetric modes is zero. For the antisymmetric modes

$$\sum_{j=1}^N \phi_j^k \equiv 0 \quad , \quad k \text{ antisymmetric} \quad (43)$$

Introducing the notation

$$\Delta z_j(t) \equiv z_j(t) - z_{j+1}(t) \quad (44)$$

Eq. (42) becomes

$$\sum_{j=1}^N \phi_j^k \ddot{z}_j \equiv \sum_{j=1}^{N-1} \Delta \ddot{z}_j \left( \sum_{i=1}^j \phi_i^k \right) \quad , \quad k \text{ antisymmetric} \quad (45)$$

which may be inserted into Eq. (41), while a similar expression between the ground displacements may be used in Eq. (39).

Consider  $\Delta z_m \neq 0$ , while all  $\Delta z_j(t) \equiv 0$  for  $j \neq m$ . Dropping the  $\dot{z}$  terms, Eq. (41) becomes

$$\ddot{\eta}_{km} + 2\omega_k \xi_k \dot{\eta}_{km} + \omega_k^2 \eta_{km} = - \frac{\omega^2}{\omega_k^2} \Delta \ddot{z}_m \sum_{j=1}^m \phi_j^k \quad (46)$$

while

$$q_{km} = \eta_{km} + \frac{\omega^2}{\omega_k^2} \Delta z_m \sum_{j=1}^m \phi_j^k \quad (47)$$

The solution to Eqs. (46) and (47) is

$$q_{km}(t) = \left[ \frac{\omega^2}{\omega_k^2} \sum_{j=1}^m \phi_j^k \right] r_{km}(t) \quad (48)$$

where  $r_{km}$  is the solution to

$$\ddot{r}_{km} + 2\omega_k \xi_k \dot{r}_{km} + \omega_k^2 r_{km} = \omega_k^2 \Delta z_m(t) + 2\omega_k \xi_k \dot{\Delta z}_m(t) \quad (49)$$

Equation (49) is of the same form as that used to define the Interference Response spectrum, i.e., Eq. (19), but the input is now  $\Delta z_m$ . The contribution of  $\Delta z_m$  to the  $k^{\text{th}}$  mode center joint displacement is zero for symmetric modes, and

$$\Delta x_m^{(k)} = x_{\frac{N}{2},m}^{(k)} - x_{\frac{N}{2}+1,m}^{(k)} = 2\phi_{\frac{N}{2}}^k q_{km} \quad (50)$$

for antisymmetric modes. Combining Eqs. (48) and (50)

$$\Delta x_m^{(k)} = \left[ 2\phi_{\frac{N}{2}}^k \frac{\omega_k^2}{\omega_k} \sum_{j=1}^m \phi_j^k \right] r_{km}(t) = D_m^k r_{km}(t) \quad (51)$$

where the quantity  $D_m^k$ , defined by the expression within the bracket, is the influence coefficient for center joint displacement, in mode  $k$ , for a unit ground relative displacement across joint  $m$ . It should be noted that the  $D_m^k$  depend only on the geometry and mechanical properties of the system, via the eigenvalues and eigenvectors, and are independent of the input ground motion.

In general, the  $\Delta z_m(t)$  are not known. In the case where the incoherent ground displacement across a joint is due solely to a phase delay  $\tau$ , all  $\Delta z_m$  will be the same, except for a phase delay, i.e.,

$$\Delta z_m(t) = \Delta z(t - (m-1)\tau) \quad (52)$$

where  $\Delta z$  without a subscript is the incoherent ground displacement across the first joint. The resulting  $r_{km}(t)$  will also all be related by a similar expression

$$r_{km}(t) = r_k(t - (m-1)\tau) \quad (53)$$

where  $r_k(t)$  is the solution to Eq. (49) with input  $\Delta z(t)$ . Hence, the total  $k^{\text{th}}$  mode contribution to the center joint displacement is

$$\Delta x^{(k)}(t) = \sum_{m=1}^{N-1} D_m^k r_k(t - (m-1)\tau) \quad (54)$$

while the total joint displacement is the sum of the modal contributions

$$\Delta x(t) = \sum_{k=1}^N \Delta x^{(k)}(t) \quad , \quad k = \text{antisymmetric only} \quad (55)$$

At first, it would appear that spectral techniques could not be used easily. For, in addition to the problem of adding modal contributions which is always present in a multi-degree of freedom system, there is an additional problem represented by Eq. (54). This is the fact that the peak modal response due to the load across each joint will occur at a different time. Consequently, it is uncertain how to combine them. In the limiting case, when the load may be assumed to act simultaneously across the entire <sup>\*)</sup> pipeline.

$$\text{MAX}|\Delta x^{(k)}(t)| = S_I(\omega_k, \xi_k, \tau) \sum_{m=1}^{N-1} D_m^k \quad (56)$$

Finally, if, in addition, the modal frequencies and damping ratios all coalesce to  $\omega$  and  $\xi$ , respectively, then the total response will be

$$\text{MAX}|\Delta x(t)| = S_I(\omega, \xi, \tau) \sum_{k=1}^N \sum_{m=1}^{N-1} D_m^k \quad (57)$$

antisym.

By Eq. (6), this will only occur when  $k_p/k_g \rightarrow 0$ , i.e., the pipe with very soft joints discussed previously. Nevertheless, it will be shown subsequently that the spectral approach has much wider applicability.

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<sup>\*)</sup> It can be shown that Eq. (56) is valid when  $N\omega_k\tau \ll \pi$  and  $N\xi\tau \ll \pi$  where  $\Omega$  is the circular frequency of the highest frequency component in the input  $\Delta z$ . This is done in Appendix C for a single, sinusoidal input.

### III NUMERICAL RESULTS FOR LONG SUPPORTED PIPES

Since the application of spectral techniques to the other special cases discussed are straightforward, numerical time domain calculations were restricted to that of the center joint displacement of a long continuously supported pipe.

#### A. Mode Shapes And Influence Coefficients

The eigenvalues and eigenvectors of the system are particularly simple when  $k_B = k_p$ , i.e., the end joints are identical to the intermediate ones. In that case, by Eqs. (9) and (10)

$$\lambda_k = 2(1 - \cos \frac{k\pi}{N+1}) \quad (58)$$

and

$$\phi_j^k = \sqrt{\frac{2}{N+1}} \sin \frac{jk\pi}{N+1} \quad (59)$$

while  $k = \text{even}$  are the antisymmetric modes. With the  $\phi_j^k$  given above, the inner sum of Eq. (45) may be expressed in closed form (Ref. [11], No. 417),

$$\sum_{i=1}^j \phi_i^k = \sum_{i=1}^j \sqrt{\frac{2}{N+1}} \sin \frac{ik\pi}{N+1} = \sqrt{\frac{2}{N+1}} \left[ \frac{\sin \frac{jk}{N+1} \frac{\pi}{2} \sin \frac{(j+1)k}{N+1} \frac{\pi}{2}}{\sin \frac{k}{N+1} \frac{\pi}{2}} \right] \quad (60)$$

while

$$D_m^k = \frac{4}{N+1} (-1)^{\frac{k}{2}-1} \frac{\sin(\frac{m+1}{N+1} \frac{k\pi}{2}) \sin(\frac{m}{N+1} \frac{k\pi}{2})}{1 + 2(\frac{k_p}{k_g}) (1 - \cos \frac{k\pi}{N+1})} \quad (61)$$

Using No. 469 of Ref. [11], the sum of influence coefficients for a particular mode may be found in closed form

$$\sum_{m=1}^{N-1} D_m^k = \frac{2(-1)^{\frac{k}{2}-1} \cos(\frac{k}{N+1} \frac{\pi}{2})}{1 + 2(\frac{k_p}{k_g}) (1 - \cos \frac{k\pi}{N+1})} \quad (62)$$

For vanishingly small values of  $k_p/k_g$ , the double sum in Eq. (57) can be shown to equal unity, i.e.,

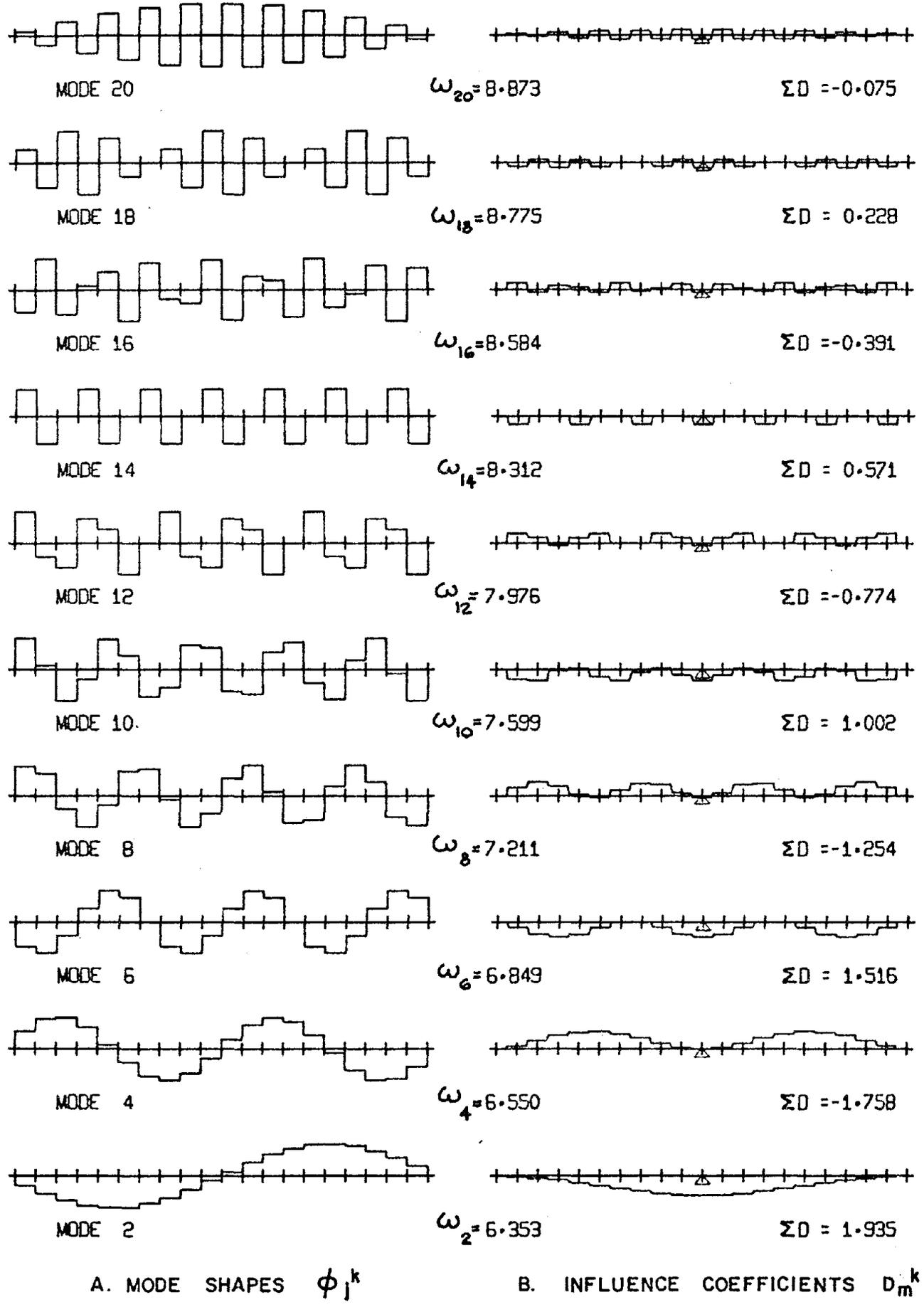
$$\sum_{k=2,4,6 \dots}^N \sum_{m=1}^{N-1} D_m^k = 1 \tag{63}$$

so that, formally, the current modal approach reduces to that of a pipe with very soft joints discussed earlier. It should be mentioned that, numerically, the double sum also equaled unity to the four decimal places that were printed out for  $k_p/k_g \neq 0$ . This suggests that Eq. (63) may be true in general<sup>\*)</sup>.

The mode shapes and influence coefficients are plotted in Fig. 4 for  $N = 20$  and  $k_g = 4k_p$ . Only the even (antisymmetric) modes are plotted. The values of  $D_m^k$  for the odd modes are, of course, all zero. The tick marks represent the joints. It should be noted that the mode shapes represent the axial displacement of each segment, even though they are plotted transversely. Also shown on the figure are the corresponding values of the circular frequencies. These values are based on  $\omega_g = 2\pi/\text{sec}$  (frequency = 1 Hz). Any other value of  $\omega_g$  would change the  $\omega_k$  proportionately. The influence coefficients  $D_m^k$  are plotted on the right. Each element of  $D$  is constant across a joint. The triangle in the middle marks the center joint. It is observed that the  $D_m^k$  are symmetric. The magnitudes of the  $D_m^k$  generally are small near the ends. Moreover, the largest value in any particular mode decreases as the mode number increases. The sums of the influence coefficients for the various modes are given in Fig. 4. They alternate in sign and decrease in magnitude as

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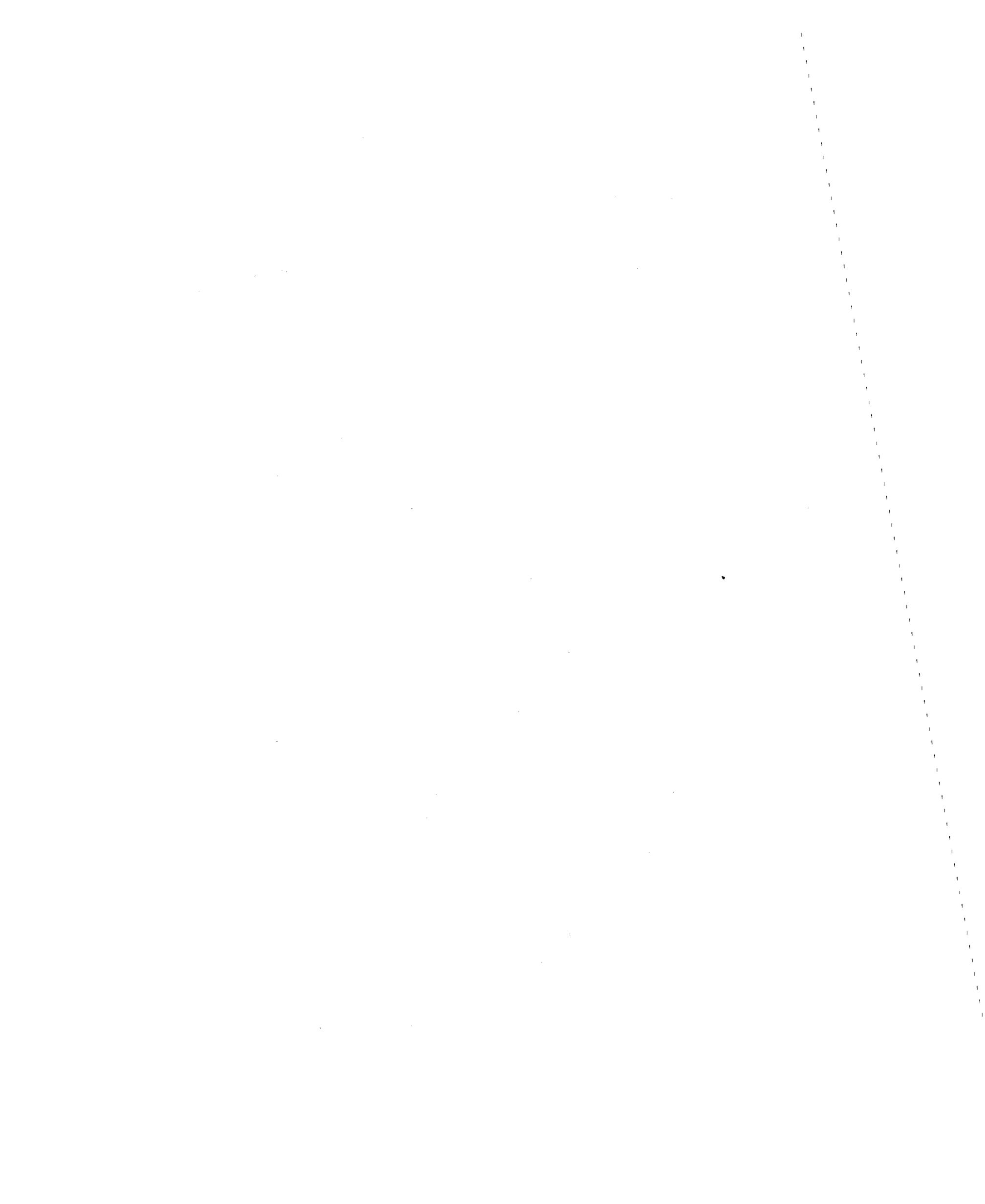
<sup>\*)</sup> It was subsequently shown in Appendix D that Eq. (63) is true in general, at least for  $N \rightarrow \infty$ , see Eq. (D-53).



A. MODE SHAPES  $\phi_j^k$

B. INFLUENCE COEFFICIENTS  $D_m^k$

FIG. 4 ANTISYMMETRIC (EVEN) AXIAL MODE SHAPES AND INFLUENCE COEFFICIENTS FOR CENTER JOINT RELATIVE DISPLACEMENT FOR 20 SEGMENT PIPE ( $k_B = k_P, k_G = 4k_P, \omega_g = 2\pi/\text{sec}$ )



the mode number increases. The mode 2 value is almost 30 times that of mode 20.

All time history calculations were made with  $k_B = k_p$ . However, this is not an overly restrictive condition. Mode shapes and influence coefficients were computed numerically for other values of  $k_B/k_p$ . For example, a case was considered in which  $k_B = 10k_p$  and  $N = 20$ . There were two very high frequency modes. However, the mode shapes were non-zero only near the boundaries. Moreover, the influence coefficients for center joint displacement were zero. The other 18 modes corresponded almost exactly to the case of  $k_B = k_p$ , but  $N = 18$ . Further details, and other examples, are given in Appendix A.

#### B. Time Histories

In order to investigate if any further simplifications are justified, time histories were computed for a variety of cases. A computer program, SEPIPE, was written which computes the total center joint displacement, and the modal contributions to it, due to the identical incoherent ground input  $\Delta z(t)$  at every joint, but with a constant time delay  $\tau$  between successive joints. A total of 29 different calculations were made, only a few of which will be presented. A summary of the various calculations may be found in Appendix B. The first 23 runs used sinusoidal ground motion  $z(t)$  with, either a single frequency, or with several in combination. Many aspects of the results were thus more easily understood since analytical approximations (say for small  $\tau$ ) were available. These are described in Appendix C. These analytical results also served as checks on the code. In the undamped case, for  $\Omega \neq \omega_k$ , beat phenomena were observed in the modal responses where the input frequency  $\Omega$  was close to a modal frequency. When damping was included, a more complex response occurred, where at early

times, the damped response was similar to but smaller than the undamped result, while at later times a steady state would develop. Except for cases in which  $\omega_k \approx \Omega$ , the peak modal responses generally occurred during the transient. It should be mentioned that with 5% of critical damping, it was not possible to excite the highest mode to give the largest response, even when the input frequency  $\Omega$  was made slightly larger than  $\omega_N$ .

Among the quantities varied were the number of segments  $N$ , the ratio  $k_g/k_p$ , the phase delay  $\tau$ , and the damping as well as the input. Values of  $N$  of 20, 22 and 40 were tried. The ratio  $k_g/k_p$  controls the spread of the natural frequencies. For  $k_B = k_p$ , by Gerschgorin's theorem,  $0 \leq \lambda_k \leq 4$ , so that by Eq. (6)

$$\omega_g = \sqrt{k_g/m} \leq \omega_k \leq \omega_g \sqrt{1 + 4(k_p/k_g)} \quad (64)$$

For buried pipes, large values of  $k_g/k_p$  are anticipated. Calculations were made with  $k_g/k_p = 2, 4$  and  $10$ . The results presented are all for  $k_g/k_p = 4$ , so that the modal frequencies (assuming  $\omega_g = 2\pi/\text{sec}$ ) are restricted to

$$1 \text{ Hz} \leq f_k = \omega_k/2\pi \leq \sqrt{2} \text{ Hz} \quad (65)$$

All the results presented are for  $\tau = 0.020$  sec. This could represent a 20 ft (6 m) pipe segment and a 1000 ft/sec (300 m/sec) propagation velocity. For small values of  $\tau$ , the results are linear in  $\tau$ , see Appendix C.

Also considered was partial loading whereby only the joints near the center were loaded. Leaving off the load near the ends had negligible effect on the center joint response. Loading only the 3 or 5 center joints of a 20 segment pipe actually resulted in slightly higher total responses than loading the entire pipe. However, the individual modal responses varied, with the higher modes contributing more in the partially loaded case. These results suggest that the joint displacement is a local

phenomenon with the situation more than a few segments away having little effect. Thus, the number of links, the end conditions, and even the gradual variation in  $\Delta z$  from point to point would not materially affect the results.

This is not surprising if one examines the sum of influence coefficients, of all modes at each joint,  $\sum_{k=2,4,\dots}^N D_m^k$ . This sum represents the static solution due to a unit input at joint  $m$ . It is largest at the center joint, and its value falls off rapidly at either side. Except for the few joints near the center (the actual number depends on  $k_p/k_g$ ), the sum is essentially zero. The static continuous analog to the current problem, see Appendix D, shows the strain decreasing exponentially from the point of application of the load.

### C. Response To Earthquake Input

While Eq. (49) was solved analytically for the various sinusoidal loadings, this was no longer possible once actual earthquake records were used as the input motion. Consequently, the program was revised so that Eq. (49) was solved numerically for each mode, assuming the incoherent ground acceleration  $\Delta \ddot{z}$  to be piecewise linear. Then Eq. (53) was used to shift the time scale by the appropriate delay time for each node  $m$ .

The total center joint response, and the modal contributions of the lowest five modes, for a 20 segment pipe subjected to the north-south component of the EL CENTRO May 1940 record are shown in Fig. 5. The calculation was continued to a final time of 20 seconds. The damping was assumed to be 5% of critical for each mode<sup>\*)</sup>. The incoherent ground motion across the center joint is shown in Fig. 5a. It is noted that  $\Delta z$  is zero until the earthquake reaches the center joint. Readers familiar with

<sup>\*)</sup> This assumption is not quite valid, since  $\xi_k$  should vary according to Eq. (40).



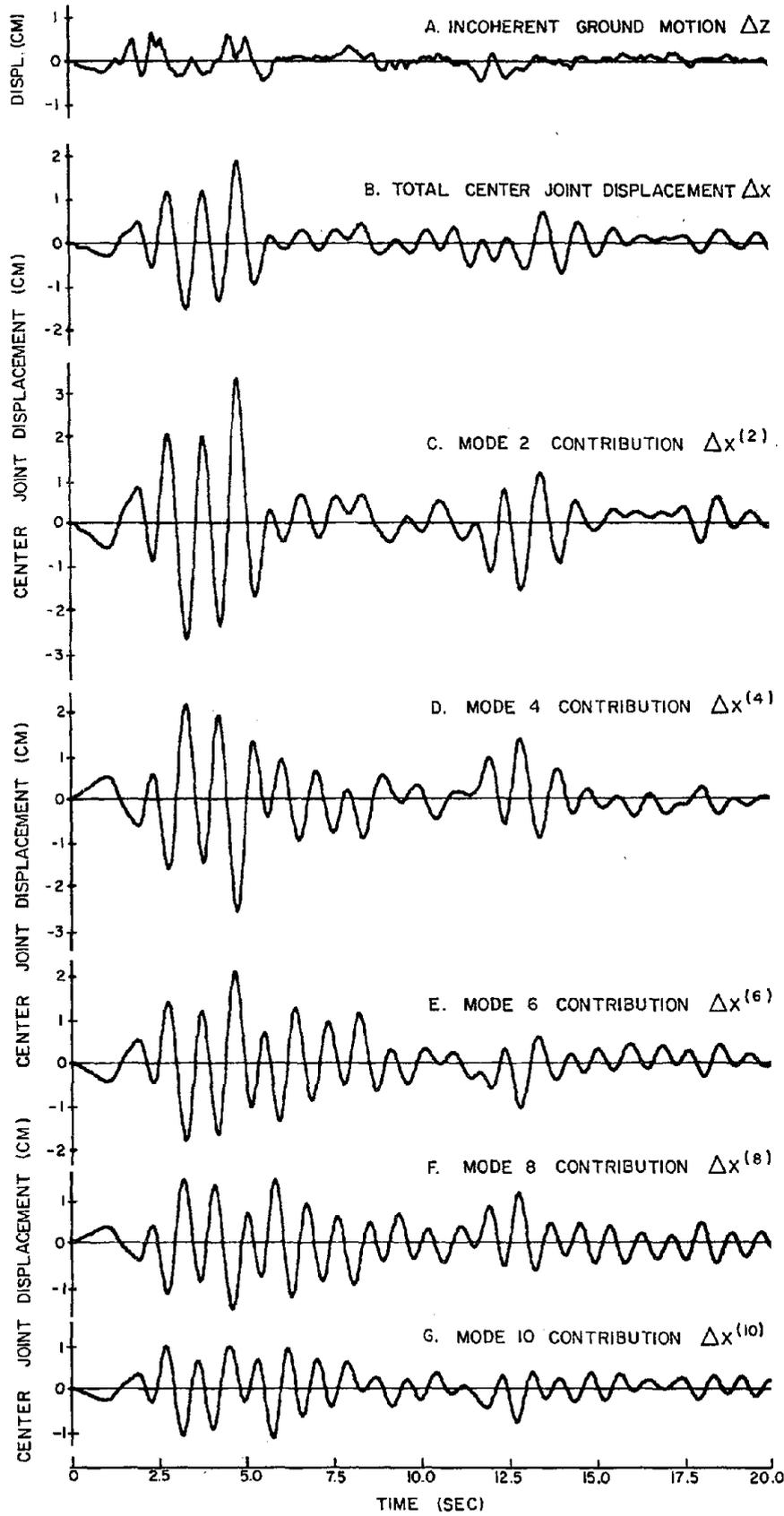


FIG. 5 TOTAL CENTER JOINT RESPONSE AND MODAL CONTRIBUTIONS FOR A 20 SEGMENT PIPE SUBJECTED TO THE EL CENTRO MAY 1940 N-S RECORD (DAMPING RATIO = 5%)



earthquake records will recognize the input as the velocity record times  $\tau^*$ ). The total joint displacement reaches a maximum value of 1.87 cm, representing an amplification of 2.85.

The first three modal contributions are larger than the total response. However, the modes alternate in sign, as do the  $\Sigma D$  (see Fig. 4), so that all modes are required to reach the total. Nevertheless, the peak modal responses do decrease as the mode number increases. The peak value of the lowest mode not shown, mode 12, is 0.80 cm, while that of mode 20 is merely 0.076 cm. Except for a scale factor, the total response is most similar to the mode 2 contribution, the largest contribution to the total.

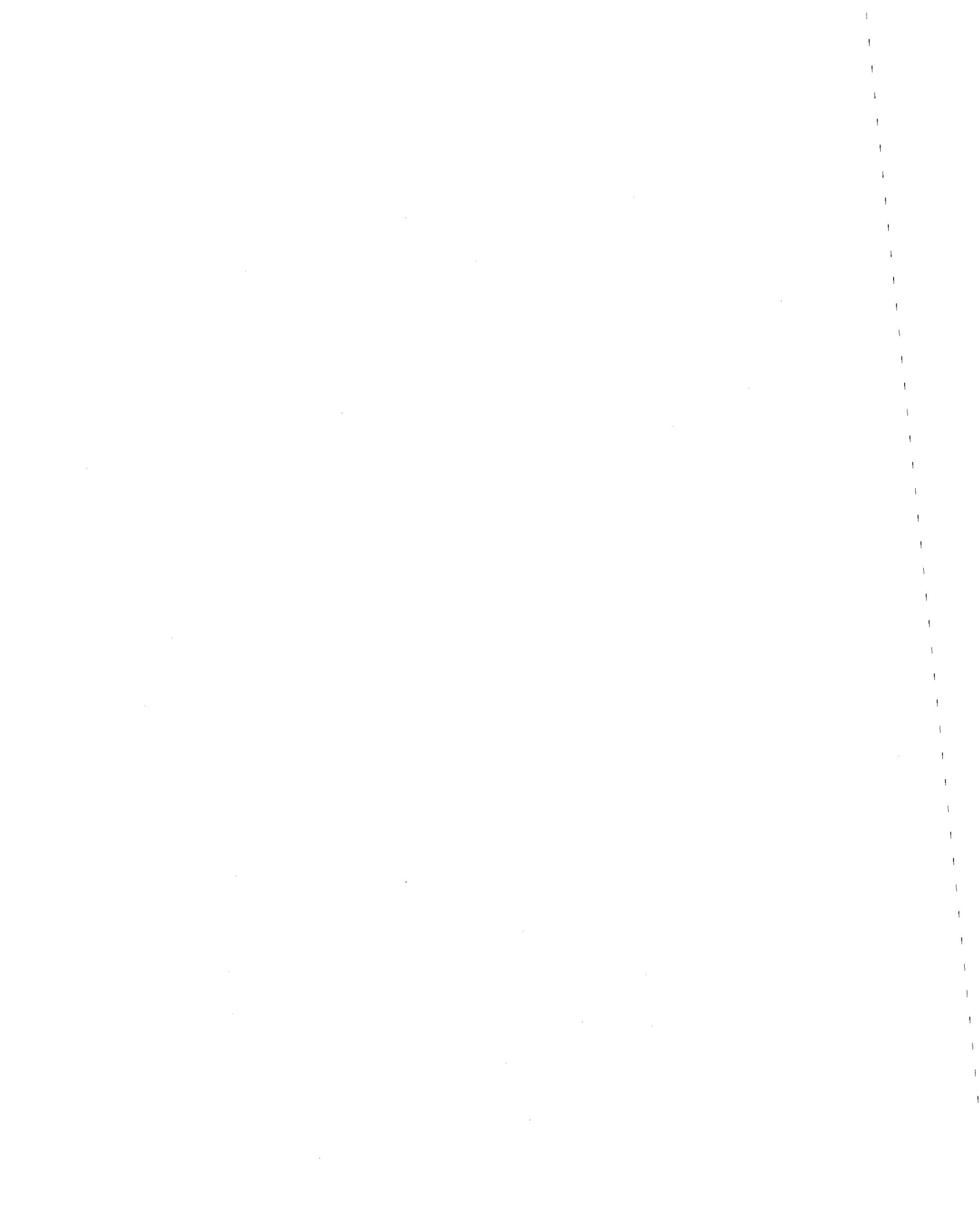
The total center joint response of a 40 segment pipe is compared with that of a 20 segment pipe, both with 5% of critical damping, in Fig. 6. The corresponding incoherent ground motions across the center joint are shown in Fig. 6a. The phase delay is the only difference in the two curves  $^{**}$ ). Except for the phase delay, the two total response curves are essentially the same. Individual modal responses, of course, cannot be compared directly. It is worth noting, however, that for  $N = 40$ , the mode 2 response is larger than the total response and more than twice any other modal contribution. Except for the amplitude, the total response is similar to the mode 2 contribution. The center joint displacement for somewhat larger damping, 10% of critical, for a 20 segment pipe is shown in Fig. 7. Except

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\*) For longer delay times this is not necessarily true, see the discussion in Refs. [6] and [7].

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\*\*) The time scale in each case starts when the traveling ground motion crosses the center of the first segment.



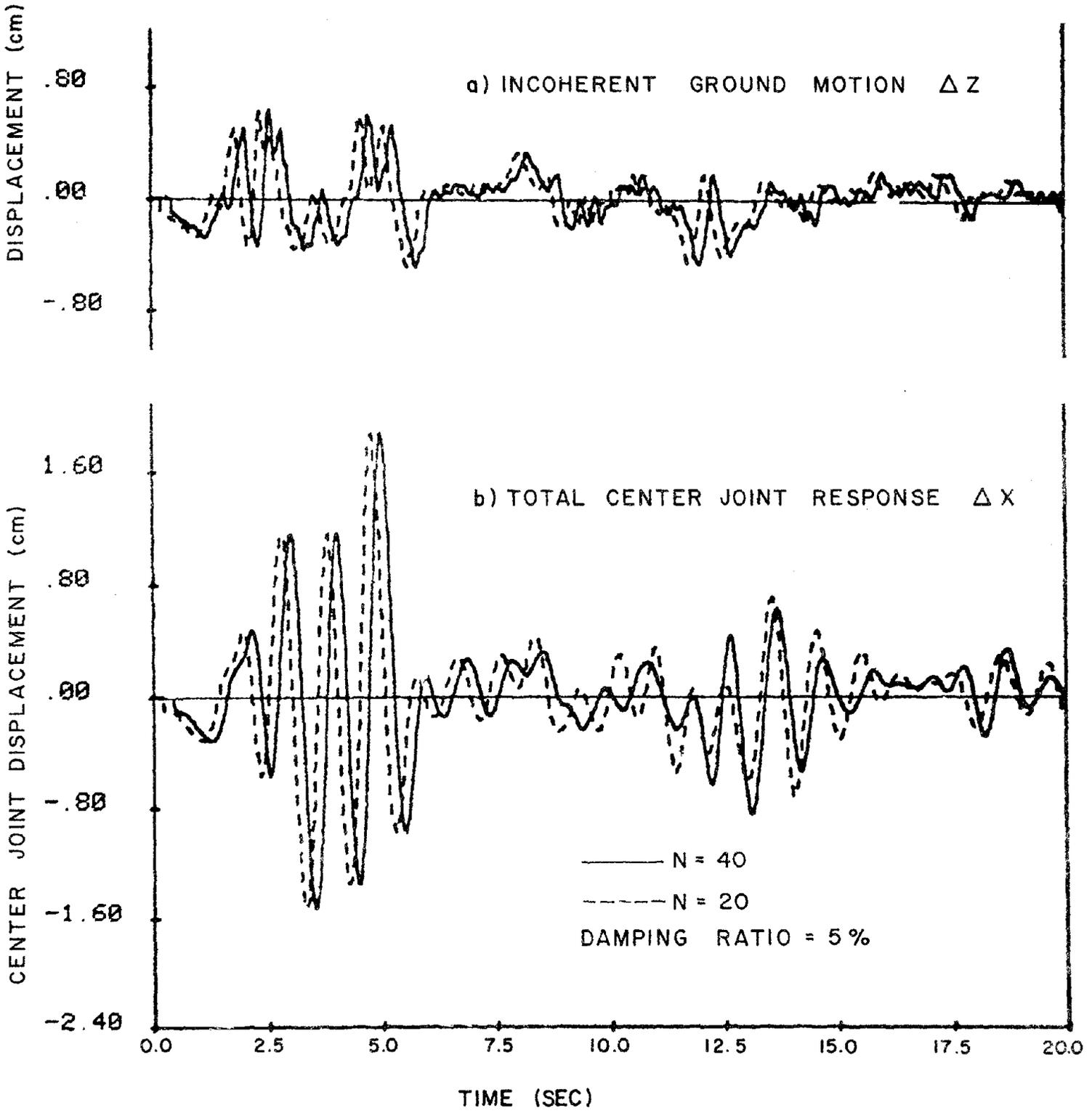
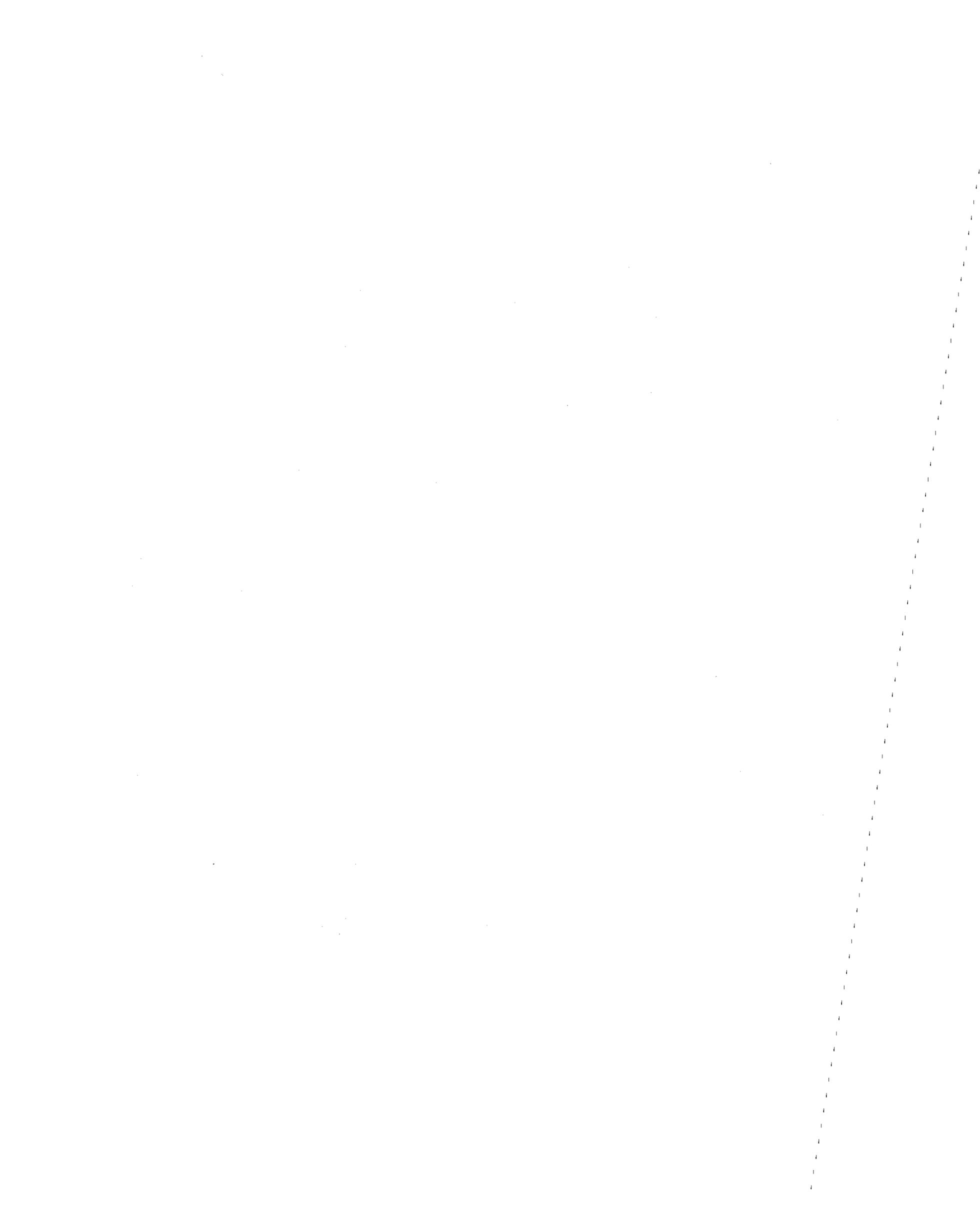


FIG. 6 COMPARISON OF TOTAL CENTER JOINT RESPONSE FOR 20 AND 40 SEGMENTS (INPUT GROUND MOTION "EL CENTRO" MAY 1940 N-S)



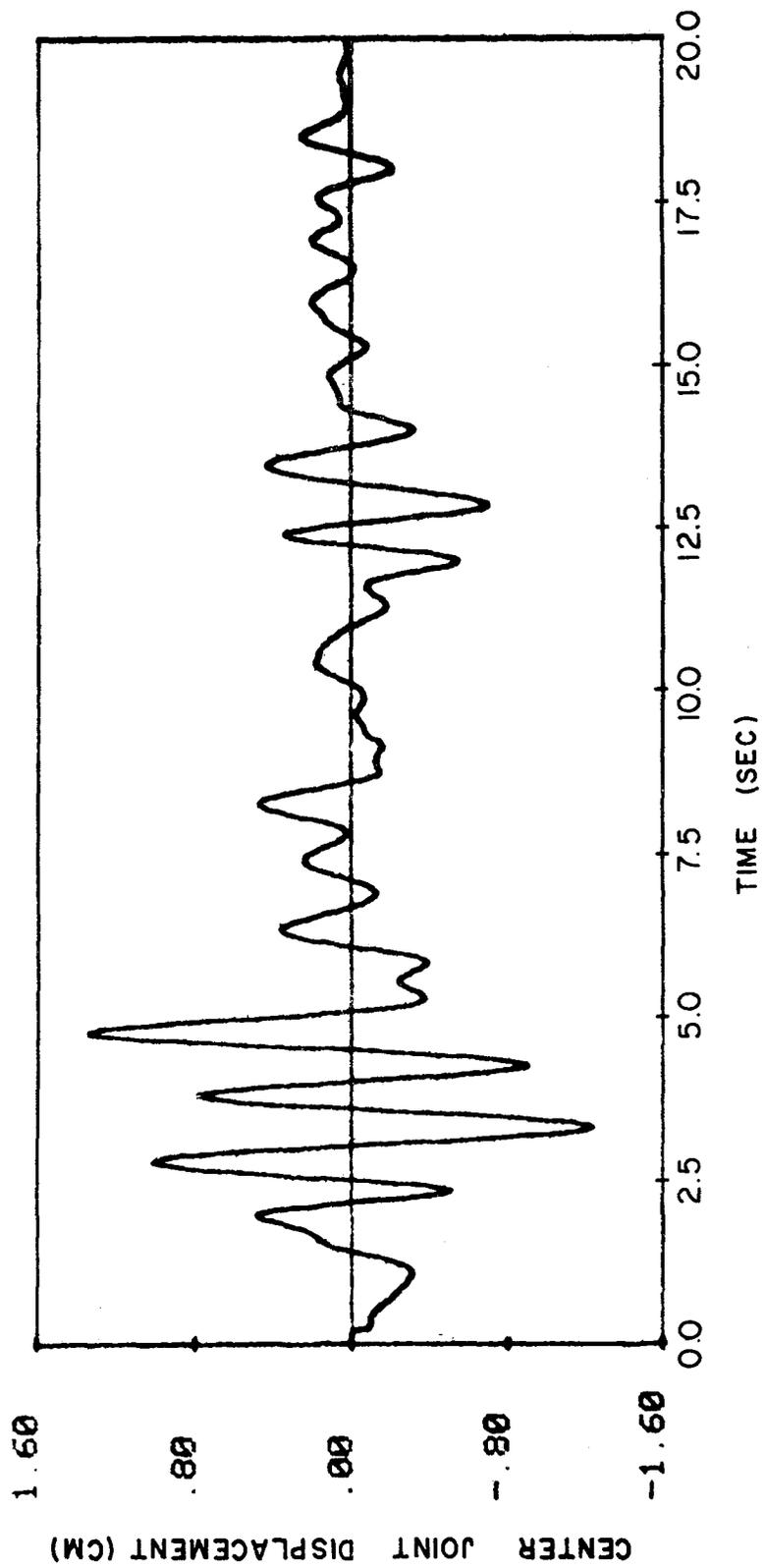


FIG. 7 TOTAL CENTER JOINT RESPONSE FOR 20 SEGMENT PIPE FOR 10% OF CRITICAL DAMPING (INPUT GROUND MOTION EL CENTRO MAY 1940 N·S)



for the obvious decrease in the amplitude of the oscillations, the curve is similar to those in Fig. 6. Here, the amplification is only 2.0.

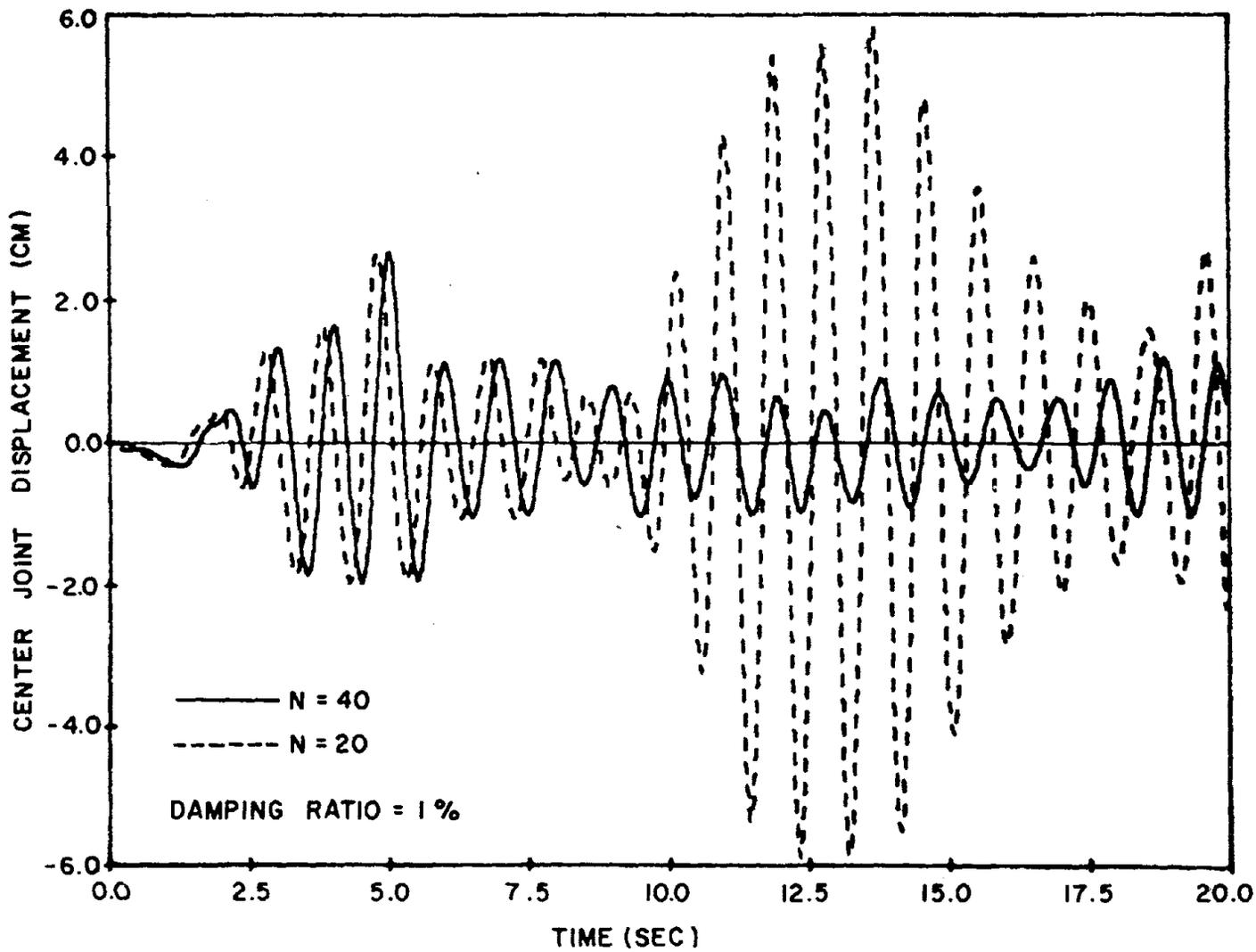
The response of 20 and 40 segment lightly damped systems (1% of critical damping) are compared in Fig. 8. For early times,  $t < 8$  sec, the two curves follow one another with the appropriate phase delay. The amplification during this time, and the peak value for  $N = 40$ , is 4.05. For  $N = 40$ , the mode 2 contribution is again larger than the total response and more than twice any other modal contribution. The peak total response occurs about 2.5 sec after the peak in the input. The situation for  $N = 20$  is different from that in any other case considered. The peak total response is larger than that of any modal contribution, and occurs at later times, long after the peak in the input ground motion. At the time of the peak, eight of the ten modal contributions, the lowest seven plus mode 18, are all moving in the same direction, and thus add to the total response. The largest modal contribution to the peak, mode 8, is only 35% of the total.

If the input were zero for  $t > 8$  sec, each mode would be experiencing free vibrations with a slightly different frequency. If one waited long enough, all the modes would be in phase, and contribute to the total. With any appreciable damping, however, the resulting peak would be much smaller than that observed during forced vibrations. For the longer pipe,  $N = 40$ , interference caused by the accumulated phase delay from one end of the pipe to the other leads to smaller responses in the higher modes<sup>\*)</sup>.

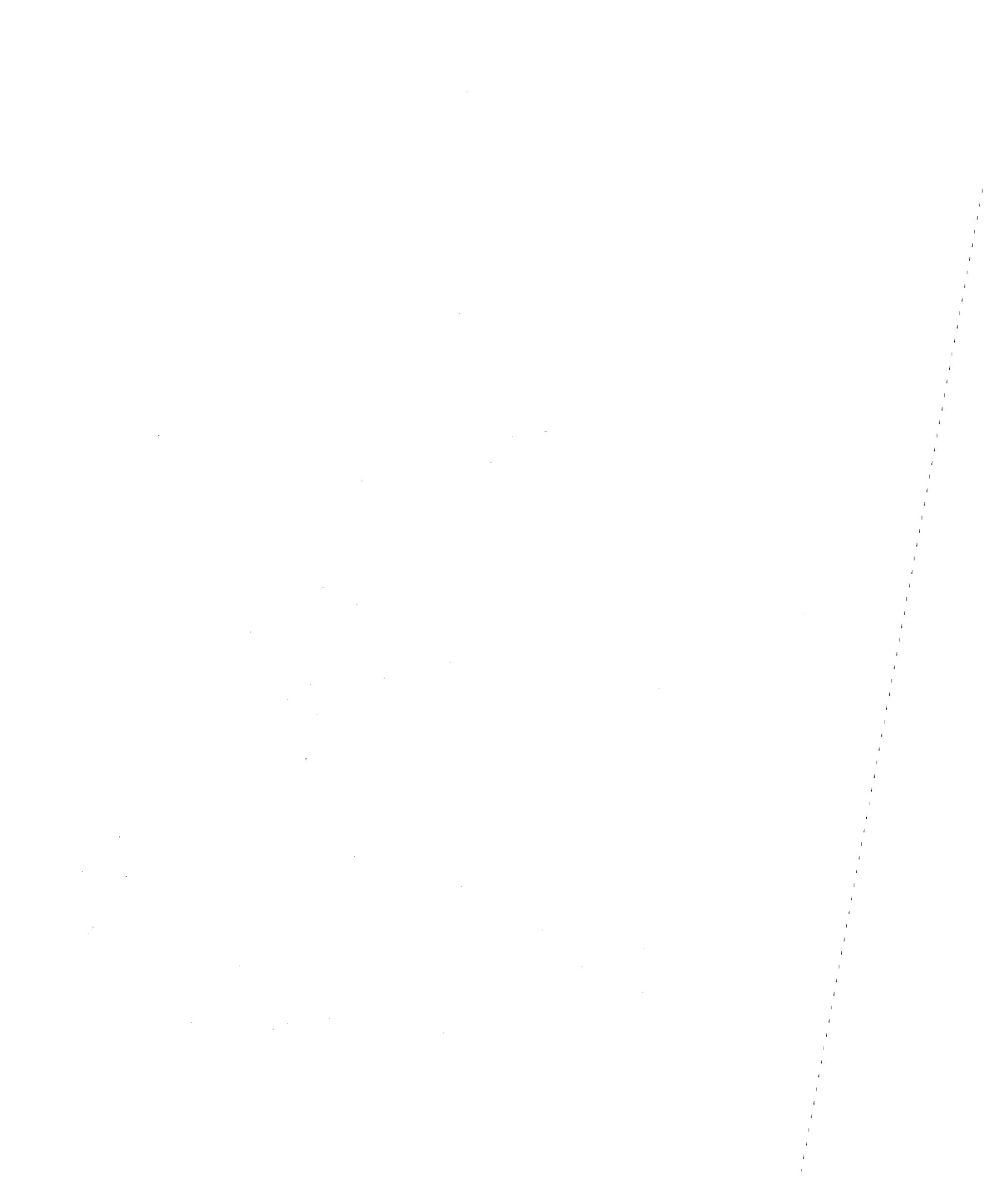
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<sup>\*)</sup> This statement is more easily understood by referring to Fig. 4b. If there were a phase delay of  $\pi$  radians between the quarter and three-quarter points, the mode 4 response would be zero. Similarly, if the delay were  $\pi$  between modes 3 and 17, the mode 6 response would be only a third of that with no phase delay.





8 COMPARISON OF TOTAL CENTER JOINT RESPONSE FOR 20 AND 40 SEGMENT LIGHTLY DAMPED SYSTEMS (INPUT: EL CENTRO MAY 1940 N-S)



Consequently, even if the various modes were in phase, the resulting total (at least up to 20 sec) is less than that observed during forced vibrations.

D. Interference Response Spectra

The Interference Response (IR) spectra for the EL CENTRO May 1940 north-south record for  $\tau = 0.02$  sec and damping ratios of 1, 5 and 10% are plotted in Fig. 9. The spectra are drawn to a linear scale and only a limited frequency range, 0.7 to 1.5 Hz, is shown. The bounds to the natural frequencies of the system, Eq. (65), are shown in the figure, as are the lowest antisymmetric frequencies for  $N = 20$  and 40,  $f_2 = 1.011$  Hz and 1.003 Hz, respectively. Horizontal lines are drawn corresponding to the peak values of the center joint relative displacement attained in the various time history calculations. Dots mark the intersection of  $\text{MAX}|\Delta x(t)|$  and the appropriate  $f_2$ . For 5% of critical damping, there were two calculations, both with the same peak response. For 1% damping, the  $N = 20$  peak value of 5.90 is off-scale for the reason discussed previously.

In all other cases, the peak response is within 1% of the corresponding IR spectra at the lowest antisymmetric frequency. Moreover, even if the lowest frequency were not known, the spectral value at the lower bound frequency (1 Hz in this case) is an excellent approximation to the peak response of the center joint. One additional earthquake record also was used as input, and the results again agreed with the IR spectrum. Consequently, it appears that the IR spectrum offers a useful technique for evaluating the dynamic response of long segmented pipes.



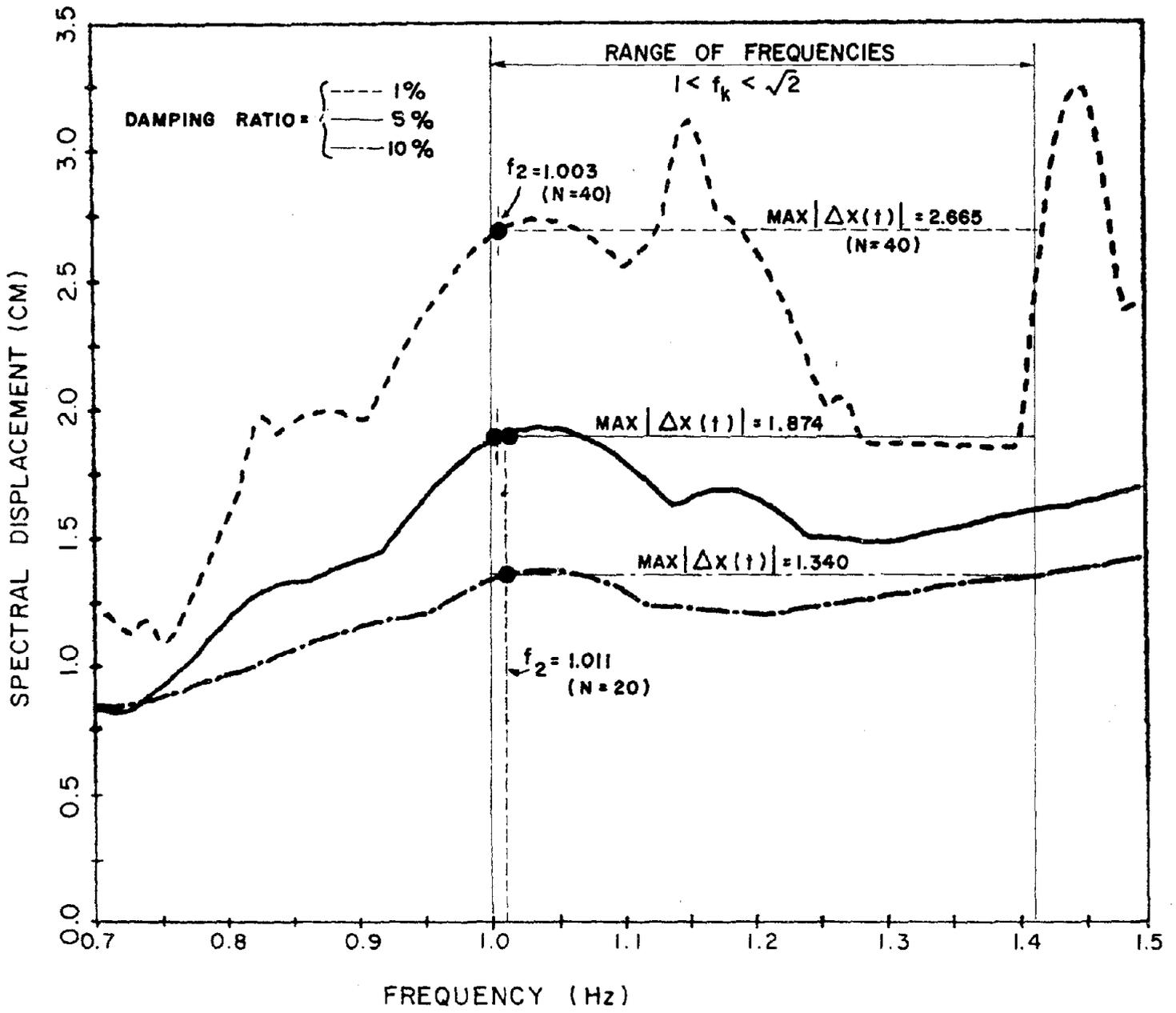


FIG. 9 INTERFERENCE RESPONSE SPECTRA FOR  $\tau = 0.02$  sec FOR EL CENTRO MAY 1940 N-S



#### IV SUMMARY AND CONCLUSIONS

The equations which govern the dynamic axial response of a long segmented pipe subjected to a traveling ground motion were developed. When the jointed pipe is very soft relative to the ground,  $k_p/k_g \ll 1$ , the peak joint response is given directly in terms of the Interference Response (IR) spectrum.

When there is no intermediate support, modal decomposition can be used. The natural frequencies will be distinct and separated and a modal participation factor can be defined. Again, each modal response may be obtained from the IR spectrum. The problem of combining the modal contributions is similar to that in multi-degree of freedom buildings, and the square root of the sum of the squares is probably the best approach.

Finally, the problem of a long supported pipe of non-negligible pipe/joint stiffness was studied in detail. It was seen that, for reasonable amounts of damping, the center joint response was predominantly a local phenomenon, and was not affected by the end conditions, the number of links, or variations in the ground input near the ends. Amplification ratios with respect to the difference in ground displacement of from 2 to 4 were obtained with damping ratios of from 10 to 1% of critical. Actual joint opening (or closing) of 2 to 3 cm was found for pipes of various parameters subjected to the EL CENTRO earthquake.

The most important conclusion is that the IR spectrum at the lower bound frequency can be used directly to predict the response of pipes with non-negligible values of  $k_p/k_g$ . Certainly, for  $k_p/k_g$  as large as 1/4, computed peak responses were within 1% of the IR spectrum at the lowest anti-symmetric frequency. Thus, the Interference Response spectrum is a useful tool in the dynamic analysis of lifelines over a broad range of parameters.



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APPENDIX A  
MODE SHAPES AND INFLUENCE COEFFICIENTS

In this appendix examples are given of the variation of the mode shapes  $\phi_j^k$  and influence coefficients  $D_m^k$  with the ratio  $k_B/k_p$  and the number of links  $N$ . The eigenvalues and their associated mode shapes were found numerically. The values of  $D_m^k$  were obtained via

$$D_m^k = \frac{\omega_k^2}{\omega_k^2} \left( \phi_{\frac{N}{2}}^k - \phi_{\frac{N+1}{2}}^k \right) \sum_{j=1}^m \phi_j^k \quad (A-1)$$

where  $1 \leq m \leq N - 1$ . When  $N$  is even and  $k$  is restricted to antisymmetric modes, the above reduces to that given by Eq. (51) in the text. For  $N$  odd, integer arithmetic (truncation) yields  $N/2 \rightarrow (N - 1)/2$  and  $N/2 + 1 \rightarrow (N + 1)/2$ .

Figure A-1 compares the mode shapes and influence coefficients for  $N = 12$ , and for  $k_B = k_p$  and  $k_B = 0$ . In the latter case, the lowest mode corresponds to a rigid body displacement. The influence coefficients of all symmetric (odd) modes are zero. The frequencies for the stiffer system on the left are all slightly higher than the corresponding values for the free end pipe. Also, the magnitude of the sum of the influence coefficients in each mode is larger for the stiffer system. It is noted that the double sum of the influence coefficients is unity, even for the case of  $k_B = 0$ .

Figure A-2 compares the mode shapes and influence coefficients for  $N = 20$ , but with stiff boundary springs ( $k_B = 10 k_p$ ), with those for  $N = 18$  and  $k_B = k_p$ . The highest two modes for  $N = 20$  affect only the links near the ends, the left for mode 20 and the right for mode 19. The two frequencies are the same and much larger than all the others. In both modes, the influence coefficients for center joint displacement are all zero. For all other modes, the  $N = 20$  frequencies are just below the corresponding values for  $N = 18$ . The mode shapes are also very similar (some are plotted upside down). The influence coefficients









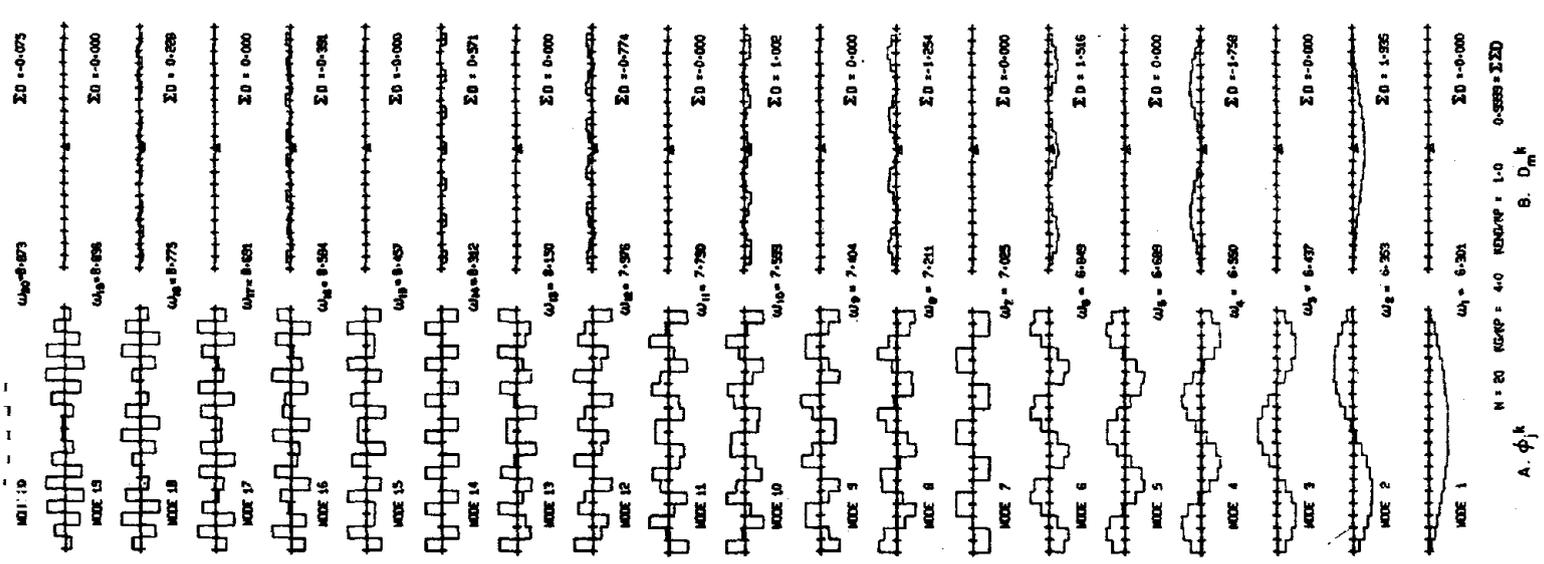


for the lower modes, those which contribute the largest shares to the dynamic response, again are very similar. The two  $\Sigma D$ 's for mode 2 agree to 0.5%. The relative difference between the two cases, in the  $\Sigma D$ 's, grows as the mode number increases, with the maximum difference reaching  $\sim 25\%$ , but only 1% of the mode 2 sum. Consequently, one can expect that the dynamic response of the two systems would be virtually identical. Once again, note the double sum of influence coefficients is (essentially) unity in both cases.

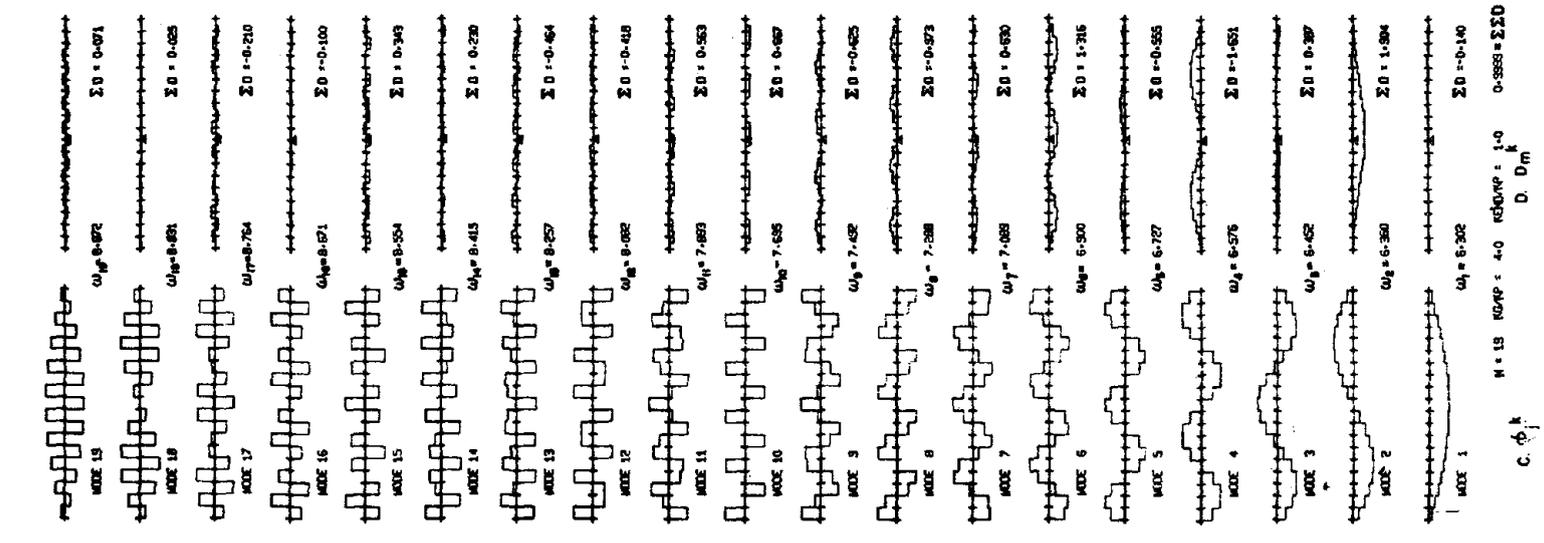
The effect of the number of links, including whether  $N$  is odd or even, on the mode shapes and influence coefficients is illustrated in Fig. A-3, where those corresponding to  $N = 18, 19,$  and  $20$  are compared. All cases were computed with  $k_g/k_p = 4$  and  $k_B = k_p$ . For  $N = 19$ , the influence coefficients apply to the ninth joint, marked with the triangle. As anticipated, the sum of the influence coefficients in the odd modes are not zero for  $N = 19$ . Nevertheless, for the lowest modes, the odd sums are much smaller than the adjacent even ones. For example, the mode 1 value is only 7% of that of mode 2.

For the lowest several modes, there is a general correspondence in frequency, mode shape and influence coefficients for the three cases. For the highest modes, there is also agreement when the mode number is counted from the top, i.e. highest compare to highest, next highest with next highest, etc. It is only in the middle modes, where for  $N = 19$  the adjacent  $\Sigma D$ 's are comparable, that it is difficult to relate one case to the others. Nevertheless, based on the lower modes, restricting the discussion in the text to  $N = \text{even}$  does not appear to be unduly restrictive. Again, the double sums of influence coefficients are all (essentially) unity, even for  $N$  odd.

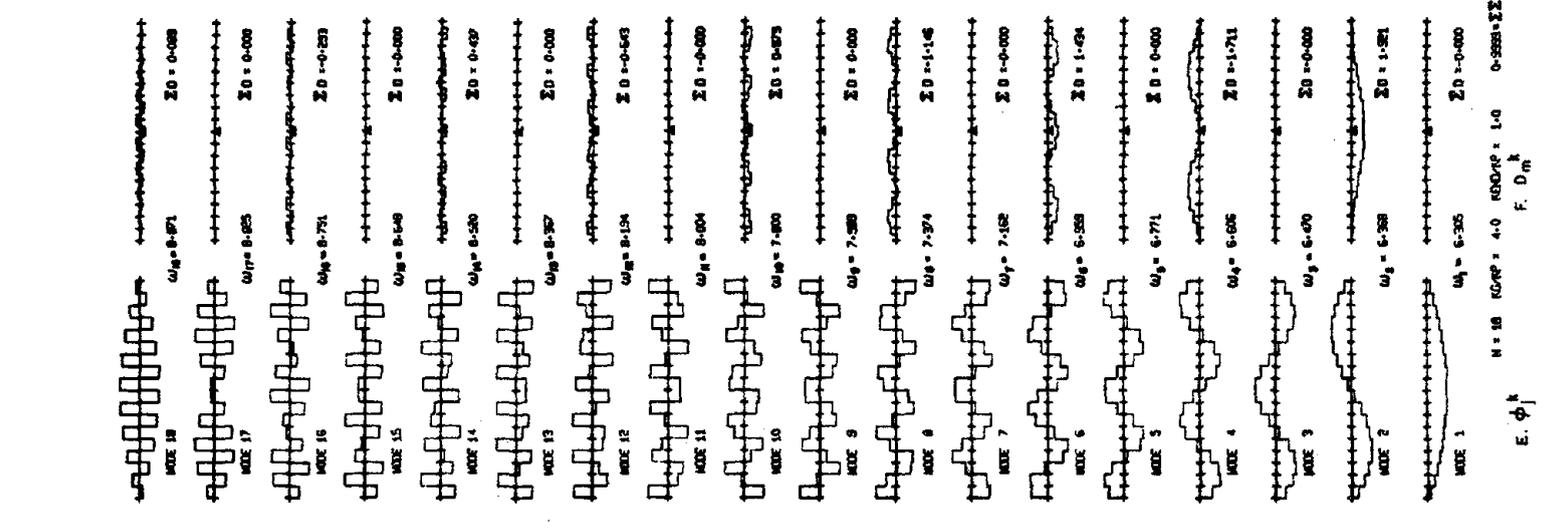




N = 20  $\kappa_{DVP} = 4.0$   $\kappa_{DVP} = 1.0$   $0.5585 = \Sigma_{20}$  B.  $D_m$



N = 15  $\kappa_{DVP} = 4.0$   $\kappa_{DVP} = 1.0$   $0.5555 = \Sigma_{15}$  C.  $D_m$



N = 10  $\kappa_{DVP} = 4.0$   $\kappa_{DVP} = 1.0$   $0.5555 = \Sigma_{10}$  F.  $D_m$

FIG A-3 COMPARISON OF MODE SHAPES AND INFLUENCE COEFFICIENTS FOR N=18, 19 & 20 ( $k_g = 4k_p, k_B = k_p, g = 2.7$ )

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APPENDIX B

SUMMARY OF SEGPIPE CALCULATIONS

APPENDIX B - Summary of SEGPLPE Calculations

| Run | File | N<br>Links | $k_g/k_p$ | $\omega_k$     | $\tau$ | Load                          | $\Omega$ | Damping | $T_{final}$ |
|-----|------|------------|-----------|----------------|--------|-------------------------------|----------|---------|-------------|
| 1   | -    | 20         | 10        | 1.0044→1.1823  | 0.10   | $\sin\Omega t$                | 1.3      | 0       | 2.5         |
| 2   | -    | 20         | 10        | 1.0044→1.1823  | 0.10   | $\sin\Omega t$                | 1.3      | 0       | 12.5        |
| 3   | -    | 20         | 10        | 1.0044→1.1823  | 0.10   | $\sin\Omega t$                | 1.3      | 0       | 50          |
| 4   | -    | 20         | 2         | 0.457 → 0.7732 | 0.10   | $\sin\Omega t$                | 1.3      | 0       | 50          |
| 5   | -    | 20         | 10        | 1.0044→1.1823  | 0.10   | $\frac{1}{2}(1-\cos\Omega t)$ | 1.3      | 0       | 20          |
| 6   | -    | 20         | 10        | 1.0044→1.1823  | 0.025  | $\frac{1}{2}(1-\cos\Omega t)$ | 1.3      | 0       | 20          |
| 7   | -    | 20         | 4         | 6.3526→8.8734  | 0.02   | $\frac{1}{2}(1-\cos\Omega t)$ | 5.88     | 0       | 8           |
| 8   | -    | 20         | 4         | 6.3526→8.8734  | 0.02   | $\frac{1}{2}(1-\cos\Omega t)$ | 5.88     | 0.05    | 8           |
| 9   | -    | 20         | 4         | 6.3526→8.8734  | 0.02   | $\frac{1}{2}(1-\cos\Omega t)$ | 9.0      | 0       | 8           |
| 10  | -    | 20         | 4         | 6.3526→8.8734  | 0.02   | $\frac{1}{2}(1-\cos\Omega t)$ | 9.0      | 0.05    | 8           |

| Joins Loaded | Purpose   | Conclusions   | Run |
|--------------|---|---|-----|
| 19           | First try.  | Too short, $\Omega T_{\text{final}} < \pi$ .  | 1   |
| 19           | Try to observe beat developing.                       | Beat observed.<br>Uncertain if max actually reached.  | 2   |
| 19           | Show complete Beat.                                   | Two complete beats mode 2 ( $\omega_2=1.004$ ),<br>One complete beat mode 20 ( $\omega_{20}=1.182$ ).   | 3   |
| 19           | Try to spread natural frequencies $\omega_k$ .        | Total response is only about 1/4 of input $\Delta z$ .  | 4   |
| 19           | More realistic (smooth) input than Run 3.             | More complex beating phenomena.   | 5   |
| 19           | Test linearized result for "small" $\tau$ .           | Error 0.65% for k=2, increases to 15% for k=20.   | 6   |
| 19           | More realistic numerical input, i.e. $f_k \sim 1$ Hz. | Half beat mode 2, close to resonance. Mode 2 response $\sim 20$ times input.  | 7   |
| 19           | Effect of Damping by comparing to Run 7.              | Total response $\sim 1/2$ of undamped $\sim 7x\Delta z$ . Also true for mode 2, effect on higher modes smaller.                                       | 8   |
| 19           | Attempt to excite higher modes, $\Omega > \omega_n$ . | $T_{\text{final}}$ too short, since the mode 20 max should occur at $t \approx 25$ . Max modal contribution is mode 16; mode 2 next.                  | 9   |
| 19           | Effect of Damping by comparing to Run 9.              | Total response only $\sim$ twice input $\Delta z$ and occurs during transient. Largest modal contribution is mode 2. Observe steady state developing. | 10  |

SEMPIPE Calculations- Continued

| Run | File      | N<br>Links | $k_g/k_p$ | $\omega_k$    | $\tau$ | Load                          | $\Omega$ | Damping | $T_{final}$ |
|-----|-----------|------------|-----------|---------------|--------|-------------------------------|----------|---------|-------------|
| 11  | SEMPIPE   | 20         | 4         | 6.3526→8.8734 | 0.02   | $\frac{1}{2}(1-\cos\Omega t)$ | 7.7872   | 0       | 16          |
| 12  | SEMPIPD   | 20         | 4         | 6.3526→8.8734 | 0.02   | $\frac{1}{2}(1-\cos\Omega t)$ | 7.7872   | 0.05    | 16          |
| 13  | SEGPU40   | 40         | 4         | 6.3016→8.8825 | 0.02   | $\frac{1}{2}(1-\cos\Omega t)$ | 7.7872   | 0       | 16          |
| 14  | SEGPLD40  | 40         | 4         | 6.3016→8.8825 | 0.02   | $\frac{1}{2}(1-\cos\Omega t)$ | 7.7872   | 0.05    | 16          |
| 15  | (Noplots) | 40         | 4         | 6.3016→8.8825 | 0.02   | $\frac{1}{2}(1-\cos\Omega t)$ | 7.7872   | 0.05    | 16          |

| Joints Loaded | Purpose   | Conclusions   | Run |
|---------------|---|---|-----|
| 19            | Baseline case when input $\Omega$ between $\omega_{10}$ & $\omega_{12}$ .   | Total response $\sim 48 \times$ input $\Delta z$ . (Undamped case is unrealistic.) Modes 10 & 12 are in phase at max.   | 11  |
| 19            | Effect of Damping by comparing with Run 11.   | Total response only $\sim 3.6 \times \Delta z$ . Occurs during transient, but less than 10% larger than the steady state. Modes 10 & 12 response $\sim 0.2$ of undamped values.   | 12  |
| 39            | Effect of Number of links by comparing with Run 11.   | Results may be inconclusive since $T_{\max}$ of mode 22 = 58 $\gg$ 16 = $T_{\text{final}}$ . Total response $\sim 5.7 \times \Delta z \ll$ that for N=20. (However, max occurs near $T_f$ .) Largest modal contribution is mode 2 which is $\sim$ total response. Mode 22 is building up ( $\sim \Delta z$ )@t=16.  | 13  |
| 39            | Effect of Damping by comparing with Run 13. Effect of Number of links for damped system by comparing with Run 12. | Total response $\sim 3.1 \times$ input $\Delta z$ . All beats except 1st are negligible. For higher modes ( $k > 2$ ) steady state response $\ll$ transient $\leq \Delta z$ . Thus, k=2 time history almost identical to that of total response. For different N, cannot compare individual modal responses. Early transient (peak for N=40) same as for N=20. Later transient (peak for N=20) and steady state smaller for N=40. Hence, max total response $\sim .85$ of N=20. | 14  |
| 7             | Effect of partial loading by comparing with Run 14.   | Total response $\sim$ same as fully loaded. Mode 2 response smaller, higher modes larger.   | 15  |

SEMPIPE Calculations - Continued

| Run | File    | N<br>Links | $k_g/k_p$ | $\omega_k$    | $\tau$ | Load   | $\Omega$                | Damping | $T_{crit}$ |
|-----|---------|------------|-----------|---------------|--------|--|-------------------------|---------|------------|
| 16  | S5GPD40 | 40         | 4         | 6.3016→8.8825 | 0.02   | $\frac{1}{2}(1-\cos\Omega t)$                | 7.7872                  | 0.05    | 16         |
| 17  | S3GPD40 | 40         | 4         | 6.3016→8.8825 | 0.02   | $\frac{1}{2}(1-\cos\Omega t)$                | 7.7872                  | 0.05    | 16         |
| 18  | S1GPD40 | 40         | 4         | 6.3016→8.8825 | 0.02   | $\frac{1}{2}(1-\cos\Omega t)$                | 7.7872                  | 0.05    | 16         |
| 19  | SF3FD20 | 20         | 4         | 6.3526→8.8734 | 0.02   | $\sum_{i=1}^3 \frac{1}{6}(1-\cos\Omega_i t)$ | 5.88,<br>7.7872,<br>9.0 | 0.05    | 16         |
| 20  | SN3FD20 | 20         | 4         | 6.3526→8.8734 | 0.02   | $\sum_{i=1}^3 \frac{1}{6}(1-\cos\Omega_i t)$ | 5.88,<br>7.7872,<br>9.0 | 0.05    | 16         |

| Joints Loaded | Purpose  | Conclusions  | Run |
|---------------|--|--|-----|
| 5             | Effect of partial loading by comparing with Run 14.  | Total response peak and steady state larger than fully loaded Run 14. Peak $\sim 15\% >$ Run 14 Peak. Mode 2 still largest contribution, but only $\sim 40\%$ of fully loaded case. $D_j^4 \sim 0$ for $j \sim N/2$ . Higher modes generally $>$ those of Run 14. Many modes contribute to total response.   | 16  |
| 3             | Effect of partial loading by comparing with Run 14.  | Total response peak and steady state both larger than fully loaded Run 14. Peak $\sim 20\% >$ Run 14 Peak; Peak $\sim 3.86 \times \Delta z$ . Order of modal contributions is 18, 14, 10, 2, 6, 22. Many modes contribute to total response.   | 17  |
| 1             | Effect of partial loading by comparing with Run 14. Check analytical result for small $\tau$ . | Peak total response 75% of Run 14, $2.3 \Delta z$ . Both occur during transients. Steady state 83% of peak and essentially same as that of Run 14. Order of modal contributions is 22, 18, 14, 26, 10, 6, 2, 30. Modes divisible by 4 $\ll$ others. ( $D_{N/2}^{4m}$ are small). Mode 2 response increases with number of joints loaded. Mode 4, full load causes large transient; partial load responses are small; mode 8 similar. Error in calculating steady state modal contributions (assuming $\frac{\Omega\tau}{2} \ll 1$ ) less than 1%. ( $\frac{\Omega\tau}{2} = 0.08 \ll 1$ ). | 18  |
| 19            | Effect of more realistic loading. Establish new Baseline.                                      | No steady state since complicated input. Peak total response $\sim 3.28 \times \Delta z$ input peak. Mode 2 waveform largest and similar in shape to total response.   | 19  |
| 17            | Effect of not loading end joints ( $\sim$ boundary conditions).                                | Negligible!  | 20  |

SĒGPIPE Calculations - Continued

| Run | File    | N<br>Links | $k_g/k_p$ | $\omega_k$  | $\tau$ | Load   | $\Omega$              | Damping | $T_{final}$ |
|-----|---------|------------|-----------|-------------|--------|--|-----------------------|---------|-------------|
| 21  | SF3FD22 | 22         | 4         | 6.34 → 8.88 | 0.02   | $\sum_{i=1}^3 \frac{1}{6}(1-\cos\Omega_i t)$ | 5.88,<br>7.79,<br>9.0 | 0.05    | 16          |
| 22  | SF3FD40 | 40         | 4         | 6.30 → 8.88 | 0.02   | $\sum_{i=1}^3 \frac{1}{6}(1-\cos\Omega_i t)$ | 5.88,<br>7.79,<br>9.0 | 0.05    | 16          |
| 23  | CHKFILE | 40         | 4         | 6.30 → 8.88 | 0.02   | $\sum_{i=1}^3 \frac{1}{6}(1-\cos\Omega_i t)$ | 5.88,<br>7.79,<br>9.0 | 0.05    | 16          |

| Joints Loaded | Purpose   | Conclusions  | Run |
|---------------|---|--|-----|
| 21            | Effect of small variation in number of links by comparing with Run 19.  | Total response very similar to Run 19. Peak total response ~15% less than that of Run 19. (Perhaps sensitive to whether or not N is divisible by 4.) Lower modal responses virtually identical.  | 21  |
| 39            | Effect of large variation in number of links by comparing with Runs 19 & 21. Effect of more realistic loading by comparing with Run 14. | Except for being ~15% larger, mode 2 response is the same as the total response. Other modes < ~40% of total. Total response for N=40 & N=22 almost identical. Total response for N=40 & N=20 similar except N=40 ~20% smaller. With single input frequency beat developed shape with complicated load different, but peaks of total response within ~20%.<br>Note, peak response = $S_I(\omega_2, \xi, \tau)$ . | 22  |
| 39            | Check revised code, i.e. read ground motion from file.  | Total response almost identical. Some modes, where the peak occurs early, differ by 3% or 4% or more.  | 23  |

| Run | File    | N<br>Links | $k_g/k_p$ | $\omega_k$  | $\tau$ | Input Ground<br>Motion                     | Comp | Damping | $T_{ft...}$ |
|-----|---------|------------|-----------|-------------|--------|--|------|---------|-------------|
| 24  | SGPLCNT | 40         | 4         | 6.30 → 8.88 | 0.02   | EL CENTRO<br>May 1940                      | S00E | 0.05    | 20          |
| 25  | SGPVNT7 | 40         | 4         | 6.30 → 8.88 | 0.02   | 14724 Ventura<br>SAN FERNANDO<br>Feb. 1971 | N78W | 0.05    | 20          |
| 26  | SGPLCN2 | 20         | 4         | 6.35 → 8.87 | 0.02   | EL CENTRO<br>May 1940                      | S00E | 0.05    | 20          |
| 27  | SGPLCN3 | 20         | 4         | 6.35 → 8.87 | 0.02   | EL CENTRO<br>May 1940                      | S00E | 0.01    | 20          |
| 28  | SGPLCN4 | 20         | 4         | 6.35 → 8.87 | 0.02   | EL CENTRO<br>May 1940                      | S00E | 0.10    | 20          |
| 29  | SGPLCN5 | 40         | 4         | 6.30 → 8.88 | 0.02   | EL CENTRO<br>May 1940                      | S00E | 0.01    | 20          |

| Joints Loaded | Purpose   | Conclusions   | Run |
|---------------|---|---|-----|
| 39            | Find response to real earthquake.   | Mode 2 > twice any other modal contribution and total response. Peak total response occurs ~2.5 sec after input peak. Amplification = 2.85. Except for amplitude, total response ~ mode 2. Peak within 0.5% of $S_I$ at $\omega_2$ .  | 24  |
| 39            | Alternate earthquake record.  | Mode 2 > any other modal contribution and total response. Peak total response almost simultaneous with input peak. Amplif. = 1.80. Peak total within 0.2% of $S_I$ at $\omega_2$ .  | 25  |
| 19            | Effect of N for real earthquake by comparing with Run 24.                   | Except for phase shift, total response almost identical. Amplif. = 2.85. Peak ~ within 1% of $S_I$ at $\omega_2$ .  | 26  |
| 19            | Effect of small damping by comparing with Run 26.                           | Total response peak > any modal contribution. Total response peak occurs much later than input peak. Amplif. = 9.0 ! First 7 modes, plus mode 18 contribute to peak. Largest, mode 8, only 35% of total. $S_I$ at $\omega_2$ only 1/2 of peak, but ~ early time peak.           | 27  |
| 19            | Effect of larger damping by comparing with Run 26.                          | Except for less amplification, similar to Run 26. Amplif. = 2.0. Peak within ~1% of $S_I$ at $\omega_2$ .   | 28  |
| 19            | Effect of N for real earthquake for small damping by comparing with Run 27. | Except for amplification, similar to Run 24, i.e. peak occurs ~2.5 sec after input peak. Amplif. = 4.05. Mode 2 > twice any other mode and greater than total response. For $t < 8$ sec, total response similar to Run 27. Peak total response within 0.5% of $S_I(\omega_2)$ . | 29  |

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APPENDIX C  
ANALYTIC SOLUTIONS FOR SINUSOIDAL TYPE INPUT

1. Undamped Case - Sinusoidal Input

Consider the solution  $r_k(t)$  to Eq. (49) when

$$\Delta z(t) = \begin{cases} 0 & t \leq 0 \\ A \sin \Omega t & 0 \leq t \leq \tau \\ A[\sin \Omega t - \sin \Omega(t - \tau)] & t \geq \tau \end{cases} \quad (C-1)$$

Without damping, Eq. (49) reduces to

$$\ddot{r}_k + \omega_k^2 r_k = \omega_k^2 \Delta z(t) \quad (C-2)$$

Rest initial conditions are assumed

$$\left. \begin{aligned} r_k(0) &= 0 \\ \dot{r}_k(0) &= 0 \end{aligned} \right\} \quad (C-3)$$

The solution for the three time domains are

$$r_k(t) \equiv 0 \quad t \leq 0 \quad (C-4a)$$

$$r_k(t) = \frac{A}{1 - \Omega^2/\omega_k^2} \left[ \sin \Omega t - \frac{\Omega}{\omega_k} \sin \omega_k t \right] \quad 0 \leq t \leq \tau \quad (C-4b)$$

$$\begin{aligned} r_k(t) &= \frac{A}{1 - \Omega^2/\omega_k^2} \left\{ \sin \Omega t - \sin \Omega(t - \tau) - \frac{\Omega}{\omega_k} [\sin \omega_k t - \sin \omega_k(t - \tau)] \right\} \\ &= \frac{2A}{1 - \Omega^2/\omega_k^2} \left[ \sin \frac{\Omega \tau}{2} \cos \Omega(t - \tau/2) - \frac{\Omega}{\omega_k} \sin \frac{\omega_k \tau}{2} \cos \omega_k(t - \tau/2) \right] \\ & \quad t \geq \tau \quad (C-4c) \end{aligned}$$

Equation (53) may now be used in conjunction with Eqs. (C-4) to obtain  $r_{km}(t)$ , which is then inserted into Eq. (54) to give the joint modal response  $\Delta x^{(k)}(t)$ . The values of both  $r_k$  and  $\dot{r}_k$  at the transition time  $t = \tau$

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$$r_k(\tau) = \frac{A}{1 - \Omega^2/\omega_k^2} \left[ \sin \Omega\tau - \frac{\Omega}{\omega_k} \sin \omega_k \tau \right] \quad (C-5a)$$

$$\dot{r}_k(\tau) = \frac{A\Omega}{1 - \Omega^2/\omega_k^2} \left[ \cos \Omega\tau - \cos \omega_k \tau \right] \quad (C-5b)$$

are small for small values of  $\tau$ , i.e.,

$$\Omega\tau \ll \pi/2 \quad \text{and} \quad \omega_k \tau \ll \pi/2 \quad (C-6)$$

Rather than three separate expressions,  $r_{km}(t)$  may be written as a single approximate expression for small  $\tau$ , i.e.,

$$r_{km}(t) = \frac{A\Omega\tau}{1 - \Omega^2/\omega_k^2} H(t') \left[ \cos \Omega t' - \cos \omega_k t' \right] \quad (C-7)$$

where

$$t' = t - (m - 1)\tau - \tau/2 \quad (C-8)$$

is the time after the traveling wave has crossed the  $m^{\text{th}}$  joint. For  $m \neq N/2$ , the response at the symmetric point  $N - m$  is

$$r_{k(N-m)}(t) = \frac{A\Omega\tau}{1 - \Omega^2/\omega_k^2} H(t'') \left[ \cos \Omega t'' - \cos \omega_k t'' \right] \quad (C-9)$$

where

$$t'' = t - (N - m - 1)\tau - \tau/2 \quad (C-10)$$

is the time after the signal has crossed the  $N - m^{\text{th}}$  joint. Recalling  $D_m^k = D_{N-m}^k$ , the contribution of joints  $m$  and  $N - m$  to  $\Delta x^{(k)}$  for  $t'' > 0$  is

$$\begin{aligned} D_m^k [r_{km} + r_{k(N-m)}] &= \frac{A\Omega\tau D_m^k}{1 - \Omega^2/\omega_k^2} \left[ \cos \Omega t' + \cos \Omega t'' - \cos \omega_k t' - \cos \omega_k t'' \right] \\ &= \frac{2A\Omega\tau D_m^k}{1 - \Omega^2/\omega_k^2} \left[ \cos \Omega \left( t - \tau \frac{N-1}{2} \right) \cos \Omega \tau \left( \frac{N}{2} - m \right) \right. \\ &\quad \left. - \cos \omega_k \left( t - \tau \frac{N-1}{2} \right) \cos \omega_k \tau \left( \frac{N}{2} - m \right) \right], \quad t > (N - m - \frac{1}{2})\tau \end{aligned}$$

(C-11)

The largest arguments of the second cosine factors above occur when  $m = 1$ . For  $N \gg 1$

$$\cos \Omega \tau \left( \frac{N}{2} - 1 \right) \approx 1 \quad \text{and} \quad \cos \omega_k \tau \left( \frac{N}{2} - 1 \right) \approx 1 \quad (\text{C-12})$$

if

$$N\Omega\tau \ll \pi \quad \text{and} \quad N\omega_k\tau \ll \pi \quad (\text{C-13})$$

Physically, Eqs. (C-13) require that the total transit time from one end of the pipe to the other be much smaller than half a period of any component of the input, or of any natural frequency of the system. They are, of course, much more restrictive conditions than Eqs. (C-6).

Assuming Eqs. (C-13) to be valid, Eq. (C-11) may be written approximately [not quite true for  $t_c < (N/2 - m)\tau$ ] as

$$D_m^k [r_{km} + r_{k(N-m)}] \approx H(t_c) \frac{4A\Omega\tau D_m^k}{1 - \Omega^2/\omega_k^2} \sin \frac{\Omega + \omega_k}{2} t_c \sin \frac{\omega_k - \Omega}{2} t_c \quad (\text{C-14})$$

where

$$t_c = t - \tau \frac{N-1}{2} \quad (\text{C-15})$$

is the time after the traveling input wave has crossed the center joint. Finally, the contribution from all joints  $m$  may be summed, and recalling the symmetry in  $D_m^k$ ,

$$\Delta x^{(k)}(t) = \frac{2A\Omega\tau \left( \sum_{m=1}^{N-1} D_m^k \right)}{1 - \Omega^2/\omega_k^2} \left[ H(t_c) \sin \frac{\Omega + \omega_k}{2} t_c \sin \frac{\omega_k - \Omega}{2} t_c \right] \quad (\text{C-16})$$

Equation (C-16) is sketched in Fig. C-1. The function in the brackets starts at  $t = \tau(N-1)/2$  and then exhibits a beat phenomenon. The apparent frequency is the average of  $\Omega$  and  $\omega_k$ , while the enveloping function reaches a peak every  $2\pi/(\omega_k - \Omega)$ . As the input frequency  $\Omega$



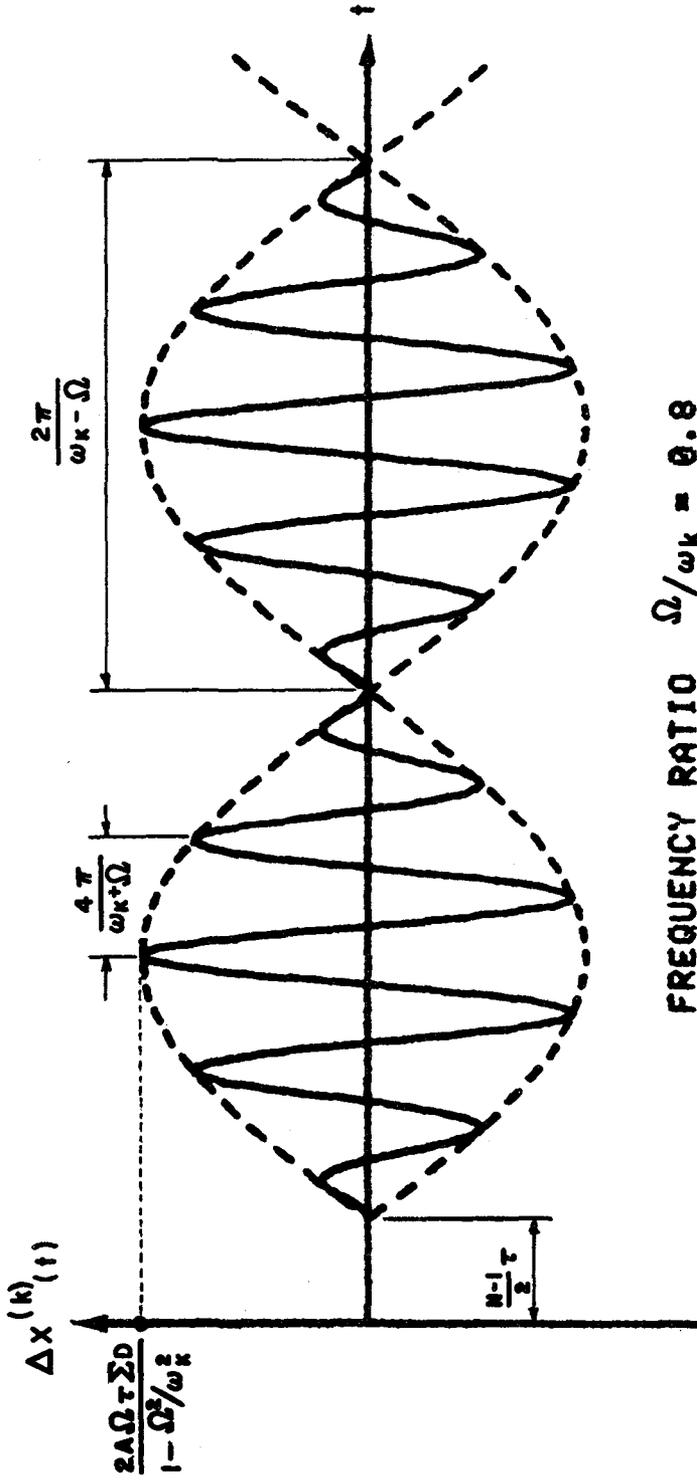


FIG. C-1 APPROXIMATE MODAL SOLUTION  $\Delta X^{(k)}(t)$  FOR SMALL  $\tau$  AND SINUSOIDAL GROUND MOTION INPUT  $Z(t) = A \sin \Omega t$   
UNDAMPED CASE



approaches  $\omega_k$ , the amplitude increase, and also the duration required to reach the peak increases as well. With regard to the last remark, it is worth noting that the strong motion phase of a real earthquake does not last more than several seconds, i.e., a few cycles of the dominant frequency.

## 2. Undamped Case - Haversine Input

The incoherent input given by Eq. (C-1) corresponds to a ground motion at a point,  $z(t) = A H(t) \sin \Omega t$ . While this function is initially zero, the corresponding initial velocity is  $A\Omega \neq 0$ . A discontinuous ground velocity is unrealistic. A function  $z(t)$  which is initially zero, and whose first derivative is also initially zero, is the haversine function.

Let the incoherent motion be given by

$$\Delta z(t) = \begin{cases} 0 & t \leq 0 \\ A/2(1 - \cos \Omega t) & 0 \leq t \leq \tau \\ A/2[\cos \Omega(t-\tau) - \cos \Omega t] & t \geq \tau \end{cases} \quad (C-17)$$

The solution to Eq. (C-2), subject to initial conditions Eq. (C-3), is then

$$r_k(t) \equiv 0 \quad t \leq 0 \quad (C-18a)$$

$$r_k(t) = \frac{A}{2} \left\{ 1 - \frac{1}{1 - \Omega^2/\omega_k^2} \left[ \cos \Omega t - (\Omega^2/\omega_k^2) \cos \omega_k t \right] \right\} \quad 0 \leq t \leq \tau \quad (C-18b)$$

$$r_k(t) = \frac{A}{2(1 - \Omega^2/\omega_k^2)} \left\{ \cos \Omega(t - \tau) - \cos \Omega t - (\Omega^2/\omega_k^2) \left[ \cos \omega_k(t - \tau) - \cos \omega_k t \right] \right\} \quad t \geq \tau \quad (C-18c)$$

The values of  $r_k$  and  $\dot{r}_k$  at the transition time  $t = \tau$  are smaller than the corresponding values given by Eq. (C-5) for small  $\tau$ . (They are zero to a higher order in  $\tau$ .) Again, one may write a single approximate expression for  $r_{km}(t)$  for small  $\tau$

$$r_{km}(t) = \frac{A\Omega\tau}{2(1 - \Omega^2/\omega_k^2)} H(t') \left[ \sin \Omega t' - \frac{\Omega}{\omega_k} \sin \omega_k t' \right] \quad (C-19)$$

and a similar one for  $N - m^{\text{th}}$  joint

$$r_{k(N-m)}(t) = \frac{A\Omega\tau}{2(1 - \Omega^2/\omega_k^2)} H(t'') \left[ \sin \Omega t'' - \frac{\Omega}{\omega_k} \sin \omega_k t'' \right] \quad (C-20)$$

where  $t'$  and  $t''$  are given by Eqs. (C-8) and (C-10), respectively. The sum of the two terms, for  $t'' > 0$ , is

$$r_{km} + r_{k(N-m)} = \frac{A\Omega\tau}{1 - \Omega^2/\omega_k^2} \left[ \sin \Omega t_c \cos \Omega \tau \left( \frac{N}{2} - m \right) - \frac{\Omega}{\omega_k} \sin \omega_k t_c \cos \omega_k \tau \left( \frac{N}{2} - m \right) \right] \quad t'' > 0 \quad (C-21)$$

which may be simplified if Eqs. (C-13) hold to

$$r_{km} + r_{k(N-m)} = \frac{A\Omega\tau}{1 - \Omega^2/\omega_k^2} H(t_c) M \cos \left( \frac{\Omega + \omega_k}{2} t_c - \theta \right) \quad (C-22)$$

where the enveloping function  $M(t)$  is given by

$$M(t) = \left[ 1 + \Omega^2/\omega_k^2 - 2\Omega/\omega_k \cos(\Omega - \omega_k)t_c \right]^{1/2} \quad (C-23)$$

and where the variable phase angle is given by

$$\theta(t) = \tan^{-1} \left[ \frac{(1 - \Omega/\omega_k) \cos \frac{\Omega - \omega_k}{2} t_c}{(1 + \Omega/\omega_k) \sin \frac{\Omega - \omega_k}{2} t_c} \right] \quad (C-24)$$

In the above,  $t_c$  is the time after the traveling input wave has crossed the center joint, given by Eq. (C-15). Finally, recalling the symmetry in  $D_m^k$ , Eq. (54) may be written as

$$\Delta x^{(k)}(t) = \sum_{m=1}^{N-1} D_m^k r_{km}(t) = \frac{1}{2} \sum_{m=1}^{N-1} D_m^k [r_{km} + r_{k(N-m)}] \quad (C-25)$$

or, since Eq. (C-22) is independent of  $m$ ,

$$\Delta x^{(k)}(t) = \frac{A\Omega\tau \left( \sum_{m=1}^{N-1} D_m^k \right)}{2(1 - \Omega^2/\omega_k^2)} \left\{ H(t_c) M(t) \cos \left[ \frac{\Omega + \omega_k}{2} t_c - \theta(t) \right] \right\} \quad (C-26)$$

Equation (C-26) is plotted in Fig. C-2 for  $\Omega/\omega_k = 0.8$ . The enveloping functions  $\pm M(t)$ , given by Eq. (C-23), are shown as dashed lines. While not a sine curve, the function is periodic with a beat period of  $2\pi/(\omega_k - \Omega)$ , the same as in the previous figure. The maximum and minimum values of  $M$  are  $1 \pm \Omega/\omega_k$ , respectively. Consequently, the peak modal response is bounded by

$$\text{MAX} |\Delta x^{(k)}(t)| \leq \frac{A\Omega\tau \left| \sum_{m=1}^{N-1} D_m^k \right|}{2(1 - \Omega/\omega_k)} \quad (C-27)$$

The period of the actual response function is only approximately  $4\pi/(\omega_k + \Omega)$ , since the phase angle changes by  $\pi$  within a beat.

### 3. Haversine Input - Damping Included

When (small) damping is included, the solution for small  $\tau$  to Eq. (49) with the incoherent ground motion given by Eq. (C-17), and subject to rest initial conditions, Eq. (C-3), is

$$r_{km}(t) = \frac{\Lambda\Omega\tau/2 H(t')}{\left[ \left(1 - \frac{\Omega^2}{\omega_k^2}\right)^2 + \left(2\xi_k \frac{\Omega}{\omega_k}\right)^2 \right]} \left\{ \left(\frac{\Omega}{\omega_k}\right) e^{-\omega_k \xi_k t'} \left[ \frac{(-1 + \frac{\Omega^2}{\omega_k^2} - 2\xi_k^2 \frac{\Omega^2}{\omega_k^2})}{\sqrt{1 - \xi_k^2}} \sin \omega_k \sqrt{1 - \xi_k^2} t' + 2\xi_k \left(\frac{\Omega}{\omega_k}\right)^2 \cos \omega_k \sqrt{1 - \xi_k^2} t' \right] + \left[ 1 - \frac{\Omega^2}{\omega_k^2} + \left(2\xi_k \frac{\Omega}{\omega_k}\right)^2 \right] \sin \Omega t' - 2\xi_k \left(\frac{\Omega}{\omega_k}\right)^3 \cos \Omega t' \right\} \quad (C-28)$$

where  $t'$  is given by Eq. (C-8). The terms involving  $\sin \Omega t'$  and  $\cos \Omega t'$  constitute the steady state solution, while those multiplied by the decaying exponential term contribute to the transient solution only. It is observed that setting  $\xi_k = 0$  in Eq. (C-28) results in the equation reducing to Eq. (C-19), derived assuming no damping. Also, when  $\Omega = \omega_k$ , but  $\xi_k \neq 0$ , Eq. (C-28) becomes

$$r_{km}(t) = \frac{\Lambda\Omega\tau}{2} H(t') \left\{ e^{-\Omega\xi_k t'} \left[ \frac{-1}{2\sqrt{1 - \xi_k^2}} \sin \Omega \sqrt{1 - \xi_k^2} t' + \frac{1}{2\xi_k} \cos \Omega \sqrt{1 - \xi_k^2} t' \right] + \sin \Omega t' - \frac{1}{2\xi_k} \cos \Omega t' \right\} , \quad \Omega = \omega_k \quad (C-29)$$

The peak amplification during the steady state response in that case is

$$\text{Amp. Ratio} = \sqrt{1 + \frac{1}{4\xi_k^2}} \approx \frac{1}{2\xi_k} \quad (C-30)$$

where the approximation holds for small damping  $\xi_k$ .

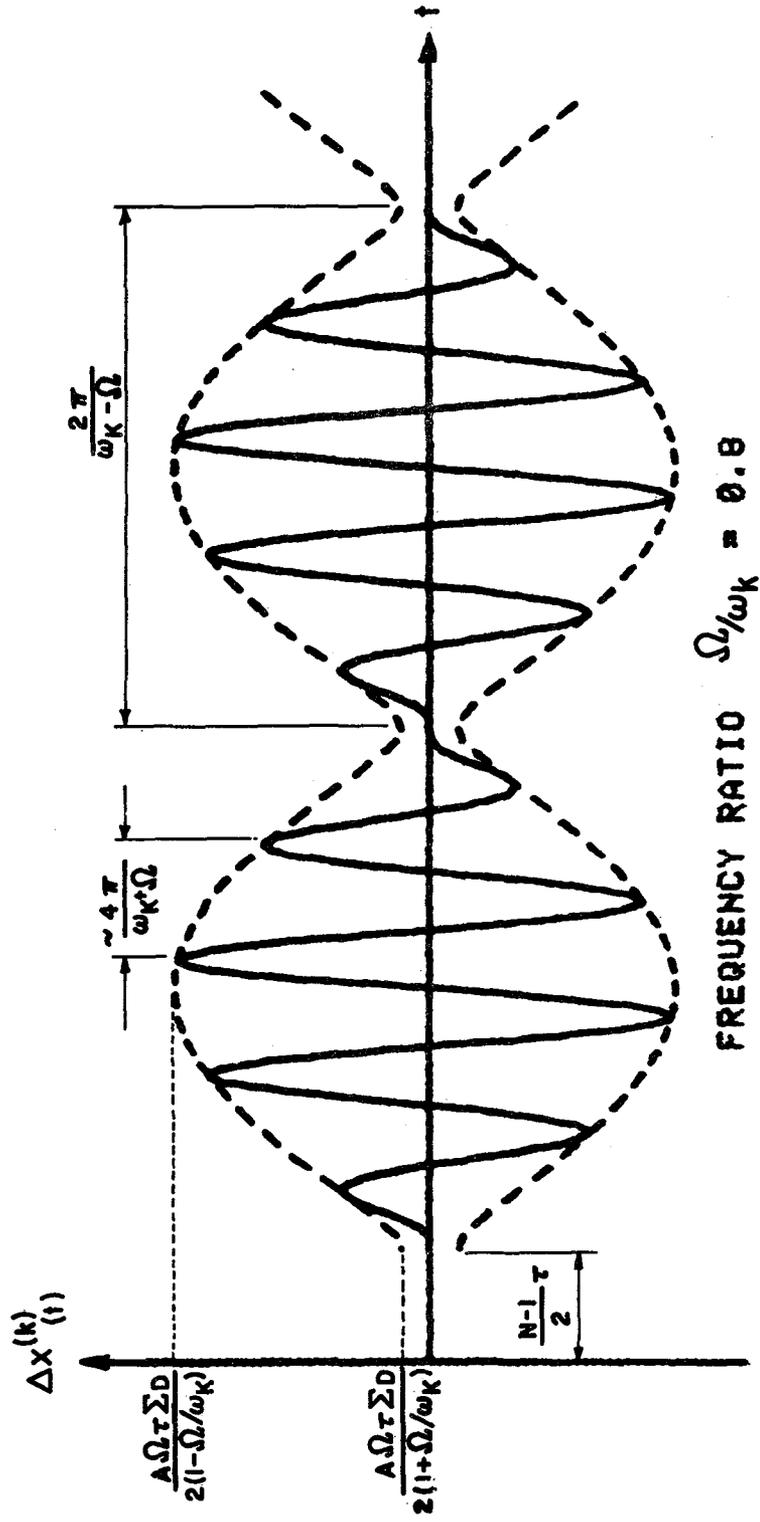


FIG. C-2 APPROXIMATE MODAL SOLUTION  $\Delta x^{(k)}(t)$  FOR SMALL  $\tau$  AND GROUND MOTION  $z(t) = \frac{A}{2} (1 - \cos \Omega t)$ , UNDAMPED CASE



For the general case, the amplification ratio for the steady state solution to Eq. (C-28) is<sup>\*)</sup>

$$\text{Amp. Ratio} = \frac{\text{MAX}|r_{km}(t)|_{\text{steady state}}}{\text{MAX}|\Delta z(t)|} = \sqrt{\frac{1 + (2\xi_k \Omega/\omega_k)^2}{(1 - \Omega^2/\omega_k^2)^2 + (2\xi_k \Omega/\omega_k)^2}} \quad (\text{C-31})$$

At early times, for small damping, Eq. (C-28) involves terms with closely spaced frequencies  $\Omega$  and  $\omega_k \sqrt{1 - \xi_k^2}$ , and whose coefficients are comparable. For example, for  $t' \approx 0$ , the coefficients of the two cosines terms differ only in sign. Thus, at early times, beat phenomena, similar to those illustrated in Figs. C-1 and C-2, mark the response of a damped system. The "mean" value of the envelope is given by the steady state value, i.e., Eq. (C-31). As time progresses and the transient portion decays, the difference between the envelope maximum and minimum values decreases, until only the steady state portion remains. Finally, if conditions Eq. (C-13) hold, Eq. (C-28) may be converted to the contribution of the  $k^{\text{th}}$  mode to the center joint displacement,  $\Delta x^{(k)}(t)$ , by replacing  $t'$  with  $t_c$ , and multiplying the result by  $\sum_{m=1}^{N-1} D_m^k$ .

The effect of damping on the modal contributions is illustrated in Figs. C-3 to C-5. The curves in the figures are the results of actual SEGPIPE numerical calculations, in which conditions Eq. (C-13) are not satisfied. Consequently, the magnitudes of the curves are somewhat

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<sup>\*)</sup> Equation (C-31) differs from the amplification ratio for steady state damped vibrations found in textbooks on vibrations. The second term in the numerator does not appear in textbooks. However, most texts have a single sinusoidal input term, while Eq. (49) includes a second term,  $2\xi_k \Omega/\omega_k$  times the first, and  $\pi/2$  radians out of phase with it.

lower, although the trends follow the equations developed in this appendix. In the three figures, the solid line corresponds to  $\Delta x^{(k)}$  in the undamped case and the dot-dash line to the response  $\Delta x^{(k)}$  in the damped case. The dashed line represents  $\Delta z(t)$ , the incoherent ground motion across the center joint, i.e., Eq. (C-17). The mode 8 response curves in Fig. C-3 are from SEGPIPE Runs 9 and 10, i.e.,  $N = 20$ ,  $\tau = 0.02$ ,  $\Omega = 9.0$ ,  $\omega_8 = 7.2115$ ,  $\sum_{m=1}^{19} D_m^8 = -1.2544$  and  $\xi_k = 0$  and  $0.05$ , respectively (see Appendix B). It is noted that while conditions Eq. (C-6) are satisfied ( $\Omega\tau = 0.18$ ,  $\omega_8\tau = 0.144$ , both  $\ll \pi/2$ ), conditions Eqs. (C-12) and (C-13) are not, i.e.,

$$\left. \begin{aligned} \cos\left[\Omega\tau\left(\frac{N}{2} - 1\right)\right] &= \cos(1.62) = -0.049 \neq 1 \\ \cos\left[\omega_8\tau\left(\frac{N}{2} - 1\right)\right] &= \cos(1.30) = 0.269 \neq 1 \end{aligned} \right\} \quad (C-32)$$

Consequently, while the solid curve is very similar to that shown in Fig. C-2 since  $\omega_8/\Omega = 0.80$ , the peak amplitude of 0.271 cm is considerably less than the 0.455 cm computed via Eq. (C-27). Following Fig. C-2, the response would start at  $(N - 1)\tau/2 = 0.19$  sec, and the envelope would peak at  $t = 1.947$  and  $5.460$  sec, while minima would occur at  $t = 3.703$  and  $7.216$  sec. The solid curve agrees with these values. The damped response starts by following very closely the undamped response. However, by the second beat, the amplitude of the beat is considerably reduced.

The trends are perhaps more clearly illustrated in Fig. C-4, where the mode 6 responses with and without damping are shown for  $\Omega = 7.7872$  and  $\omega_6 = 6.8491$ , corresponding to SEGPIPE Runs 11 and 12. Here the ratio  $\omega_6/\Omega = 0.88$  is closer to unity so that there are more cycles per beat, and greater amplification. The envelope in the undamped case, Eq. (C-23), reaches its maxima at  $t = 3.539$  and  $10.237$  sec. The Run 11 response maxima (actually minima) occur at  $t = 3.64$  and  $10.08$  sec. The magnitude of the computed value,

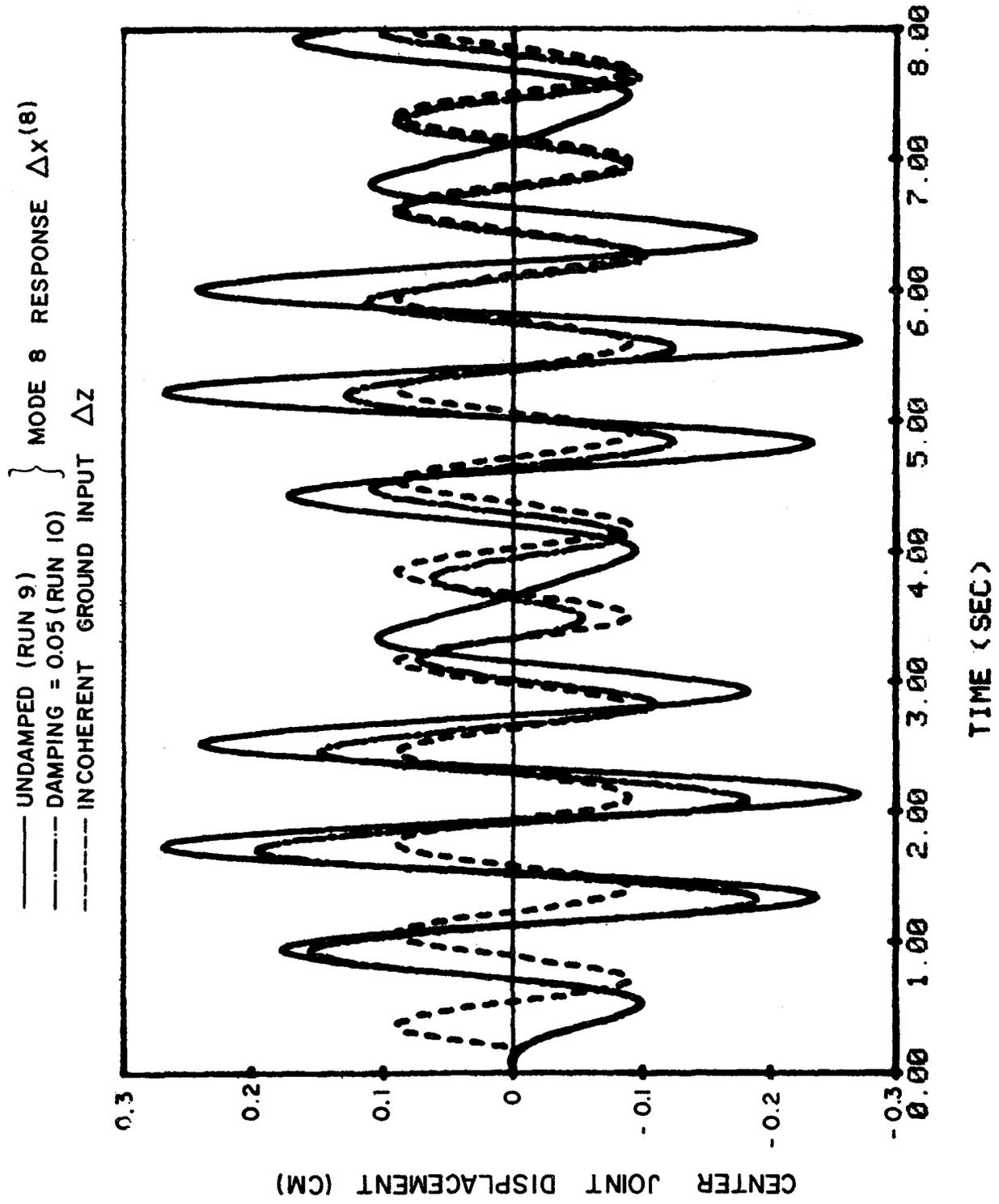


FIG. C-3 COMPUTED. MODE 8 RESPONSE FOR HAVERSINE INPUT WITH AND WITHOUT DAMPING ( $\omega_8/\Omega = 7.2115 / 9.0 = 0.801$ )



——— UN DAMPED (RUN 11) } MODE 6 RESPONSE  $\Delta X^{(6)}$   
 - - - - DAMPING = 0.05 (RUN 12)  
 - - - - INCOHERENT GROUND INPUT  $\Delta Z$

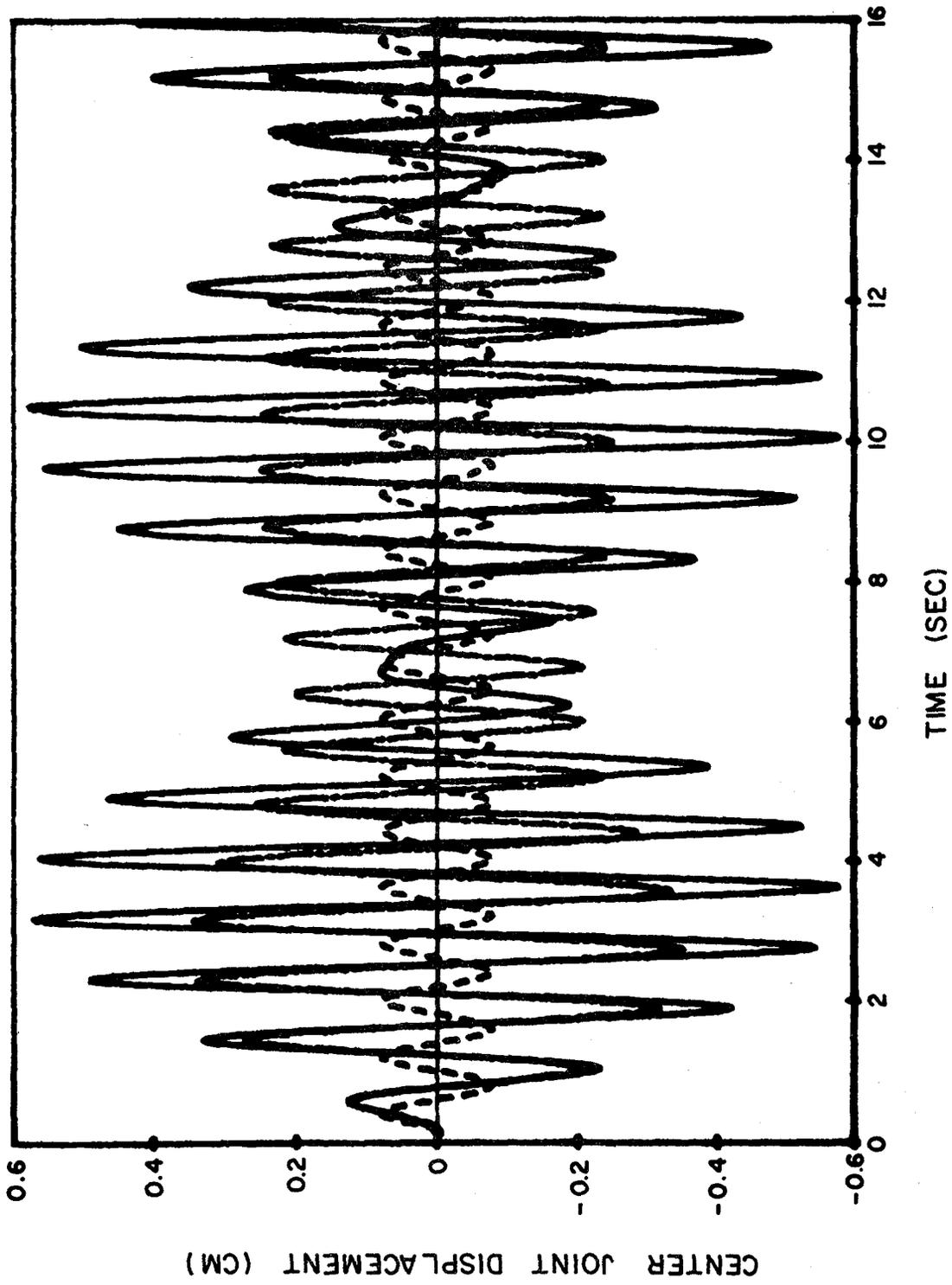


FIG. C-4 COMPUTED MODE 6 RESPONSE FOR HAVERSINE INPUT WITH  
 AND WITHOUT DAMPING ( $\omega_g/\Omega = 6.8491/7.7872 = 0.880$ )



——— UN DAMPED (RUN 11) } MODE 10 RESPONSE  $\Delta x^{(10)}$   
 - - - DAMPING = 0.05 (RUN 12) }  
 - - - INCOHERENT GROUND INPUT  $\Delta z$

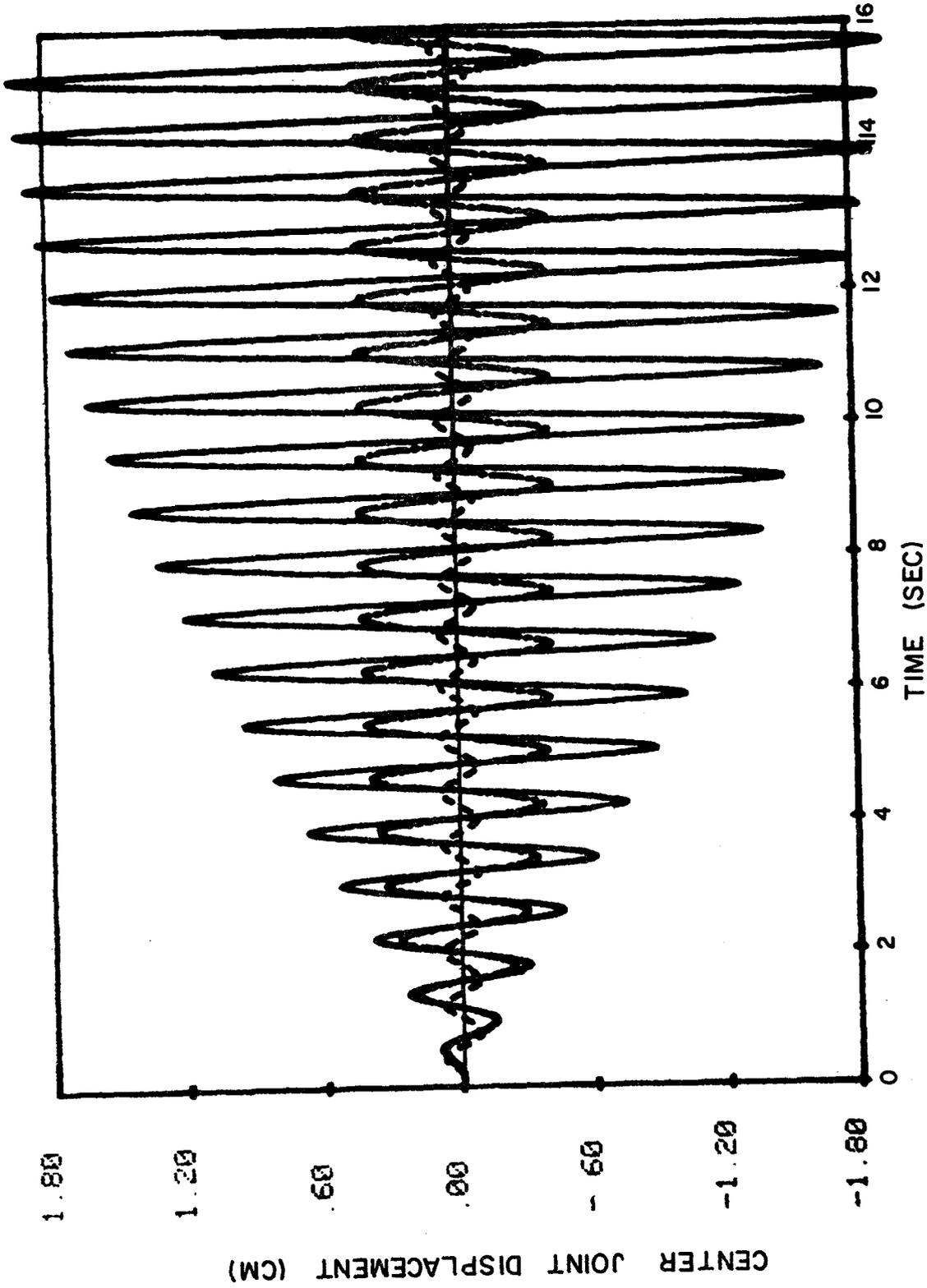
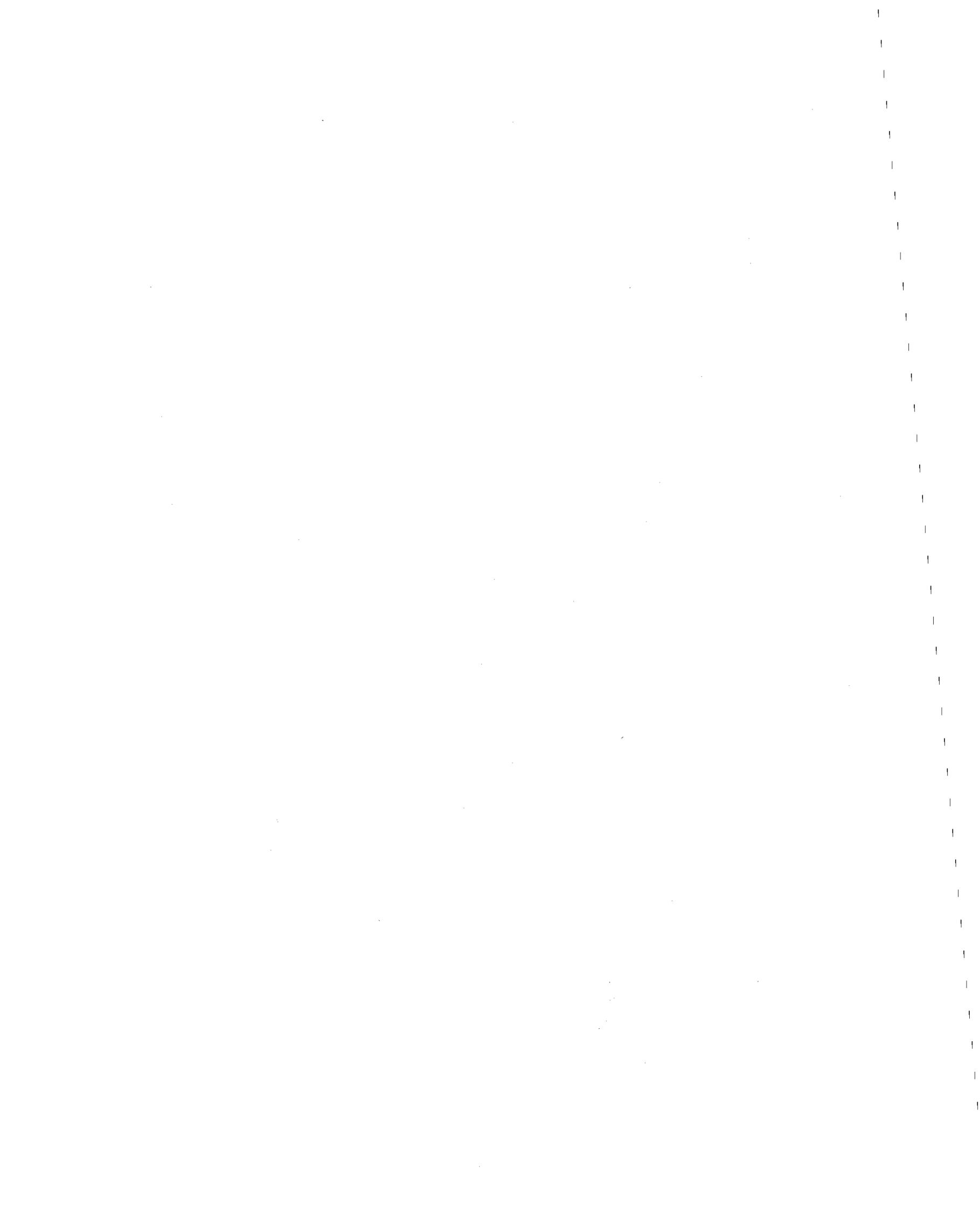


FIG. C-5 COMPUTED MODE 10 RESPONSE FOR HAVERSINE INPUT WITH  
 AND WITHOUT DAMPING ( $\omega_{10}/\Omega = 7.5988 / 7.7872 = 0.9758$ )



- 0.5778 cm, is still less than the value  $\text{MAX}|\Delta x^{(6)}| = 0.8622$  cm given by Eq. (C-27). The damped response again begins by following the undamped result, but soon deviates from it. By the end of the second beat a steady state situation is reached. The value of the steady state response is  $\sim 0.23$  cm. The ratio of the steady state response to the undamped peak is 0.40, not far from the 0.437 computed from Eqs. (C-27) and (C-31). The peak response in the damped case, however, is  $\sim 0.3507$  cm and occurs during the transient.

The final example, Fig. C-5, is from the same two calculations, but a case very close to resonance, mode 10. Here the frequency ratio  $\omega_{10}/\Omega = 0.9758$ . The peak undamped response based on Eq. (C-27) is 3.1484, and would occur at  $t = 16.865$  sec, just beyond the end of the calculation. The computed minimum in Run 11 is  $- 1.9574$  cm and occurs just before the end of calculation. In this case, the damped response never builds up beyond the steady state value of 0.4305 cm. The ratio of the computed steady state response to the undamped maximum is 0.220, very close to the theoretical value of 0.218 for this case.

-68-

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APPENDIX D  
CONTINUOUS PIPE SUBJECTED TO A  
STATIC DISCONTINUOUS GROUND DISPLACEMENT

A good deal of insight concerning the dynamic response of segmented pipes may be obtained from the simpler static continuous problem. Several aspects of this relation are outlined in this appendix.

1. Physical Interpretation Of  $D_m^k$

The equation governing the static response of the system may be obtained by dropping the  $\{\ddot{x}\}$  and  $\{\dot{x}\}$  terms in Eq. (3), i.e.,

$$\{k_g [I] + k_p [T]\} [x] = k_g [z] + k_B [z_B] \quad (D-1)$$

Let us introduce the transformation

$$[x] = \sum_{k=1}^N A_k [\phi^k] \quad (D-2)$$

where the constants  $A_k$  will be shown to be related to the modal participation factors, and the  $[\phi^k]$  are the eigenvectors of  $[T]$ . Premultiplying Eq. (D-1) by the transpose of  $[\phi^k]$ , and using the orthogonality condition of the mode shapes, Eq. (13), the equation of equilibrium Eq. (D-1) becomes

$$(k_g + k_p \lambda_k) A_k = k_g [\phi^k]^T [z] + k_B [\phi^k]^T [z_B] \quad (D-3)$$

When there is no intermediate ground support,  $k_g = 0$ , so that

$$A_k = \frac{k_B}{k_p \lambda_k} [\phi^k]^T [z_B] = \frac{k_B}{k_p \lambda_k} (\phi_1^k z_0 + \phi_N^k z_{N+1}) \quad (D-4)$$

For antisymmetric modes

$$A_k = \frac{k_B \phi_1^k}{k_p \lambda_k} (z_0 - z_{N+1}) = P_k \Delta z \quad (D-5)$$

where  $P_k$  is given by Eq. (31)

Returning to the general case, for long pipes we may neglect the boundary contribution. Using Eqs. (6) and (16), the constant  $A_k$  becomes

$$A_k = \frac{k}{k_g + k_p \lambda_k} [\phi^k]^T [z] = \frac{\omega_k^2}{\omega_k^2} \sum_{j=1}^N \phi_j^k z_j \quad (D-6)$$

Considering antisymmetric modes only, and using an identity relating displacements similar to Eq. (45), one obtains

$$A_k = \frac{\omega_k^2}{\omega_k^2} \sum_{j=1}^{N-1} \Delta z_j \left( \sum_{i=1}^j \phi_i^k \right), \quad k \text{ antisymmetric} \quad (D-7)$$

Taking all  $\Delta z_j = 0$ , except for  $\Delta z_m = 1$ , Eq. (D-7) becomes

$$A_{km} = \frac{\omega_k^2}{\omega_k^2} \sum_{i=1}^m \phi_i^k, \quad k \text{ antisymmetric} \quad (D-8)$$

which is the static response in mode  $k$  due to a unit discontinuous ground displacement across joint  $m$ . The corresponding center joint displacement is the sum of all modal contributions, i.e.,

$$\Delta x_m = x_{\frac{N}{2},m} - x_{\frac{N}{2}+1,m} = \sum_{k=1}^N (\phi_{\frac{N}{2}}^k - \phi_{\frac{N}{2}+1}^k) A_{km} = \sum_{k=2,4,\dots}^N 2\phi_{\frac{N}{2}}^k A_{km} \quad (D-9)$$

where (at this point) the antisymmetric modes have been assumed to be even.

Substituting Eq. (D-8) into Eq. (D-9)

$$\Delta x_m = \sum_{k=2,4,\dots}^N 2\phi_{\frac{N}{2}}^k \frac{\omega_k^2}{\omega_k^2} \sum_{j=1}^m \phi_j^k \equiv \sum_{k=2,4,\dots}^N D_m^k \quad (D-10)$$

where  $D_m^k$  is defined by Eq. (51) in the text.

Equation (D-10) shows that the sum over all antisymmetric modes of the influence coefficients  $D_m^k$  at a particular joint  $m$  is the static response (center joint displacement) due to a unit ground displacement

across joint m. It was observed that the sums Eq. (D-10) dropped off extremely rapidly away from the center and appeared to be independent of N. For example, for  $k_B = k_p$  and  $k_g = 4k_p$ , the values of the sums at the center and adjacent joints were (to four decimal places)

$$0.7071, 0.1213, 0.0208, 0.0036, 0.0006, 0.0001 \text{ and } 0.0000 \quad (D-11)$$

for both  $N = 20$  and  $N = 40$ .

For the special case of  $k_p/k_g = 0$  (and  $k_B = k_p$ ), the sum may be found analytically. Using  $D_m^k$  given by Eq. (61),

$$\begin{aligned} \sum_{k=2,4,..}^N D_m^k &= \sum_{k=2,4,..}^N \frac{4}{N+1} (-1)^{\frac{k}{2}-1} \sin\left(\frac{m+1}{N+1} \frac{k\pi}{2}\right) \sin\left(\frac{m}{N+1} \frac{k\pi}{2}\right) \\ &= \frac{2}{N+1} \sum_{\frac{k}{2}=1,2,..}^{N/2} (-1)^{\frac{k}{2}-1} \left[ \cos \frac{k\pi}{(N+1)2} - \cos \frac{(2m+1)}{(N+1)} \frac{k\pi}{2} \right] \end{aligned} \quad (D-12)$$

Using No. 429 of Ref. [11],

$$\sum_{k=2,4,..}^N D_m^k = \frac{2}{N+1} (-1)^{N/2} \left\{ -\frac{\cos \pi/2}{2 \cos \frac{\pi}{2(N+1)}} + \frac{\cos(2m+1) \frac{\pi}{2}}{2 \cos \left(\frac{2m+1}{N+1}\right) \frac{\pi}{2}} \right\} \quad (D-13)$$

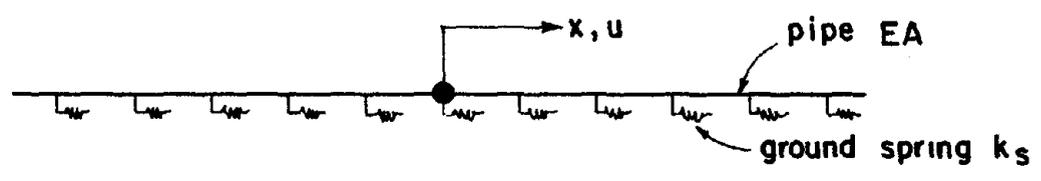
The first term in Eq. (D-13) is always zero; the second term is also zero provided  $2m + 1 \neq N + 1$ , or  $m \neq N/2$ . When  $m = N/2$ , recalling N is even and, taking the limit

$$\lim_{\theta \rightarrow \pi/2} \left[ \frac{\cos(N+1)\theta}{2 \cos \theta} \right] = \frac{N+1}{2} \left[ \frac{\sin(N+1)\pi/2}{\sin \pi/2} \right] = \frac{N+1}{2} (-1)^{N/2} \quad (D-14)$$

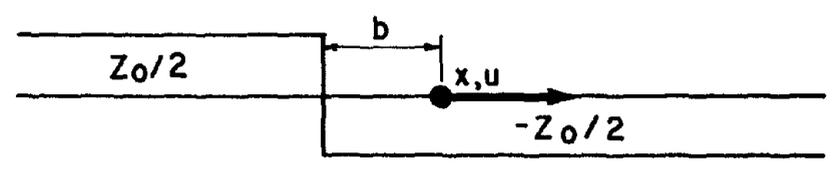
so that

$$\sum_{k=2,4,..}^N D_m^k = \begin{cases} 1 & \text{for } m = \frac{N}{2} \\ 0 & \text{for } m \neq \frac{N}{2} \end{cases} \quad \text{for } k_p = 0 \quad (D-15)$$





A. GEOMETRY



B. INPUT GROUND DISPLACEMENT

FIG. D-1 GEOMETRY AND INPUT DISPLACEMENT FOR AN INFINITE CONTINUOUS PIPE



Again, when there is no interaction between links, the static joint displacement follows exactly the corresponding incoherent free field ground displacement across the joint.

Unfortunately, it was not possible to obtain an analytical expression for  $\sum_{k=2,4,..}^N D_m^k$  when  $k_p \neq 0$ . However, the observed numerical behavior, e.g., Eq. (D-11) did provide the motivation for the remainder of this Appendix.

## 2. Static Solution For An Infinite Continuous Pipe

The geometry of the problem is shown in Fig. D-1a. The uniform pipe is continuously attached to the ground. There is a continuous ground spring so that the frictional force per unit length is  $-k_s(u - z)$ , where  $u$  is the pipe (axial) displacement and  $z$  is the free field ground displacement. This frictional force is balanced by the change in the axial force  $EA du/dx$  in the pipe. Consequently, the equation of equilibrium is

$$EA \frac{d^2 u}{dx^2} - k_s u = - k_s z \tag{D-16}$$

The ground motion is taken to correspond to Fig. D-1b, i.e.,

$$z(x) = \begin{cases} + z_0/2 & - \infty \leq x < - b \\ - z_0/2 & - b < x \leq \infty \end{cases} \tag{D-17}$$

The location  $x = 0$  is assumed to correspond to the center of the pipe, so that  $b$  is a measure of the distance from the discontinuity to the response point, the center. Let  $u_1(x)$  represent the solution in the region  $x \leq - b$ , and  $u_2(x)$  that in  $x \geq - b$ . The boundary conditions are that the displacement remain finite at either end, i.e.,

$$\left. \begin{aligned} u_1(-\infty) &= \text{finite} \\ u_2(+\infty) &= \text{finite} \end{aligned} \right\}$$

and that the displacement and pipe force are continuous at  $x = -b$ , i.e.,

$$\left. \begin{aligned} u_1(-b) &= u_2(-b) \\ \frac{du_1}{dx}(-b) &= \frac{du_2}{dx}(-b) \end{aligned} \right\} \quad (D-19)$$

The general solution in both regions are

$$\left. \begin{aligned} u_1(x) &= C_1 e^{\beta x} + C_2 e^{-\beta x} + z_o/2 & x \leq -b \\ u_2(x) &= C_3 e^{\beta x} + C_4 e^{-\beta x} - z_o/2 & x \geq -b \end{aligned} \right\} \quad (D-20)$$

where

$$\beta = \sqrt{k_s/EA} \quad (D-21)$$

Conditions Eq. (D-18) require  $C_2$  and  $C_3$  both to be zero, while Eqs. (D-19) are satisfied if

$$\left. \begin{aligned} C_1 e^{-\beta b} + z_o/2 &= C_4 e^{\beta b} - z_o/2 \\ C_1 e^{-\beta b} &= -C_4 e^{\beta b} \end{aligned} \right\} \quad (D-22)$$

Solving simultaneously for  $C_1$  and  $C_4$ , Eqs. (D-20) become

$$\left. \begin{aligned} u_1(x) &= \frac{z_o}{2} \left[ 1 - e^{\beta(x+b)} \right] & x \leq -b \\ u_2(x) &= \frac{z_o}{2} \left[ e^{-\beta(x+b)} - 1 \right] & x \geq -b \end{aligned} \right\} \quad (D-23)$$

The quantity of interest is the strain at  $x = 0$

$$\frac{du_2}{dx}(0) = -\frac{\beta z_o}{2} e^{-\beta b} \quad (D-24)$$

Equation (D-24) shows that the strain decreases exponentially with the distance to the discontinuous input, and that as the pipe stiffness to soil stiffness decreases, the rate of decay increases.

### 3. Static Solution For A Finite Continuous Pipe

The same differential equation applies in the case of a finite pipe as in the previous case, Eq. (D-16), and the same ground motion (except for the limits), Eq. (D-17). The continuity conditions Eqs. (D-19) remain valid, but boundary conditions Eqs. (D-18) are replaced by

$$\left. \begin{aligned} u_1(-L/2) &= z(-L/2) = z_o/2 \\ u_2(L/2) &= z(L/2) = -z_o/2 \end{aligned} \right\} \quad (D-25)$$

The solution in the two regions are

$$\left. \begin{aligned} u_1(x) &= C_1 \sinh \beta x + C_2 \cosh \beta x + z_o/2 & -L/2 \leq x \leq -b \\ u_2(x) &= C_3 \sinh \beta x + C_4 \cosh \beta x - z_o/2 & -b \leq x \leq L/2 \end{aligned} \right\} \quad (D-26)$$

where  $\beta = \sqrt{k_s/EA}$  [Eq. (D-21)], and where the four constants are unrelated to the previous set. Applying Eqs. (D-25),

$$\left. \begin{aligned} u_1(x) &= C_1 \left( \sinh \beta x + \tanh \frac{\beta L}{2} \cosh \beta x \right) + z_o/2, & -L/2 \leq x \leq -b \\ u_2(x) &= C_3 \left( \sinh \beta x - \tanh \frac{\beta L}{2} \cosh \beta x \right) - z_o/2, & -b \leq x \leq L/2 \end{aligned} \right\} \quad (D-27)$$

Finally, applying Eqs. (D-19)

$$\left. \begin{aligned} u_1(x) &= \frac{z_o}{2} \left[ 1 - \frac{\cosh \beta b}{\tanh \frac{\beta L}{2}} (1 + \tanh \frac{\beta L}{2} \tanh \beta b) (\sinh \beta x + \tanh \frac{\beta L}{2} \cosh \beta x) \right] \\ u_2(x) &= -\frac{z_o}{2} \left[ 1 + \frac{\cosh \beta b}{\tanh \frac{\beta L}{2}} (1 - \tanh \frac{\beta L}{2} \tanh \beta b) (\sinh \beta x - \tanh \frac{\beta L}{2} \cosh \beta x) \right] \end{aligned} \right\} \quad (D-28)$$

Here, the displacement and strain at  $x = 0$  are

$$u_2(0) = -\frac{z_o}{2} \left[ 1 - \cosh \beta b + \sinh \beta b \tanh \frac{\beta L}{2} \right] \quad (D-29)$$

and

$$\frac{du_2}{dx}(0) = -\frac{z_o \beta}{2 \tanh \frac{\beta L}{2}} \left[ \cosh \beta b - \sinh \beta b \tanh \beta \frac{L}{2} \right] \quad (D-30)$$



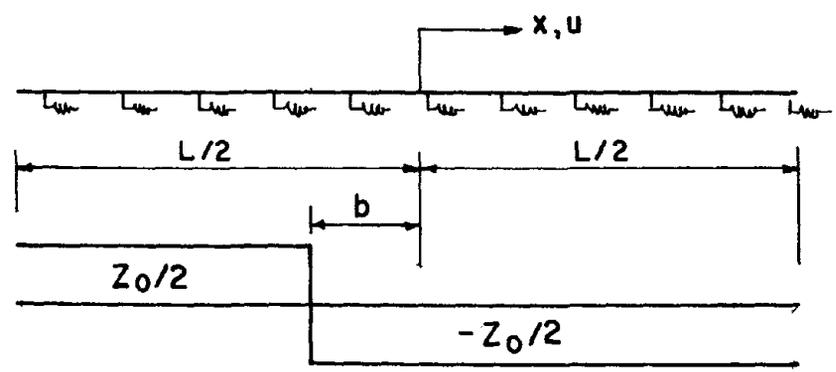


FIG. D-2 GEOMETRY AND INPUT GROUND DISPLACEMENT FOR CONTINUOUS PIPE



respectively. It is noted that as  $L \rightarrow \infty$ ,  $\tanh \frac{\beta L}{2} \rightarrow 1$  so that Eqs. (D-29) and (D-30) reduce to Eq. (D-23) evaluated at  $x = 0$ , and Eq. (D-24).

To relate the present solution to the discrete system, one must identify the pipe/joint stiffness as

$$k_p = EA/\ell \tag{D-31}$$

where  $\ell$  is the finite difference interval, and the discrete ground stiffness as

$$k_g = k_s \ell \tag{D-32}$$

Consequently, the parameter  $\beta$ , given by Eq. (D-21) is

$$\beta = \sqrt{\frac{k_s}{EA}} = \sqrt{\frac{k_g/\ell}{k_p \ell}} = \frac{1}{\ell} \sqrt{k_g/k_p} \tag{D-33}$$

For the many calculations in which  $k_g/k_p$  was 4,  $\beta$  is  $2/\ell$ . The distance  $b$  from the center joint to the loaded joint may be written as

$$b = j\ell = \left(\frac{N}{2} - m\right)\ell \tag{D-34}$$

while the total length of the pipe is

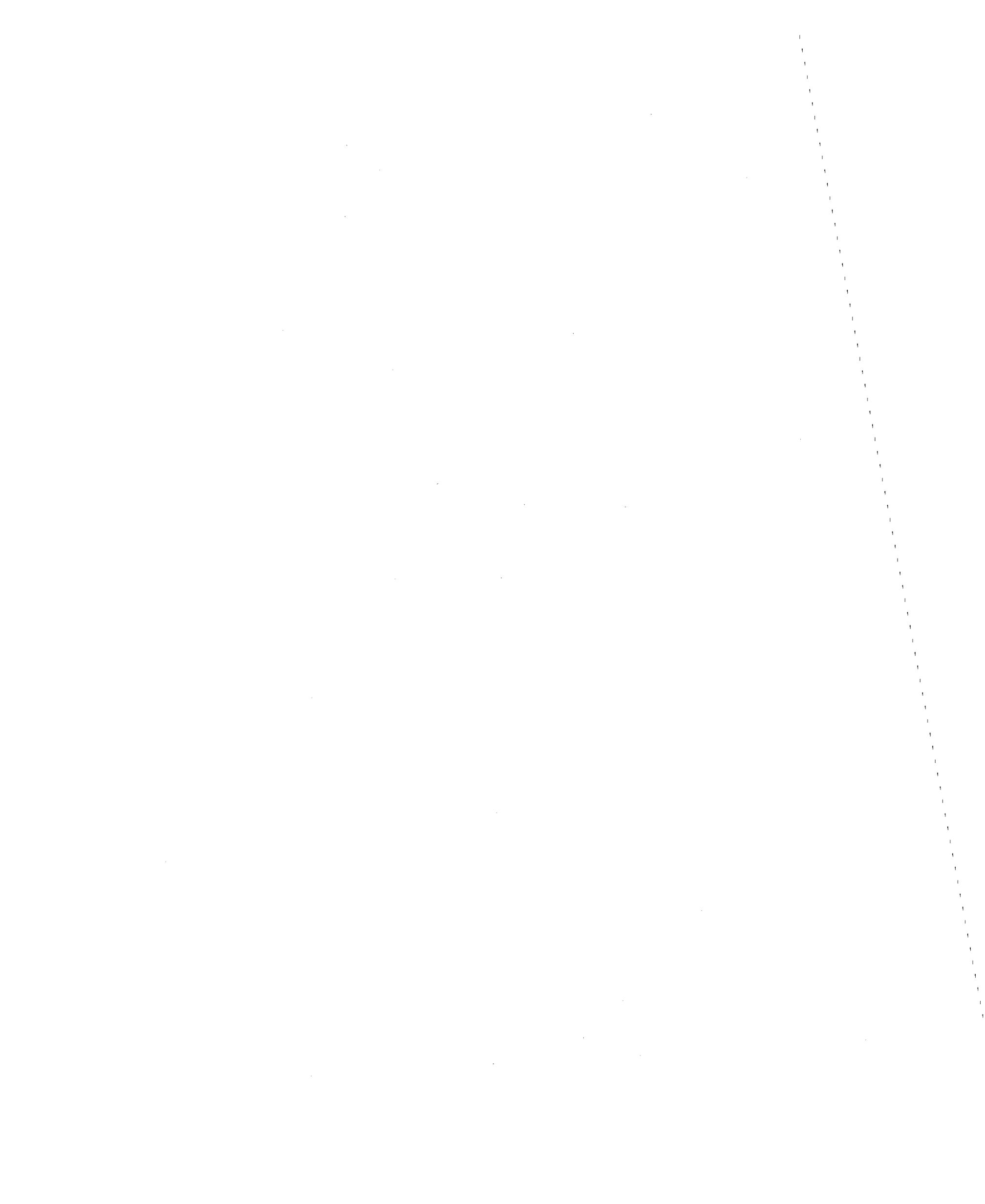
$$L = N\ell \tag{D-35}$$

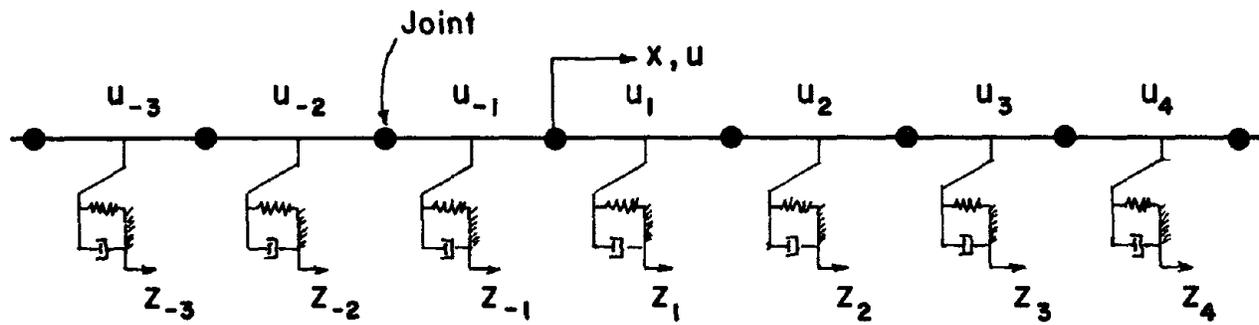
Substituting Eqs. (D-33) to (D-35) into Eq. (D-30), and using the notation of the discrete system,

$$-\ell \frac{du_2}{dx} (0) = \Delta x_{\frac{N}{2}-j} = \frac{z_0 \sqrt{k_g/k_p}}{2 \tanh\left(\frac{N}{2} \sqrt{k_g/k_p}\right)} \left[ \cosh j \sqrt{k_g/k_p} - \sinh j \sqrt{k_g/k_p} \tanh\left(\frac{N}{2} \sqrt{k_g/k_p}\right) \right] \tag{D-36}$$

When  $k_g/k_p = 4$  and  $z_0 = 1$ ,

$$\Delta x_{\frac{N}{2}-j} = \frac{1}{\tanh N} \left[ \cosh 2j - \sinh 2j \tanh N \right] \tag{D-37}$$





A. NOTATION



B. INPUT GROUND DISPLACEMENT

FIG. D-3 STATIC FINITE DIFFERENCE SOLUTION FOR AN INFINITE PIPE



The tanh function approaches one very rapidly. For example,  $\tanh(5) \approx 0.999909$  and  $\tanh(10) = 1 - 4 \times 10^{-9}$ . Thus, for  $N > 10$ , for all practical purposes the pipe may be considered infinite. Consequently, recalling Eq. (D-10), for  $k_g/k_p = 4$

$$\Delta x_m = \sum_{k=2,4,\dots}^N D_m^k \approx e^{-2j} \quad (D-38)$$

independent of the number of links.

#### 4. Static Finite Difference Solution For An Infinite Pipe

The values given in Eq. (D-11) for the sum of the influence coefficients over all the modes agree only very approximately with those computed according to Eq. (D-38). In order to seek a better understanding of the discrepancy, a closed form solution to the finite difference formulation of the problem in Section 2 of this Appendix was obtained. The notation and the free field ground displacement are shown in Fig. D-3. The pipe and ground displacements are written as  $u_{+j}$  and  $z_{+j}$  to the right of the discontinuity, and  $u_{-j}$  and  $z_{-j}$  to the left. The governing differential equation, Eq. (D-16), in finite difference form is

$$u_{j-1} - (2 + \beta^2 \ell^2) u_j + u_{j+1} = -\beta^2 \ell^2 z_j \quad (D-39)$$

where  $\beta$  is given by Eq. (D-21) and where  $z_j = +z_o/2$  for  $j < 0$  and  $z_j = -z_o/2$  for  $j > 0$ .

For  $j < 0$  we assume a solution in the form

$$u_j = z_o/2 + C_1 \alpha^j, \quad j < 0 \quad (D-40)$$

where  $C_1$  and  $\alpha$  are constants to be determined. We note that for  $u_j$  to approach  $z_j = z_o/2$  for  $j \rightarrow -\infty$ ,  $|\alpha|$  must be greater than one. When Eq. (D-40) is substituted into Eq. (D-39), the latter becomes

$$C_1 \alpha^{j-1} \left[ \alpha^2 - (2 + \beta^2 \ell^2) \alpha + 1 \right] = 0 \quad (D-41)$$

Letting the constant  $C_1 = 0$  results in the trivial solution,  $u_j \equiv z_o/2$ . Since  $\alpha \neq 0$ , the only alternative is for the bracket to be zero, yielding two possible solutions for  $\alpha$

$$\alpha_{1,2} = 1 + \frac{\beta^2 \ell^2}{2} \pm \beta \ell \sqrt{1 + \left(\frac{\beta \ell}{2}\right)^2} \quad (D-42)$$

Since  $\alpha > 1$ ,  $\alpha_1$  (the value with the + sign) is the appropriate choice for  $j < 0$ .

Alternatively, we could assume a solution for  $j > 0$

$$u_j = -z_o/2 + C_2 \bar{\alpha}^j, \quad j > 0 \quad (D-43)$$

Where  $|\bar{\alpha}| < 1$  for  $u_j \rightarrow -z_o/2$  as  $j \rightarrow +\infty$ . This assumption again results in Eq. (D-41), except  $C_2$  and  $\bar{\alpha}$  replace  $C_1$  and  $\alpha$ . Noting  $\bar{\alpha} < 1$ , the solution to Eq. (D-42) with the minus sign,  $\alpha_2$ , is the appropriate value for  $j > 0$ .

Equation (D-39) is next applied at  $j = -1$

$$C_1 \alpha_1^{-2} - (2 + \beta^2 \ell^2) C_1 \alpha_1^{-1} + C_2 \alpha_2^1 = z_o \quad (D-44)$$

and at  $j = +1$

$$C_1 \alpha_1^{-1} - (2 + \beta^2 \ell^2) C_2 \alpha_2^1 + C_2 \alpha_2^2 = -z_o \quad (D-45)$$

Solving Eqs. (D-44) and (D-45) simultaneously, and noting Eq. (D-42), we obtain

$$C_1 = -z_o \frac{\alpha_1}{\alpha_1 + 1} \quad \text{and} \quad C_2 = z_o \frac{\alpha_1}{\alpha_1 + 1} \quad (D-46)$$

The solution for  $j < 0$  is thus

$$u_{-j} = z_o \left[ \frac{1}{2} - \frac{1}{(\alpha_1+1)\alpha_1^{j-1}} \right] \quad (D-47)$$

and for  $j > 0$

$$u_j = z_o \left[ -\frac{1}{2} + \frac{\alpha_1 \alpha_2^j}{\alpha_1 + 1} \right] = z_o \left[ -\frac{1}{2} + \frac{1}{(\alpha_1+1)\alpha_1^{j-1}} \right] = -u_{-j} \quad (D-48)$$

where use has been made of  $\alpha_1 \alpha_2 = 1$ . Thus, not unexpectedly, the solution is completely antisymmetric. Denoting the difference between the displacements of two adjacent links as  $\Delta x_j$ , i.e.,

$$\Delta x_j \equiv u_j - u_{j+1} = u_{-j-1} - u_{-j} \quad (D-49)$$

then

$$\Delta x_j = \left[ z_o \frac{\alpha_1 - 1}{\alpha_1 + 1} \right] \frac{1}{\alpha_1^j} = \Delta x_o \frac{1}{\alpha_1^j} \quad (D-50)$$

where Eq. (D-50) applies for all values of  $j \geq 0$ . The  $\Delta x_j$  are, of course, symmetric. When  $\beta l = 2$ , corresponding to  $k_g/k_p = 4$  [see Eq. (D-33)],  $\alpha_1 = 3 + 2\sqrt{2}$  so that  $\Delta x_o = z_o / \sqrt{2} = 0.7071 z_o$ . For  $z_o = 1$ , the values for  $\Delta x_j$  given by Eq. (D-50) match identically the values of the sum of the influence coefficients given in Eq. (D-11).

Thus, Eq. (D-50) may be used to represent the limiting case for large  $N$  of the sum of the influence coefficients over all the modes, i.e.,

$$\lim_{N \rightarrow \infty} \sum_{k=2,4,\dots}^N \frac{D_N^k}{2^j} = \frac{\alpha_1 - 1}{\alpha_1 + 1} \frac{1}{\alpha_1^j} \quad (D-51)$$

where, using Eqs. (D-33) and (D-42),

$$\alpha_1 = 1 + \frac{1}{2} \left( \frac{k_g}{k_p} \right) + \sqrt{\left( \frac{k_g}{k_p} \right) \left[ 1 + \frac{1}{4} \left( \frac{k_g}{k_p} \right) \right]} \quad (D-52)$$

Finally, the limiting case of the double sum over all modes and all joints of the influence coefficients may be written

$$\lim_{N \rightarrow \infty} \sum_{k=2,4,..}^N \sum_{m=1}^{N-1} D_m^k = \frac{1}{z_o} \left[ \Delta x_o + 2 \sum_{j=1}^{\infty} \Delta x_j \right] \cong 1 \quad (D-53)$$

where Eq. (D-50) and the sum of a geometric series have been used. Thus, Eq. (63) in the text has been proved in general, at least for  $N \rightarrow \infty$ .

The final step is to show that the finite difference solution approaches the continuous solution as  $\ell \rightarrow 0$ . Writing the strain as

$$\frac{du}{dx} \cong - \frac{\Delta x_j}{\ell} = - \frac{z_o}{\ell} \left( \frac{\alpha_1 - 1}{\alpha_1 + 1} \right) \frac{1}{\alpha_1^j} \quad (D-54)$$

For small values of  $\beta\ell$ ,  $\alpha_1 \cong 1 + \beta\ell$ , so that Eq. (D-54) becomes

$$\frac{du}{dx} \cong - \frac{z_o}{\ell} \left( \frac{\beta\ell}{2 + \beta\ell} \right) (1 + \beta\ell)^{-j} \quad (D-55)$$

Letting  $b = j\ell$  and  $\xi = 1/(\beta\ell)$ , and taking the limit as  $\xi \rightarrow \infty$  ( $\beta\ell \rightarrow 0$ )

$$\begin{aligned} \frac{du}{dx} &= \lim_{\beta\ell \rightarrow 0} \left( \frac{-z_o \beta}{2 + \beta\ell} \right) (1 + \beta\ell)^{-b/\ell} \\ &= \lim_{\xi \rightarrow \infty} \left( \frac{-z_o \beta}{2 + 1/\xi} \right) \left[ (1 + 1/\xi)^\xi \right]^{-\beta b} \end{aligned} \quad (D-56)$$

Recognizing the limit of the bracket as the definition of  $e$ ,

$$\frac{du}{dx} = - \frac{z_o \beta}{2} e^{-\beta b} \quad (D-57)$$

which is identical to Eq. (D-24).

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