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# A MATHEMATICAL MODEL OF MASONRY FOR PREDICTING ITS LINEAR SEISMIC RESPONSE CHARACTERISTICS

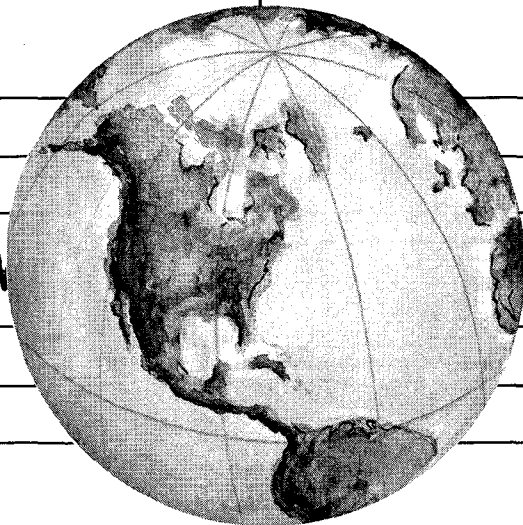
by

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and

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Report to:  
National Science Foundation  
and the  
North Atlantic Treaty Organization



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Earthquake Engineering Research Center  
College of Engineering  
University of California  
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## ABSTRACT

This report represents work that is part of a study into the seismic behavior of masonry. The major part of the work is experimental, but this part is devoted to developing a mathematical model for masonry which could be used to derive the elastic stress field, in a wall or pier, when either is subjected to seismic loads.

Because masonry is made of two materials, and because its geometry is so complicated it is necessary, in studying stress fields that could arise, to replace the composite material by a homogeneous one. The model material must display the same constitutive characteristics as the prototype and must have the same wave dispersive properties. It is the mathematical model of such a homogeneous material that is developed in this report.

The development is made in three steps. In the first, a general theory is constructed for two phase materials. The method employed here uses the theory of mixtures applied to a two phase material in which the phases reflect a periodic structure and in which each phase is linearly elastic. Employing the fundamental equations of the theory of mixtures, the governing equations of a linear approximate theory are established. The theory, valid for an arbitrary direction of motion, replaces the composite by a homogeneous, two phase, anisotropic, elastic solid. It accommodates the dispersive nature of the composite by means of an elastodynamic operator, which is introduced into the constitutive relations of the linear momentum interactions.

The second step is to adapt the general theory to a particular geometry. The periodic material that we choose is made of alternate plane layers. This geometry is chosen for two reasons; first, the geometry of

masonry can be accommodated within it, and second, because there is a wealth of material about the dynamic behavior of such materials, both analytical and experimental. The choice of geometry affects both the constitutive equations and the elastodynamic operators.

The theory for layered materials contains nineteen model constants and equations are developed from which these constants can be derived from the layered constants. The equations are derived partly using micro model analysis and partly by matching specific dynamic behaviors of the model and prototype. The ability with which the model predicts the dynamic response of the layered material is assessed in two ways. Both compare spectra reflecting the behavior of infinite trains of the principal kinds of waves. The first compares spectral lines from the model with those derived from the exact theory for layered materials. The second compares lines from the model with those obtained from experiments. Predictions from the model prove to be quite accurate.

In the third phase we appraise the model by comparing the responses predicted by the model for a transient input with those observed experimentally. Experimental data allow us to make comparisons for the behavior of dilatational waves travelling both parallel and perpendicular to the layers in both plates and semi-infinite bodies. Where possible, comparison is also made with responses predicted by the exact theory. Responses in the model are found using the method of characteristics. Comparison is exhibited in a number of figures and shows that the responses predicted by the theory are quite accurate. The accuracy is not restricted to early arrival times but extends to behavior far behind the head of the pulse.

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Al Klash and his associates are responsible for the drafting, Judith Sanders and Shirley Edwards typed the manuscript.



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## CHAPTER 1

INTRODUCTION

This report, as the title implies, is devoted to developing a mathematical model that will predict the linear, dynamic response of masonry. The study is motivated by a need to gain insight into the stress fields developed in masonry when it is subjected to seismic forces. This theoretical development is part of a program the major part of which is devoted to the experimental response of masonry piers.

The experimental program has shown that there are essentially two global modes of failure of the piers, flexural and shear. Whether the pier fails in flexure or shear depends on a number of factors, but in each case failure begins with the formation of cracks demonstrating a failure of the masonry itself in tension. When the mode is flexural the direction of tensile stress causing failure is vertical and when the mode of failure is shear it is the principal tensile stress that causes failure, so that the direction is at an oblique angle to the vertical.

In order to be able to predict when the first cracking would begin for either case of gross behavior, a knowledge of the stress field in a pier would have to be known when it is created by the simultaneous impositions of a vertical load and a horizontal displacement at the top, which are the conditions imposed by experiments. As masonry consists of two materials, brick and mortar, and because the geometric array is complicated, it is virtually impossible to ascertain the stress field in masonry without replacing the prototype by a model. The material of the mathematical model that is developed here is homogeneous, and is designed so that it displays both the same constitutive properties as the prototype

and its dispersive properties.

The development falls naturally into three parts which are covered successively in Chapters 2, 3 and 4. In the first part, Chapter 2, we call the masonry and the mortar each a "phase" and develop a mathematical model for two phase materials. We assume that both of the phases are linearly elastic and perfectly bonded at their interfaces and that the phases have a periodic structure.

In establishing the mathematical model, several approaches can be adopted. The first is the exact treatment which includes the field equations of elasticity for each phase and the equations of continuity at the interfaces. As this approach makes the analysis very complicated, it is not of practical interest, and thus the development of an approximate theory becomes a necessity.

During the last few years, a number of approximate theories have been proposed. In the first of these, the two phase composite is replaced by a homogeneous, anisotropic, elastic medium. As this theory, called effective modulus theory, does not accommodate any dispersion, it is valid only when the wave length is very large. As an example of this type of theory, we refer to a study by Rytov [1], where an effective modulus theory is developed for a layered composite. To compensate for the shortcomings of the effective modulus theory, another approximate theory, called effective stiffness theory, has been proposed for layered and fiber reinforced composites in Refs. [2-4]. In this theory the approximate governing equations are obtained by expanding the displacements for each constituent in power series and introducing the series into a variational functional. However, the possibility of extending this theory to composites containing the vertical layering does not appear to be fruitful, as the theory has rather complicated equations

even for simple composites like layered and fiber reinforced materials. Hegemier et al [5,6] proposed another approach, which they call theory of interacting continua. The theory contains a micro-structure and is based on asymptotic expansions of the field variables with respect to the space variables. However, they developed the theory again for layered and fiber reinforced composites only. For waves propagating perpendicular to layering in a layered material, the exact spectrum has a banded structure with passing and stopping bands, which contain points governing harmonic waves which are propagated and attenuated respectively. Herrman, Kaul and Delph [7,8] have developed a one-dimensional approximate theory which they call effective dispersion theory, for waves propagating perpendicular to layering only. Their theory accommodates the first stopping band and approximates quite well the two lowest spectral lines over the first two Brillouin zones.

We adopt a different approach for establishing the mathematical model for two phase materials. In this study the material is considered as a mixture consisting of two phases and the theory of mixtures is used to obtain approximate equations governing its dynamic behavior. The resulting theory is a general one which would include the geometry of a masonry wall as a particular case. The only restrictions imposed by the theory are that the two phases exhibit a periodic form and that the material of each phase is linearly elastic.

The idea of treating composites as a mixture is not new. In fact, it has previously been used in Refs. [9,10] for developing approximate theories predicting the dynamic response of layer and fiber reinforced composites when the motion is in the direction of the layers or fibers. Later, Bedford [11] attempted to extend this theory to a general case where the motion could have an arbitrary direction. However, his method

has some shortcomings as he did not take into account coupling in the stress-strain relations which would imply that the state of stress of one phase is affected by the deformation of the other.

The approximate theory we propose in this study for an arbitrary direction of motion replaces the heterogenous two phase composite by an homogeneous, anisotropic, elastic solid. In developing the theory, we have chosen the mixture approach for several reasons. First, this method leads to equations simple enough to be used in the dynamic analysis of complicated composite materials such as masonry walls. Second, since we account for linear momentum interactions between the phases, the resulting approximate equations not only exhibit anisotropy, but also accommodate dispersion. The dispersion is accounted for by a time dependent operator, which we call an elastodynamic operator. It relates the interaction forces to the difference of the average phase displacements. The introduction of this operator, which we believe is new, not only improves the matching of approximate fundamental spectral lines with exact ones, but also makes it possible to match the second approximate and exact cut-off frequencies. Thirdly, the mixture approach allows us to write the approximate equations of all two phase composites in a common form. The difference comes when we adapt this form to a particular geometry. The microstructure derived from the geometry governs specific forms of the interaction and stress constitutive equations of a given composite.

In Chapter 3 the general theory is modified for a specific periodic array, namely a layered material in which the phases appear as alternate plane layers. This geometry is chosen for two reasons; first, because it is close to the geometry displayed by masonry and, second, because there is a wealth of information about the dynamic response of such

materials, both from experiments and predicted by an exact theory.

Both the constitutive equations and the equations of linear momentum are affected by this choice of geometry. The layered material displays hexagonal symmetry which reduces the number of independent constitutive constants from 78 to 15. The elastodynamic operators appear in the general theory in symbolic form needing a specific geometry for their formulation. These operators are constructed in Section 3.1 from a study of a micro model, or cell, of the layered material. This analysis is similar to one used by Biot for establishing a viscodynamic operator for a fluid-filled porous medium. The elastodynamic operators reflect the behaviors at the interfaces. We felt that as first constructed the operators would render the equations of linear momentum too complicated for realistic dynamic problems, so the final part of Section 3.1 is devoted to replacing them by simpler approximations. To this end the operators are expanded in power series of their argument, and the first three terms are retained. The resulting equations of linear momentum contain four constants to accommodate dispersion. The final theory which acts as a model for a two phase layered composite contains 19 model constants.

Section 3.3 is devoted to constructing equations relating these 19 model constants to the elastic constants of each of the two phases. The constants are adjusted so that the dynamic responses of model and prototype will match as extensively as possible. The wealth of information about the behavior of waves travelling in layered materials is extremely useful in this section. The characteristics of the dynamic behavior of layered materials are almost without limit, certainly far exceeding the 19 needed to establish the unknown constants. So the constants are not unique and will change according to which set of behaviors is chosen for

matching. We discuss the choice at some length in Section 3.3 pointing out that the best set would be obtained using system identification in conjunction with response obtained from experiments. The method used here to derive the set of equations is, we think, the simplest. Some of the equations are found using micro model analysis, the remainder by matching properties of spectral lines which reflect the behavior of infinite trains of the principal types of waves as predicted by the model and the exact theory for layered materials. With the capability of establishing the 19 model constants from the phase constants, the model is complete.

In the final section, Section 3.4, we make a preliminary assessment of the model material. We consider it preliminary since, in Chapter 4, we make a much more demanding, and perhaps more realistic, appraisal of the theory. In this chapter we compare the behaviors of transient waves as they propagate in the model and as observed in experiments conducted on layered materials.

The assessment in Chapter 3 is made by comparing spectral lines derived from the model with comparable lines from the exact theory and from experiments. Comparison is shown in a number of figures, in which all spectral lines reflect the behavior of infinite trains of the principal types of waves. This preliminary assessment is valuable in that it shows that even with the simplest procedure for establishing the model constants the prediction of the way in which the principal waves propagate in the model material matches quite well the way in which comparable waves propagate in two phase layered materials.

In Chapter 4 we subject the theory to what seems to us to be the most demanding test. This is comparison of the responses predicted by the theory with experimental transient responses. We are fortunate in

having available excellent experimental data for transient waves propagating both parallel and perpendicular to the layers.

The transient responses predicted by the theory are obtained using the method of characteristics. This method is chosen, first because the governing equations are hyperbolic, and second because symmetry reduces the number of independent variables to time and one space variable. Where it seems appropriate we also make comparisons with responses predicted by the exact theory and by another approximate theory.

The theory for the model we have developed is, we think, simple for such a problem, the method of finding the constants is simple, so we are gratified to find such extensive matching between the responses due to the theory and to experiments. The matching is displayed in a number of figures. Not only do the profiles match for early times after the arrival of the first disturbance at a number of stations in the material, they also match well at distances remote from the head of the pulse.

## CHAPTER 2

A MATHEMATICAL MODEL FOR TWO PHASE COMPOSITES

In this chapter, masonry is considered as a mixture consisting of two phases and the theory of mixtures is used to obtain approximate equations governing its dynamic behavior. The resulting theory is a general one which would include the geometry of masonry as a particular case. The only restrictions imposed by the theory are that the two phases exhibit a periodic form and that the material of each phase is linearly elastic.

The approximate theory we propose in this study, for an arbitrary direction of motion, replaces the heterogenous two phase composite by an homogeneous, anisotropic, elastic solid. In developing the theory, we have chosen the mixture approach for several reasons. First this method leads to equations simple enough to be used in the dynamic analysis of complicated composite materials such as masonry walls. Second, since we account for linear momentum interactions between the phases, the resulting approximate equations not only exhibit anisotropy, but also accommodate dispersion. The dispersion is accounted for by a time dependent operator, which we call an elastodynamic operator. It relates the interaction forces to the difference of the average phase displacements. The introduction of this operator, which we believe is new, not only improves the matching of approximate fundamental spectral lines with exact ones, but also makes it possible to match the second approximate and exact cut-off frequencies. Third, the mixture approach allows us to write the approximate equations of all two phase composites in a common form.

This chapter is devoted to the derivation of this general set of equations.



## 2.1 Fundamental Equations

As we adopt the theory of mixtures for developing the theory, we first review the fundamental equations of a two phase mixture, which have been studied extensively by many researchers (see e.g., [12-17]). All the variables appearing in these equations are related to the average values of the quantities they represent.

The local forms of the fundamental equations for a two phase composite, which is referred to an  $(x_1, x_2, x_3)$  Cartesian coordinate system, are

conservation of mass

$$\frac{\partial \rho_\alpha}{\partial t} + \frac{\partial}{\partial x_j} (\rho_\alpha v_j^\alpha) = 0 ; \quad (2.1)$$

equations of linear momentum

$$\rho_\alpha \frac{D^\alpha v_i^\alpha}{Dt} = \frac{\partial \sigma_{ji}^\alpha}{\partial x_j} + \rho_\alpha F_i^\alpha + M_i^\alpha ; \quad (2.2)$$

balance of energy

$$\rho_\alpha r^\alpha - \frac{\partial q_i^\alpha}{\partial x_i} - \rho_\alpha \frac{D^\alpha \varepsilon^\alpha}{Dt} + \sigma_{ki}^\alpha \frac{\partial v_i^\alpha}{\partial x_k} + \psi^\alpha = 0 ; \quad (2.3)$$

the Clausius-Duhem inequality

$$\rho_\alpha \frac{D^\alpha S^\alpha}{Dt} - \rho_\alpha \frac{r^\alpha}{T^\alpha} + \frac{\partial}{\partial x_k} \left( \frac{q_k^\alpha}{T^\alpha} \right) + \beta^\alpha \geq 0. \quad (2.4)$$

The index  $\alpha$  ranges from 1 to 2 and distinguishes the two phases. The subscripts ( $i, j, k, \dots$ ) take the values 1, 2 and 3, and are subject to indicial notation. In this notation any repeated latin index implies summation over the range of that index. In Eqs. (2.1-2.4)

$\rho_\alpha$	partial masses of phases, measured per unit volume of the composite
$v_i^\alpha$	average velocity components for phases
$\sigma_{ij}^\alpha$	partial stress components for phases, measured per unit area of the composite
$\rho_\alpha F_i^\alpha$	body force components for phases, measured per unit volume of the composite
$M_i^\alpha$	interaction force components, measured per unit volume of the composite
$\rho_\alpha \epsilon^\alpha$	internal energy densities for phases, measured per unit volume of the composite
$\rho_\alpha r^\alpha$	heat energy rates (due to heat sources) for phases, measured per unit volume of the composite
$q_i^\alpha$	heat flux components for phases, measured per unit area of the composite
$\rho_\alpha S^\alpha$	entropy densities for phases, measured per unit volume of the composite
$T^\alpha$	average absolute phase temperatures
$\psi^\alpha$	energy interactions between phases, measured per unit volume of the composite
$\beta^\alpha$	entropy interactions between phases, measured per unit volume of the composite.

In Eqs. (2.1-2.4) "t" denotes time and the operator  $\frac{D^\alpha}{Dt}$  is defined by

$$\frac{D^\alpha}{Dt} = \frac{\partial}{\partial t} + v_i^\alpha \frac{\partial}{\partial x_i} . \quad (2.5)$$

We note that in writing the equation of conservation of mass, Eq. (2.1), we neglect the mass transfer between the phases. We assume that there is no angular momentum interaction between the phases. This implies that the partial stress components,  $\sigma_{ij}^\alpha$ , are symmetric. This assumption is consistent with the classical mixture theory which is used in this study. To take into account the angular momentum interaction between phases, one needs to use the micromorphic mixture theory by which anti-symmetric distributions of the field variables can be accommodated.

In accordance with the equations of the theory of mixtures [12-17], the interactions  $M_i^\alpha$ ,  $\psi^\alpha$  and  $\beta^\alpha$  satisfy the relations

$$\sum_{\alpha=1}^2 M_i^\alpha = 0; \quad \sum_{\alpha=1}^2 (M_i^\alpha v_i^\alpha + \psi^\alpha) = 0; \quad \sum_{\alpha=1}^2 \beta^\alpha = 0. \quad (2.6)$$

If the mass densities of the phases are denoted by  $\rho_\alpha^R$ , the partial masses  $\rho_\alpha$  are related to  $\rho_\alpha^R$  by

$$\rho_\alpha = n_\alpha \rho_\alpha^R, \quad (2.7)$$

where  $n_\alpha$  is the volume fraction of the  $\alpha$ -phase with the property

$$\sum_{\alpha=1}^2 n_\alpha = 1 \quad (2.8)$$

Finally, an important comment regarding the Clausius-Duhem inequality is in order. The form of the Clausius-Duhem inequality for a mixture is still the subject of controversy. Even though the form, Eq. (2.4), used in this study is physically acceptable for two phase composites where the constituents are separate in microscale, it is by no means universally accepted.

## 2.2 Thermodynamic Analysis for Infinitesimal Deformations

In the literature, thermodynamic analysis is presented in general terms for various mixtures composed of nonlinear phases (see e.g., [15-17]). In these works a single common temperature is assumed for all phases. Here we present thermodynamic analysis for our specific mixture, i.e., for linear two phase composites, by using the Coleman-Noll procedure. Through this analysis, we establish the specific form of the stress constitutive relations and heat conduction equations for our particular material. Our analysis is based on the fundamental equations presented in the previous section and on the findings established in the area of the theory of mixtures. We assume that deformations are infinitesimal and both phases are elastic.

We begin the analysis by approximating the operator  $\frac{D^\alpha}{Dt}$  by

$$\frac{D^\alpha}{Dt} \approx \frac{\partial}{\partial t}$$

for infinitesimal theory. If internal energy and entropy densities, and heat rates due to heat sources are redefined by

$$\dot{E}^\alpha = \rho_\alpha \dot{\varepsilon}^\alpha; R^\alpha = \rho_\alpha r^\alpha; \dot{\eta}^\alpha = \rho_\alpha \dot{S}^\alpha$$

per unit volume of the composite, the energy equation, Eq. (2.3), and the Clausius-Duhem inequality, Eq. (2.4), become

$$R^\alpha - \frac{\partial q_i^\alpha}{\partial x_i} - \dot{E}^\alpha + \sigma_{ki}^\alpha \dot{e}_{ki}^\alpha + \psi^\alpha = 0 \quad (2.9)$$

$$T^{\alpha\eta^\alpha} - R^\alpha + \frac{\partial q_k^\alpha}{\partial x_k} - \frac{q_k^\alpha}{T^\alpha} \frac{\partial T^\alpha}{\partial x_k} + T^{\alpha\beta^\alpha} \geq 0, \quad (2.10)$$

respectively, where the dot indicates partial differentiation with respect to time, and the  $e_{ij}^\alpha$ , defined by

$$e_{ij}^\alpha = \frac{1}{2} \left( \frac{\partial u_i^\alpha}{\partial x_j} + \frac{\partial u_j^\alpha}{\partial x_i} \right), \quad (2.11)$$

represent the infinitesimal strain components for the  $\alpha$ -phase. In Eq. (3.3) the  $u_i^\alpha$  are the average phase displacement components.

With the aid of the energy equation, Eq. (2.9), the Clausius-Duhem inequality, Eq.(2.10), takes the form

$$T^{\alpha\eta^\alpha} - \dot{E}^\alpha + \sigma_{ki}^\alpha \dot{e}_{ki}^\alpha + \psi^\alpha - \frac{q_k^\alpha}{T^\alpha} \frac{\partial T^\alpha}{\partial x_k} + T^{\alpha\beta^\alpha} \geq 0. \quad (2.12)$$

When we write Eq.(2.12) for  $\alpha = 1$  and  $\alpha = 2$ , and add (using Eqs. (2.6)) we get

$$\begin{aligned}
& \sum_{\alpha=1}^2 T^{\alpha} \dot{\eta}^{\alpha} - \dot{E} + \sum_{\alpha=1}^2 \sigma_{ki}^{\alpha} \dot{e}_{ki}^{\alpha} - \sum_{\alpha=1}^2 \frac{q_k^{\alpha}}{T^{\alpha}} \frac{\partial T^{\alpha}}{\partial x_k} \\
& - M_i^1 (v_i^1 - v_i^2) + \beta^1 (T^1 - T^2) \geq 0,
\end{aligned} \tag{2.13}$$

which is the total entropy inequality written per unit volume of the composite. In Eq. (2.13)  $E = \sum_{\alpha=1}^2 E^{\alpha}$  describes the total internal energy density.

In accordance with the findings established in the theory of mixtures [10] we assume

$$E^{\alpha} = E^{\alpha}(e_{ij}^1, e_{ij}^2, \eta^{\alpha}). \tag{2.14}$$

Eq. (2.14) shows that the phase internal energy density  $E^{\alpha}$  depends on the deformations of both phases. This, as it will be seen later, leads to a coupling in the stress-strain relations implying that the state of stress of one phase is influenced by the deformations of the other. We believe that this coupling, which is disregarded in Ref. [11], is crucial for an adequate description of a composite as a mixture. Using Eq. (2.14) and the definition of the total internal energy density, the total entropy inequality, Eq. (2.13), can be written in the form

$$\begin{aligned}
& \sum_{\alpha=1}^2 (T^{\alpha} - \frac{\partial E}{\partial \eta^{\alpha}}) \dot{\eta}^{\alpha} + \sum_{\alpha=1}^2 (\sigma_{ij}^{\alpha} - \frac{\partial E}{\partial e_{ij}^{\alpha}}) \dot{e}_{ij}^{\alpha} \\
& - \sum_{\alpha=1}^2 \frac{q_k^{\alpha}}{T^{\alpha}} \frac{\partial T^{\alpha}}{\partial x_k} - M_i^1 (v_i^1 - v_i^2) + \beta^1 (T^1 - T^2) \geq 0.
\end{aligned} \tag{2.15}$$

Since  $\eta^{\alpha}$  and  $e_{ij}^{\alpha}$  are independent state variables, in order to satisfy this inequality we should have

$$T^\alpha = \frac{\partial E}{\partial \eta^\alpha} = \frac{\partial E^\alpha}{\partial \eta^\alpha} \quad (2.16)$$

$$\sigma_{ij}^\alpha = \frac{\partial E}{\partial e_{ij}^\alpha} = \sum_{\beta=1}^2 \frac{\partial E^\beta}{\partial e_{ij}^\alpha} .$$

When Eqs. (2.16) are taken into account the total entropy inequality reduces to

$$- \sum_{\alpha=1}^2 \frac{q_k^\alpha}{T^\alpha} \frac{\partial T^\alpha}{\partial x_k} - M_i^1 (v_i^1 - v_i^2) + \beta^1 (T^1 - T^2) \geq 0. \quad (2.17)$$

Introducing the Helmholtz free energy density  $\phi^\alpha$

$$\phi^\alpha = E^\alpha - T^\alpha \eta^\alpha \quad (2.18)$$

and defining the total Helmholtz free energy density  $\phi$

$$\phi = \sum_{\alpha=1}^2 \phi^\alpha = E - \sum_{\alpha=1}^2 T^\alpha \eta^\alpha, \quad (2.19)$$

and using Eqs. (2.14) and (2.16), we obtain the relations

$$\phi^\alpha = \phi^\alpha(e_{ij}^1, e_{ij}^2, T^\alpha) \quad (2.20)$$

and

$$\eta^\alpha = - \frac{\partial \phi}{\partial T^\alpha} = - \frac{\partial \phi^\alpha}{\partial T^\alpha} \quad (2.21)$$

$$\sigma_{ij}^\alpha = \frac{\partial \phi}{\partial e_{ij}^\alpha} = \sum_{\beta=1}^2 \frac{\partial \phi^\beta}{\partial e_{ij}^\alpha} ,$$

which imply

$$\eta^\alpha = \eta^\alpha(e_{ij}^1, e_{ij}^2, T^\alpha) \quad (2.22)$$

$$\sigma_{ij}^\alpha = \sigma_{ij}^\alpha(e_{ij}^1, e_{ij}^2, T^1, T^2).$$

We let the temperatures of both phases be  $T_0$  in the reference configuration of the two phase composite, which is assumed to be free of stresses. As we are dealing with an infinitesimal theory and are assuming small deviations from the reference temperature  $T_0$ , we expand the stresses and entropies about zero deformation and the reference temperature  $T_0$  using Taylor's formula, and retain only linear terms. When we use the symmetry conditions

$$\frac{\partial \eta^\alpha}{\partial e_{ij}^\beta} = - \frac{\partial \sigma_{ij}^\beta}{\partial T^\alpha} ; \frac{\partial \sigma_{ij}^\alpha}{\partial e_{mn}^\beta} = \frac{\partial \sigma_{mn}^\beta}{\partial e_{ij}^\alpha} \quad (\alpha, \beta = 1, 2), \quad (2.23)$$

which are the implications of Eqs. (2.21), we obtain

$$\begin{aligned} \eta^\alpha &= \eta_0^\alpha + \sum_{\beta=1}^2 p_{ij}^{\alpha\beta} e_{ij}^\beta + \frac{c^\alpha}{T_0} \theta^\alpha \\ \sigma_{ij}^\alpha &= \sum_{\beta=1}^2 c_{ijmn}^{\alpha\beta} e_{mn}^\beta - \sum_{\beta=1}^2 p_{ij}^{\beta\alpha} \theta^\beta, \end{aligned} \quad (2.24)$$

where the coefficients  $c_{ijmn}^{\alpha\beta}$  have the property

$$c_{ijmn}^{\alpha\beta} = c_{mnij}^{\beta\alpha}. \quad (2.25)$$

In Eqs. (2.24)  $\theta^\alpha$ , defined by

$$\theta^\alpha = T^\alpha - T_0,$$

represents phase temperature deviations from the reference temperature  $T_0$ ;  $\eta_0^\alpha$  denotes the value of the phase entropy density  $\eta^\alpha$  in the reference configuration;  $c^\alpha$  is the specific heat at constant deformation for the  $\alpha$ -phase, measured per unit volume of the composite, and is defined by



$$c^\alpha = T_0 \frac{\partial \eta^\alpha}{\partial T^\alpha} \left| \begin{array}{l} e_{ij}^1 = e_{ij}^2 = 0. \\ T^\alpha = T_0 \end{array} \right.$$

The coefficients  $C_{ijmn}^{\alpha\beta}$  and  $p_{ij}^{\alpha\beta}$  appearing in the second of Eqs. (2.24) denote the material constants of a linear two phase composite. The symmetry of strain and stress components further imposes the conditions

$$\begin{aligned} p_{ij}^{\alpha\beta} &= p_{ji}^{\alpha\beta} \\ C_{ijmn}^{\alpha\beta} &= C_{jimn}^{\alpha\beta} \\ C_{ijmn}^{\alpha\beta} &= C_{ijnm}^{\alpha\beta} \end{aligned} \quad (2.26)$$

on the material constants. The conditions, Eqs. (2.25) and (2.26), indicate that the number of independent material constants in the stress-strain relations, the second of Eqs. (2.24), is at most 102.

The coefficients  $(C_{ijmn}^{12}, C_{ijmn}^{21})$  and  $(p_{ij}^{12}, p_{ij}^{21})$  describe the coupling in the stress constitutive equations, the second of Eqs. (2.24). These terms respectively permit the state of stress of one phase to be affected by the deformation and temperature deviation of the other phase.

We now turn our attention to deriving the heat conduction equations for a two phase composite. We first notice that the energy equation, Eq. (2.9), when Eqs. (2.14) and (2.16) are used, reduces to

$$R^\alpha - \frac{\partial q_i^\alpha}{\partial x_i} - \sum_{\beta=1}^2 \frac{\partial E^\alpha}{\partial e_{ij}^\beta} \dot{e}_{ij}^\beta - T_{,\eta}^{\alpha,\alpha} + \sigma_{ij}^\alpha \dot{e}_{ij}^\alpha + \psi^\alpha = 0. \quad (2.27)$$

We assume that partial heat flux vectors are related to the gradients of the average phase temperatures by the Fourier equation

$$q_i^\alpha = - \sum_{\beta=1}^2 k_{ij}^{\alpha\beta} \frac{\partial \theta^\beta}{\partial x_j}, \quad (2.28)$$

where  $k_{ij}^{\alpha\beta}$  are the coefficients of heat conduction defined per unit area of the composite. The coefficients  $k_{ij}^{12}$  and  $k_{ij}^{21}$  in Eq. (2.28) describe the thermal coupling between phases.

When the linear entropy relation, the first of Eqs. (2.24), and the Fourier equation, Eq. (2.28), are used and when the temperature deviation from the equilibrium state is assumed to be small, the energy equation, Eq. (2.27), becomes

$$R^\alpha + \sum_{\beta=1}^2 \frac{\partial}{\partial x_i} (k_{ij}^{\alpha\beta} \frac{\partial \theta^\beta}{\partial x_j}) - c^{\alpha\theta} \dot{\theta}^\alpha - T_0 \sum_{\beta=1}^2 p_{ij}^{\alpha\beta} \dot{e}_{ij}^{\alpha\beta} + \psi^{\alpha*} + \psi^\alpha = 0, \quad (2.29)$$

where

$$\psi^{\alpha*} = \sigma_{ij}^\alpha \dot{e}_{ij}^\alpha - \sum_{\beta=1}^2 \frac{\partial E^\alpha}{\partial e_{ij}^\beta} \dot{e}_{ij}^\beta. \quad (2.30)$$

From the second of Eqs. (2.16) and Eq. (2.30) it follows that  $\psi^{\alpha*}$  satisfies  $\sum_{\alpha=1}^2 \psi^{\alpha*} = 0$ . Eq. (2.30) shows that, in the absence of the coupling introduced by Eq. (2.14),  $\psi^{\alpha*}$  separately vanishes for both phases. This means that the term  $\psi^{\alpha*}$  in Eq. (2.29) represents the energy interaction between the phases due to the coupling in stress constitutive equations.

We now separate the interaction due to heat exchange between the phases from the total interaction ( $\psi^\alpha + \psi^{\alpha*}$ ). To do this we let

$$\psi^\alpha + \psi^{*\alpha} = \bar{\psi}^\alpha + Q^\alpha, \quad (2.31)$$

where  $Q^\alpha$  represents the heat exchange between the phases (defined per unit volume of the composite) and satisfies  $\sum_{\alpha=1}^2 Q^\alpha = 0$ . When we substitute Eq. (2.31) into Eq. (2.29) we obtain the final form of the heat conduction equation

$$\sum_{\beta=1}^2 \frac{\partial}{\partial x_i} (k_{ij}^{\alpha\beta} \frac{\partial \theta^\beta}{\partial x_j}) - c^\alpha \theta^\alpha + Q^\alpha + R^\alpha = T_0 \sum_{\beta=1}^2 p_{ij}^{\alpha\beta} e_{ij}^{\alpha\beta} - \bar{\psi}^\alpha, \quad (2.32)$$

where the interaction term  $\bar{\psi}^\alpha$  satisfies the equation

$$\sum_{\alpha=1}^2 (\bar{\psi}^\alpha + M_i^\alpha v_i^\alpha) = 0 \quad (2.33)$$

in view of the second of Eqs. (2.6), Eq. (2.31), and the relations  $\sum_{\alpha=1}^2 \psi^{*\alpha} = 0$  and  $\sum_{\alpha=1}^2 Q^\alpha = 0$ ,

### 2.3 Restrictions on Model Constants

For studying the constraints imposed on model constants we use an hypothesis which states that the strain energy function must be positive definite. For a two phase composite the strain energy function  $W$  per unit volume is defined by

$$dW = \sum_{\alpha=1}^2 \sigma_{ij}^\alpha de_{ij}^\alpha. \quad (2.34)$$

By integrating Eq. (2.34) and using the second of Eqs. (2.24), disregarding thermal effects we get

$$W = \frac{1}{2} \sum_{\alpha=1}^2 \sum_{\beta=1}^2 C_{ijmn}^{\alpha\beta} e_{ij}^\alpha e_{mn}^\beta. \quad (2.35)$$

In the derivation of Eq. (2.35) we assumed that the strain energy is zero at reference configuration. Eq.(2.35) indicates that the strain energy function will be positive definite only if

$$\sum_{\alpha=1}^2 \sum_{\beta=1}^2 C_{ijmn}^{\alpha\beta} f_{ij}^{\alpha} f_{mn}^{\beta} \geq 0 \quad (2.36)$$

is satisfied for all symmetric  $f_{ij}^{\alpha}$ , where the equality holds only when  $f_{ij}^{\alpha} = 0$ . Eq. (2.36) governs all of the constraints imposed on the model constants  $C_{ijmn}^{\alpha\beta}$ .

The stress constitutive equations and the positive definiteness condition can be written alternatively in matrix form by using vector representations of the stress and strain tensors. These forms are presented in Appendix A.

#### 2.4 Some Remarks on Interaction Constitutive Equations

For completing the theory we have to supply it with additional constitutive relations for the interaction terms appearing in the linear momentum and energy equations. To this end we postulate that the force and heat interactions between the phases are, through time dependent linear operators, related to the differences of phase displacements and temperatures respectively, i.e.,

$$\begin{aligned} M_i^1 &= - M_i^2 = \Gamma_{ij}^t (u_j^2 - u_j^1) \\ Q^1 &= - Q^2 = H^t (\theta^2 - \theta^1), \end{aligned} \quad (2.37)$$

where  $\Gamma_{ij}^t$  denotes the operator for linear momentum interaction and  $H^t$  for the heat exchange. In view of Ref. 16 another term involving the gradient of phase temperatures can be added to the right-hand side of

the first of Eq. (5.1). However, in order to keep the theory as simple as possible, this term representing the coupling between the linear momentum interaction and the temperature gradient is neglected. For a given composite, the forms of the operators in Eqs. (5.1) can be determined either experimentally or by using micro-modal analysis. For example, in a subsequent study, where we will develop the theory for a two phase layered composite, approximate forms of these operators will be established by using a procedure based on micro-model analysis, which is very similar to the one used by Biot [18,19]. He introduces a viscodynamic operator describing the friction between the fluid and solid phases of an isotropic porous material.

We believe that the inclusion of the operator  $\Gamma_{ij}^t$ , which we will call the elastodynamic operator in the theory, is important. In fact, the presence of this operator in the theory, which accounts for the dispersion of waves in composites, not only brings some improvement to the matching of the approximate fundamental spectral lines with the exact, but also makes it possible to match the second approximate and exact cut-off frequencies.

We have now completed the general formulation of the linear approximate theory developed for a two phase composite. Provided that the constitutive equation for  $\bar{\psi}^\alpha$  is known, the field variables  $u_i^\alpha$ ,  $e_{ij}^\alpha$ ,  $\sigma_{ij}^\alpha$  and  $\theta^\alpha$  can be found by solving the linear momentum equations, Eq. (2.2), strain-displacement relations, Eq.(2.11), stress constitutive relations, the second of Eqs. (2.24), heat conduction equations, Eq. (2.32), and interaction constitutive relations, Eqs.(2.37), subject to appropriate initial and boundary conditions.

## 2.5 Completion of the Model for a Particular Two Phase Material

The approximate theory, just established, governs the dynamic response of a two phase composite. The theory not only accommodates the anisotropy of the composite, but also its dispersive characteristics caused by the interfaces separating the two phases.

When we say that the theory is complete, it must be understood that it is complete only in the general sense. The theory applies to all two phase materials with periodic structure and for materials in which each of the phases is linearly elastic. The model cannot be completed until a specific two phase material is defined, which entails specifying the geometry and the properties of each of the two phases.

The steps required in progressing from the general theory to a complete model replacing a specific two phase material are significant. They are described in detail in the chapter following this, but it seems appropriate here to describe in a qualitative way what those steps entail.

When the geometry of the two phase material is known, its influence on the model constants and the elastodynamic operator must first be explored. The geometry usually imposes symmetry restrictions which significantly reduce the number of these unknowns. It remains to establish the surviving unknowns.

The best set of values for the unknowns will be achieved by using a method known as system identification. It is used in conjunction with experimental data. System identification is a systematic method for estimating the set of unknowns that will minimize the differences between responses predicted by the model and those from experiments over some specified extent of the responses.

Experimental data may not be available, and even if they are, the method of system identification could be complex and require a great deal of computer time.

Other methods are available which may be used separately or together. The first employs micro-model analysis which is based on the deformation modes assumed for the phases. When the geometry of the two phase material is known, the micro-model can be constructed. The second method depends on having an exact theory for the two phase material. The product of the exact theory would be frequency spectra relating frequencies and wave lengths for infinite trains of waves. The unknowns could be established by matching the two sets of spectral lines for a specific set of properties of these lines. In this procedure special attention should be given to avoiding the violation of the model constraints imposed because the strain energy function is positive definite.

The approximate theory developed here is based on the theory of mixtures. With the object of keeping the theory as simple as possible, we have used the average values of the field variables. It may be that because of this simplification, an acceptable match between the responses predicted by this theory and experimental responses, or the responses from the exact theory, will not be possible. If such is the case, the theory can be improved, at the expense of complication, by employing micromorphic mixture theory. In that theory, antisymmetric distributions of the field variables are accommodated.

A last comment regarding the application of the mathematical model developed in this study to masonry walls is in order. We know that taking into account nonlinear and debonding effects in the response of masonry walls to dynamic inputs is important. The linear theory

proposed in this study will form the basis for including these effects in the model. To explain this more explicitly, we refer to the third chapter where a constitutive relation for the linear momentum interaction for layered composites is established through the use of micro-model analysis. This analysis is based on displacement and stress continuity conditions at interfaces. By relaxing these continuity conditions, the debonding effect can be accommodated in the theory.



## CHAPTER 3

A MIXTURE THEORY FOR ELASTIC LAMINATED COMPOSITES

In this chapter the general theory is modified for a specific periodic array, namely a layered material in which the phases appear as alternate plane layers. This geometry is chosen for two reasons; first, because it is close to the geometry displayed by masonry and, secondly, because there is a wealth of information about the dynamic response of such materials, both from experiments and predicted by an exact theory. In the equations which will follow, the thermal effects contained in the previous chapter are disregarded.

3.1 Homogeneous Model for a Two Layer Material

In this section we choose a specific two-phase material; that is we choose a particular periodic geometry for our medium. We trace the influences that this specified geometry has on the general two phase theory, and modify the theory to this geometry. We will find that changes are required in both the constitutive equations and the equations of linear momentum.

The material which we study is one in which the two materials alternate in plane layers. The materials are called phases and each is identified by so called "phase constants". Each phase is isotropically elastic, so Lamé's constants  $\mu_\alpha$  and  $\lambda_\alpha$  ( $\alpha = 1$  or  $2$ ) and the mass densities  $\rho_\alpha^R$  are the phase constants. The two layers have thicknesses  $2h_1$  and  $2h_2$  respectively.

In what follows the laminated composite is referred to a Cartesian coordinate system  $(x_1, x_2, x_3)$  in which the  $x_2$  axis is perpendicular to the planes of layering (see Fig. 1). With respect to this reference frame the composite displays hexagonal symmetry, in which  $x_2$  is the axis of symmetry. We first study the constitutive equations.

(a) Constitutive Equations

The hexagonal symmetry resulting from layered periodically results in a reduction in the number of model constants appearing in the constitutive equations. The stiffness matrices defined in Eq. (A.1) have the forms,

$$\underline{C}^{\alpha\beta} = \begin{bmatrix} C_{11}^{\alpha\beta} & C_{12}^{\alpha\beta} & C_{13}^{\alpha\beta} & 0 & 0 & 0 \\ C_{12}^{\alpha\beta} & C_{22}^{\alpha\beta} & C_{12}^{\alpha\beta} & 0 & 0 & 0 \\ C_{13}^{\alpha\beta} & C_{12}^{\alpha\beta} & C_{11}^{\alpha\beta} & 0 & 0 & 0 \\ 0 & 0 & 0 & C_{44}^{\alpha\beta} & 0 & 0 \\ 0 & 0 & 0 & 0 & C_{55}^{\alpha\beta} & 0 \\ 0 & 0 & 0 & 0 & 0 & C_{44}^{\alpha\beta} \end{bmatrix} \quad (\text{for } \alpha = \beta), \quad (3.1)$$

where  $C_{55}^{\alpha\beta} = \frac{1}{2} (C_{11}^{\alpha\beta} - C_{13}^{\alpha\beta})$ , and

$$\underline{C}^{12} = \begin{bmatrix} C_{11}^{12} & C_{12}^{12} & C_{11}^{12} & 0 & 0 & 0 \\ C_{21}^{12} & C_{22}^{12} & C_{21}^{12} & 0 & 0 & 0 \\ C_{11}^{12} & C_{12}^{12} & C_{11}^{12} & 0 & 0 & 0 \\ 0 & 0 & 0 & C_{44}^{12} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & C_{44}^{12} \end{bmatrix} \quad (3.2)$$

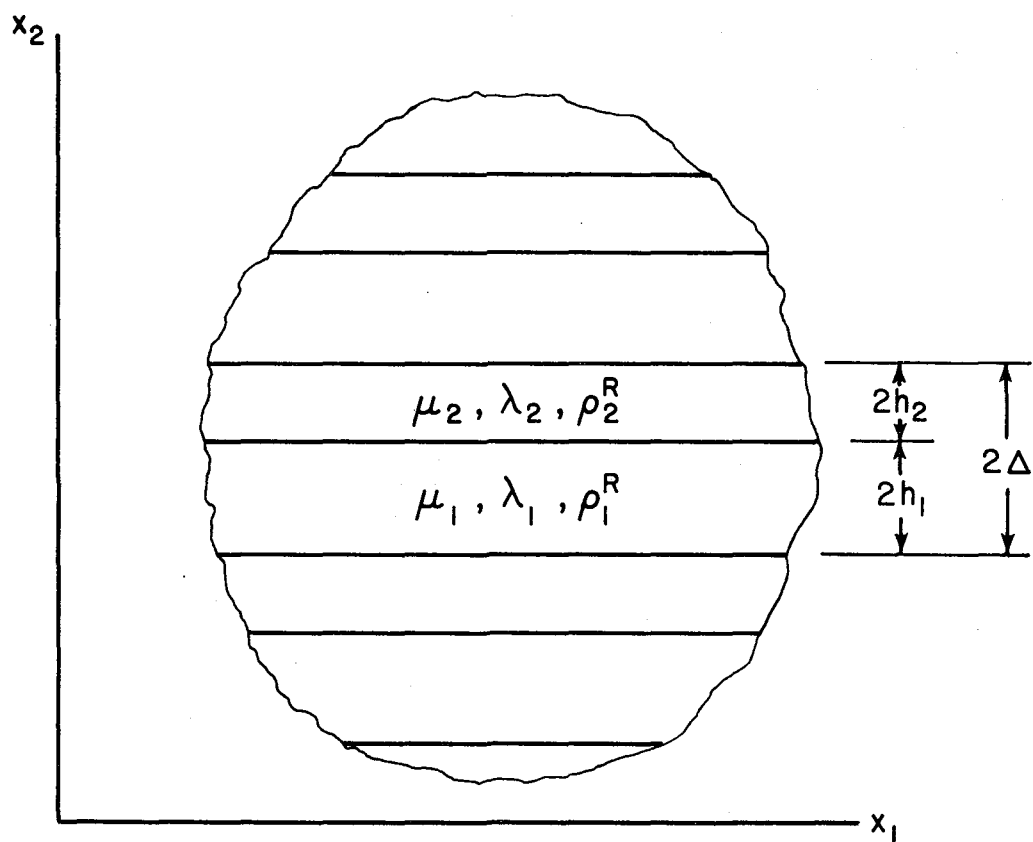


FIG. 1. A LAYERED COMPOSITE MATERIAL

Examination of Eqs. (3.1) and (3.2) shows that the number of independent constants has been reduced to fifteen. This reduction results from symmetry and also from recognizing that  $c_{55}^{12} = 0$ . The latter is obtained by integrating the constitutive relation  $\sigma_{13}^{*\alpha} = 2\mu_\alpha \dot{e}_{13}^{*\alpha}$  (where  $\sigma_{13}^{*\alpha}$  and  $\dot{e}_{13}^{*\alpha}$  are the actual shear stress and strain distributions for the  $\alpha$  phase) over the thickness of the  $\alpha$  phase.

(b) Equations of Linear Momentum

First we write the linear momentum equations, Eq. (2.2), for each phase separately. They are

$$\begin{aligned} \frac{\partial \sigma_{ji}^1}{\partial x_j} + \rho_1 F_i^1 + \Gamma_{ij}^t (u_j^2 - u_j^1) &= \rho_1 \dot{v}_i^1 \\ \frac{\partial \sigma_{ji}^2}{\partial x_j} + \rho_2 F_i^2 + \Gamma_{ij}^t (u_j^1 - u_j^2) &= \rho_2 \dot{v}_i^2 \end{aligned} \quad (3.3)$$

In formulating the linear momentum equations for the general two phase theory, interaction between the phases was accounted for by the term  $\Gamma_{ij}^t$ , which we called an elastodynamic operator. With the hexagonal symmetry of the layered material, the operator has the form

$$(\Gamma_{ij}^t) = \begin{bmatrix} \Gamma_1^t & 0 & 0 \\ 0 & \Gamma_2^t & 0 \\ 0 & 0 & \Gamma_1^t \end{bmatrix} \quad (3.4)$$

The physical meaning of the components is derived from a study of Eqs. (3.3). The first,  $\Gamma_1^t$ , describes the linear momentum interaction parallel to layering caused by the shear stresses at the interfaces,  $\Gamma_2^t$  accounts for interaction normal to layering caused by the normal, interface stresses. To gain insight into the forms of these two operators we analyze a micro model of the layered material. The analysis, which is based on assumed deformation modes for the phases, was previously used by Biot [8,9] for constructing a viscodynamic operator for fluid filled porous media.

Micro model analysis consists of a study of a unit cell of the composite material. The unit cell consists of one layer of the first constituent bounded by two half layers of the second (see Fig. 2). We refer the cell to a Cartesian coordinate system  $(x_1, x_2, x_3)$  so that the  $x_1$ - $x_3$  plane coincides with the mid-plane of the first constituent and the  $x_2$  axis is perpendicular to the layers. The analysis is approximate in that a number of simplifying assumptions are made pertaining to the state variables involved. First, the variables are assumed to depend only on the thickness variable and time, so that the displacement, for example,  $u_i^* = u_i^*(x_2, t)$ , where the asterisk is used to denote actual distributions. In the general micro model theory, the same quantities are assumed to be distributed symmetrically about the midplane of each layer. If this simple theory proves to be inadequate, we would have to employ micromorphic mixture theory which accommodates antisymmetric distributions. The results of the theory are expressed in terms of the average values of the variables over the thickness. The average value of the variable  $u_i^*$  is denoted by  $u_i$  (no asterisk).

The derivation of expressions for  $\Gamma_1^t$  and  $\Gamma_2^t$  begins by substituting the displacements

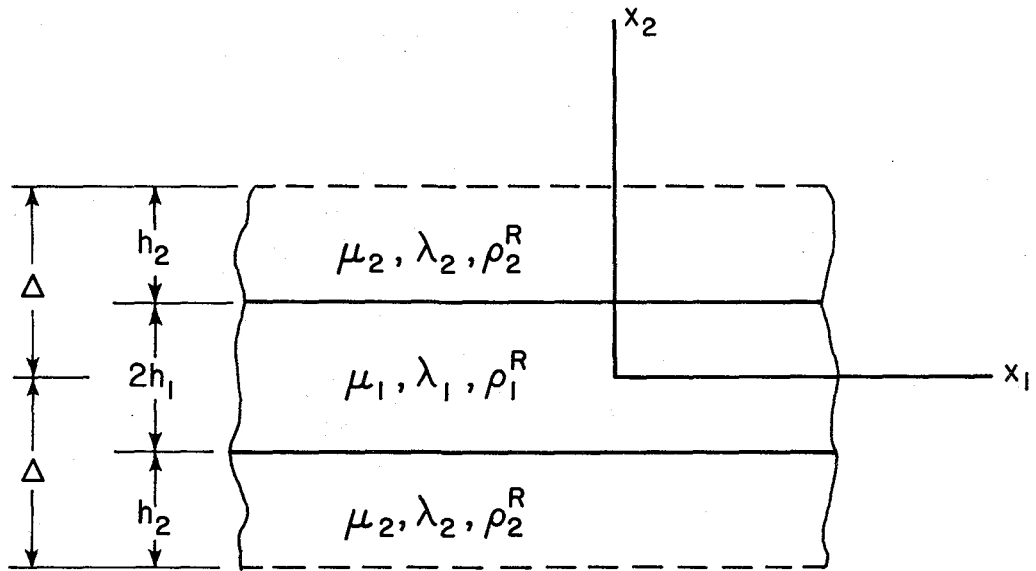


FIG. 2. A UNIT CELL

$$u_i^* = u_i^*(x_2, t) \quad (3.5)$$

into the field equations of elasticity. The restricted space dependency leads to the equations

$$\begin{aligned} \mu_\alpha \frac{\partial^2 u_1^*}{\partial x_2^2} + F_1^* &= \rho_\alpha^R u_1^* \\ (2\mu_\alpha + \lambda_\alpha) \frac{\partial^2 u_2^*}{\partial x_2^2} + F_2^* &= \rho_\alpha^R u_2^* \\ \mu_\alpha \frac{\partial^2 u_3^*}{\partial x_2^2} + F_3^* &= \rho_\alpha^R u_3^* \end{aligned} \quad (3.6)$$

where  $\alpha = 1, 2$  represents quantities in layers 1 and 2 respectively; and  $F_i^*$  are the components of the body force.

In what follows, we develop an expression for  $\Gamma_1^t$ ; the method is the same for  $\Gamma_2^t$ . The form of  $\Gamma_1^t$  is governed by the interface shear stresses. For the assumed displacement field, these stresses are related only to the displacement parallel to the layers and the relationships are

$$\sigma_{21}^* = \mu_\alpha \frac{\partial u_1^*}{\partial x_2}; \quad \sigma_{23}^* = \mu_\alpha \frac{\partial u_3^*}{\partial x_2}. \quad (3.7)$$

In our study of  $\Gamma_1^t$ , we may pursue either  $u_1^*$  or  $u_3^*$  as both will lead to the same expression. We choose the first, which is governed by the first of Eqs. (3.6), and continue by taking the Laplace transform of each side of this equation. The result of this operation is the equation

$$\frac{d^2 u_1^{*\alpha L}}{dx_2^2} - m_\alpha^2 u_1^{*\alpha L} = \frac{-F_1^{*\alpha L}}{\mu_\alpha}, \quad (3.8)$$

where the superscript L denotes the Laplace transform of the quantity and

$$m_{\alpha}^2 = \frac{\rho_{\alpha}^R}{\mu_{\alpha}} p^2$$

in which  $p$  is the Laplace transform parameter.

In the integration of Eq. (3.8) we exploit the symmetry of  $u_1^{*\alpha}$  and  $F_1^{*\alpha}$ , already discussed, and further we make the same assumption as made by Biot [13], that  $F_1^{*\alpha}$  depends on time only. Integration leads to the equation

$$u_1^{*\alpha L} = A_{\alpha} \sinh m_{\alpha} x_2 + B_{\alpha} \cosh m_{\alpha} x_2 + G_{\alpha}, \quad (3.9)$$

where

$$G_{\alpha} = \frac{F_1^{*\alpha L}}{\mu_{\alpha} m_{\alpha}^2}. \quad (3.10)$$

The four integration constants,  $A_{\alpha}$  and  $B_{\alpha}$ , appearing in Eq. (3.9), can be determined by using the continuity condition at the interface  $x_2 = h_1$ ,

$$(u_1^{*1}) = (u_1^{*2}) ; (\sigma_{21}^{*1}) = (\sigma_{21}^{*2}), \quad (3.11)$$

and the conditions at the mid-planes  $x_2 = 0$  and  $x_2 = \Delta = h_1 + h_2$

$$(\sigma_{21}^{*1})_{x_2=0} = 0 ; (\sigma_{21}^{*2})_{x_2=\Delta} = 0 \quad (3.12)$$

which are imposed by the symmetry of  $u_1^{*\alpha}$ . After some manipulation, the final form of  $u_1^{*\alpha L}$  is found to be

$$u_1^{*1L} = \frac{C}{D} (G_1 - G_2) \cosh m_1 x_2 + G_1 \quad (3.13)$$

$$u_1^{*2L} = \frac{1}{D} (G_1 - G_2) (\cosh m_2 x_2 - \tanh m_2 \Delta \sinh m_2 x_2) + G_2,$$



where

$$C = \frac{\mu_2 m_2}{\mu_1 m_1 \sinh m_1 h_1} (\sinh m_2 h_1 - \tanh m_2 \Delta \cosh m_2 h_1)$$

$$D = (F-C) \cosh m_1 h_1 \quad (3.14)$$

$$F = \frac{1}{\cosh m_1 h_1} (\cosh m_2 h_1 - \tanh m_2 \Delta \sinh m_2 h_1).$$

To determine the interface shear stress we substitute Eqs. (3.13) into Eqs. (3.7), and obtain

$$\begin{pmatrix} *1L \\ \sigma_{21} \end{pmatrix}_{x_2=h_1} = \begin{pmatrix} *2L \\ \sigma_{21} \end{pmatrix}_{x_2=h_1} = \frac{C}{D} \mu_1 m_1 (G_1 - G_2) \sinh m_1 h_1. \quad (3.15)$$

Our objective is to relate the interface shear stress to the difference of the average horizontal displacements. We formulate the averages

$$u_1^{1L} = \frac{1}{h_1} \int_0^{h_1} u_1^{*1L} dx_2; \quad u_1^{2L} = \frac{1}{h_2} \int_{h_1}^{\Delta} u_1^{*2L} dx_2, \quad (3.16)$$

using  $u_1^{*ol}$  from Eq. (3.13) and obtain an expression for their difference

$$u_1^{2L} - u_1^{1L} = -(G_1 - G_2) \left[ \frac{C}{D} \left( \frac{m_1 \mu_1}{m_2 \mu_2} \frac{1}{m_2 h_2} + \frac{1}{m_1 h_1} \right) \sinh m_1 h_1 + 1 \right] \quad (3.17)$$

By eliminating the factor  $(G_1 - G_2)$  between Eqs. (3.15) and (3.17), after some manipulation, we get

$$\begin{pmatrix} *1L \\ \sigma_{21} \end{pmatrix}_{x_2=h_1} = \begin{pmatrix} *2L \\ \sigma_{21} \end{pmatrix}_{x_2=h_1} = \frac{3r_1 r_2}{r \Delta} L_1(\kappa_1^1, \kappa_2^1) (u_1^{2L} - u_1^{1L}), \quad (3.18)$$

where

$$L_1(\kappa_1^1, \kappa_2^1) = \frac{r}{3} \frac{\kappa_1^1 \kappa_2^1 \sinh \kappa_1^1 \sinh \kappa_2^1}{r_1 \kappa_1^1 \sinh \kappa_1^1 \cosh \kappa_2^1 + r_2 \kappa_2^1 \cosh \kappa_1^1 \sinh \kappa_2^1 - \left( r_1 \frac{\kappa_1^1}{\kappa_2^1} + r_2 \frac{\kappa_2^1}{\kappa_1^1} \right) \sinh \kappa_1^1 \sinh \kappa_2^1} \quad (3.19)$$

and the arguments  $\kappa_1^1$  and  $\kappa_2^1$  are defined by

$$\kappa_\alpha^1 = \Delta \sqrt{\frac{\rho_\alpha}{r_\alpha}} p, \quad (3.20)$$

and

$$r_\alpha = \frac{\mu_\alpha}{n_\alpha}; \quad r = \sum_{\alpha=1}^2 r_\alpha, \quad (3.21)$$

where  $n_\alpha = h_\alpha/\Delta$ .

Even though Eq. (3.18) is written in the Laplace transform domain it can be interpreted from operational calculus as given in the real time domain provided that the Laplace transform parameter  $p$  is replaced by the operator  $\frac{\partial}{\partial t}$ . In our analysis we adopt this interpretation and accordingly drop the superscript  $L$  in Eq. (3.18). In this case  $L_1(\kappa_1^1, \kappa_2^1)$  in Eq. (3.19) becomes an operator relating the relative average horizontal displacement to the interface shear stress. It should be noted the operator  $L_1(\kappa_1^1, \kappa_2^1)$  is normalized so that  $L_1(0,0) = 1$ .

We now turn our attention to relating the elastodynamic operator  $\Gamma_1^t$  to the operator  $L_1$ . We first note that the  $x_1$  component of the linear momentum interaction  $M_1^1$  acting on the first constituent and defined per unit volume of composite is related to the interface shear stress by

$$M_1^1 = \frac{(\sigma_{21}^{*1})_{x_2=h_1}}{\Delta}. \quad (3.22)$$

On the other hand, we see from Eqs. (3.3) and (3.4) that in our general formulation the same interaction is given by

$$M_1^1 = \Gamma_1^t(u_1^2 - u_1^1). \quad (3.23)$$

Considering Eq. (3.18), and comparing Eqs. (3.22) and (3.23) we finally obtain the form of the horizontal component of the elastodynamic

operator as

$$\Gamma_1^t = K_1 L_1(\kappa_1^1, \kappa_2^1), \quad (3.24)$$

where the constant  $K_1$  is given by

$$K_1 = \frac{3r_1 r_2}{\Delta^2 r} \quad (3.25)$$

The operator  $\Gamma_2^t$ , which governs the vertical linear momentum interaction, can be obtained in a similar way. In the derivation of this operator, the terms  $u_1^{*\alpha}$  and  $\sigma_{21}^{*\alpha}$  appearing in Eqs. (3.10) and (3.11) must be replaced by  $u_2^{*\alpha}$  and  $\sigma_{22}^{*\alpha}$ , respectively. The result is

$$\Gamma_2^t = K_2 L_2(\kappa_1^2, \kappa_2^2) \quad (3.26)$$

where the constant  $K_2$  and the operator  $L_2(\kappa_1^2, \kappa_2^2)$  can again be defined by Eqs. (3.25) and (3.19), respectively, if the terms  $(r_1, r_2, r, \kappa_1^1, \kappa_2^1)$  in these equations are replaced by  $(E_1, E_2, E, \kappa_1^2, \kappa_2^2)$ , respectively, where

$$E_\alpha = \frac{2\mu_\alpha + \lambda_\alpha}{n_\alpha}; \quad E = \sum_{\alpha=1}^2 E_\alpha, \quad (3.27)$$

and

$$\kappa_\alpha^2 = \Delta \sqrt{\frac{\rho_\alpha}{E_\alpha}} p. \quad (3.28)$$

We recognize at this point in the development that the elastodynamic operators will make the equations of linear momentum too complicated for practical use. Accordingly, we replace each of the operators by an approximation derived by expanding the operators  $L_1(\kappa_1^1, \kappa_2^1)$  and  $L_2(\kappa_1^2, \kappa_2^2)$ , defined by Eq. (3.19), in power series expansions of their arguments, and retain the first three terms. This procedure yields

$$\begin{aligned}\Gamma_1^t &= K_1 + q_1 \frac{\partial^2}{\partial t^2} \\ \Gamma_2^t &= K_2 + q_2 \frac{\partial^2}{\partial t^2}\end{aligned}\tag{3.29}$$

where

$$q_1 = \frac{\rho_1 r_2^2 + \rho_2 r_1^2}{5r^2} ; \quad q_2 = \frac{\rho_1 E_2^2 + \rho_2 E_1^2}{5E^2} .\tag{3.30}$$

Here we first note that Eq. (3.29) is valid when the Laplace transform parameter is small. This means that Eq. (3.29) represents an asymptotic form for the operators valid for large times. Secondly, we note that Eq. (3.29) implies that the linear momentum interaction involves the constituent relative acceleration. This violates the principle of material indifference because the constituent relative acceleration is not an objective quantity. This point is discussed in [20] where the author states that this violation can be disregarded in linear theories.

When the expressions for  $\Gamma_1^t$  and  $\Gamma_2^t$  are introduced into the equations for linear momentum, Eqs. (2.1), we obtain the approximate form of these equations,

$$\begin{aligned}\frac{\partial \sigma_{ji}^1}{\partial x_j} + \rho_1 F_i^1 + K_{ij}(u_j^2 - u_j^1) &= m_{ij}^1 \dot{v}_j^1 - q_{ij} \dot{v}_j^2 \\ \frac{\partial \sigma_{ji}^2}{\partial x_j} + \rho_2 F_i^2 + K_{ij}(u_j^1 - u_j^2) &= -q_{ij} \dot{v}_j^1 + m_{ij}^2 \dot{v}_j^2 ,\end{aligned}\tag{3.31}$$

where

$$(K_{ij}) = \begin{bmatrix} K_1 & 0 & 0 \\ 0 & K_2 & 0 \\ 0 & 0 & K_1 \end{bmatrix} ; \quad (q_{ij}) = \begin{bmatrix} q_1 & 0 & 0 \\ 0 & q_2 & 0 \\ 0 & 0 & q_1 \end{bmatrix} ;\tag{3.32}$$

$$(m_{ij}^{\alpha}) = \begin{bmatrix} (\rho_{\alpha} + q_1) & 0 & 0 \\ 0 & (\rho_{\alpha} + q_2) & 0 \\ 0 & 0 & (\rho_{\alpha} + q_1) \end{bmatrix}. \quad (3.32)$$

Eqs. (3.31) along with the constitutive equation Eqs. (A.1), (3.1), (3.2) make up the model theory governing the homogeneous material which replaces the two layer material. It remains to establish the nineteen model constants of the theory in terms of the mechanical properties  $\mu_{\alpha}$ ,  $\lambda_{\alpha}$ , and  $\rho_{\alpha}^R$  of each of the two constituents.

### 3.2 Comments on the Theory

Before establishing equations giving the model constants in terms of the phase constants, some comments on the theory are in order. There has already been work towards developing the same kind of theory. For example, Bedford and Stern [9] using a quasi-static analysis, found the same expression for  $K_1$ , Eq. (3.25). They proposed a special mixture theory for a layered composite valid only for dilatational waves propagating parallel to the layers. Their theory takes into account only the first term in the expansion of  $\Gamma_1^t$  and neglects the coupling in the stress-strain relations.

In the development of the equations of linear momentum in Section (3.1), the components of the elastodynamic operator  $\Gamma_{ij}^t$ , derived using a micro model analysis, are replaced by approximate forms. One might suspect that this step could make the resulting theory valid only when the relative phase displacements vary slowly with changes in time. This restriction would not be real if the frequency range of the theory accommodates the first nonzero cut-off frequencies in spectra representing the behavior of dilatational and shear waves propagating in the principal directions. Fortunately, this is the case for the present theory. In fact the operators contain model constants that can be adjusted to make these cut-off frequencies from the exact and model theories match. In the section which follows, the constants are used for this purpose. In the last section on the assessment of the theory we show convincingly that the approximations used for the elastodynamic operator are appropriate.

We end this section with two general remarks on the theory developed in Section (3.1). The first is that we feel the equations of linear momentum, Eqs.(3.31), even though they were developed for a layered two phase material, are appropriate for all periodic, two phase materials. We will use these same equations, for example, when developing a theory to represent a homogeneous model for masonry walls, which have vertical layers in addition to horizontal. The difference between the masonry model and that in which vertical layering is neglected will be reflected in the forms for  $K_{ij}$  and  $q_{ij}$  and the values of the constants in the matrices.

The last comment has to do with the model constants  $K_1$ ,  $K_2$ ,  $q_1$  and  $q_2$ . We have already found these in terms of the phase constants as given by equations (3.25) and (3.30). These equations are found by using micro model analysis which is based on assumed deformation modes for the phases. It might well be that in producing a model that will behave like its prototype it is more appropriate to assign other values to the constants. It seems sensible when starting the process of establishing the set of model constants, to abandon the values of  $K_1$ ,  $K_2$ ,  $q_1$  and  $q_2$  established by Eqs. (3.25) and (3.30) and have them unassigned as are the remaining fifteen. Thus the two steps, the derivation of the forms of the equations of the model and the establishment of the model constants, are clearly separated.

### 3.3 Evaluation of the Constants

The theory just completed describes a homogeneous, dispersive material with hexagonal symmetry, that will be used to act as a model or replacement of a two phase material composed of alternating plane layers, each made up of an isotropically elastic material. The effectiveness of the model can be judged only when it has been decided what behavior of the prototype it is that we wish to mimic. In this study we will use the new material to predict the dynamic behavior of the layered material. We have at our disposal nineteen constants that we can use to this end.

We can establish the constants by matching the dynamic behaviors of the model and prototype but the possible matching phenomena are almost without limit, certainly far beyond nineteen, so that there is no unique set of constants or no unique material to be established. By the dynamic behavior of the layered material we could mean dilatational waves parallel to the layers, or shear waves normal to the layers or other variations; we could be referring to infinite trains of waves or transient wave behavior of each type; and the behavior we are considering could be that predicted by exact theories of layered materials, behavior of the model, or behavior observed from experiments.

Our choice of a layered material as a special case of a two phase, periodic material was made partly because a layered material is close to masonry but, equally important, the choice was made because there is a rich supply of information about the dynamic behavior of layered materials. We have at hand spectra developed from the exact theory for various types of trains of waves, which can be adapted to any layer properties; experimental data describing the behavior of both trains of waves and transient waves in several different two layer materials.



We can say unequivocally that the best set of constants would be those found using system identification to match some experimental behavior, either steady state or transient. If we used system identification we would have to decide at the beginning what few among the variety of experimental behaviors it is that we choose to match. If it is the behavior of infinite trains of waves in a variety of directions as reflected in spectral lines representing phase velocity vs. wave number then a criterion function would be chosen which would represent the accumulation of least squared errors between experimental points and model points on the spectra over whatever length of spectral lines we choose. An optimization algorithm would then be constructed from which the nineteen constants are established that would minimize the criterion function. We have had considerable experience with system identification, enough to know, that with nineteen parameters involved, the problem in using this method would be formidable. Accordingly, we leave this to a future study.

The method we use here to establish the nineteen constants in terms of the layer properties, (which turns out to be successful) is a mixture of micro model analysis and matching of specific properties of spectra from the exact theory reflecting the behavior of infinite trains of particular types of waves. The method described in what follows is, we think, the simplest.

As we will be matching spectra for infinite trains of waves, we require the velocity equation, and subsequently the frequency equation, which govern infinite trains of waves travelling in our model material. To this end we adopt the trial solution

$$u_j^\alpha = A_j^\alpha e^{ik(e_p x_p - ct)} \quad (3.33)$$

in which  $A_j^\alpha$  are the amplitudes,  $\underline{e}$  is the unit normal denoting the direction of propagation,  $k$  is the wave number and  $c$  the phase velocity. When we substitute (3.33) into the constitutive relations, Eqs. (A.1), and the equations of linear momentum, Eqs.(3.31), and use the strain-displacement relations, Eq.(2.11), the condition for which a nontrivial solution will exist gives the equation

$$\begin{aligned} [(\rho_1\rho_2+\rho q)k^2]c^4 - [(\rho_1S_{22} + \rho_2S_{11} + qS)k^2 + \rho K]c^2 \\ + [(S_{11}S_{22} - S_{12}S_{12})k^2 + SK] = 0 \end{aligned} \quad (3.34)$$

for waves propagating in the  $x_1$  and  $x_2$  directions.

Equation (3.34) relates the phase velocity  $c$  to the wave number  $k$  and it should be noted that for each  $k$  there are two phase velocities leading to two spectral lines on the  $k$ - $c$  plane. Equation (3.34) will be used in the next section to trace out the spectral lines for a variety of types of waves, but in this section we are primarily interested in the case where  $k$  is zero or approaches zero. Study of Eq.(3.34) shows that as  $k$  approaches zero, one value of  $c$  approaches infinity, and the other approaches the cut-off velocity

$$c^2 = \frac{S}{\rho} \quad (3.35)$$

$$\text{where } \rho = \sum_{\alpha=1}^2 \rho_\alpha ; \quad S = \sum_{\alpha=1}^2 \sum_{\beta=1}^2 S_{\alpha\beta} .$$

The values of  $S_{\alpha\beta}$ ,  $K$  and  $q$  appearing in Eqs.(3.34) and (3.35) differ for each type of principal wave. They are

for dilatational waves in the  $x_1$  direction

$$S_{\alpha\beta} = C_{11}^{\alpha\beta} ; \quad K = K_1 ; \quad q = q_1 \quad (3.36)$$

for  $S_V$  waves in the  $x_1$  direction

$$S_{\alpha\beta} = C_{44}^{\alpha\beta} ; K = K_2 ; q = q_2 \quad (3.37)$$

for  $S_H$  waves in the  $x_1$  direction

$$S_{\alpha\beta} = C_{55}^{\alpha\beta} ; K = K_1 ; q = q_1 \quad (3.38)$$

for dilatational waves in the  $x_2$  direction

$$S_{\alpha\beta} = C_{22}^{\alpha\beta} ; K = K_2 ; q = q_2 \quad (3.39)$$

for shear waves in the  $x_2$  direction

$$S_{\alpha\beta} = C_{44}^{\alpha\beta} ; K = K_1 ; q = q_1 . \quad (3.40)$$

The phase velocity of the second branch goes to infinity as the wave number approaches zero, so additional insight can be gained by studying the frequency equation. This is easily obtained from Eq.(3.34) when we recognize that the circular frequency  $\omega$  is related to  $k$  and  $c$  according to  $\omega = kc$ . The frequency equation becomes

$$\begin{aligned} & [(\rho_1\rho_2 + \rho q)]\omega^4 - [(\rho_1 S_{22} + \rho_2 S_{11} + qS)k^2 + \rho K]\omega^2 \\ & + [(S_{11}S_{22} - S_{12}S_{12})k^4 + SKk^2] = 0 \end{aligned} \quad (3.41)$$

When  $k = 0$ , the equation becomes

$$(\rho_1\rho_2 + \rho q)\omega^4 - \rho K \omega^2 = 0 \quad (3.42)$$

so that the lowest spectral line emanates from the origin of the  $\omega - k$  plane, and the second has the cut-off frequency

$$\omega_\alpha^2 = \frac{\rho K_\alpha}{(\rho_1\rho_2 + \rho q_\alpha)} . \quad (3.43)$$

The frequency  $\omega_1$  is the cut-off for P and  $S_H$  waves propagating in the  $x_1$  direction, and  $S_V$  waves propagating in the  $x_2$  direction;  $\omega_2$  for  $S_V$  waves in the  $x_1$  direction and P waves in the  $x_2$  direction.

We now are in a position to begin the process of establishing equations that relate the model constants to the properties of each of the two layers in the prototype.

#### Determination of $K_\alpha$ and $q_\alpha$

We first establish equations from which  $K_1$ ,  $K_2$ ,  $q_1$  and  $q_2$ , the constants appearing in the equations of linear momentum, can be found. In the previous section while developing the form for the elasto-dynamic operator using micro-model analysis, we found values for  $K_1$  and  $K_2$ . These values were temporarily abandoned to leave all constants free for evaluation by other matching, but now we again adopt these equations. Accordingly, we establish  $K_1$  and  $K_2$  from Eq. (3.25).

On the other hand, we do not use our previous equations to establish  $q_1$  and  $q_2$ . These constants determine directly the cut-off frequencies of infinite trains of waves, so we adjust them so that the cut-off frequencies of P and  $S_V$  waves in the  $x_2$  direction predicted by the model are the same as those predicted by the exact theory.

The cut-off frequencies  $\bar{\omega}_1$  and  $\bar{\omega}_2$  from the exact theory are the lowest nonzero roots respectively of the equations

$$\begin{aligned} \cos \xi_1 \cos \xi_2 - \frac{1+p_1^2}{2p_1} \sin \xi_1 \sin \xi_2 &= 1 \\ \cos \eta_1 \cos \eta_2 - \frac{1+p_2^2}{2p_2} \sin \eta_1 \sin \eta_2 &= 1 . \end{aligned} \tag{3.44}$$

In Eqs. (3.44)

$$\begin{aligned}
 \xi_\alpha &= 2h_\alpha \bar{\omega}_1 / C_T^\alpha ; \quad \eta_\alpha = 2h_\alpha \bar{\omega}_2 / C_L^\alpha \\
 C_T^\alpha &= \sqrt{\frac{\mu_\alpha}{R}} \rho_\alpha ; \quad p_1 = \frac{\mu_1}{\mu_2} \frac{C_T^2}{C_T} \\
 C_L^\alpha &= \sqrt{\frac{\lambda_\alpha + 2\mu_\alpha}{R}} \rho_\alpha ; \quad p_2 = \frac{\mu_1 + 2\mu_1}{\lambda_2 + 2\mu_2} \frac{C_L^2}{C_L} .
 \end{aligned} \tag{3.45}$$

The constants  $q_1$  and  $q_2$  are obtained by equating the  $\omega_\alpha^2$  in Eq. (3.43) to the  $\bar{\omega}_\alpha^2$  obtained from Eqs. (3.44).

### Determination of $C_{11}^{\alpha\beta}$ , $C_{12}^{\alpha\beta}$ and $C_{13}^{\alpha\beta}$

All of the equations establishing these constants are derived using micro model analysis. We present the derivation of the equations from which the  $C_{11}^{\alpha\beta}$  are found, and then state the comparable equations for the  $C_{12}^{\alpha\beta}$  and  $C_{13}^{\alpha\beta}$ .

We assume a quasi-longitudinal deformation state parallel to layering, see Fig. 2, so that distance between the mid-planes of layers remain unchanged. If the lateral expansion (or contraction) of the first constituent is  $\delta$ , that of the second will be  $(-\delta)$ .

When we average the constitutive relations for  $\sigma_{11}^{\alpha}$  and  $\sigma_{22}^{\alpha}$

$$\begin{aligned}
 \sigma_{11}^{\alpha} &= (\lambda_\alpha + 2\mu_\alpha) e_{11}^{\alpha} + \lambda_\alpha e_{22}^{\alpha} \\
 \sigma_{22}^{\alpha} &= (\lambda_\alpha + 2\mu_\alpha) e_{22}^{\alpha} + \lambda_\alpha e_{11}^{\alpha}
 \end{aligned} \tag{3.46}$$

over the thickness of the  $\alpha$ th constituent by using the formulas

$$\bar{\sigma}_{11}^1 = \frac{1}{2h_1} \int_{-h_1}^{h_1} \sigma_{11}^{*1} dx_2 \quad (3.47)$$

$$\bar{\sigma}_{11}^2 = \frac{1}{2h_2} \int_{h_1}^{\Delta+h_2} \sigma_{11}^{*2} dx_2 ; \text{ etc.}$$

we obtain

$$\bar{\sigma}_{11}^1 = n_1 E_1 \bar{e}_{11}^1 + \lambda_1 \frac{\delta}{2h_1} \quad (3.48)$$

$$\bar{\sigma}_{11}^2 = n_2 E_2 \bar{e}_{11}^2 - \lambda_2 \frac{\delta}{2h_2}$$

and

$$\bar{\sigma}_{22}^1 = \lambda_1 \bar{e}_{11}^1 + n_1 E_1 \frac{\delta}{2h_1} \quad (3.49)$$

$$\bar{\sigma}_{22}^2 = \lambda_2 \bar{e}_{11}^2 - n_2 E_2 \frac{\delta}{2h_2}$$

At the interfaces of layers  $\sigma_{22}^{*\alpha}$  should be continuous. In the micro model we assume that this continuity is approximately satisfied by that of the average  $\bar{\sigma}_{22}^\alpha$ . By using Eqs. (3.49) and the continuity of  $\sigma_{22}^{*\alpha}$ , the term  $\delta$  appearing in Eqs. (3.48) can be eliminated. This yields

$$\bar{\sigma}_{11}^1 = \left( n_1 E_1 - \frac{\lambda_1^2}{n_1 E} \right) \bar{e}_{11}^1 + \frac{\lambda_1 \lambda_2}{n_1 E} \bar{e}_{11}^2 \quad (3.50)$$

$$\bar{\sigma}_{11}^2 = \frac{\lambda_1 \lambda_2}{n_2 E} \bar{e}_{11}^1 + \left( n_2 E_2 - \frac{\lambda_2^2}{n_2 E} \right) \bar{e}_{11}^2.$$

When we use the equation  $\sigma_{11}^\alpha = n_\alpha \bar{\sigma}_{11}^\alpha$  which relates the partial stress  $\sigma_{11}^\alpha$  to the average stress  $\bar{\sigma}_{11}^\alpha$  and note that  $e_{11}^\alpha = \bar{e}_{11}^\alpha$ , we finally obtain

$$\begin{aligned}\sigma_{11}^1 &= \left(n_1^2 E_1 - \frac{\lambda_1^2}{E}\right) e_{11}^1 + \frac{\lambda_1 \lambda_2}{E} e_{11}^2 \\ \sigma_{11}^2 &= \frac{\lambda_1 \lambda_2}{E} e_{11}^1 + \left(n_2^2 E_2 - \frac{\lambda_2^2}{E}\right) e_{11}^2.\end{aligned}\tag{3.51}$$

To obtain the constants  $C_{11}^{\alpha\beta}$  in terms of the properties of the layers we compare the expressions for  $\sigma_{11}^1$  and  $\sigma_{11}^2$  given by Eqs. (3.51) with those from the constitutive relations Eqs. (A.1). The comparable stresses are equal when

$$C_{11}^{11} = n_1^2 E_1 - \frac{\lambda_1^2}{E}; \quad C_{11}^{22} = n_2^2 E_2 - \frac{\lambda_2^2}{E}; \quad C_{11}^{12} = C_{11}^{21} = \frac{\lambda_1 \lambda_2}{E}.\tag{3.52}$$

The relations, Eqs. (3.52), were obtained previously in [10], where a mixture theory, valid only for dilatational waves propagating parallel to layering, was proposed.

Here we also note that a similar procedure to that described above was previously used by Stern and Bedford [21] to establish all of the constants of a model which they proposed by using the theory of mixtures. In that work Stern and Bedford did not take into account the coupling in the stress-strain relations which would imply that the state of stress of one phase is affected by the deformation of the other.

Using the same method as for  $C_{11}^{\alpha\beta}$ , we find

$$\begin{aligned}C_{12}^{11} &= n_1 \lambda_1 \frac{E_2}{E}; \quad C_{12}^{22} = n_2 \lambda_2 \frac{E_1}{E}; \quad C_{12}^{12} = n_2 \lambda_1 \frac{E_2}{E} \\ C_{12}^{21} &= C_{21}^{12} = n_1 \lambda_2 \frac{E_1}{E}\end{aligned}\tag{3.53}$$

and

$$C_{13}^{11} = n_1 \lambda_1 - \frac{\lambda_1^2}{E}; \quad C_{13}^{22} = n_2 \lambda_2 - \frac{\lambda_2^2}{E}. \quad (3.54)$$

We note from Ref. 1 that the constants found using Eqs.(3.52-3.54) are identical with those that will allow the cut-off phase velocities of P and  $S_H$  waves in the  $x_1$  direction from the model to match those given by the exact theory. The last analysis also gives  $C_{13}^{12} = C_{13}^{21} = \frac{\lambda_1 \lambda_2}{E}$ , but each of these is equal to  $C_{11}^{12}$ , already established, so these equalities do not provide independent information.

It only remains to establish  $C_{22}^{\alpha\beta}$  and  $C_{44}^{\alpha\beta}$ , and we choose to find these sets of constants by adjusting the cut-off and asymptotic phase velocities of infinite trains of waves of two specific types.

#### Determination of $C_{22}^{\alpha\beta}$ and $C_{44}^{\alpha\beta}$

We find three independent constants  $C_{22}^{11}$ ,  $C_{22}^{22}$  and  $C_{22}^{12}$  ( $= C_{22}^{21}$ ) by matching three properties of the spectral lines representing the relationship between phase velocity and wave numbers for dilatational waves traveling perpendicular to layering. A similar procedure was previously used by Mindlin and McNiven [22] who matched cut-off frequencies to establish adjustment factors as part of an approximate theory governing the vibrations of rods. We first match the cut-off velocity of the lowest branch. We already have the velocity for the model from Eqs. (3.35) and (3.39). The same velocity from the exact theory is

$$\bar{c}^2 = \frac{E_1 E_2}{\rho E}. \quad (3.55)$$

Matching gives the equation

$$C_{22}^{11} + C_{22}^{22} + 2C_{22}^{12} = \frac{E_1 E_2}{E}. \quad (3.56)$$



The other two equations are obtained by matching the asymptotic phase velocities for the lowest and second lines, respectively.

We know from the exact theory that the asymptotic phase velocity of the lowest branch is zero. When we derive this same velocity for the model from Eq. (3.34) and set it equal to zero, we get

$$c_{22}^{11} c_{22}^{22} - c_{22}^{12} c_{22}^{12} = 0. \quad (3.57)$$

Finally, from the exact theory we have the expression for the fastest transmitted wave velocity.

$$c_{\infty} = \frac{\sqrt{\frac{E_1}{\rho_1}} \sqrt{\frac{E_2}{\rho_2}}}{\sqrt{\frac{E_1}{\rho_1}} + \sqrt{\frac{E_2}{\rho_2}}} \quad (3.58)$$

When the velocity is equated to the second asymptotic phase velocity for the model derived from Eq. (5.2), we get a third independent equation

$$\rho_1 c_{22}^{22} + \rho_2 c_{22}^{11} = (\rho_1 \rho_2 + \rho q_2) c_{\infty}^2 - q_2 \frac{E_2 E_1}{E} \quad (3.59)$$

Comparable equations are obtained by matching the same quantities, this time for  $S_v$  waves propagating in the  $x_2$  direction. The equations are

$$c_{44}^{11} + c_{44}^{22} + 2c_{44}^{12} = \frac{r_1 r_2}{r} \quad (3.60)$$

$$c_{44}^{11} c_{44}^{22} - c_{44}^{12} c_{44}^{12} = 0 \quad (3.61)$$

$$\rho_1 c_{44}^{22} + \rho_2 c_{44}^{11} = (\rho_1 \rho_2 + \rho q_1) c_{\infty}^2 - q_1 \frac{r_1 r_2}{r} \quad (3.62)$$

In Eq. (3.62)

$$c_{\infty} = \frac{\sqrt{\frac{r_1}{\rho_1}} \sqrt{\frac{r_2}{\rho_2}}}{\sqrt{\frac{r_1}{\rho_1}} \sqrt{\frac{r_2}{\rho_2}}} \quad (3.63)$$

With the set of equations established in this section, one is able to calculate the nineteen model constants for a particular layered material for which the phase constants are specified. This completes the formulation of the theory governing the model material.

### 3.4 Assessment of the Model

The final step in completing a theory is to ascertain how well it works. Here, we have available a wealth of material describing three types of dynamic behavior of various layered materials. The first is theoretical and consists of phase velocity and frequency spectra that predict the behavior of infinite trains of waves from the exact theory. The second displays the same behavior from experimental observations, and the third describes transient wave behavior from experiments.

The use of this transient wave behavior to assess the approximate theory is probably the most demanding and the most satisfying, but since it requires additional theoretical development this assessment is left to the next chapter.

We compare spectra derived from the model theory with the exact theory spectra of Sun et al. [2] which they used to assess a first order effective stiffness theory for a layered composite. The phase constants assumed by Sun and the comparable model constants are shown in Table 1. The experimental results used here are due to Whittier et al [23]. They established spectral lines representing the relationship between phase velocity and frequency for waves propagating parallel to layering. The spectral lines are for two different layered materials; thorne1 reinforced carbon phenolic and boron reinforced carbon phenolic. The elastic properties of each of these materials are shown in Tables 2 and 3, along with their respective model constants that are needed.

Comparisons with the exact theory are displayed in Figures (3-9). In these Figures, the dimensionless wave number  $\xi$ , the phase velocity  $\beta$  and the normalized frequency  $\Omega$  are given by

$$\xi = 2h_1 k ; \beta = c/(\mu_2/\rho_2^R)^{\frac{1}{2}} ; \Omega = 2h_1 \omega/(\mu_2/\rho_2^R)^{\frac{1}{2}} \quad (3.64)$$

TABLE 1.  
PROPERTIES OF SUN'S MATERIAL

SPECIFIED LAYER PROPERTIES							
$n_1$	$n_2$	$\bar{\rho}_1^R$	$\bar{\rho}_2^R$	$\bar{\mu}_1$	$\bar{\mu}_2$	$\bar{\lambda}_1$	$\bar{\lambda}_2$
0.8	0.2	3	1	100	1	150	2.333
COMPUTED MODEL CONSTANTS							
$\bar{K}_1$	$\bar{K}_2$	$\bar{q}_1$	$\bar{q}_2$				
36.923	158.550	0.041	0.040				
$\bar{C}_{11}^{11}$	$\bar{C}_{11}^{22}$	$\bar{C}_{11}^{12}$	$\bar{C}_{12}^{11}$	$\bar{C}_{12}^{22}$	$\bar{C}_{12}^{12}$	$\bar{C}_{21}^{12}$	
230.998	0.855	0.762	5.663	0.445	1.416	1.778	
$\bar{C}_{13}^{11}$	$\bar{C}_{13}^{22}$	$\bar{C}_{22}^{11}$	$\bar{C}_{22}^{22}$	$\bar{C}_{22}^{12}$	$\bar{C}_{44}^{11}$	$\bar{C}_{44}^{22}$	$\bar{C}_{44}^{12}$
70.998	0.455	44.076	4.389	-13.908	10.686	1.158	-3.517

THE DIMENSIONLESS QUANTITIES APPEARING IN THIS TABLE ARE DEFINED BY

$$\bar{\rho}_a^R = \rho_a^R / \rho_2^R ; \bar{\mu}_a = \mu_a / \mu_2 ; \bar{\lambda}_a = \lambda_a / \mu_2$$

$$\bar{K}_a = \frac{(2h_1)^2 K_a}{\mu_2} ; \bar{q}_a = q_a / \rho_2^R ; \bar{C}_{ij}^{a\beta} = C_{ij}^{a\beta} / \mu_2.$$

TABLE 2.

## PROPERTIES OF THORNEL REINFORCED CARBON PHENOLIC

SPECIFIED LAYER PROPERTIES							
$h_1$	$h_2$	$\rho_1^R$	$\rho_2^R$	$\mu_1$	$\mu_2$	$\lambda_1$	$\lambda_2$
cm		$\frac{\text{dyne-}\mu\text{sec}^2}{\text{cm}^4}$		dyne/cm <sup>2</sup>		dyne/cm <sup>2</sup>	
0.0032	0.0279	$1.47 \times 10^{12}$	$1.42 \times 10^{12}$	$0.756 \times 10^{12}$	$0.0662 \times 10^{12}$	$0.756 \times 10^{12}$	$0.114 \times 10^{12}$
COMPUTED MODEL CONSTANTS							
$K_1$	$q_1$	$C_{11}^{11}$	$C_{11}^{22}$	$C_{11}^{12}$			
dyne/cm <sup>4</sup>	$\frac{\text{dyne-}\mu\text{sec}^2}{\text{cm}^4}$	dyne/cm <sup>2</sup>	dyne/cm <sup>2</sup>	dyne/cm <sup>2</sup>	dyne/cm <sup>2</sup>		
$227.213 \times 10^{12}$	$0.399 \times 10^{12}$	$0.210 \times 10^{12}$	$0.220 \times 10^{12}$	$0.0039 \times 10^{12}$			

TABLE 3.

## PROPERTIES OF BORON REINFORCED CARBON PHENOLIC

SPECIFIED LAYER PROPERTIES							
$h_1$	$h_2$	$\rho_1^R$	$\rho_2^R$	$\mu_1$	$\mu_2$	$\lambda_1$	$\lambda_2$
cm		$\frac{\text{dyne-}\mu\text{sec}^2}{\text{cm}^4}$		dyne/cm <sup>2</sup>		dyne/cm <sup>2</sup>	
0.0052	0.026	$2.37 \times 10^{12}$	$1.42 \times 10^{12}$	$0.951 \times 10^{12}$	$0.0662 \times 10^{12}$	$0.806 \times 10^{12}$	$0.114 \times 10^{12}$
COMPUTED MODEL CONSTANTS							
$K_1$	$q_1$	$C_{11}^{11}$	$C_{11}^{22}$	$C_{11}^{12}$			
dyne/cm <sup>4</sup>	$\frac{\text{dyne-}\mu\text{sec}^2}{\text{cm}^4}$	dyne/cm <sup>2</sup>	dyne/cm <sup>2</sup>	dyne/cm <sup>2</sup>	dyne/cm <sup>2</sup>		
$239.387 \times 10^{12}$	$0.280 \times 10^{12}$	$0.410 \times 10^{12}$	$0.204 \times 10^{12}$	$0.0055 \times 10^{12}$			

Figures 3-8 show comparisons between spectral lines representing phase velocities for waves travelling in principal directions. The matching is remarkably good. Figure 9 shows the same comparison for waves propagating parallel to the  $x_1$ - $x_2$  plane at an angle of 45 degrees with the  $x_1$  axis. In Figures 3 and 7 comparison is made not only with the exact theory but with spectral lines derived from both first and second order effective stiffness theories [2,4]. From both figures we may conclude that the theory presented here is superior to the first order theory. In Figure 3, the present theory and the second order theory are about equally effective; while for P waves travelling in the  $x_2$  direction shown in Figure 7, the second order effective stiffness theory is superior to the present theory. However, it is important to point out that this preferable performance is obtained at the expense of a considerable increase in complication. Even though the dispersion introduced by the lower approximate spectral line appears to be slight, we can report that the transient wave behavior, predicted by the present theory, of P waves propagating in the  $x_2$  direction agrees well with the behavior reported from experiments. This comparison will be presented in the next chapter.

In all of these Figures the spectral lines give the relationship between phase velocity and wave number. Since the second spectral line extends toward infinite phase velocity as the wave number approaches zero, very little insight into the behavior of this higher mode is gained from these Figures for small wave numbers. Accordingly, for this mode, a comparison is made of spectral lines reflecting the relationship between frequency and wave number; these lines have finite cut-offs. Frequency spectra for this higher mode for P waves and  $S_H$  waves propagating parallel to layering are shown in Figures 4 and 6, respectively.

The last two figures, Figures 10 and 11, are devoted to thorne1 and boron reinforced carbon phenolic laminates, respectively. In the Figures we compare the first spectral lines found using the present theory with the exact and experimental ones for dilatational waves propagating parallel to layering. The comparisons are made on the (f-c) plane, where f is the cyclic frequency, related to the angular frequency  $\omega$  by  $f = \omega/2\pi$ . The Figures indicate that agreement between experimental and theoretical data is remarkably good.

Finally, an important point should be noted. For waves propagating perpendicular to layering, the exact spectrum has a banded structure with passing and stopping bands. These bands are regions of the spectrum representing harmonic waves that propagate and attenuate respectively. The present approximate theory and others, except those proposed by Herrmann, Kaul and Delph [7,8], do not predict the stopping bands. In these papers the authors have developed a one-dimensional approximate theory, which they call effective dispersion theory, for waves propagating perpendicular to layering only. Their theory accommodates the first stopping band and approximates quite well the two lowest spectral lines over the first two Brillouin zones. A refined three-dimensional approximate theory based on micromorphic mixture theory is being developed for two phase composites by the authors of the present work and will be reported soon. In that work it is found that the refined theory, which accommodates both the symmetric and antisymmetric displacement distributions, within phases, predicts the stopping bands for waves propagating perpendicular to layering.

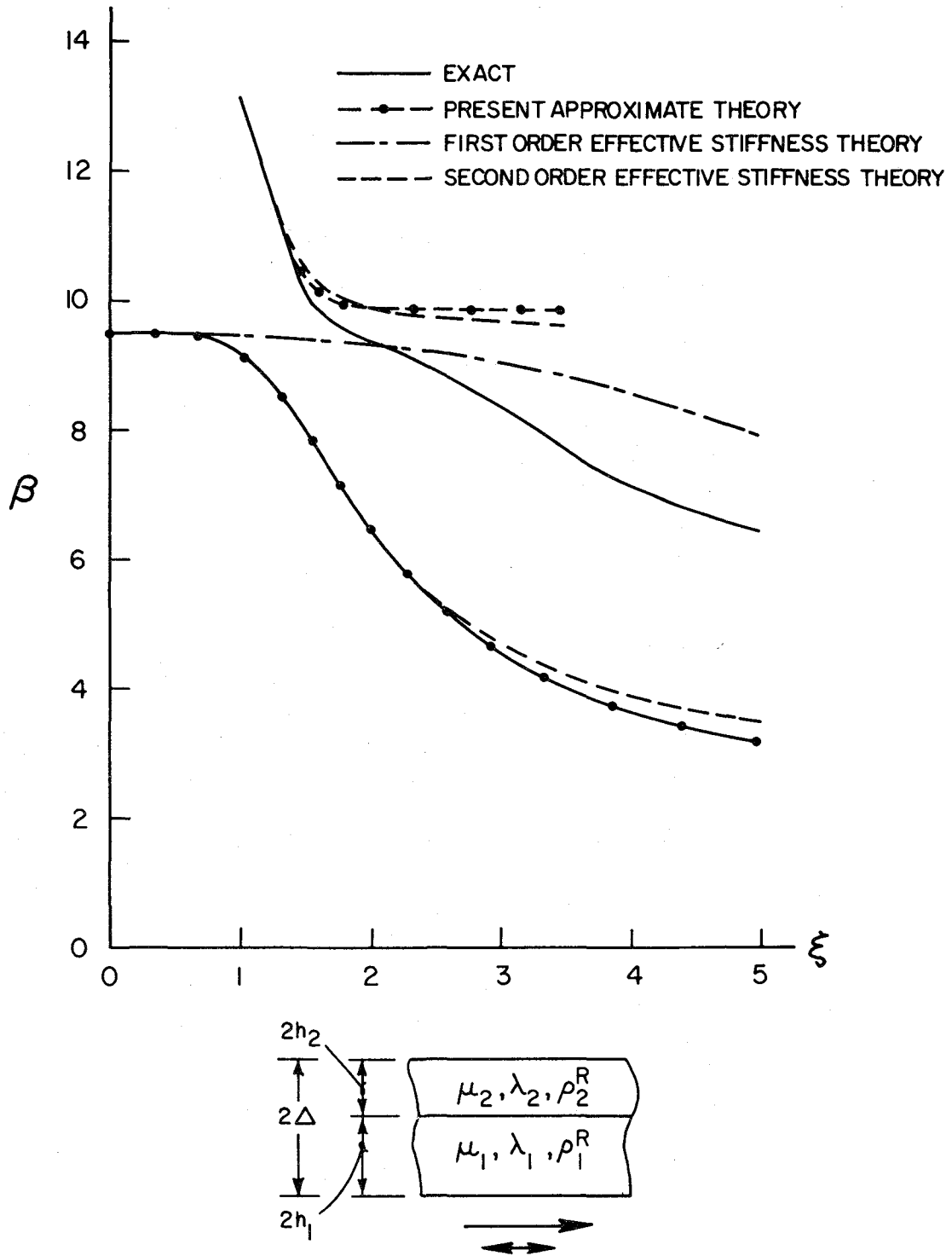


FIG. 3. SPECTRUM FOR DILATATIONAL WAVES PROPAGATING PARALLEL TO LAYERING (SUN'S MATERIAL)



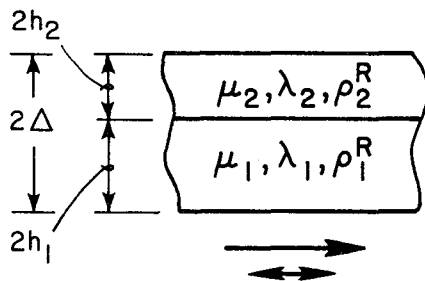
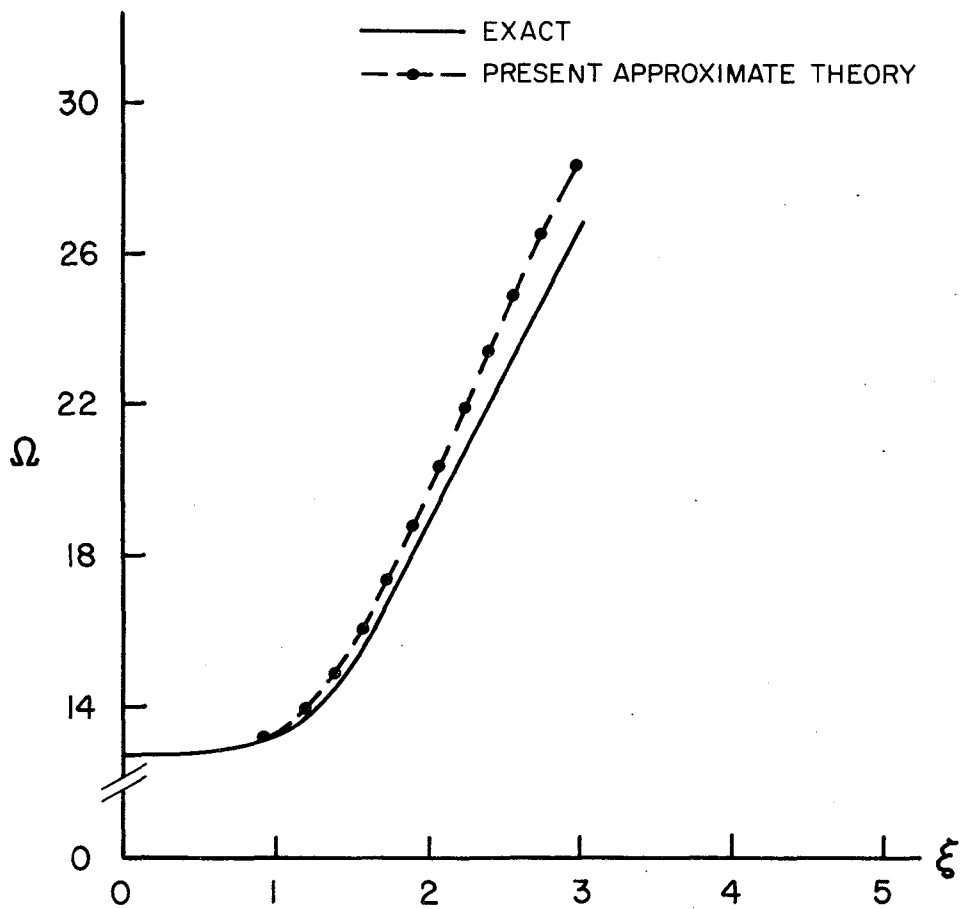


FIG. 4. THE SECOND SPECTRAL LINE ON THE FREQUENCY-WAVE NUMBER PLANE FOR DILATATIONAL WAVES PROPAGATING PARALLEL TO LAYERING (SUN'S MATERIAL)

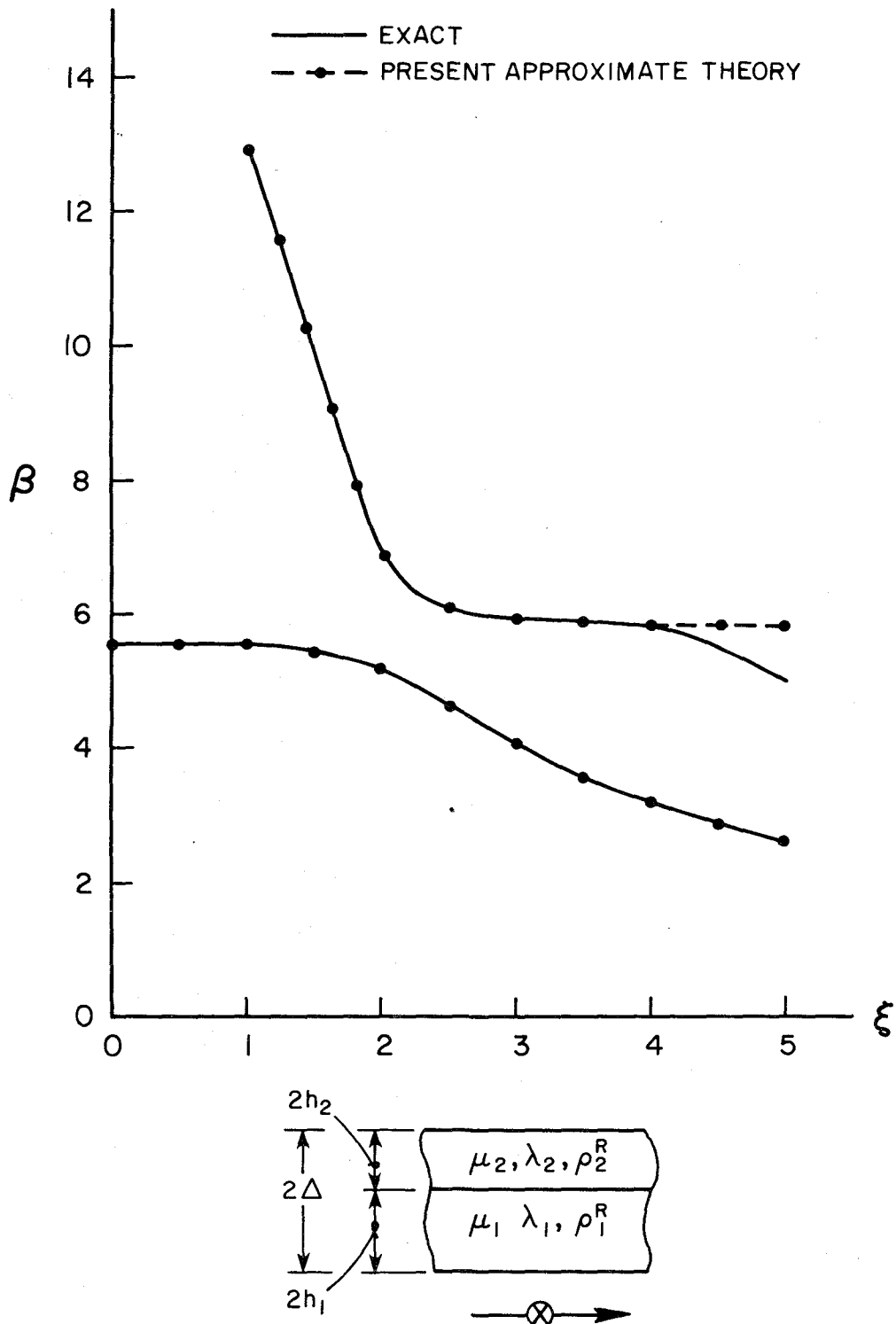


FIG. 5. SPECTRUM FOR SH WAVES PROPAGATING PARALLEL TO LAYERING (SUN'S MATERIAL)

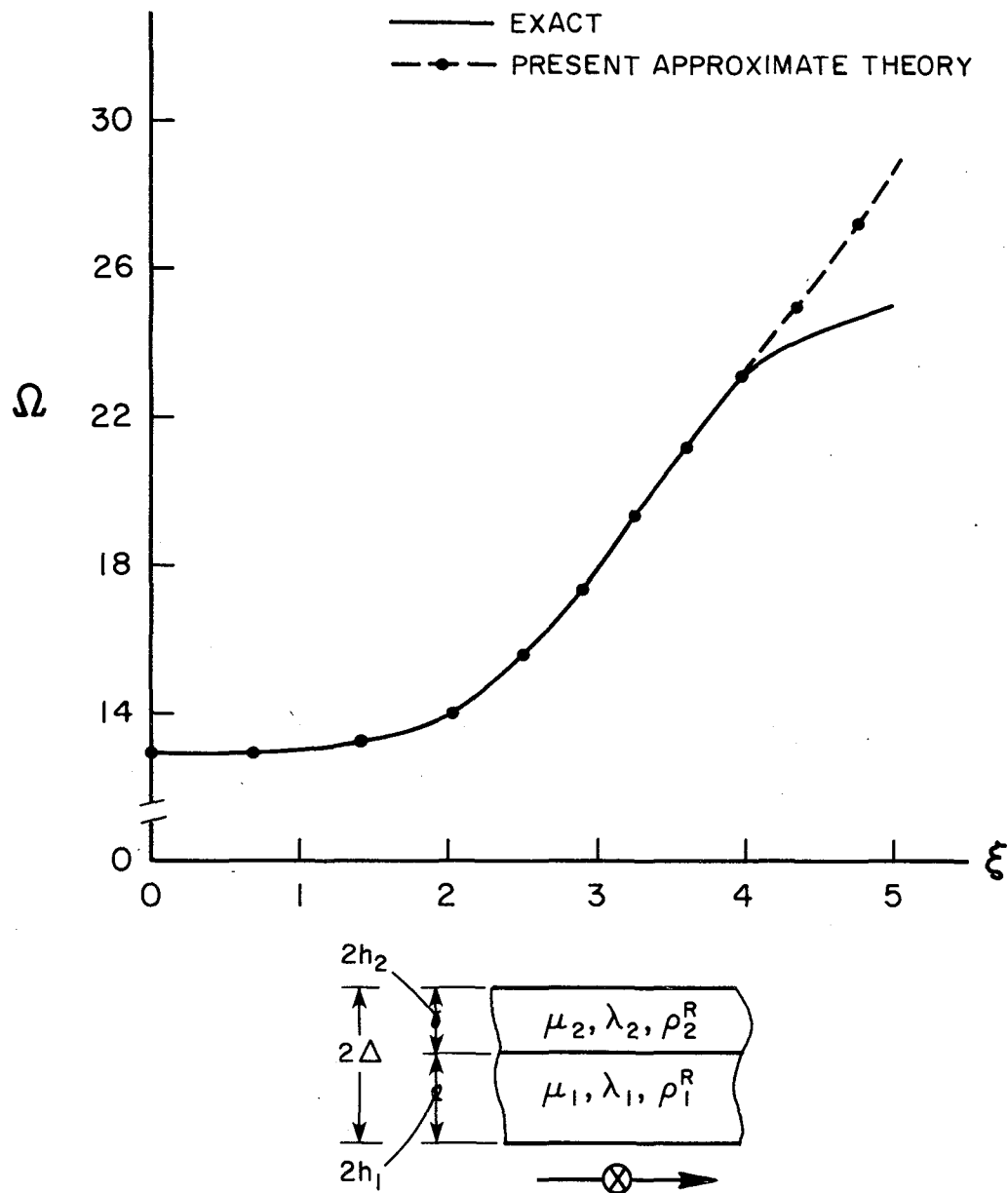


FIG. 6. THE SECOND SPECTRAL LINE ON THE FREQUENCY-WAVE NUMBER PLANE FOR SH WAVES PROPAGATING PARALLEL TO LAYERING (SUN'S MATERIAL)

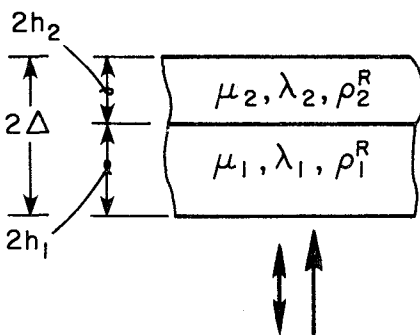
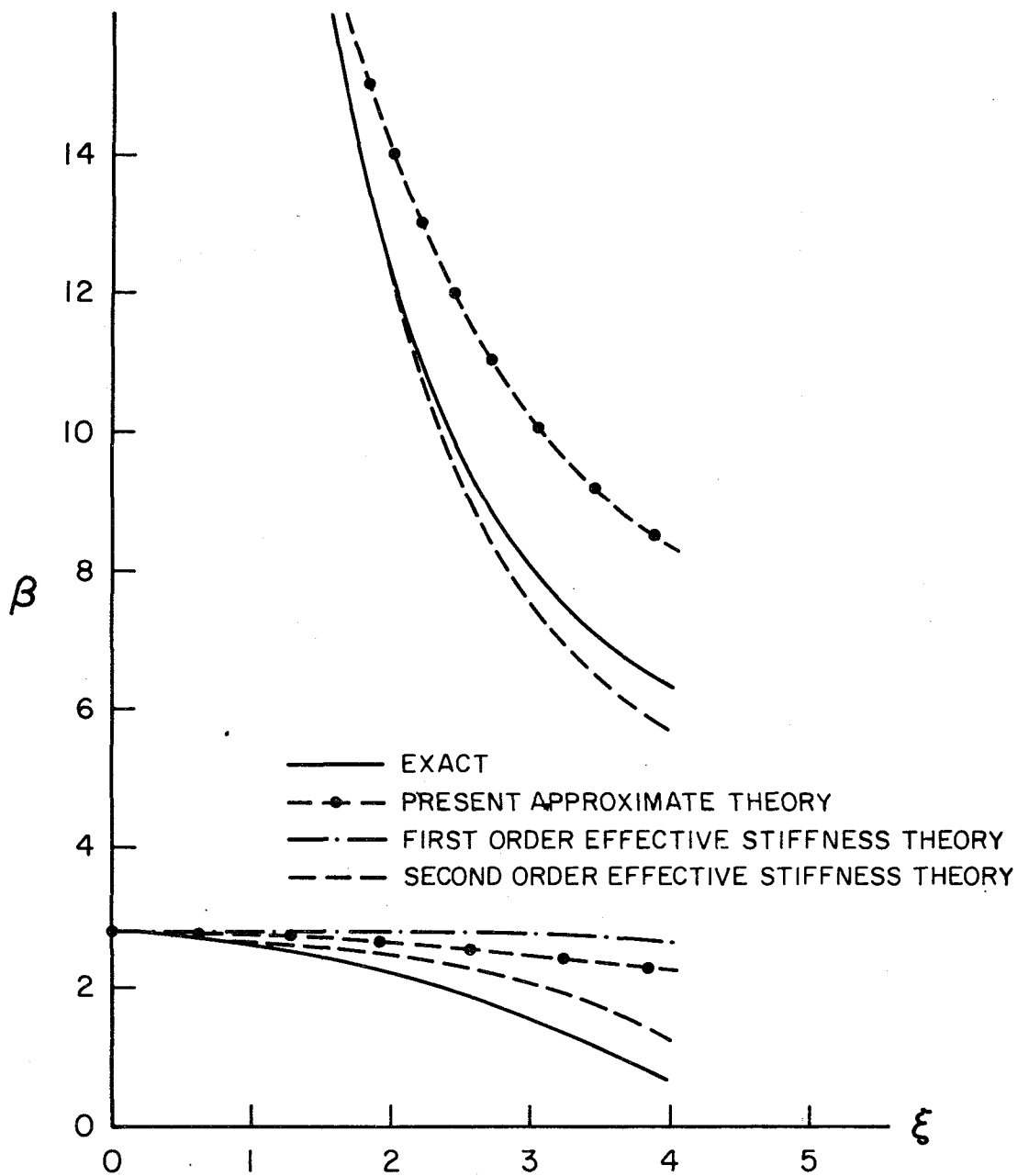


FIG. 7. SPECTRUM FOR DILATATIONAL WAVES PROPAGATING PERPENDICULAR TO LAYERING (SUN'S MATERIAL)

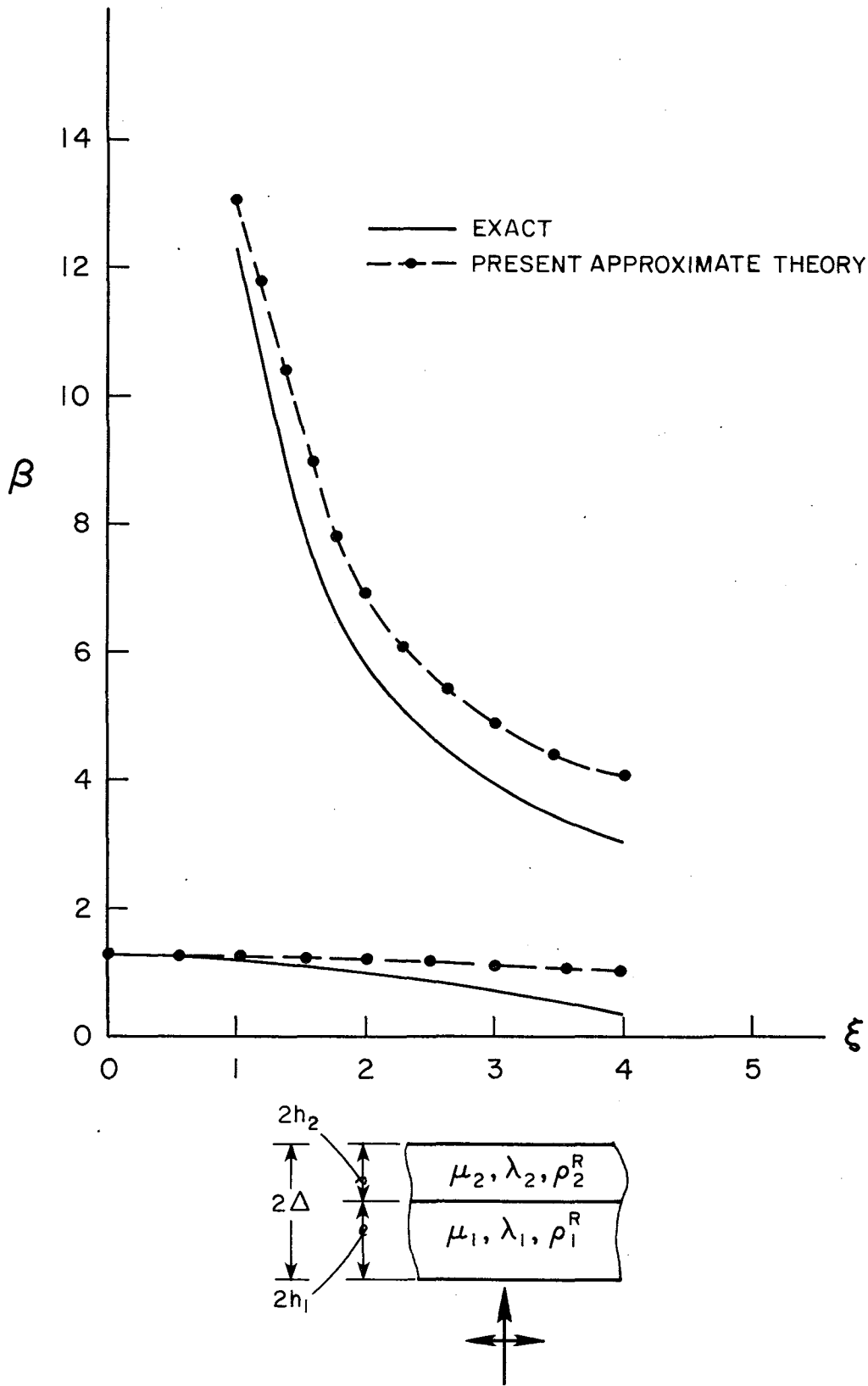


FIG. 8. SPECTRUM FOR TRANSVERSE WAVES PROPAGATING PERPENDICULAR TO LAYERING (SUN'S MATERIAL)

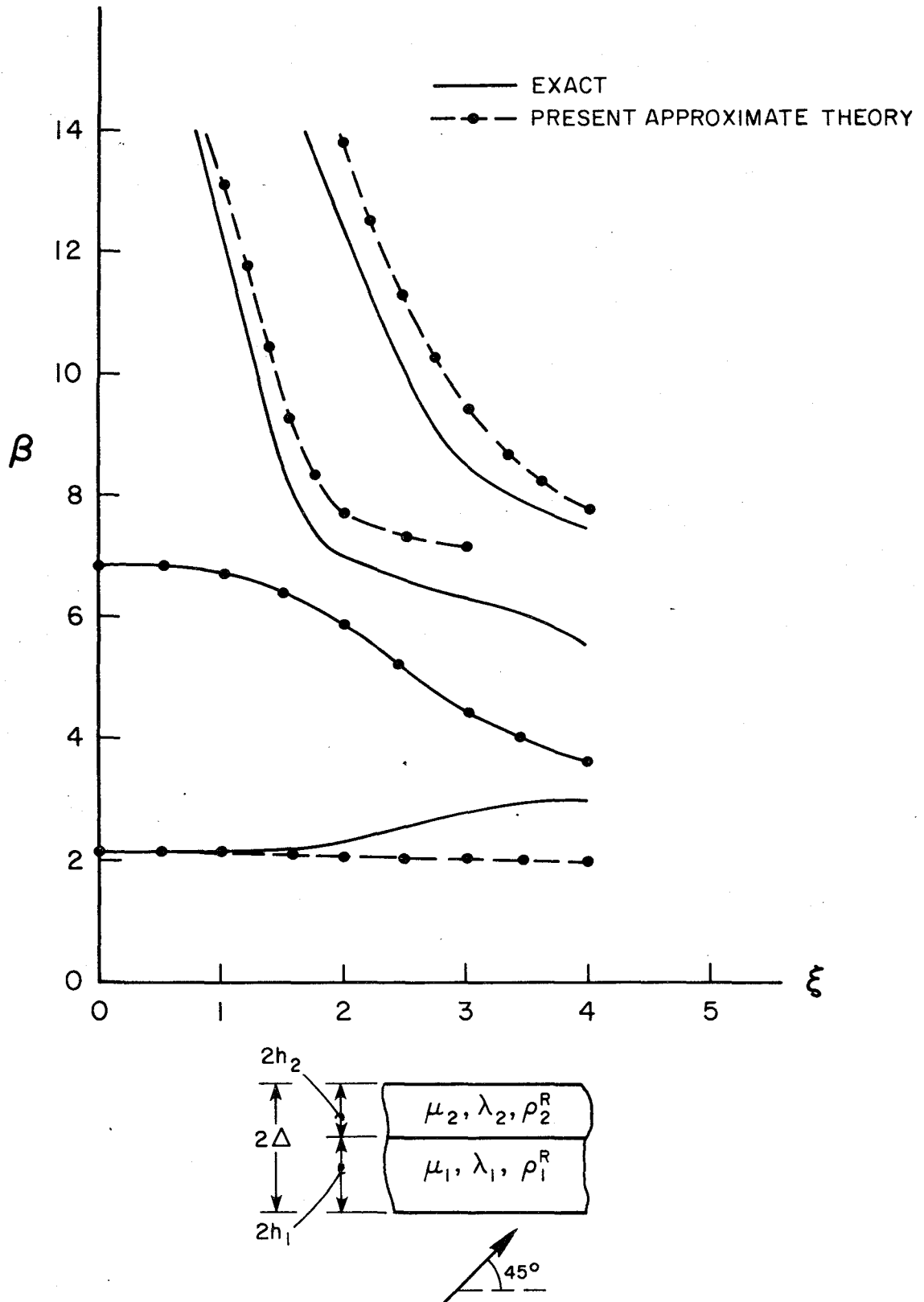


FIG. 9. SPECTRUM FOR WAVES PROPAGATING AT AN ANGLE OF  $45^\circ$  WITH THE  $x_1$  AXIS (SUN'S MATERIAL)

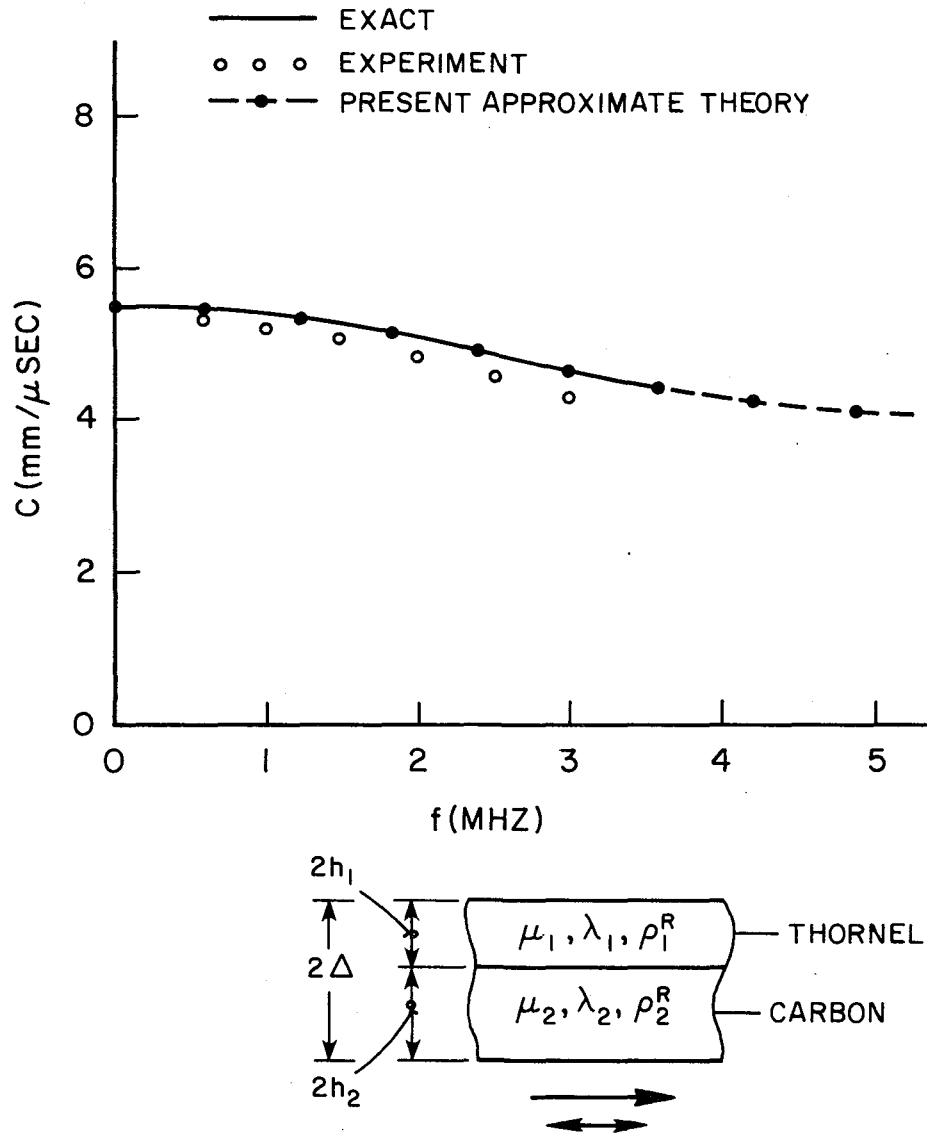


FIG. 10. FIRST SPECTRAL LINE FOR DILATATIONAL WAVES PROPAGATING PARALLEL TO LAYERING (THORNEL REINFORCED CARBON PHENOLIC)

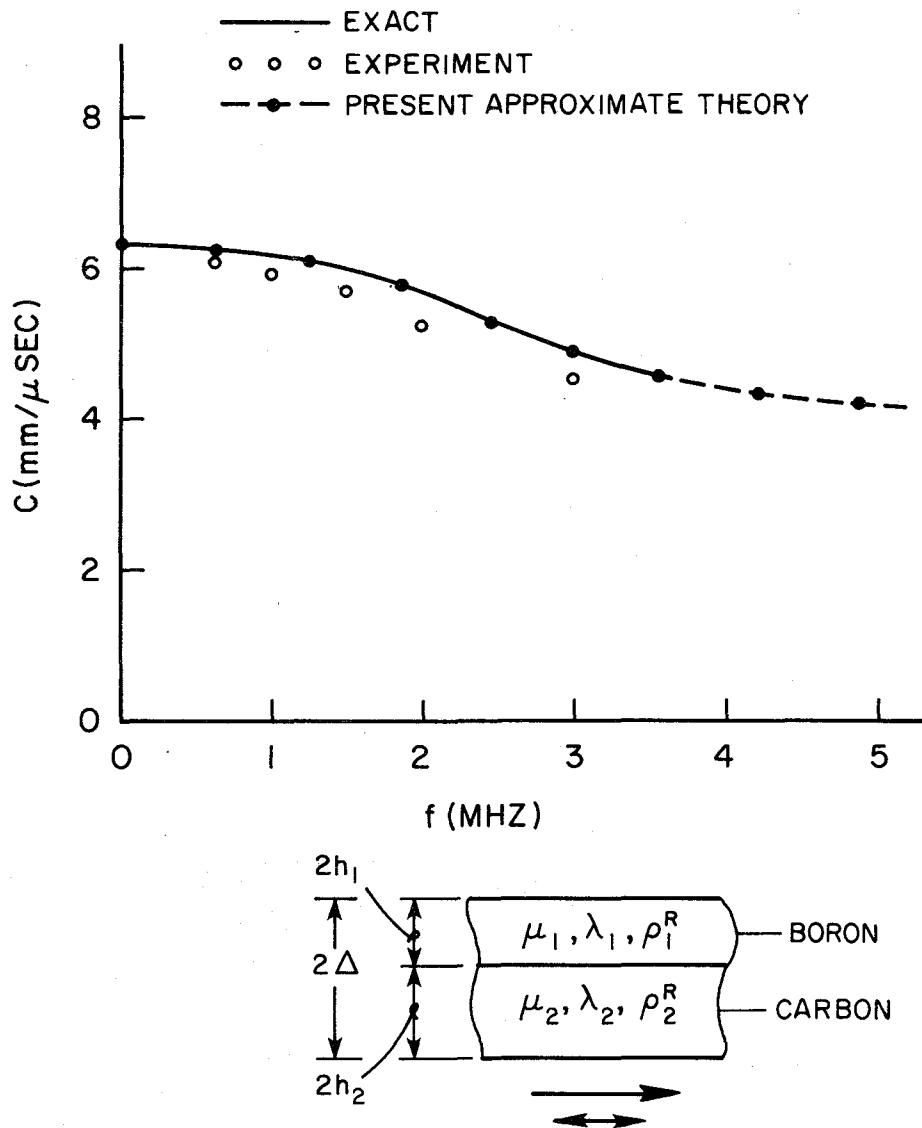


FIG. 11. FIRST SPECTRAL LINE FOR DILATATIONAL WAVES PROPAGATING PARALLEL TO LAYERING (BORON REINFORCED CARBON PHENOLIC)



## CHAPTER 4

PROPAGATION OF TRANSIENT WAVES IN ELASTIC LAMINATED COMPOSITES

In this chapter we appraise the model by comparing the responses predicted by the model for a transient input with those observed experimentally. Experimental data allow us to make comparisons for the behavior of dilatational waves travelling both parallel and perpendicular to the layers in both plates and semi-infinite bodies. Where possible, comparison is also made with responses predicted by the exact theory.

4.1 Formulation of the Problems

The problems that we study are those dictated by the experiments from which we have data for transient responses. They involve either layered half spaces or layered plates; and we study the cases where the layers are parallel to the surface of the half space or one surface of the plate, and the cases where the layers are normal to these surfaces. The time dependent normal pressure is applied to these surfaces so that for the first cases dilatational waves are generated normal to the layers, and for the second cases parallel to them (see Figs.14-20). We assume that the composite slabs or half spaces are initially at rest before the normal pressure is applied.

The symmetry conditions of the problems suggest that the field variables, such as velocities, stresses, etc., depend on time  $t$  and the perpendicular distance  $x$  measured from the plane surface to which the pressure is applied.

If we denote the normal  $x$ -components of phase stresses and strains by  $\sigma_\alpha$  and  $e_\alpha$ , the constitutive equations, Eq. (A.1), become

$$\begin{aligned}\sigma_1 &= S_{11}e_1 + S_{12}e_2 \\ \sigma_2 &= S_{12}e_1 + S_{22}e_2\end{aligned}\quad (4.1)$$

where

$$\begin{aligned}S_{\alpha\beta} &= C_{11}^{\alpha\beta}, \text{ for propagation parallel to layering} \\ S_{\alpha\beta} &= C_{22}^{\alpha\beta}, \text{ for propagation perpendicular to layering.}\end{aligned}\quad (4.2)$$

Then, using Eq.(2.11) the strains,  $e_\alpha$ , are related to the x-components of the phase displacements,  $u_\alpha$ , by

$$e_\alpha = \frac{\partial u_\alpha}{\partial x} \quad (4.3)$$

When the weight of the composite material is neglected the equations of linear momentum, Eqs.(3.31), become

$$\begin{aligned}\frac{\partial \sigma_1}{\partial x} + K(u_2 - u_1) &= m_1 \dot{v}_1 - q \dot{v}_2 \\ \frac{\partial \sigma_2}{\partial x} + K(u_1 - u_2) &= -q \dot{v}_1 + m_2 \dot{v}_2\end{aligned}\quad (4.4)$$

where

$$m_1 = \rho_1 + q; \quad m_2 = \rho_2 + q \quad (4.5)$$

and

$$\begin{aligned}K &= K_1; \quad q = q_1, \text{ for propagation parallel to layering} \\ K &= K_2; \quad q = q_2, \text{ for propagation perpendicular to layering,}\end{aligned}\quad (4.6)$$

and  $v_\alpha$  are the x-components of phase velocity and are related to the displacements by  $v_\alpha = \dot{u}_\alpha$ .

Since the medium is initially at rest all the field variables ( $u_\alpha$ ,  $v_\alpha$ , etc.) are zero at  $t = 0$ .

Equations (4.1), (4.3) and (4.4) constitute the governing equations of our problems. Their solutions for appropriate initial and boundary conditions, which will be discussed later, determine the time variations of the field variables ( $u_\alpha$ ,  $v_\alpha$ ,  $\sigma_\alpha$ ,  $e_\alpha$ ) at an arbitrary station.

#### 4.2 Solutions of the Problems

The method of characteristics is used because the governing equations are hyperbolic and our problems contain only one space variable; furthermore this method accommodates a variety of boundary conditions.

A full discussion of the method of characteristics is given in [24]. The method of characteristics reduces the governing partial differential equations to an equivalent system of ordinary differential equations, called canonical equations, which are valid along characteristic lines only. The canonical equations are more appropriate for numerical analysis as their solutions are simple to obtain. The method of characteristics also employs decay equations from which the discontinuities across wave fronts can be computed before starting the numerical analysis.

Because of the particular types of time variations chosen for the applied pressure in the numerical analysis, which will be discussed later, the decay equations are not needed in this study. Accordingly, we present the canonical equations only.

#### Canonical Equations

In order to put the governing partial differential equations, Eqs. (4.1), (4.3) and (4.4), into the form of a system of first order differential equations, we first differentiate Eq. (4.3) with respect to time and obtain the

compatibility equations

$$\dot{e}_\alpha = \frac{\partial v_\alpha}{\partial x} \quad (4.7)$$

Differentiating Eq. (4.1) with respect to time and using Eq. (4.7) we get

$$\begin{aligned} \dot{\sigma}_1 &= S_{11} \frac{\partial v_1}{\partial x} + S_{12} \frac{\partial v_2}{\partial x} \\ \dot{\sigma}_2 &= S_{12} \frac{\partial v_1}{\partial x} + S_{22} \frac{\partial v_2}{\partial x} \end{aligned} \quad (4.8)$$

Equations (4.4) and (4.8) constitute a system of first order differential equations. In matrix form the system has the form:

$$\underline{A} \underline{\bar{u}}_{,t} + \underline{B} \underline{\bar{u}}_{,x} + \underline{C} = \underline{0}, \quad (4.9)$$

where

$$\underline{A} = \begin{bmatrix} m_1 & -q & 0 & 0 \\ -q & m_2 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}; \quad \underline{B} = \begin{bmatrix} 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \\ -S_{11} & -S_{12} & 0 & 0 \\ -S_{12} & -S_{22} & 0 & 0 \end{bmatrix}; \quad \underline{C} = \begin{Bmatrix} -K(u_2 - u_1) \\ K(u_2 - u_1) \\ 0 \\ 0 \end{Bmatrix}, \quad (4.10)$$

$\underline{\bar{u}}$  is the unknown vector defined by

$$\underline{\bar{u}} = \{v_1 \ v_2 \ \sigma_1 \ \sigma_2\}^T, \quad (4.11)$$

and

$$\underline{\bar{u}}_{,t} = \frac{\partial \underline{\bar{u}}}{\partial t}; \quad \underline{\bar{u}}_{,x} = \frac{\partial \underline{\bar{u}}}{\partial x},$$

and T denotes the transpose of a matrix or vector.

We next find the characteristic lines along which the canonical equations are valid. They are governed by the characteristic equation

$$\det (\underline{B} - V\underline{A}) = 0, \quad (4.12)$$

where  $V = \frac{dx}{dt}$  is the wave propagation velocity. The canonical equations are then

$$\underline{\lambda}^{(i)T} \underline{A} \frac{d\underline{u}}{dt} + \underline{\lambda}^{(i)T} \underline{C} = 0, \text{ valid along } \frac{dx}{dt} = V^{(i)} \quad (i = 1-4), \quad (4.13)$$

where  $\frac{d}{dt}$  indicates differentiation along the characteristic line with respect to time, and  $\underline{\lambda}^{(i)}$  is the left-hand eigen vector satisfying the equation

$$\underline{B}^T \underline{\lambda}^{(i)} = V^{(i)} \underline{A}^T \underline{\lambda}^{(i)}, \text{ no summation over } i \quad (i = 1-4). \quad (4.14)$$

In Eqs.(4.13) and (4.14)  $V^{(i)}$  is the  $i$ th eigenvalue determined by Eq. (4.12). For our problems the four eigenvalues are

$$V^{(1)} = \sqrt{z_1} = C_1; \quad V^{(2)} = -\sqrt{z_1} = -C_1; \quad V^{(3)} = \sqrt{z_2} = C_2; \quad V^{(4)} = -\sqrt{z_2} = -C_2, \quad (4.15)$$

where  $z_1$  and  $z_2$  are the roots of

$$(m_1 m_2 - q^2)z^2 - [m_2 S_{11} + m_1 S_{22} + 2q S_{12}]z + S_{11} S_{22} - S_{12}^2 = 0 \quad (4.16)$$

Examination of Eq. (4.5) will show that the coefficient  $(m_1 m_2 - q^2)$  is positive as  $q \geq 0$ ; in addition since the strain energy function is positive definite it follows that  $z_1$  and  $z_2$  are nonnegative. This ensures that the  $V^{(i)}$  ( $i = 1-4$ ) are real. In the discussions which follow we assume, without loss of generality, that  $z_1 > z_2$ .

We study separately the cases for waves travelling parallel and perpendicular to layering and ascertain the appropriate wave velocities for each case by studying Eqs.(3.52), (3.56-59), (4.2) and (4.16). For the case of waves propagating parallel to layering,  $\frac{dx}{dt} = V^{(i)}$  ( $i = 1,2$ ) describe two characteristic families of straight lines with slopes  $(C_1)$  and  $(-C_1)$  on the

(x-t) plane; i.e.,  $x \pm C_1 t = \text{const.}$  (see Fig.12). For the same case  $\frac{dx}{dt} = v^{(i)}$  ( $i = 3,4$ ) describe another two families of straight lines  $x \pm C_2 t = \text{const.}$  For the case of waves propagating perpendicular to layering the first two characteristic families are again governed by  $x \pm C_1 t = \text{const.}$  (see Fig. 13). The remaining two become vertical lines parallel to the t axis because for this case  $C_2 = 0$ .

Finally, the canonical equations are obtained from Eqs. (4.9), (4.10) and (4.13). For waves propagating parallel to layering they are

$$(m_1 + q v^{(i)} a^{(i)}) \frac{dv_1}{dt} - (q + m_2 v^{(i)} a^{(i)}) \frac{dv_2}{dt} \quad (4.17)$$

$$- \frac{1}{v^{(i)}} \frac{d\sigma_1}{dt} + a^{(i)} \frac{d\sigma_2}{dt} - (1+v^{(i)} a^{(i)}) K(u_2 - u_1) = 0, \text{ along } \frac{dx}{dt} = v^{(i)}$$

( $i = 1-4$ ; no summation on  $i$ )

where the coefficients  $a^{(i)}$  ( $i = 1-4$ ) are defined by

$$a^{(i)} = \frac{\frac{S_{11}}{v^{(i)}} - m_1 v^{(i)}}{S_{12} + q(v^{(i)})^2} = \frac{\frac{S_{12}}{v^{(i)}} + q v^{(i)}}{S_{22} - m_2 (v^{(i)})^2} \quad (4.18)$$

For waves propagating perpendicular to layering the first two canonical equations valid along the characteristic lines  $x \pm C_1 t = \text{const.}$  are again given by Eq. (4.17) with  $i = 1$  and 2. The remaining two, which are valid along the vertical lines, are

$$(m_1 + \frac{S_{11}}{S_{12}} q) \frac{dv_1}{dt} - (q + \frac{S_{11}}{S_{12}} m_2) \frac{dv_2}{dt} - K (1 + \frac{S_{11}}{S_{12}})(u_2 - u_1) = 0, \text{ along } \frac{dx}{dt} = 0 \quad (4.19)$$

$$- \frac{d\sigma_1}{dt} + \frac{S_{11}}{S_{12}} \frac{d\sigma_2}{dt} = 0, \text{ along } \frac{dx}{dt} = 0.$$

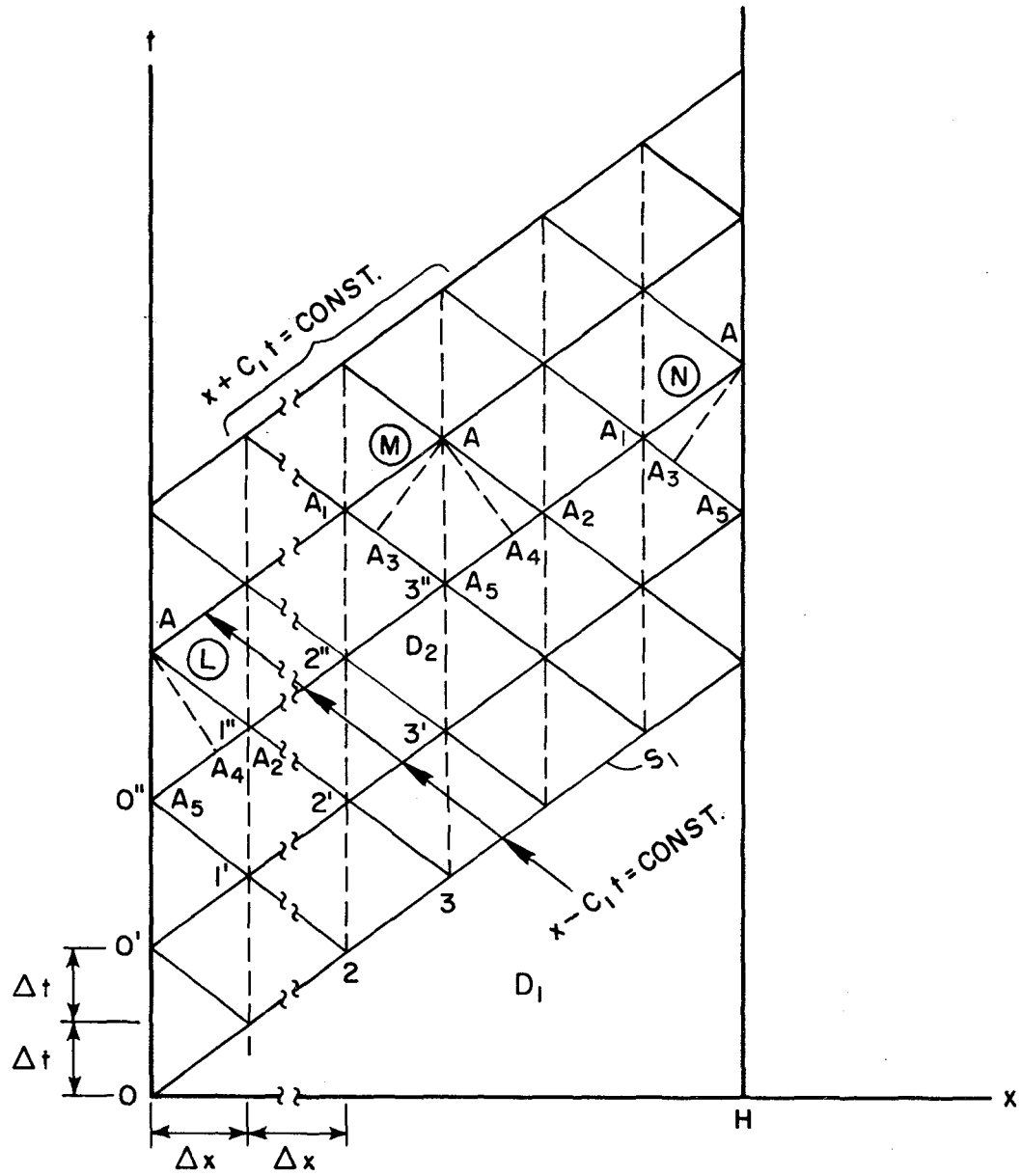


FIG. 12. DESCRIPTION OF CHARACTERISTIC LINES FOR DILATATIONAL WAVES PROPAGATING PARALLEL TO LAYERING IN A LAYERED COMPOSITE SLAB OF THICKNESS  $H$

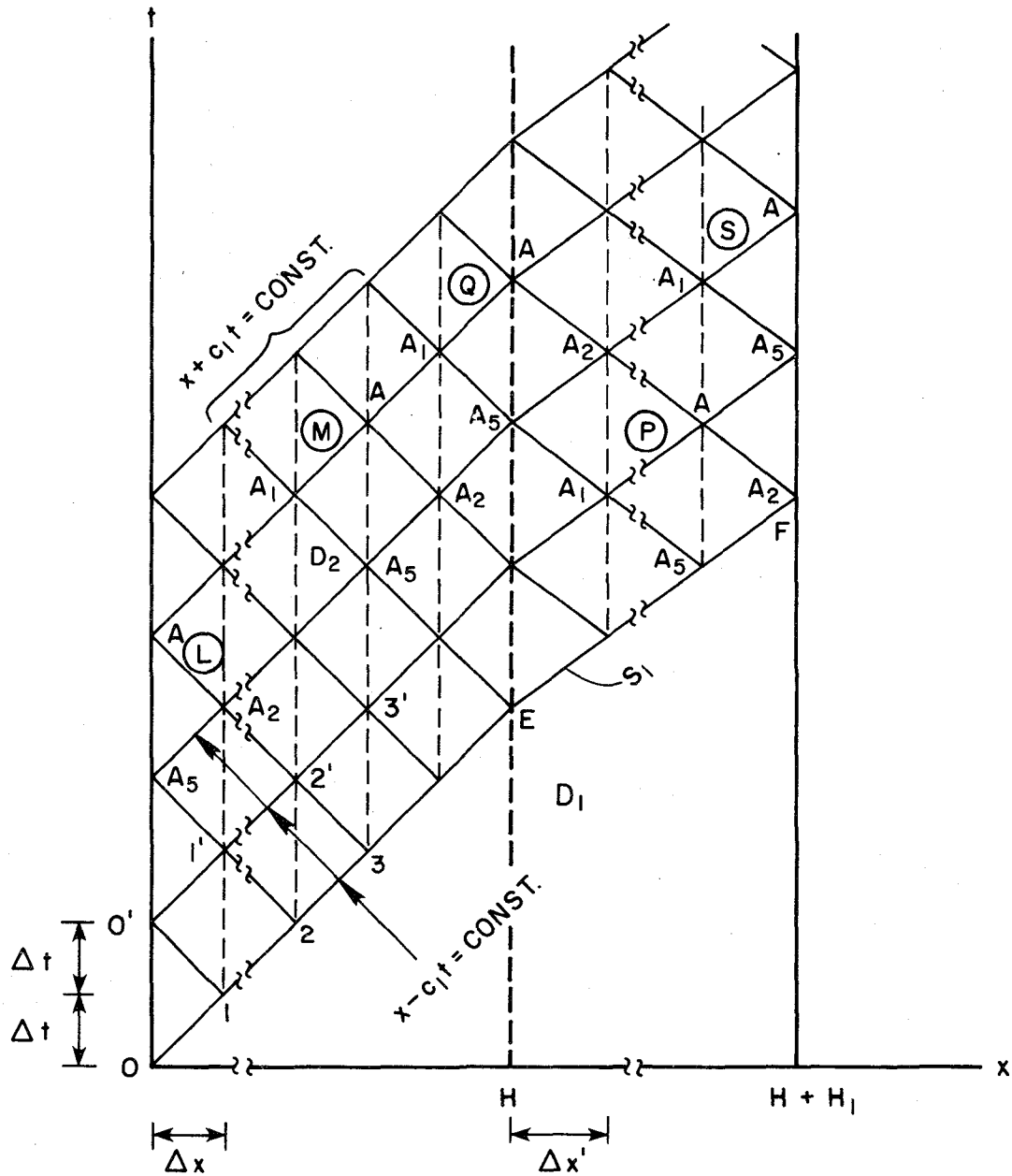


FIG. 13. DESCRIPTION OF CHARACTERISTIC LINES FOR DILATATIONAL WAVES PROPAGATING PERPENDICULAR TO LAYERING IN A LAYERED COMPOSITE SLAB OF THICKNESS  $H$  WHICH AT THE RIGHT END IS PERFECTLY BONDED TO A HOMOGENEOUS ELASTIC SLAB OF THICKNESS  $H_1$



### 4.3 Numerical Analysis

The purpose of this paper is to appraise the ability of the model theory to predict dynamic responses to transient inputs; therefore, the specific problems that we solve are those for which there are experimental data. These consist of four separate problems. Two involve plates of finite thickness, one having the layers parallel to the surfaces and the other perpendicular. The other two problems study the responses in half spaces, again with the two distinguished by whether the layering is parallel or normal to the surface.

The first problem involves a composite slab of thickness  $H$  subjected to a uniform dynamic pressure on one surface (see Fig. 14 or 15). The other surface is free of traction. The surfaces of the slab are perpendicular to layering so that the pressure applied generates dilatational waves that propagate parallel to the layers. We seek the solution  $(\bar{u}_i) = (u_\alpha, v_\alpha, \sigma_\alpha)$  at a station  $x$  and time  $t$ . The method of characteristics is best understood by examining Fig. 12 showing the  $(x-t)$  plane. On this plane the solution region is bounded by the vertical lines  $x = 0$  and  $x = H$ . The portion of the first wave front before reflection is the line  $S_1$  given by  $x - C_1 t = 0$ . This line divides the solution region into the undisturbed and disturbed regions  $D_1$  and  $D_2$ , respectively. The reflected wave fronts are not shown in the figure because they are not needed in the numerical analysis since the time variation chosen for the applied pressure eliminates first order discontinuities across such wave fronts. To find the numerical solution, the disturbed region  $D_2$  is subdivided by means of one primary and one secondary grid. The primary grid is shown by solid lines and formed by two sets of parallel lines  $x \pm C_1 t = \text{const.}$  so that the space mesh size  $\Delta x$  is related to the time mesh size  $\Delta t$  by  $\Delta x = C_1 \Delta t$ . The secondary sets of grid lines are members of the families  $x \pm C_2 t = \text{const.}$  and are shown by dotted lines in Fig. 12. These lines are used when analyzing an individual element. Although we note that the values of  $\bar{u}_i$  along  $S_1$  can be

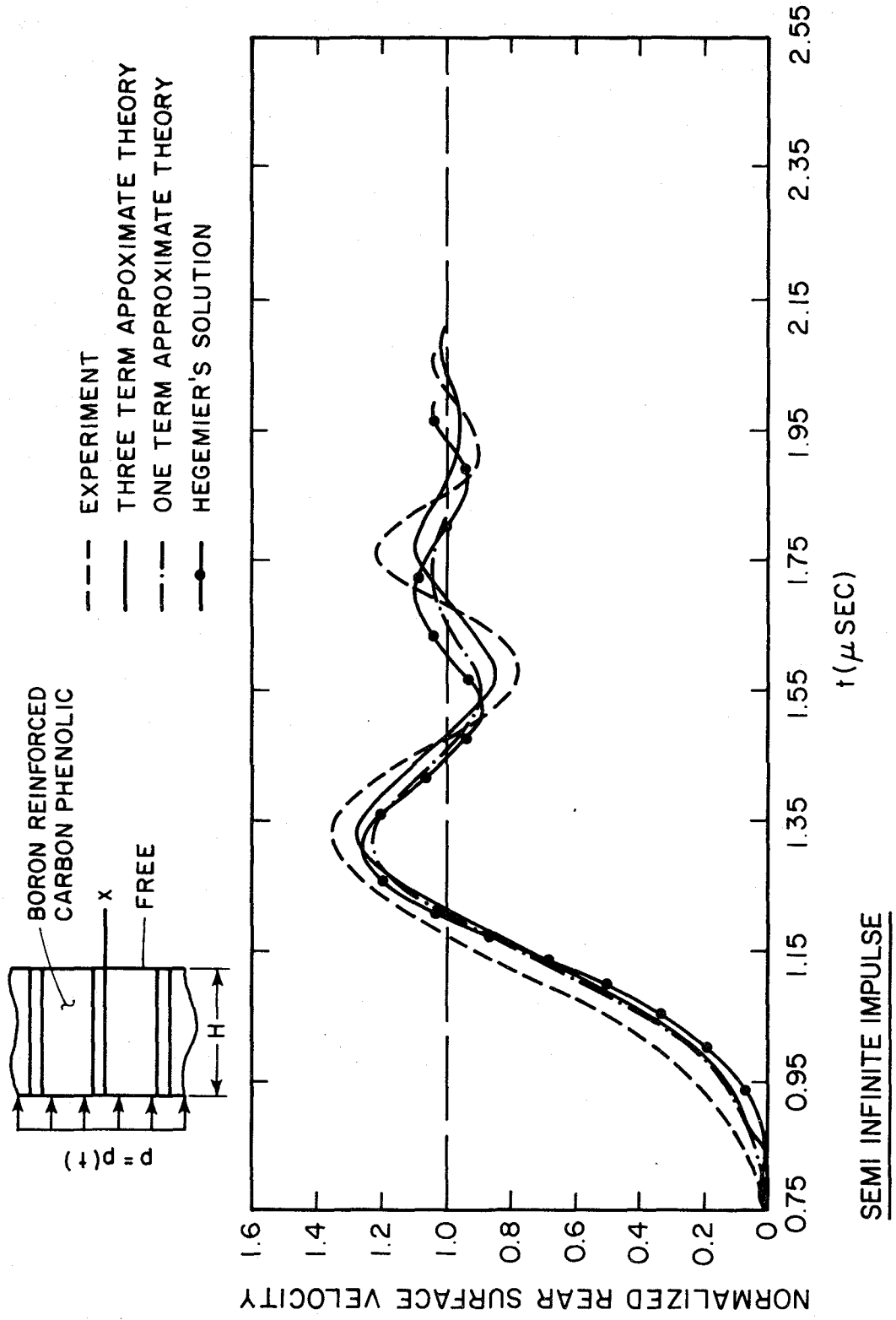


FIG. 14. COMPARISON OF EXPERIMENTAL AND THEORETICAL WAVE PROFILES FOR DILATATIONAL WAVES PROPAGATING PARALLEL TO LAYERING (BORON REINFORCED CARBON PHENOLIC LAMINATE; SEMI INFINITE IMPULSE)

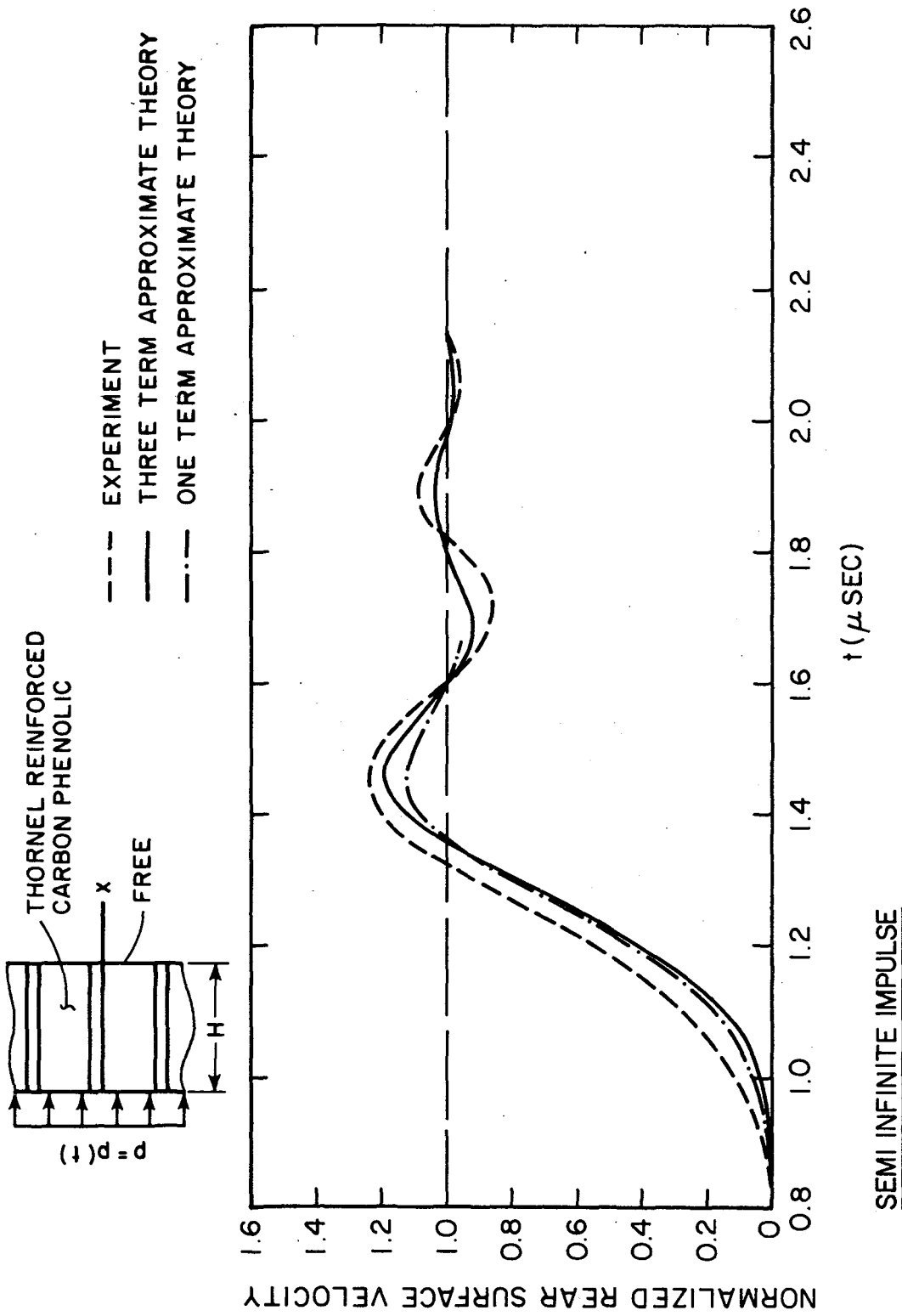


FIG. 15. COMPARISON OF EXPERIMENTAL AND THEORETICAL WAVE PROFILES FOR DILATATIONAL WAVES PROPAGATING PARALLEL TO LAYERING (THORNEL REINFORCED CARBON PHENOLIC LAMINATE; SEMI INFINITE IMPULSE)

determined from decay equations, they are all zero here because the composite slab is initially at rest, and because the applied pressure distribution in our problems has no discontinuity at  $t = 0$ . To establish the  $\bar{u}_i$  at points of region  $D_2$  we start from the origin and move along  $S_1$  where the  $\bar{u}_i$  are known. Using a technique for each element, we advance into the region, element by element, in the order ( $0', 1', 2', \dots, 0'', 1'', 2'', \dots$ ). The technique depends on the element which can be one of three types on the  $(x-t)$  plane, namely, M, L and N (see Fig. 12). For an interior element M we know  $\bar{u}_i$  at the points  $A_1, A_2$  and  $A_5$ , and wish to determine it at the point A. As  $\bar{u}_i$  has six components, we need six equations to establish them. Four equations come from the canonical equations, Eq. (4.17), written along the lines  $AA_i (i = 1-4)$ . The remaining two are the compatibility equations,

$$v_\alpha = \dot{\bar{u}}_\alpha, \quad (4.20)$$

which relate phase displacements to phase velocities and are valid along the vertical line  $AA_5$ . For the values of the  $\bar{u}_i$  at the interior points  $A_3$  and  $A_4$  we use linear interpolation between the points  $A_1$  and  $A_5$  or  $A_2$  and  $A_5$ . The  $\bar{u}_i$  at the point A are found by integrating the six equations using an implicit trapezoidal integration formula.

For the element L, adjacent to the line  $x = 0$ , the procedure is the same except that the two equations along the lines  $AA_1$  and  $AA_3$  are replaced by the boundary conditions at  $x = 0$ ,

$$\sigma_\alpha(A) = -n_\alpha p(A), \quad (4.21)$$

where  $p$  is the applied dynamic pressure. Similarly, for the other boundary element N the free traction boundary conditions at  $x = H$ ,

$$\sigma_\alpha(A) = 0 \quad (4.22)$$

replace the canonical equations along lines  $AA_2$  and  $AA_4$ .

The procedure for the problem shown in Fig. 16, involving wave propagation parallel to layering in a composite half space, is the same as that discussed for a composite slab except that the element  $N$  is disregarded since  $H$  becomes infinite for a half space.

To explain the numerical procedure for the cases for which the direction of propagation is perpendicular to layering, we choose the specific problem described in Fig. 18. The problem involves a composite slab of thickness  $H$  subjected to a uniform dynamic pressure on one surface. The other surface of the composite is perfectly bonded to a trailer of thickness  $H_1$ , which is made of a homogeneous material. For reasons which will be discussed later, the other surface of the trailer is assumed to be free of traction. We refer to Fig. 13 showing the  $(x-t)$  plane and distinguish between two regions on this plane. The first, bounded by the vertical lines  $x = 0$  and  $x = H$ , is the solution domain for the composite slab, while the second bounded by the lines  $x = H$  and  $x = H + H_1$ , is that for the homogeneous trailer. The line  $S_1$  describing the first wave front divides the space-time domain into the undisturbed and disturbed regions  $D_1$  and  $D_2$ . The line  $S_1$  is composed of two line segments. The first segment  $OE$  has the slope  $C_1$  and represents the wave front before reflection and refraction occur at the interface  $x = H$ . The second segment  $EF$  describes the transmitted wave front and has the slope  $C = \sqrt{\frac{2\mu + \lambda}{\bar{\rho}}}$ , where  $C$  is the dilatational wave propagation velocity in the trailer which is identified by Lamé's constants  $\mu$  and  $\lambda$  and the mass density  $\bar{\rho}$ . The primary grid for the numerical analysis is formed by the characteristic lines with slope  $C_1$  in the composite slab region and with slope  $C$  in the homogeneous slab region. For the whole solution domain we use a common time mesh size  $\Delta t$  which dictates two different space mesh sizes  $\Delta x$  and  $\Delta x'$  in the composite and homogeneous regions.

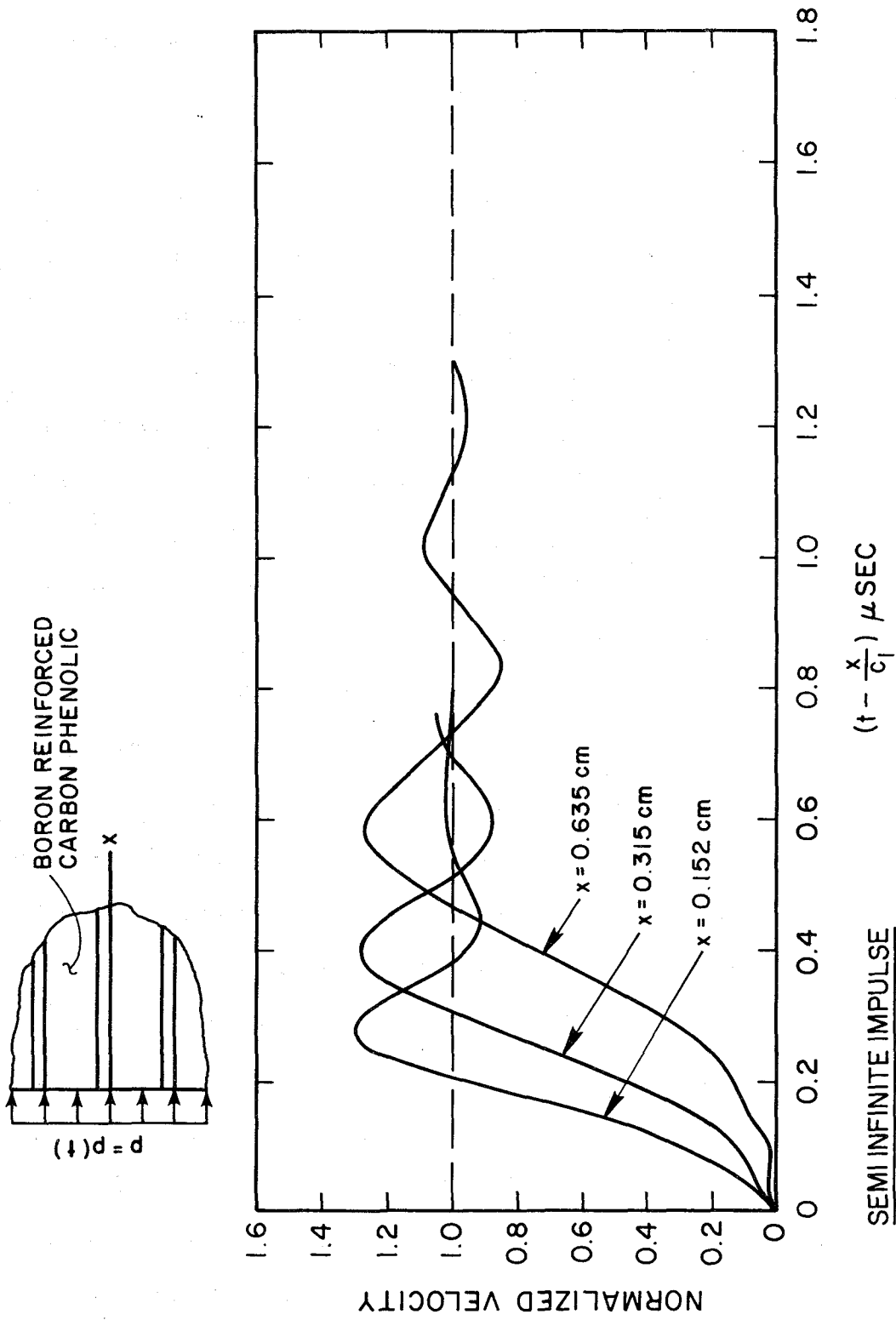


FIG. 16. COMPARISON OF WAVE PROFILES AT VARIOUS STATIONS FOR DILATATIONAL WAVES PROPAGATING PARALLEL TO LAYERING (BORON REINFORCED CARBON PHENOLIC LAMINATE; SEMI INFINITE IMPULSE)

The numerical procedure for this case is basically the same as that discussed for wave propagation parallel to layering. The differences occur in the individual elements, boundary conditions and interface continuity conditions. Accordingly, in what follows, we discuss only these differences. We first note that at points in the composite region the number of unknowns ( $u_\alpha, v_\alpha, \sigma_\alpha$ ) is six. On the other hand the unknowns in the homogeneous region are only three, the displacement  $u$ , particle velocity  $v$  and stress  $\sigma$ . For the interior element  $M$  of the composite region two equations come from the canonical equations along  $AA_1$  and  $AA_2$ , Eq. (4.17) and  $i = 1, 2$ , two from the canonical equations along  $AA_5$ , Eqs. (4.19), and the remaining two from the compatibility equations along  $AA_5$ , Eq. (4.20). For the boundary element  $L$  of the composite region, the canonical equation along  $AA_1$  is replaced by the boundary condition at  $x = 0$ ,

$$\sum_{\alpha=1}^2 \sigma_\alpha(A) = -p(A) . \quad (4.23)$$

For the interior element  $P$  of the homogeneous region we need only three equations to find the unknowns at the point  $A$ . Two of them are the canonical equations of the homogeneous slab:

$$\frac{d\sigma}{dt} - \bar{\rho} c \frac{dv}{dt} = 0 \quad \text{along } AA_1 \quad (4.24)$$

$$\frac{d\sigma}{dt} + \bar{\rho} c \frac{dv}{dt} = 0 \quad \text{along } AA_2 .$$

The last one is the compatibility equation  $v = \dot{u}$  valid along the vertical line  $AA_5$ . For the boundary element  $S$ , the free traction boundary condition at  $x = H + H_1$ ,  $\sigma(A) = 0$ , replaces the canonical equation along  $AA_2$ . In the analysis of the mixed interface element  $Q$ , the interface continuity conditions

$$\sum_{\alpha=1}^2 \sigma_{\alpha} (A) = \sigma(A); \quad \sum_{\alpha=1}^2 n_{\alpha} v_{\alpha} (A) = v(A) ; \quad \sum_{\alpha=1}^2 n_{\alpha} u_{\alpha} (A) = u(A) \quad (4.25)$$

are used.

We use the same procedure for the problem, shown in Fig.17, involving propagation perpendicular to layering in a half space, except that the width of the composite slab is infinite. Finally for the problem shown in Fig.20 which contains a buffer slab between the applied pressure and composite, the continuity conditions between buffer and composite are taken into account in the analysis.

#### 4.4 Assessment of the Homogeneous Model

We assess the homogeneous, dispersive model, and the theory which governs the propagation of waves in it, by comparing the transient wave behavior in the model with all of the data we have been able to gather describing transient wave response in layered media. Primarily these data are obtained from physical experiments but we have been able to make comparisons, for some of the cases, with the responses predicted by the exact theory and with another approximate theory due to Hegemier, Gurtman and Nayfeh [10].

The first case we study is a plate of thickness H in which the layers are aligned normal to the surfaces (Figs. 14 and 15). The response is generated by a pressure, p(t), applied normal to one surface so that the resulting waves propagate parallel to the layers. The opposite face is free of traction. The response measured is the average particle velocity on the free face. The experiments on these plates were performed by Whittier and Peck [23]. Two different laminates were studied, both having a thickness H = 0.635 cm. The first is composed of alternate layers of thornel and carbon phenolic, the second alternate layers of boron and carbon phenolic. The mechanical properties of these materials and the layer thicknesses are listed in Tables 2 and 3,



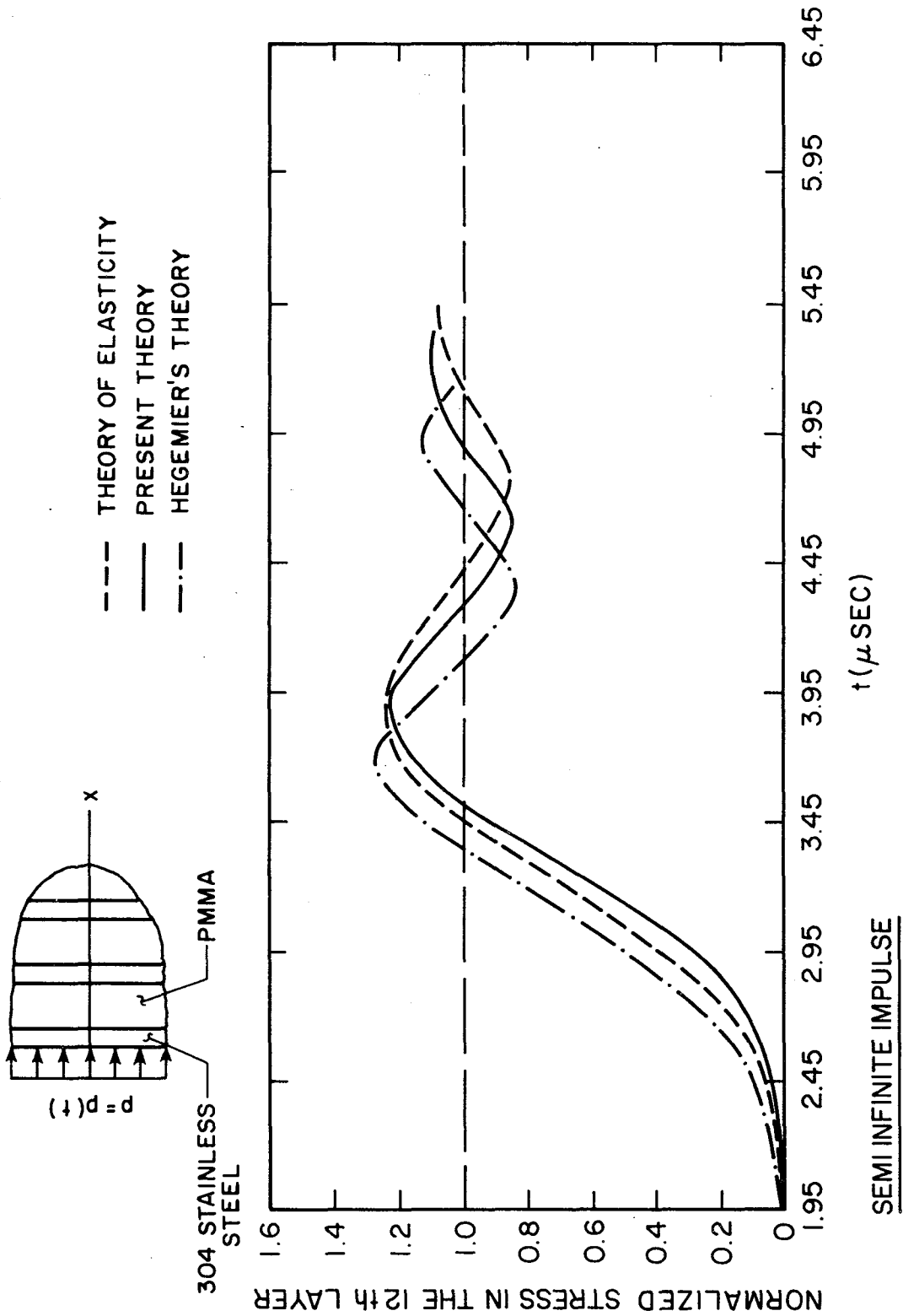


FIG. 17. COMPARISON OF WAVE PROFILES PREDICTED BY THE PRESENT THEORY AND OTHER THEORIES FOR DILATATIONAL WAVES PROPAGATING PERPENDICULAR TO LAYERING (STAINLESS STEEL - PMMA COMPOSITE; SEMI INFINITE IMPULSE)

along with the necessary model constants appropriate to the homogeneous model for each of the layered materials. In accordance with the information presented in [3], the time distribution of the pressure is taken in our analysis to be a quasi step function, which is zero at  $t = 0$ , rises linearly to a constant value during a rise time of  $0.08 \mu \text{ sec.}$  after which it remains constant.

The numerical analysis is carried out using a mesh size of  $\Delta t = 0.005 \mu \text{ sec.}$  The responses are the time variations of the average velocity  $\bar{v} = \frac{2}{\sum_{\alpha=1}^2 n_{\alpha} v_{\alpha}}$  at  $x = H$ .

Some comments on the model theory are in order. In the development of the equations of linear momentum a complicated elastodynamic operator, which appears in the equations, was replaced by a power series expansion. The major theory was obtained by retaining the first three terms of the expansion. It was indicated that a simple cruder theory could also be developed by retaining only the first term. Responses using both of these theories were found for both laminated materials and are displayed in Figs. 14 and 15. For a comparison of these responses with the experimental data, the velocity is normalized with respect to its value at  $t = \infty$  and, as the absolute times in the experiments were not measured, the experimental wave profiles are translated parallel to the time axis, so that the times corresponding to the first theoretical and experimental peaks approximately coincide. We are also able to show the response in the second plate (Fig. 14) as it is predicted by the approximate theory due to Hegemier, Gurtman, and Nayfeh [10]. They obtained their numerical results by using the method of finite differences and they took the dynamic input as a step velocity impulse applied to the surface  $x = 0$ .

A study of Figs. 14 and 15 shows that the responses predicted by the model theory, particularly the three term theory, are close to the experimental responses. Not only are the amplitudes quite accurate, but the responses are in

phase with the experimental for large times following the first disturbance. The response predicted by Hegemier in Fig. 14 is quite accurate for short times but quickly falls out of phase with the experimental profile for larger times.

The next case studied is much the same as the first cases except that the body is a half space. The forcing function is the same and alternate layers of boron and carbon phenolic are perpendicular to the surface. We use the three term theory for predicting responses and instead of comparing these with other data, we use response profiles at three stations at successively large distances from the surface to ascertain the nature of the dispersion as the wave profile progresses into the half space. Examination of Fig. 16 shows that close to the surface the initial part of the response is steep and the periods of oscillation are small, and as the disturbances move into the material the initial slope lessens and the periods become larger -- phenomena that we would expect.

For the third case, response from the model theory is compared with two responses predicted by other theories. The body is a half space and consists of alternate layers of stainless steel and PMMA parallel to the surface. The response is generated by normal pressure applied to the surface so that the waves generated propagate normal to the layers. The properties of both the stainless steel and PMMA are shown in Table 4, along with the model constants appropriate to the laminate that are needed in finding the response. The pressure  $p(t)$  is normal to the surface and has a uniform step distribution in time. To eliminate the complication of having a first order discontinuity in the solution region  $D_2$ , the discontinuity in the pressure at  $t = 0$  is replaced by a linear distribution that is zero at  $t = 0$  and reaches a constant value at  $t = 2\Delta t$ . In the numerical analysis,  $\Delta t = 0.03 \mu \text{ sec.}$  is used.

Comparison is made with the responses predicted by the exact theory and the theory due to Hegemier and Nayfeh [6]. The response due to the exact field equations of elasticity is complicated. The lengthy computations are based on tracing out reflected and transmitted components of one-dimensional dilatational waves.

Comparison of the responses can be made by examining Fig.17. For early times the responses from the model and exact theories match accurately and for longer times, after the arrival of the first disturbances, the amplitudes match but the responses grow out of phase.

The final study, Figs.(18-20) is devoted to a comparison of the responses predicted by the model theory with experimental data obtained by Lundergan and Drumheller [25]. Their experiments were performed on a laminated plate of thickness  $H = 1.009$  cm, composed of alternate layers of stainless steel and epon 828 running parallel to the surfaces. The properties of the layers and the values of the constants appearing in the model are given in Table 5. One surface of the plate is subjected to a uniform normal pressure, and the other surface is perfectly bonded to an epon 828 trailer. The time variation of the particle velocity is measured on the outer surface (data plane) of the trailer by using an optical interferometer observed through a PMMA window attached to the trailer at the data plane (see Figs. 18-20). Since the window material has a mechanical impedance of within three percent of that of epon 828 [8], the outer face of the trailer, on which the velocity is measured, is taken as being free of traction in our analysis. The elasticity solutions in the figures correspond to the solutions obtained by using the equations of elasticity and by tracing out the wave components reflected and refracted at interfaces.

The responses shown in Figs.(18-20) all arise from the same type of excitation and differ only in their durations. Figures 18-20 have infinite,

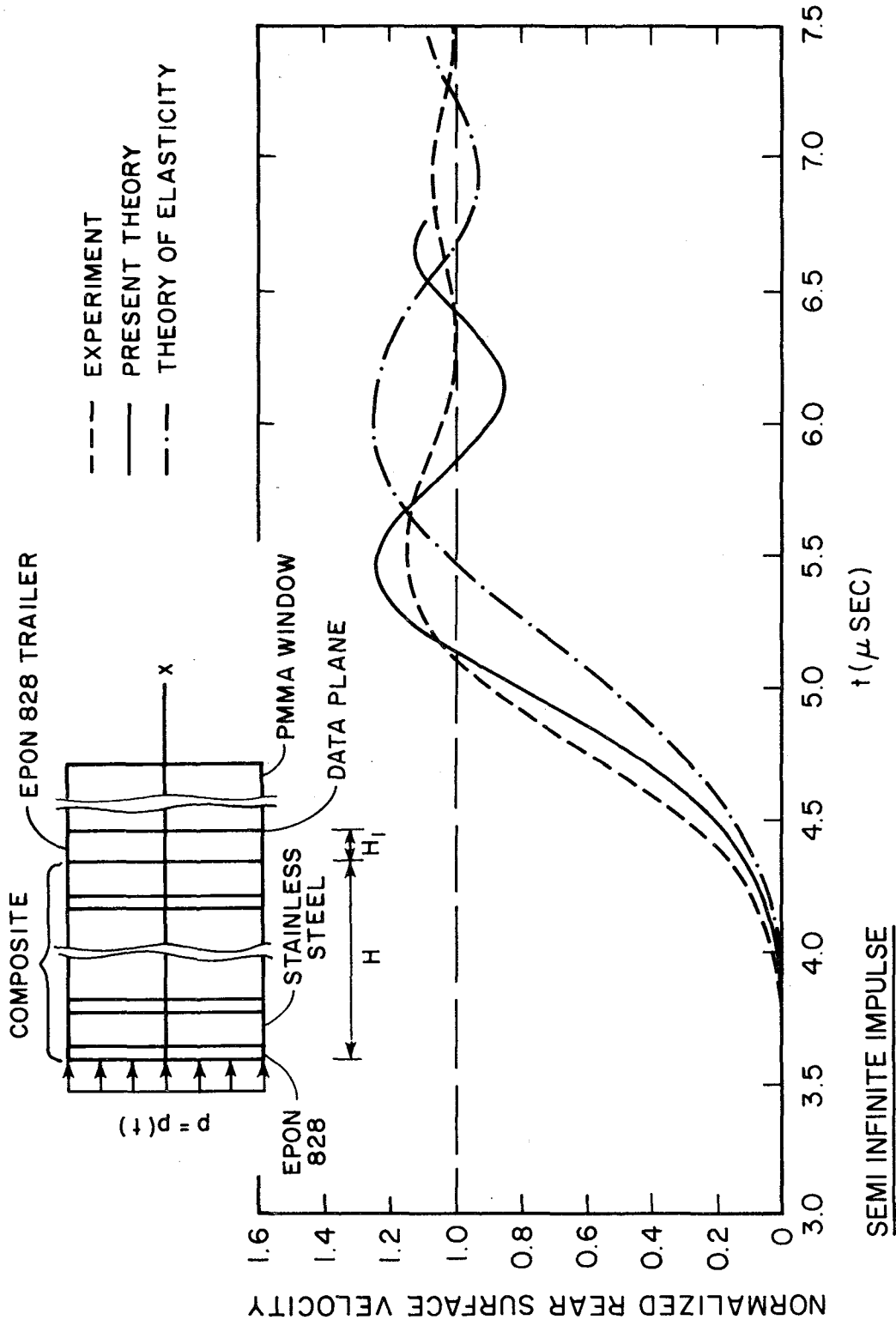


FIG. 18. COMPARISON OF EXPERIMENTAL AND THEORETICAL WAVE PROFILES FOR DILATATIONAL WAVES PROPAGATING PERPENDICULAR TO LAYERING (STAINLESS STEEL - EPON 828 COMPOSITE; SEMI INFINITE IMPULSE)

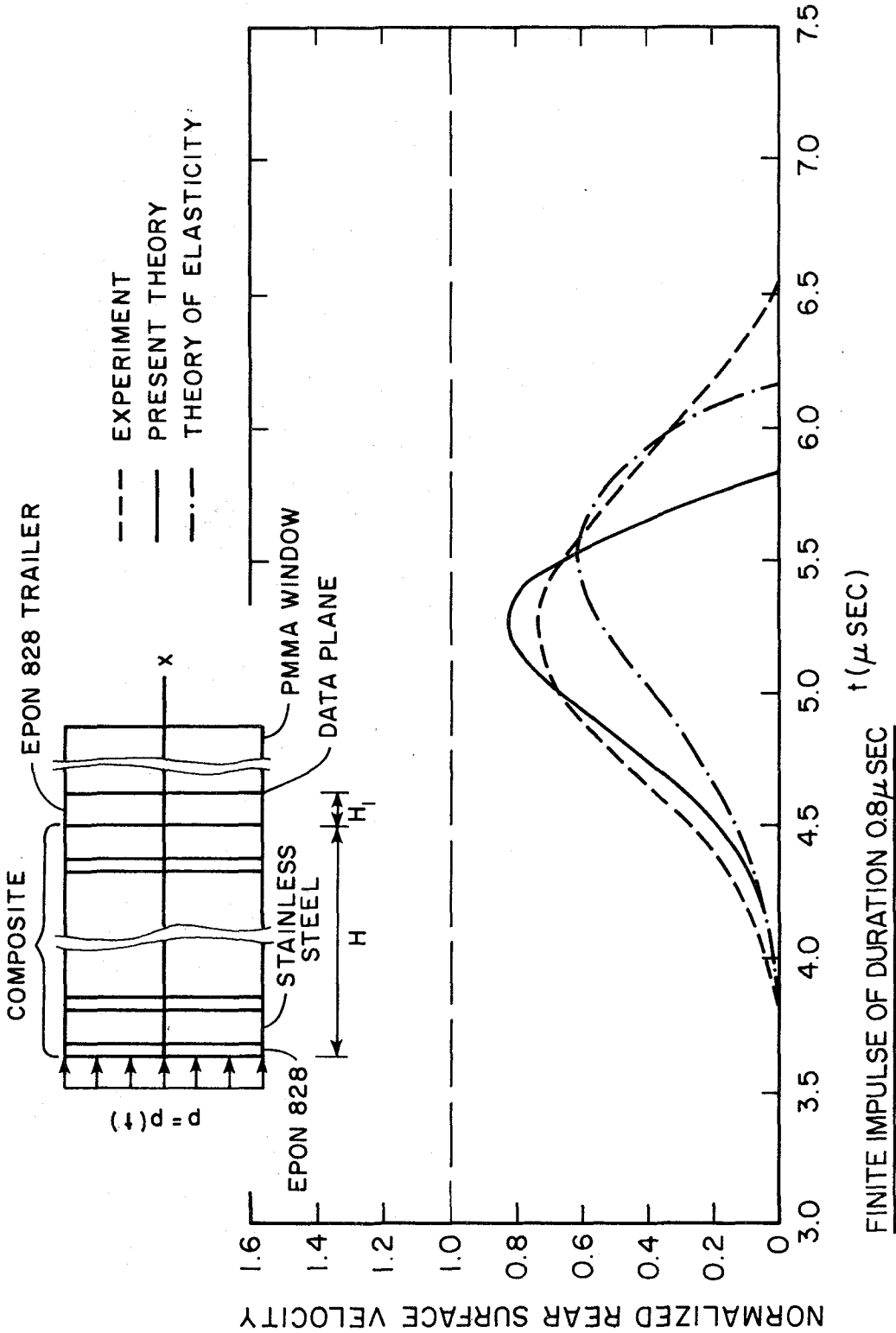


FIG. 19. COMPARISON OF EXPERIMENTAL AND THEORETICAL WAVE PROFILES FOR DILATATIONAL WAVES PROPAGATING PERPENDICULAR TO LAYERING (STAINLESS STEEL - EPON 828 COMPOSITE; FINITE IMPULSE OF DURATION 0.8 μ SEC.)

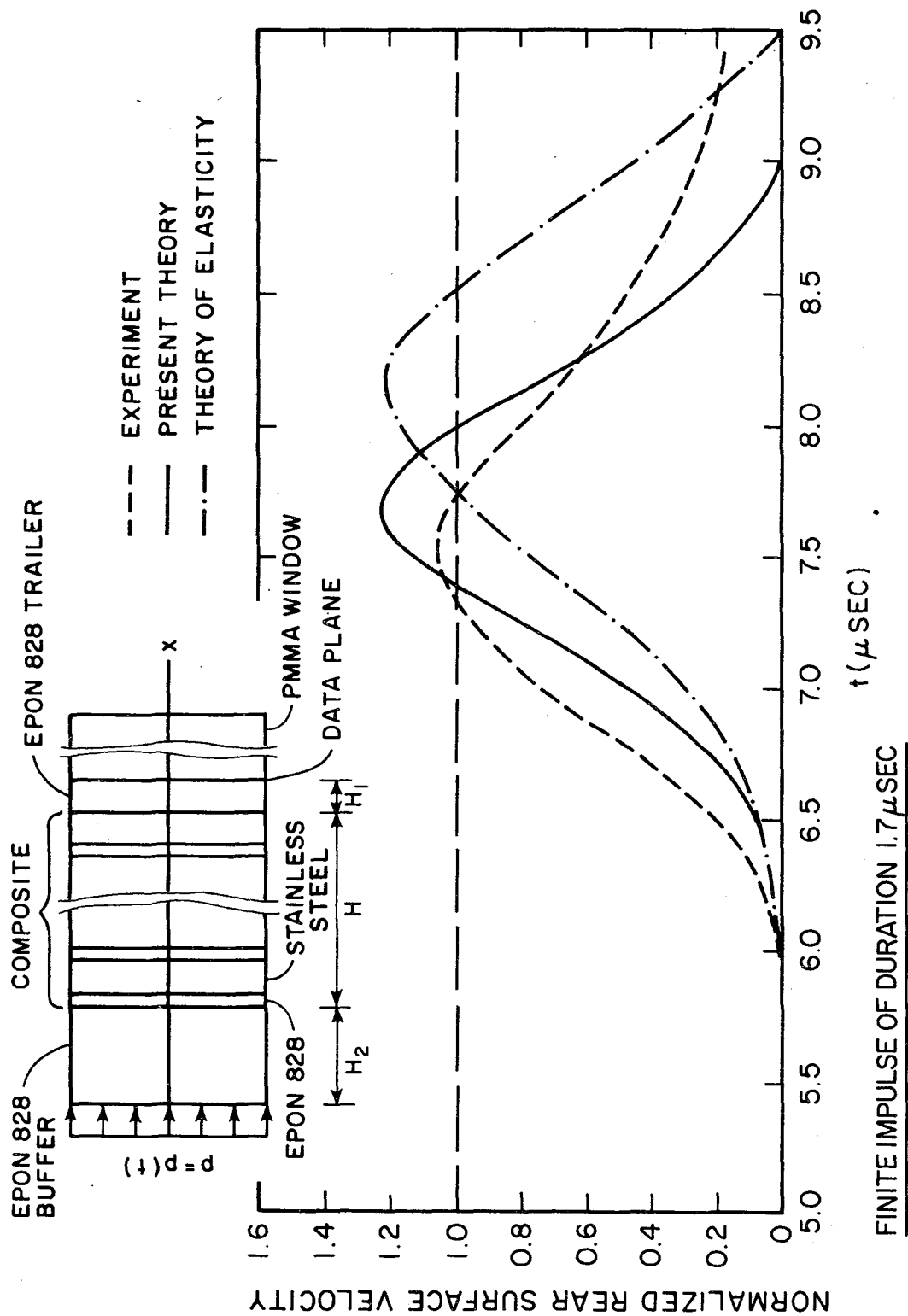


FIG. 20. COMPARISON OF EXPERIMENTAL AND THEORETICAL WAVE PROFILES FOR  
 DILATATIONAL WAVES PROPAGATING PERPENDICULAR TO  
 LAYERING (STAINLESS STEEL - EPON 828 COMPOSITE;  
 FINITE IMPULSE OF DURATION  $1.7 \mu\text{ sec.}$ )

0.8  $\mu$  sec. and 1.7  $\mu$  sec. durations, respectively. The rise or descent time of the applied pressure is taken in all cases to be  $2\Delta t$ , when  $\Delta t = 0.0175 \mu$  sec. The problem described in Fig. 20 differs somewhat in that there is an epon 828 buffer between the surface of the laminated plate and the applied pressure.

With Figs.(14-20) we have the basis for a significant assessment of the model. We felt the need for such an assessment for a number of reasons. To review the reasons, it is important to realize that what we have is a set of equations governing the dynamic behavior of a homogeneous, elastic, dispersive material which is used to replace a two phase layered laminate. This model, as do all, consists of two parts, the form of the model, or the form of the governing equations, and the set of values of the parameters that appear in the equations. Both parts need to be assessed.

In the derivation of the equations of the model we used the classical mixture theory rather than the more complicated micromorphic mixture theory. This gave rise to some uneasiness because the classical mixture theory accommodates only the symmetrical distributions of field quantities within the phases. In the same part of the derivation, the elastodynamic operator which resulted was replaced in the final theory by an approximation consisting of the first three terms of a power series expansion.

We arrived at a set of equations for finding the nineteen model constants in terms of the layer constants in an arbitrary way, by using what seemed to us to be the simplest method. In doing so we were aware that a preferable set of parameters could be found using some rational optimization method such as system identification. We also knew that with nineteen unknowns such methods would be formidable.

The most demanding assessment seemed to us to be a comparison of experimental data with transient responses predicted by the model theory



**TABLE 4**  
**PROPERTIES OF STAINLESS STEEL-PMMA**  
**LAMINATED COMPOSITE**

SPECIFIED LAYER PROPERTIES (AFTER HEGEMIER)					
$h_1$	$h_2$	$\rho_1^R$	$\rho_2^R$	$2\mu_1 + \lambda_1$	$2\mu_2 + \lambda_2$
cm	cm	$\frac{\text{dyne} \cdot \mu\text{sec}^2}{\text{cm}^4}$	$\frac{\text{dyne} \cdot \mu\text{sec}^2}{\text{cm}^4}$	dyne/cm <sup>2</sup>	dyne/cm <sup>2</sup>
0.0125	0.0392	$7.9 \times 10^{12}$	$1.15 \times 10^{12}$	$1.258 \times 10^{12}$	$0.089 \times 10^{12}$
COMPUTED MODEL CONSTANTS					
$K_2$	$q_2$	$C_{22}^{11}$	$C_{22}^{22}$	$C_{22}^{12}$	
dyne/cm <sup>4</sup>	$\frac{\text{dyne} \cdot \mu\text{sec}^2}{\text{cm}^4}$	dyne/cm <sup>2</sup>	dyne/cm <sup>2</sup>	dyne/cm <sup>2</sup>	dyne/cm <sup>2</sup>
$128.4 \times 10^{12}$	$0.185 \times 10^{12}$	$0.0014 \times 10^{12}$	$0.0908 \times 10^{12}$	$0.0110 \times 10^{12}$	

**TABLE 5**  
**PROPERTIES OF STAINLESS STEEL-EPON 828**  
**LAMINATED COMPOSITE**

SPECIFIED LAYER PROPERTIES (AFTER LUNDERGAN)					
$h_1$	$h_2$	$\rho_1^R$	$\rho_2^R$	$2\mu_1 + \lambda_1$	$2\mu_2 + \lambda_2$
cm	cm	$\frac{\text{dyne} \cdot \mu\text{sec}^2}{\text{cm}^4}$	$\frac{\text{dyne} \cdot \mu\text{sec}^2}{\text{cm}^4}$	dyne/cm <sup>2</sup>	dyne/cm <sup>2</sup>
0.0381	0.0123	$7.896 \times 10^{12}$	$1.26 \times 10^{12}$	$1.642 \times 10^{12}$	$0.0878 \times 10^{12}$
COMPUTED MODEL CONSTANTS					
$K_2$	$q_2$	$C_{22}^{11}$	$C_{22}^{22}$	$C_{22}^{12}$	
dyne/cm <sup>4</sup>	$\frac{\text{dyne} \cdot \mu\text{sec}^2}{\text{cm}^4}$	dyne/cm <sup>2</sup>	dyne/cm <sup>2</sup>	dyne/cm <sup>2</sup>	dyne/cm <sup>2</sup>
$362.331 \times 10^{12}$	$0.645 \times 10^{12}$	$0.6962 \times 10^{12}$	$0.0784 \times 10^{12}$	$-0.2336 \times 10^{12}$	

for waves propagating both parallel and perpendicular to the layers. The figures show this comparison to be quite satisfactory; for the relatively simple model with the simple method of finding the parameters, the responses appear to be accurate. Further, the accuracy is not restricted to early arrival times but extends to behavior far behind the head of the pulse.

APPENDIX A

For future use in subsequent studies we write the stress constitutive equations in matrix form

$$\begin{Bmatrix} \underline{\sigma}^1 \\ \underline{\sigma}^2 \end{Bmatrix} = \begin{bmatrix} \underline{c}^{11} & \underline{c}^{12} \\ \underline{c}^{21} & \underline{c}^{22} \end{bmatrix} \begin{Bmatrix} \underline{e}^1 \\ \underline{e}^2 \end{Bmatrix} - \begin{bmatrix} \underline{p}^{11} & \underline{p}^{21} \\ \underline{p}^{12} & \underline{p}^{22} \end{bmatrix} \begin{Bmatrix} \theta^1 \\ \theta^2 \end{Bmatrix}, \quad (\text{A.1})$$

where  $\underline{\sigma}^\alpha$  and  $\underline{e}^\alpha$  are vector representations of stress and strain tensors defined by

$$\underline{\sigma}^\alpha = (\sigma_{11}^\alpha, \sigma_{22}^\alpha, \sigma_{33}^\alpha, \sigma_{12}^\alpha, \sigma_{13}^\alpha, \sigma_{23}^\alpha)$$

$$\underline{e}^\alpha = (e_{11}^\alpha, e_{22}^\alpha, e_{33}^\alpha, 2e_{12}^\alpha, 2e_{13}^\alpha, 2e_{23}^\alpha),$$

$\underline{c}^{\alpha\beta}$  is a 6 x 6 material coefficient matrix of the form

$$\underline{c}^{\alpha\beta} = \begin{bmatrix} c_{11}^{\alpha\beta} & \dots & c_{16}^{\alpha\beta} \\ \vdots & & \vdots \\ c_{61}^{\alpha\beta} & & c_{66}^{\alpha\beta} \end{bmatrix},$$

and  $\underline{p}^{\alpha\beta}$  is a six dimensional vector defined by

$$\underline{p}^{\alpha\beta} = (p_{11}^{\alpha\beta}, p_{22}^{\alpha\beta}, p_{33}^{\alpha\beta}, p_{12}^{\alpha\beta}, p_{13}^{\alpha\beta}, p_{23}^{\alpha\beta}).$$

The stress constitutive equations, Eq. (A.1), govern the anisotropic behavior of a given composite. The symmetry conditions, Eq. (2.25), indicate that the matrices  $\underline{c}^{11}$  and  $\underline{c}^{22}$  are both symmetric, and  $\underline{c}^{21}$  is

equal to the transpose of  $\underline{C}^{12}$  i.e.,  $\underline{C}^{21} = \underline{C}^{12T}$ . This establishes the symmetry of the overall stiffness matrix in Eq. (A.1).

The matrix form of the positive definiteness condition, Eq. (2.36), is

$$\underline{S}^T \underline{C} \underline{S} \geq 0, \quad (\text{A.2})$$

where  $\underline{S}$  is an arbitrary twelve dimensional vector and  $\underline{C}$  is the 12 x 12 overall stiffness matrix defined by

$$\underline{C} = \begin{bmatrix} \underline{C}^{11} & \underline{C}^{12} \\ \underline{C}^{12T} & \underline{C}^{22} \end{bmatrix}. \quad (\text{A.3})$$

From linear algebra we know that the inequality, Eq. (A.2), is satisfied if all of the eigenvalues or principal minors of the symmetric matrix  $\underline{C}$  are positive. This explicitly determines the constraints to be satisfied by the model constants.

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