## SEISMIC RESPONSE OF STRUCTURAL SYSTEM WITH RANDOM PARAMETERS

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#### NOTATION

The following symbols are used in this report:

```
[A] = real symmetric matrix of order 2m, defined by Eq. (3.18)
```

$$A_{j}$$
 = constant of partial fraction defined by Eq. (II.19)

$$a_{i\ell}$$
 = constant used in Eqs. (3.9) and (3.24)

$$A_{\ell}^{\star}, B_{\ell}^{\star}$$
 = diagonal elements of diagonal matrices:  $[\phi]^{\mathsf{T}}[A][\phi]$  and  $[\phi]^{\mathsf{T}}[B][\phi]$  respectively

$$C_{v}$$
 = damping parameter

$$c_{y_i}, c_{\theta_i}$$
 = total translational and rotational damping value for the ith floor

$$C_1, C_2, D_1, D_2, E_2, E_3, F$$
 = constant of partial fraction defined by Eq. (II.18)

$$e_{x_i} = e_i, e_{y_i} = eccentricity in the x- and y-direction at ith floor$$

$$[F_{i}]$$
 = complex symmetric matrix defined by Eq. (3.20)

$$f_{j}$$
 = jth mode shape of a response quantity

$$I_1(\omega), I_2(\omega)$$
 = frequency integral function defined by Eqs. (II.2), (II.5)

$$[K],[\bar{K}]$$
 = stiffness and mean stiffness matrix

= stiffness parameter Kv = total translational stiffness in the x- and y-direction = total rotational stiffness in  $\theta$ -direction = x- and y-direction translational stiffness of the jth column in the ith story L = the Lagrangian = column length or story height [M], [M]= mass and mean mass matrix = bending moment in the x- and y-direction of the jth column at ith story = mass parameter m = mass of the ith floor m; = number of stories = number of columns in floor lay-out (Fig. 2.2) = constants of partial fractions in Eq. (3.1) P',Q',R',S' = constants of partial fractions in Eq. (3.16)= jth complex eigenvalue = mean square value of a response quantity f, defined by Eq. (3.1) for proportional damped case and by Eq. (3.16) for nonproportional one = radius of gyration of the ith floor  $r_i$ = random mass and stiffness values  $r_{m}, r_{k}$ = influence coefficient vector {r} = elements of constant matrix [c] defined in Appendix II s,t,u,v = Kinetic Energy {**u**} = relative displacement vector = Potential Energy

Var[•]

= variance

```
W_1, W_2, W_3, W_4 = elements of vector {W} defined by Eqs. (II.17)
            = a design variable
Хi
ξi
            = mean value of design variable x_i
            = relative translational displacement
            = base acceleration time history
            = x- and y-coordinate of the jth column measured from center
              of mass in the ith floor
            = constant damping coefficient defined in Eq. (2.5)
\alpha_{x}, \alpha_{v}
            = damping ratio parameter = C_{V}/2m\omega
            = jth modal damping ratio
β;
            = jth participation factor
Υį
            = nondimensional eccentricity ratio = e;/r
ε;
            = real and imaginery part of eigenvalue p_j (p_j = -\zeta_R + i\zeta_I)
\zeta_{R},\zeta_{I}
            = translational stiffness ratio = K_{y_i}/K_y
\eta_{i}
θi
            = rotational displacement in \theta-direction
            = rotational stiffness ratio = K_{\theta}, /r^2 K_y
۲i
            = real eigenvalue
λ<sub>.j</sub>
            = translational damping ratio = C_{y_i}/C_y
μi
            = mass ratio = m;/m
ρi
            = standard deviation of variable x_i
\{\phi_{\mathbf{i}}\}
            = jth eigenvector
<sup>{φ</sup>i}L
            = lower half part of complex eigenvector
            = rotational damping ratio = C_{\theta_i}/r^2C_{y_i}
\psi_{\mathbf{i}}
            = frequency parameter = \sqrt{K_v/m}
ω
            = jth modal frequency
\frac{8}{6}
            = partial derivative with respect to a design parameter x
```

#### CHAPTER 1

#### INTRODUCTION

#### 1.1 General Remarks and Literature Review

Dynamic response of a structural system depends upon its mass, stiffness and damping characteristics. These quantities can rarely be estimated precisely as they depend upon uncertain parameters such as member size, material properties like density and stiffness, and energy dissipation characteristics. Thus these quantities should be considered as random variables in an analytical model of a structure and the calculated dynamic response should reflect and include the uncertainties associated with these parameters.

Often in a dynamic analysis of a structure for earthquake induced ground motions, the effects of the variabilities of these parameters on the design response are included by parametric variation studies in which some extreme values of these parameters are used to obtain a bound on the calculated response. This approach may be adequate but does not combine the uncertainties in a rational and consistent manner. The uncertainties can be combined in a consistent fashion if these parameters are considered as random variables with their variabilities combined probabilistically to ascertain the variability of a response.

Several investigations have been performed in the past in which the effects of changes in these parameters on the dynamic characteristics like frequencies and mode shapes have been obtained. Fox and Kapoor [13] developed a formulation to obtain the rates of change of eigenvalues and eigenvectors with respect to a design parameter. Similar approaches were also used by Collins [9], Collins and Thomson [10],

Hasselman and Hart [17,18], Hadjian [14], Soong [36], Hart [15] and possibly many others, to ascertain the statistical properties of eigenvalues and eigenvectors for given statistical properties of structural parameters. However, only a limited literature is available on the incorporation of structural parameter uncertainties in the evaluation of structural response. Liu, Child and Nowotny [24] used a Monte Carlo type of approach to incorporate parametric uncertainties in the generation of floor response spectra.

Recently Singh [32] presented a formulation whereby parameter uncertainties are transmitted to structural response through the rates of change of dynamic characteristics. A structural reponse quantity like bending moment or story shear, etc., can be expressed as a function of dynamic characteristic such as natural frequencies, mode shapes, modal damping, and participation factors and thus its rate of change can be expressed in terms of the rates of change of these dynamic characteristics. This rate of change of response can, in turn, be used in the calculation of variance of response quantity by a first order approximation if the parameter uncertainties are known. Higher order approximations can also be used to improve results if it is desired; however, algebraic manipulations become considerably more involved. In this investigation the first order approximation approach has been used to ascertain the variances of various response quantities for given variances of the mass and stiffness properties of the structure.

For a structure subjected to earthquake induced ground motions, usually a symmetric structural layout is preferred to avoid torsional response (In large size structures the torsional response may still be

induced by travelling seismic waves. See Newmark [26]. This, however, is not the subject of this study). Inspite of best efforts to achieve a symmetric layout, however, some inadvertant eccentricity between the mass and stiffness center may still be present because of uncertainty in the distribution of structural mass and stiffness across the structure. In some cases eccentricities could be due to architectural features, or may just be unavoidable. Also the magnitude of such eccentricities will not be precisely known, as these are random variables. It is therefore of interest to study the effect of eccentricity on dynamic response and include its randomness in the evaluation of seismic response.

Structural systems with eccentricity between mass and stiffness centers possess some special characteristics. Especially if the eccentricites are small, the systems will usually have closely spaced (nearly equal) frequencies in certain circumstances. The modes with nearly equal frequencies, often referred to as "closely spaced moded", generally interact strongly. As a result such systems usually require special analysis procedures to calculate their dynamic response accurately. The eccentric structural systems (herein also referred to as torsional systems), therefore, have been of considerable research interest to many investigators. Rosenblueth and Elorduy [28], Amin and Gungor [2], Singh et al [29], Singh and Chu [33], Der Kieureghian [11] have considered systems with closely spaced frequencies and suggested the use of special procedures to obtain accurate responses. Kan and Chopra [21,22,23] have studied eccentric multistory structural systems in detail to suggest some simplified analysis procedures. Tso and Dempsey [37] have also examined a single story two-degree-of-freedom system in order to study the effect of eccentricity on the response and to review various code provisions.

Structural systems made with different materials in different parts would, generally, have nonproportional damping matrices [34]. Other energy dissipation mechanisms could also lead to nonproportional damping matrices in the analytical models. Such matrices cannot be diagonalized by undamped normal modes. That is, if a nonproportional matrix [C] is pre- and post-multiplied by undamped modal matrix [], the resultant matrix,  $[\phi]^T[C][\phi]$ , will have some off-diagonal terms. These off-diagonal terms represent a modal coupling through damping terms. In eccentric structural systems, where prequencies are closely spaced, and thus the interaction effects are large, these off diagonal terms may attain a special significance. It is suspected that in such systems (with eccentricities), if these off-diagonal damping coupling terms are neglected (that is, if a nonproportional damping matrix is assumed to be a proportional one) the calculated dynamic response may have some significant errors. It is, therefore, of interest here to study eccentric systems with nonproportional damping characteristics.

The analysis of nonproportionally damped systems has also been of considerable interest to many researchers. Bailak [4], Clough and Mojtahedi [6], Hasselman [16], Itoh [20], Johnson and McCaffery [20], Rosset, Whittman and Dobry [28], Singh [30], Warbuton and Soni [38], etc., have studied various aspects of this problem. Several methods of analysis have also been proposed for such systems. In this investigation nonproportional dynamic systems with varying eccentricities have been analyzed by the approach proposed by Singh [30]. For a given nonproportional damping matrix, this approach provides a mathematically accurate response for structures excited by ground motions defined in

stochastic terms or by response spectra curves. For comparison purposes, here the response results have been obtained with and without the assumption of proportionality.

#### 1.2 Scope of Study

In this investigation the effects of uncertainties of mass and stiffness on the response of a structural system have been examined. For given coefficients of variation of mass and stiffness, the coefficient of variation of design response has been obtained. Also structures with and without torsional oscillations have been examined. The effects of varying the eccentricity between the mass and stiffness centers on the dynamic characteristics and response of a multistory building has been examined. Since eccentric systems are expected to be more susceptible to nonproportional damping effects, the responses of such systems with nonproportional damping matrix have been studied in details, and the effect of the commonly made assumption of proportionality for nonproportionally damped systems on the calculation of dynamic response has been evaluated. Only linearly behaving structures have been considered in this investigation.

#### 1.3 Organization

Chapter 2 contains the derivation of the equations of motion for a multistory structural system. The mass, damping and stiffness matrix for such a system are given in Appendix I. In Chapter 3 the procedures for the evaluation of seismic response, and also its rate of change, for proportionally and nonproportionally damped structures for stochastically defined ground motion are presented. Further details of the rates of change of various parameters and frequency integrals are given

in Appendix II. The numerical results for a 5-story torsional building obtained by the procedures described in Chapter 3 are presented in Chapter 4. Summary and conclusions are provided in Chapter 5.

#### CHAPTER TWO

#### EQUATIONS OF MOTION OF A STRUCTURAL SYSTEM

#### 2.1 Introduction

For dynamic analysis of structural systems subjected to earthquake induced ground motions, the equations of motion can be written in the following form:

$$[M]\{u\} + [C]\{\dot{u}\} + [K]\{u\} = -[M]\{r\}\ddot{Y}_g(t) \tag{2.1}$$
 in which [M], [C], [K], respectively, are the mass, damping and stiffness matrices of the structure;  $\{u\}$  is the relative displacement vector;  $\ddot{Y}_g(t)$  is the base acceleration time history;  $\{r\}$  is the displacement influence coefficient vector [8] and a dot over a vector represents its time derivative.

Here these matrices are defined for various building systems in which masses are assumed to be concentrated at floor levels and the stiffness is provided by elements such as columns or shear walls connecting or supporting various floors. The floor systems are assumed rigid and thus undeformable. In large floors shear deformation may not be entirely insignificant, however, such floor deformations have not been considered here.

In a rigid-floor multistory structure, the floor mass when excited by a horizontal ground motion will, in general, have three degrees of freedom: motion in two horizontal directions plus rotation about the mass center of the floor. However, if the mass and resistance (stiffness) centers are at the same location (that is, zero eccentricity), the rotational component of the motion may be absent if the base excitation

is applied uniformly. This will happen in a symmetrically laid-out building in which the horizontal and rotational motions will be uncoupled and their dynamic behavior can be studied just by separate excitations along the two horizontal directions. Such symmetrical systems represent a special case of more general eccentric systems studied here.

Of special interest here are the systems in which mass and resistance centers do not coincide and are at a distance e, commonly referred to as eccentricity. In such cases the translational motion in two horizontal directions will be coupled with the rotational motion. (Because of the presence of torsional motion here, these systems will often be referred to as torsional systems.) Here the dynamic behavior of such systems has been studied in somewhat greater detail. The effects of variability of eccentricity and its influence on the assumption of proportionality for a nonproportional damping matrix have been studied in detail. In the following section, the development and non-dimensionalization of the equations of motion of a torsional system are presented.

#### 2.2 Equations of Motion of a Torsional System

In general, each floor of a torsional system representing a multistory building, would have 3 degrees-of-freedom. Each floor could possibly have its own arrangement of connecting elements like columns and shear walls, and this may give rise to different eccentricities for each floor. However, to limit the number of problem parameters in this study, structures with the following special characteristics are

#### examined:

- 1. Each floor mass has only two degrees of freedom: translation in one of the two horizontal directions and rotation about a vertical axis. This assumes that the resistance center lies on one of the axes of symmetry passing through the center of mass, and the ground motion is applied in a direction perpendicular to the axis of symmetry. Thus as shown in Fig. 2.1, the eccentricity in the x-direction alone has been considered and the excitation is applied in the y-direction.
- 2. For analytical ease of parameter manipulation and modeling purposes, but without loss of generality, the stiffness in the system is assumed to have been provided by column elements which connect various floors. The relative locations of the columns with respect to the center of mass determine the torsional rigidity of the system.

Assuming that the coordinate origin is located at the center of mass, the total translational and rotational stiffnesses provided by the resisting elements connecting the (i-1)th and ith floors can be written as [21]:

$$K_{x_{j}} = \sum_{j=1}^{n} k_{x_{jj}}$$
 (2.2a)

$$K_{y_{i}} = \sum_{j=1}^{n} k_{y_{i,j}}$$
 (2.2b)

$$K_{i} = \sum_{j=1}^{n} k_{x_{ij}} y_{ij}^{2} + \sum_{j=1}^{n} k_{y_{ij}} x_{ij}^{2}$$
 (2.2c)

in which  $k_{x_{ij}}$  and  $k_{y_{ij}}$ , respectively, are the x- and y-direction translational stiffnesses of the jth column in the ith story;  $x_{ij}$  and  $y_{ij}$ , respectively, are the x- and y-coordinates of the jth column measured

from the center of mass on the ith floor;  $K_{x_i}$  and  $K_{y_i}$ , respectively, are total translational stiffnesses in the x- and y-directions;  $K_{\theta_i}$  is the rotational stiffness in the  $\theta$ -direction; and n is the total number of columns in the ith story.

These total translational stiffnesses can be assumed to be concentrated at the center of resistance. The coordinates of the center of resistance with respect to the mass center define the eccentricities in the system as follows [21]:

$$e_{x_i} = \frac{1}{K_{y_i}} \sum_{j=1}^{n} k_{y_{ij}} x_{ij}$$
 (2.3a)

$$e_{y_i} = \frac{1}{K_{x_i}} \sum_{j=1}^{n} k_{x_{ij}} y_{ij}$$
 (2.3b)

For the systems considered here,  $e_{y_i} = 0$ ; that is, they are symmetrical about their x-axes. Henceforth the eccentricity  $e_{x_i}$  will be denoted by  $e_i$ .

- 3. It is assumed that the centers of mass for all floors lie on a common vertical axis. The centers of resistance for all floors are also assumed to lie on another vertical axis which is at a distance  $\mathbf{e}_{i}$  from the axis of the centers of mass.
- 4. To account for energy dissipation by damping in each deforming element, viscous (relative velocity proportional) dashpots are assumed to exist in parallel with each stiffness element. That is, it is assumed that corresponding to each stiffness element, there is a dashpot. If  $c_{x_{ij}}$  and  $c_{y_{ij}}$  represent the damping constants corresponding to  $k_{x_{ij}}$  and  $k_{y_{ij}}$ , then total translational and rotational damping constants for the ith story can be written as:

$$c_{x_i} = \sum_{j=1}^{n} c_{x_{ij}}$$
 (2.4a)

$$C_{y_{i}} = \sum_{j=1}^{n} c_{y_{i,j}}$$
 (2.4b)

$$C_{\theta_{i}} = \sum_{j=1}^{n} c_{x_{ij}} y_{ij}^{2} + \sum_{j=1}^{n} c_{y_{ij}} x_{ij}^{2}$$
 (2.4c)

These damping constants will provide a stiffness proportional damping matrix if  $c_{x_{ij}}$  and  $c_{y_{ij}}$  are assumed directly proportional to  $k_{x_{ij}}$  and  $k_{y_{ij}}$  with the same constant of proportionality. However, if proportionality constants are not equal, the combined damping matrix will be nonproportional. Here it is assumed that

$$c_{x_{ij}} = \alpha_x k_{x_{ij}}, c_{y_{ij}} = \alpha_y k_{y_{ij}}$$
(2.5)

Where, if the proportionality constants  $\alpha_{\rm X}$  and  $\alpha_{\rm y}$  are different, the damping matrix will be nonproportional; otherwise it will be proportional. By adjusting the values of  $\alpha_{\rm X}$  and  $\alpha_{\rm y}$ , different degrees of nonproportionality can be easily achieved.

5. The floor plan for each story is assumed to be the same and is shown in Figure 2.2. The radius of gyration, r, for each floor is also assumed to be the same.

For a structural system described above, the equations of motion can be derived using either Newton's second law, or the method of Virtual Work or Lagrange's equations. Here the Lagrange's equation approach has been used. The Potential and Kinetic energies of the ith floor mass of the system are as follows:

Kinetic Energy, T:

$$T_i = \frac{1}{2} m_i (\dot{Y}_i + \dot{Y}_g)^2 + \frac{1}{2} I_i \dot{\theta}_i^2$$
  $i = 1, 2, ... N$  (2.6)

where  $m_i$  = mass of the ith floor;  $Y_i$  = relative translational displacement in the y-direction;  $\theta_i$  = rotational displacement in the  $\theta$ -direction;  $\dot{Y}_g$  = ground excitation velocity in the y-direction;  $I_i$  = mass moment of inertia with respect to the center of mass  $(m_i r_i^2)$ ; and N = total number of stories.

Potential Energy, V:

$$V_{i} = \frac{1}{2} K_{y_{i}} [(Y_{i} - Y_{i-1}) + e_{i}(\theta_{i} - \theta_{i-1})]^{2}$$

$$+ \frac{1}{2} K_{y_{i+1}} [(Y_{i+1} - Y_{i}) + e_{i+1}(\theta_{i+1} - \theta_{i})]^{2}$$

$$+ \frac{1}{2} K_{\theta_{i}} (\theta_{i} - \theta_{i-1})^{2} + \frac{1}{2} K_{\theta_{i+1}} (\theta_{i+1} - \theta_{i})^{2}$$

$$i = 1, 2, ... N$$
(2.7)

Rayleigh's dissipation function, F,

$$F = \frac{1}{2} C_{y_{i}} [(\dot{Y}_{i} - \dot{Y}_{i-1}) + e_{i} (\dot{\theta}_{i} - \dot{\theta}_{i-1})]^{2}$$

$$+ \frac{1}{2} C_{y_{i+1}} [(\dot{Y}_{i+1} - \dot{Y}_{i}) + e_{i+1} (\dot{\theta}_{i+1} - \dot{\theta}_{i})]^{2}$$

$$+ \frac{1}{2} C_{\theta_{i}} (\dot{\theta}_{i} - \dot{\theta}_{i-1})^{2} + \frac{1}{2} C_{\theta_{i+1}} (\dot{\theta}_{i+1} - \dot{\theta}_{i})^{2}$$

$$i = 1, 2, ... N$$
(2.8)

In terms of the Lagrangian, L,

$$L = T - V \tag{2.9}$$

the equations of motion can be obtained from the following equation [25]

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}_i} \right) - \frac{\partial L}{\partial \dot{q}_i} + \frac{\partial F}{\partial \dot{q}_i} = 0. \tag{2.10}$$

where  $q_i$ 's are generalized displacements which in our notations represent the displacement,  $Y_i$ , and rotation,  $\theta_i$ . Using Eqs. (2.2)-(2.9), the equations of motion for the ith floor in terms of relative displacement  $Y_i$  and rotation  $\theta_i$  can be written as follows:

$$\begin{split} & \text{m}_{i} \ddot{\text{Y}}_{i} + (-\text{C}_{y_{i}}) \mathring{\text{Y}}_{i-1} + (\text{C}_{y_{i}} + \text{C}_{y_{i+1}}) \mathring{\text{Y}}_{i} + (-\text{C}_{y_{i+1}}) \mathring{\text{Y}}_{i+1} \\ & + (-\text{K}_{y_{i}}) \text{Y}_{i-1} + (\text{K}_{y_{i}} + \text{K}_{y_{i+1}}) \text{Y}_{i} + (-\text{K}_{y_{i+1}}) \text{Y}_{i+1} \\ & + (-\text{e}_{i} \text{C}_{y_{i}}) \mathring{\text{O}}_{i-1} + (\text{e}_{i} \text{C}_{y_{i}} + \text{e}_{i+1} \text{C}_{y_{i+1}}) \mathring{\text{O}}_{i} + (-\text{e}_{i+1} \text{C}_{y_{i+1}}) \mathring{\text{O}}_{i+1} \\ & + (-\text{e}_{i} \text{K}_{y_{i}}) \text{O}_{i-1} + (\text{e}_{i} \text{K}_{y_{i}} + \text{e}_{i+1} \text{K}_{y_{i+1}}) \text{O}_{i} + (-\text{e}_{i+1} \text{K}_{y_{i+1}}) \mathring{\text{O}}_{i+1} \\ & = -\text{m}_{i} \ddot{\text{Y}}_{g} \\ & \text{(2.11a)} \\ \\ & \text{m}_{i} r_{i} \mathring{\text{O}}_{i} + (-\text{e}_{i} \text{C}_{y_{i}}) \mathring{\text{Y}}_{i-1} + (\text{e}_{i} \text{C}_{y_{i}} + \text{e}_{i+1} \text{C}_{y_{i+1}}) \mathring{\text{Y}}_{i} + (-\text{e}_{i+1} \text{C}_{y_{i+1}}) \mathring{\text{Y}}_{i+1} \\ & + (-\text{e}_{i} \text{K}_{y_{i}}) \text{Y}_{i-1} + (\text{e}_{i} \text{K}_{y_{i}} + \text{e}_{i+1} \text{K}_{y_{i+1}}) \text{Y}_{i} + (-\text{e}_{i+1} \text{K}_{y_{i+1}}) \text{Y}_{i+1} \\ & + (-\text{C}_{\theta_{i}}) \mathring{\text{O}}_{i-1} + (\text{C}_{\theta_{i}} + \text{C}_{\theta_{i+1}}) \mathring{\text{O}}_{i} + (-\text{C}_{\theta_{i+1}}) \mathring{\text{O}}_{i+1} = \text{O}. \end{aligned}$$

To study the effects of various system parameters, it is sometimes convenient to nondimensionalize the equations. For this purpose, a mass parameter "m" (which could be the mass of a floor), a stiffness parameter "Ky" (which could be a story stiffness), a damping parameter "Cy" (which could be the damping of a story), a frequency parameter  $\omega = \sqrt{K_y/m}$  and a damping ratio parameter  $\beta = C_y/2\omega m$ , have been introduced. Also various terms encountered in the equations are expressed in terms of translational stiffness ratios  $\eta_i = K_y/K_y$ , rotational stiffness ratio  $\kappa_i = K_{\theta_i}/r^2 K_{y_i}$ , translational damping ratio  $\mu_i = C_{y_i}/C_y$ , rotational

tional damping ratio  $\psi_i = c_{\theta_i}/r^2 c_{y_i}$ , and mass ratio  $\rho_i = m_i/m$ . The eccentricity is expressed in nondimensional terms as  $\varepsilon_i = e_i/r$ .

In terms of these nondimensional parameters, Eqs. (2.11) can be now written in the following form:

$$\rho_{i}\ddot{\gamma}_{i} + 2\beta\omega\{-\mu_{i}\dot{\gamma}_{i-1} + (\mu_{i}+\mu_{i+1})\dot{\gamma}_{i} - \mu_{i+1}\dot{\gamma}_{i+1} + \varepsilon_{i}\mu_{i}(r\dot{\theta}_{i-1})$$

$$+ (\varepsilon_{i}\mu_{i}+\varepsilon_{i+1}\mu_{i+1})(r\dot{\theta}_{i}) + (-\varepsilon_{i+1}\mu_{i+1})(r\dot{\theta}_{i+1})\}$$

$$+ \omega^{2}\{-\eta_{i}\dot{\gamma}_{i-1}+(\eta_{i}+\eta_{i+1})\dot{\gamma}_{i} - \eta_{i+1}\dot{\gamma}_{i+1} + \varepsilon_{i}\eta_{i}(r\theta_{i-1})$$

$$+ (\varepsilon_{i}\eta_{i}+\varepsilon_{i+1}\eta_{i+1})(r\theta_{i}) + (-\varepsilon_{i+1}\eta_{i+1})(r\theta_{i+1})\} = -\rho_{i}\ddot{\gamma}_{g} \quad (2.12a)$$

$$\rho_{i}(\frac{r_{i}}{r})^{2}(r\ddot{\theta}_{i}) + 2\beta\omega\{(-\varepsilon_{i}\mu_{i})\dot{\gamma}_{i-1} + (\varepsilon_{i}\mu_{i}+\varepsilon_{i+1}\mu_{i+1})\dot{\gamma}_{i} + (-\varepsilon_{i+1}\mu_{i+1})\dot{\gamma}_{i+1}$$

$$+ (-\psi_{i}\mu_{i})(r\dot{\theta}_{i-1}) + (\psi_{i}\mu_{i}+\psi_{i+1}\mu_{i+1})(r\dot{\theta}_{i}) + (-\psi_{i+1}\eta_{i+1})(r\dot{\theta}_{i+1})\}$$

$$+ \omega^{2}\{(-\varepsilon_{i}\eta_{i})\dot{\gamma}_{i-1} + (\varepsilon_{i}\eta_{i}+\varepsilon_{i+1}\eta_{i+1})\dot{\gamma}_{i} + (-\varepsilon_{i+1}\eta_{i+1})\dot{\gamma}_{i+1}$$

$$+ (-\kappa_{i}\eta_{i})(r\theta_{i-1}) + (\kappa_{i}\eta_{i}+\kappa_{i+1}\eta_{i+1})(r\theta_{i}) + (-\kappa_{i+1}\eta_{i+1})(r\theta_{i+1})\}$$

$$= 0. \quad (2.12b)$$

Similar equations are obtained for each floor mass. When combined, these can be written in matrix form as Eq. (2.1), with matrices [M], [C], [K] and vector {u} defined in Appendix I. These matrices can also be written in partitioned form, where each submatrix is analogous to the corresponding matrix for a one story, two-degree-of-freedom torsional structure.

### 2.3 Dynamic Chatacteristics of a Single-Story System

It has been pointed out by various investigators [2,27,29] that closeness in frequency is controlled by the eccentricity ratio,  $\epsilon$ , and

the rotational stiffness parameter,  $\kappa$ . In the following, this has been verified by the study of a single story, two-degree-of-freedom system (see Fig. 2.3) for which the eigenvalues can be obtained in closed form in terms of these parameter values.

For a single-story system, the equation of motion can be written as follows:

$$\begin{bmatrix} 1.0 & 0 \\ 0 & 1.0 \end{bmatrix} \begin{pmatrix} \vdots \\ r\theta \end{pmatrix} + 2\beta\omega\mu \begin{bmatrix} 1.0 & \varepsilon \\ \varepsilon & \psi \end{bmatrix} \begin{pmatrix} \dot{y} \\ r\dot{\theta} \end{pmatrix} + \omega^{2}\eta \begin{bmatrix} 1.0 & \varepsilon \\ \varepsilon & \kappa \end{bmatrix} \begin{pmatrix} y \\ r\theta \end{pmatrix}$$

$$= -\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \{r\}y_{g} \tag{2.13}$$

The eigenvalues for an undamped system can be obtained from the solution of the following characteristic equation:

$$\begin{vmatrix} \omega^2 \eta - \lambda & \omega^2 \eta \varepsilon \\ \omega^2 \eta \varepsilon & \omega^2 \eta \kappa - \lambda \end{vmatrix} = 0.$$
 (2.14)

which gives two eignevalues as follows:

$$\lambda = \omega^2 \eta \frac{(1+\kappa) \pm \sqrt{(1+\kappa)^2 - 4(\kappa - \varepsilon^2)}}{2 \cdot 0}$$
 (2.15)

For these two eigenvalues to be equal to each other, the discriminant should be zero. That is,

$$(1+\kappa)^2 - 4\kappa + 4\epsilon^2 = 0. (2.16)$$

or

$$(1-\kappa)^2 = -4\varepsilon^2 \tag{2.17}$$

which requires that

$$\varepsilon = \frac{e}{r} = 0. \tag{2.18a}$$

and

$$\kappa = \frac{K}{r^2 K_y} = 1.0$$
 (2.18b)

Thus, for small values of nondimensional eccentricity,  $\varepsilon$ , and stiffness ratio,  $\kappa$ , close to 1.0, the discriminant will be close to zero and the two frequencies -translational and torsional- of the system will be close to each other. It is in these situations that these modes interact strongly with each other and affect the choice of the methodologies to be used for accurate evaluation of structural response.

Similar behavior has also been observed in multistory buildings. That is, if the eccentricity ratios,  $\varepsilon_i$ , in various floors are small and torsional stiffness ratios,  $\kappa_i$ , are near a value of 1.0, the structural frequencies in translation and torsion will be close to each other. There could possibly be other structural systems where frequencies may also be closely spaced. These are the very same systems in which the simple-to-use method of Square-Root-of-the-Sum-of-the-Squares (SRSS) procedure gives erroneous results [29]. A correct evaluation of response in such systems requires a proper consideration of interaction of modes with close frequencies [27,29,33].

The multi-degree-of-freedom systems examined in this investigation possess these properties. The closeness in the frequencies has been achieved by controlling the eccentricity ratio,  $\epsilon_{\bf i}$ , and torsional stiffness ratio,  $\kappa_{\bf i}$ , as mentioned above. Various column floor plans, as shown in Fig. 2.4, have been examined. The torsional stiffness ratios of these systems are listed in Table 2.1. The floor plans, Figs. 2.4 in which columns are clustered and uniformly distributed around the geometric center, provided torsional stiffness ratio values close to 1.0.

The torsional systems considered here, therefore, are representative of such building floor plan lay-outs, Figs. 2.4.

#### CHAPTER 3

#### SEISMIC RESPONSE ANALYSIS

#### 3.1 Introduction

To study the dynamic behavior of structures under earthquake loads here, ground motions have been modeled as stationary random processes which can be characterized by a spectral density function. It is realized that earthquake induced ground motions are essentially nonstationary and thus cannot be characterized by spectral density functions. However, earthquake ground motions have often been modeled by stationary random process by many investigators in the past [2,11,27, 33], as some conclusions of general validity can still be obtained by a study of structures excited by stationary excitations.

In this chapter, seismic response evaluation procedures for structures excited by randomly characterized ground motion are presented. Of special interest here is the evaluation of the sensitivity and variability of response vis-a-vis the changes in various structural parameters. The necessary formulation for systems with proportional and nonproportional damping matrices are presented. As the modal analysis approach is quite often used in seismic analysis and design, especially if one intends to obtain design response from a response spectrum prescribed as seismic design input, the following formulation is also developed with the normal mode approach.

For structural systems excited by randomly characterized ground motion, the design response is directly related to the root mean square response. Usually, the root mean square response is amplified by a factor called the peak factor to obtain the design response. It is,

therefore, of interest here to examine the mean square response. The formulations to obtain the mean square response for proportionally and nonproportionally damped systems using the modal analysis approach have been developed elsewhere. In the following section the expressions used to obtain the mean square response are given. These expressions are then examined with a view to ascertain the variability of the response due to the variabilities of structural parameters.

#### 3.2 Structural Systems with Proportional Damping Matrices

If the damping matrix, [C], in Eq. (2.1) is proportional to either mass or stiffness or a combination of them (see Caughey [6]), then the coupled equations of motion, Eq. (2.1), can be easily decoupled using the undamped normal modes. In terms of these normal modes, the mean square response can be written as [33]:

$$R_{f}^{2} = \sum_{j=1}^{m} \gamma_{j}^{2} f_{j}^{2} \frac{I_{1}(\omega_{j})}{\omega_{j}^{4}} + 2 \sum_{j=1}^{m} \sum_{k=j+1}^{m} \gamma_{j} \gamma_{k} f_{j} f_{k}$$

$$\left\{ \frac{1}{\omega_{j}^{4}} \left[ QI_{1}(\omega_{j}) + Pr^{2}I_{2}(\omega_{j}) \right] + \frac{1}{\omega_{k}^{4}} \left[ SI_{1}(\omega_{k}) + RI_{2}(\omega_{k}) \right] \right\}$$
(3.1)

in which  $R_f^2$  is the mean square value of a response quantity f, f<sub>j</sub> is the jth mode shape of the response quantity, m = the number of degrees of freedom,  $\gamma_j$  is the jth participation factor defined as  $\{\phi_j\}^T[M]\{r\}/\{\phi_j\}^T[M]\{\phi_j\}$ ,  $\{\phi_j\}$  is the jth relative displacement mode shape,  $\omega_j$  is the jth natural frequency,  $r = \omega_j/\omega_k$ , and  $I_1(\omega_j)$  and  $I_2(\omega_j)$  are the frequency integrals defined in Appendix II. These integrals depend on the excitation spectral density function, modal frequency,  $\omega_j$ , and modal damping,  $\beta_j$ . For proportional damping matrix [C], the modal damping ratio is defined as follows:

$$\beta_{\mathbf{j}} = \frac{1}{2\omega_{\mathbf{j}}} \frac{\{\phi_{\mathbf{j}}\}^{\mathsf{T}} [C] \{\phi_{\mathbf{j}}\}}{\{\phi_{\mathbf{j}}\}^{\mathsf{T}} [M] \{\phi_{\mathbf{j}}\}}.$$
(3.2)

The factors of partial fraction, P, Q, R and S, are also defined in Appendix II.

Eq. (3.1) defines the Square-Root-of-the-Sum-of-the-Squares (SRSS) procedure for calculation of mean square and design response. For structural systems where some frequencies are close to each other, the consideration of the double summation terms in Eq. (3.1) is important to properly include the modal interaction effect to evaluate response accurately [27,33].

The variability of response defined by Eq. (3.1) vis-a-vis the variabilities of mass, stiffness, and eccentricity is now examined. If it is assumed that the design response is a constant times the mean square response, then the variability of the design response can be assessed from the variability of the mean square response. Here, to obtain the variability in the mean square response, which is a function of various basic parameters such as mass, stiffness, eccentricity, etc., a first order perturbation approach is used. Expanding a response quantity, R, which is a function of variables  $x_1, x_2, \ldots, x_n$ , in terms of Taylor series about the mean value of the variables, and retaining only first order terms, we obtain [3,5]

$$E[R(x_1, x_2, ..., x_n)] \approx R(\bar{x}_1, \bar{x}_2, ..., \bar{x}_n)$$
 (3.3)

$$Var[R(x_1,x_2,...,x_n)] = \sum_{i=1}^{n} \left(\frac{\partial R}{\partial x_i}\right)^2 \sigma_{x_i}^2$$
 (3.4)

in which  $E[\cdot]$  denotes the expected value and  $Var[\cdot]$  denotes the var-

iance,  $\frac{\partial R}{\partial x_i}$  is the partial derivative with respect to variable  $x_i$  obtained at the mean values  $\bar{x}_i$ 's and  $\sigma_{x_i}$ 's are the standard deviations of the variables,  $x_i$ 's.

This approach requires the evaluation of the rate of change of the response quantity,  $\frac{\partial R}{\partial x_i}$ . Here R is expressed in terms of dynamic characteristics of the structure, Eq. (3.1), which in turn are functions of basic variables  $x_i$ . Thus evaluation of  $\frac{\partial R}{\partial x_i}$  will require evaluation of the rates of change of dynamic characteristics such as frequencies, eigenvectors, participation factors, etc., with respect to the basic variable. For example, for Eq. (3.1)

$$\frac{\partial R_f}{\partial x_i} = \frac{1}{2R_f} \frac{\partial (R_f^2)}{\partial x_i}$$
 (3.5)

and

$$\frac{\partial \left(R_{f}^{2}\right)}{\partial x} = \int_{j=1}^{m} \left\{2\gamma_{j}f_{j} \frac{I_{1}(\omega_{j})}{\omega_{j}^{4}} \left(\frac{\partial \gamma_{j}}{\partial x} f_{j} + \gamma_{j} \frac{\partial f_{j}}{\partial x}\right) + \gamma_{j}^{2}f_{j}^{2} \frac{\partial}{\partial x} \left(\frac{I_{1}(\omega_{j})}{\omega_{j}^{4}}\right)\right\} 
+ 2 \int_{j=1}^{m} \sum_{k=j+1}^{m} \left[\left\{\gamma_{j}\gamma_{k}\left(\frac{\partial f_{j}}{\partial x} f_{k} + \frac{\partial f_{k}}{\partial x} f_{j}\right) + \left(\frac{\partial \gamma_{j}}{\partial x} \gamma_{k} + \frac{\partial \gamma_{k}}{\partial x} \gamma_{j}\right)f_{j}f_{k}\right\}\right\} 
+ 2 \int_{j=1}^{m} \sum_{k=j+1}^{m} \left[\left\{\gamma_{j}\gamma_{k}\left(\frac{\partial f_{j}}{\partial x} f_{k} + \frac{\partial f_{k}}{\partial x} f_{j}\right) + \left(\frac{\partial \gamma_{j}}{\partial x} \gamma_{k} + \frac{\partial \gamma_{k}}{\partial x} \gamma_{j}\right)f_{j}f_{k}\right\}\right\} 
+ 2 \int_{j=1}^{m} \sum_{k=j+1}^{m} \left[\left\{\gamma_{j}\gamma_{k}\left(\frac{\partial f_{j}}{\partial x} f_{k} + \frac{\partial f_{k}}{\partial x} f_{k}\right) + \left(\frac{\partial \gamma_{j}}{\partial x} \gamma_{k} + \frac{\partial \gamma_{k}}{\partial x} \gamma_{j}\right)f_{j}f_{k}\right\}\right\} 
+ 3 \int_{j=1}^{m} \sum_{k=j+1}^{m} \left[\left\{\gamma_{j}\gamma_{k}\left(\frac{\partial f_{j}}{\partial x} f_{k} + \frac{\partial f_{k}}{\partial x} f_{k}\right) + \left(\frac{\partial \gamma_{j}}{\partial x} \gamma_{k} + \frac{\partial \gamma_{k}}{\partial x} \gamma_{j}\right)f_{j}f_{k}\right\}\right\} 
+ 4 \int_{j=1}^{m} \sum_{k=j+1}^{m} \left[\left\{\gamma_{j}\gamma_{k}\left(\frac{\partial f_{j}}{\partial x} f_{k} + \frac{\partial f_{k}}{\partial x} f_{k}\right) + \left(\frac{\partial f_{k}}{\partial x} \gamma_{k} + \frac{\partial \gamma_{k}}{\partial x} \gamma_{j}\right)f_{j}f_{k}\right\}\right\} 
+ 4 \int_{j=1}^{m} \sum_{k=j+1}^{m} \left[\left\{\gamma_{j}\gamma_{k}\left(\frac{\partial f_{j}}{\partial x} f_{k} + \frac{\partial f_{k}}{\partial x} f_{k}\right) + \left(\frac{\partial \gamma_{j}}{\partial x} \gamma_{k} + \frac{\partial \gamma_{k}}{\partial x} \gamma_{j}\right)f_{j}f_{k}\right\}\right\} 
+ 4 \int_{j=1}^{m} \sum_{k=j+1}^{m} \left[\left\{\gamma_{j}\gamma_{k}\left(\frac{\partial f_{j}}{\partial x} f_{k} + \frac{\partial f_{k}}{\partial x} f_{k}\right) + \left(\frac{\partial \gamma_{j}}{\partial x} \gamma_{k} + \frac{\partial \gamma_{k}}{\partial x} \gamma_{j}\right)f_{j}f_{k}\right\}\right\} 
+ 4 \int_{j=1}^{m} \sum_{k=j+1}^{m} \left[\left\{\gamma_{j}\gamma_{k}\left(\frac{\partial f_{j}}{\partial x} f_{k} + \frac{\partial f_{k}}{\partial x} f_{k}\right) + \left(\frac{\partial f_{k}}{\partial x} f_{k}\right) + \left($$

where the derivatives of frequency integral  $\frac{\partial}{\partial x} \left[ \frac{I_1(\omega_j)}{4} \right]$ ,  $\frac{\partial}{\partial x} \left[ \frac{I_2(\omega_j)}{4} \right]$  and the derivatives of partial fraction factors  $\frac{\partial P}{\partial x}$ ,  $\frac{\partial Q}{\partial x}$ ,  $\frac{\partial R}{\partial x}$  and  $\frac{\partial S}{\partial x}$  are defined in Appendix II.

# 3.2.1 Rates of Change of Dynamic Characteristics for Proportionally Damped Case

To obtain the rates of change of  $\omega_j$ ,  $\{\phi_j\}$ , etc., required in equation (3.6), the following equations, which are derived based on the approach presented by Fox and Kapoor [13], have been used:

$$\frac{\partial \lambda_{\mathbf{j}}}{\partial \mathbf{x}} = \frac{\left\{\phi_{\mathbf{j}}\right\}^{\mathsf{T}} \left(\left[\frac{\partial \mathbf{K}}{\partial \mathbf{x}}\right] - \lambda_{\mathbf{j}} \left[\frac{\partial \mathbf{M}}{\partial \mathbf{x}}\right]\right) \left\{\phi_{\mathbf{j}}\right\}}{\left\{\phi_{\mathbf{j}}\right\}^{\mathsf{T}} \left[\mathbf{M}\right] \left\{\phi_{\mathbf{j}}\right\}},$$
(3.7)

where  $\lambda_j$  is the jth eigenvalue =  $\omega_j^2$ . The rate of change of  $\omega_j$  is obtained from:

$$\frac{\partial \omega_{\hat{\mathbf{j}}}}{\partial \mathbf{x}} = \frac{1}{2\omega_{\hat{\mathbf{j}}}} \frac{\partial \lambda_{\hat{\mathbf{j}}}}{\partial \mathbf{x}} \tag{3.8}$$

To define the rate of change of eigenvectors, the expansion theorem has been used as:

$$\{\frac{\partial \phi_{j}}{\partial x}\} = \sum_{\ell=1}^{m} a_{j\ell} \{\phi_{\ell}\}, \qquad (3.9)$$

in which  $a_{i\ell}$  are defined as:

$$a_{j\ell} = \begin{cases} \frac{1}{\lambda_{j} - \lambda_{\ell}} \left\{ \phi_{\ell} \right\}^{\mathsf{T}} \left( \left[ \frac{\partial K}{\partial \mathbf{x}} \right] - \lambda_{j} \left[ \frac{\partial M}{\partial \mathbf{x}} \right] - \frac{\partial \lambda_{j}}{\partial \mathbf{x}} \left[ M \right] \right) \left\{ \phi_{j} \right\} & \text{if } j \neq \ell \\ - \frac{1}{2} \left\{ \phi_{j} \right\}^{\mathsf{T}} \left[ \frac{\partial M}{\partial \mathbf{x}} \right] \left\{ \phi_{j} \right\} & \text{if } j = \ell \end{cases}$$

$$(3.10)$$

The rate of change of a participation factor can be expressed in terms of the rates of  $\{\phi_j\}$  and [M], and thus the expressions are straighforward. For the modal damping ratio defined by Eq. (3.2), the evaluation of

its rate of change is also straightforward.

# 3.2.2 <u>Rates of Change with Respect to Mass, Stiffness and Eccentricity</u> Variables.

As mentioned before the design variables of mass, stiffness and eccentricity, e, have been considered in this study. The variability in the mass variable is characterized by a random variable  $r_{\rm m}$  which has a mean value of 1.0 and standard deviation of  $\sigma_{\rm m}$  as follows:

$$[M] = r_{m}[\overline{M}] \tag{3.11}$$

where [M] is the random mass matrix with a mean of [M]. This form of variability assumes that all masses in the structure are prefectly correlated. A similar form is assumed to characterize the variability in stiffness:

$$[K] = r_{i}[\overline{K}] \tag{3.12}$$

where  $r_k$  is also a random variable with mean value of 1.0 and standard deviation  $\sigma_k$ . More complicated characterization of mass and stiffness variabilities (such as different mass and stiffness elements being characterized by different variables) are also possible, but have not been considered here. Various rates of change defined by Eqs. (3.7-3.10) can now be specialized for these basic variables as follows:

Rates of change with respect to  $r_m$ : with  $\left[\frac{\partial M}{\partial r_m}\right] = \left[\bar{M}\right], \left[\frac{\partial K}{\partial r_m}\right] = 0.$ 

$$\frac{\partial \lambda_{\mathbf{j}}}{\partial \mathbf{r}_{\mathbf{m}}} = -\lambda_{\mathbf{j}} \; ; \; \{ \frac{\partial \phi_{\mathbf{j}}}{\partial \mathbf{r}_{\mathbf{m}}} \} = -\frac{1}{2} \{ \phi_{\mathbf{j}} \}$$

$$\frac{\partial \gamma_{\mathbf{j}}}{\partial \mathbf{r}_{\mathbf{m}}} = \frac{1}{2} \gamma_{\mathbf{j}} \; ; \; \{ \frac{\partial \beta_{\mathbf{j}}}{\partial \mathbf{r}_{\mathbf{m}}} \} = -\frac{1}{2} \beta_{\mathbf{j}}.$$
Rates of change with respect to  $\mathbf{r}_{\mathbf{k}}$ : with  $[\frac{\partial M}{\partial \mathbf{r}_{\mathbf{k}}}] = 0., [\frac{\partial K}{\partial \mathbf{r}_{\mathbf{k}}}] = [\tilde{K}],$ 

$$\frac{\partial \lambda_{\mathbf{j}}}{\partial \mathbf{r}_{\mathbf{k}}} = \lambda_{\mathbf{j}} \; ; \; \{ \frac{\partial \phi_{\mathbf{j}}}{\partial \mathbf{r}_{\mathbf{k}}} \} = 0.$$

$$\frac{\partial \gamma_{j}}{\partial r_{k}} = 0.$$
 ;  $\left\{\frac{\partial \beta_{j}}{\partial r_{k}}\right\} = -\frac{1}{2} \beta_{j}.$ 

Rates of change with respect to eccentricity, e: with  $\left[\frac{\partial M}{\partial e}\right] = 0$ .

$$\frac{\partial \lambda_{j}}{\partial e} = \{\phi_{j}\}^{\mathsf{T}} \left[\frac{\partial K}{\partial e}\right] \{\phi_{j}\} \tag{3.15a}$$

$$\{\frac{\partial \phi_{\mathbf{j}}}{\partial \mathbf{e}}\} = \sum_{\substack{\ell=1\\ \ell \neq \mathbf{j}}}^{m} \frac{1}{\lambda_{\mathbf{j}}^{-\lambda_{\ell}}} \{\phi_{\ell}\}^{\mathsf{T}} ([\frac{\partial K}{\partial \mathbf{e}}] - \frac{\partial \lambda_{\mathbf{j}}}{\partial \mathbf{e}} [\mathsf{M}]) \{\phi_{\mathbf{j}}\} \{\phi_{\ell}\}$$
(3.15b)

$$\frac{\partial \gamma_{j}}{\partial e} = \left\{\frac{\partial \phi_{j}}{\partial e}\right\}^{T} [M] (\{r\} - 2\gamma_{j} \{\phi_{j}\})$$
 (3.15c)

$$\frac{\partial \beta_{\mathbf{j}}}{\partial \mathbf{e}} = \frac{1}{2\omega_{\mathbf{j}}} \left( 2\{\frac{\partial \phi_{\mathbf{j}}}{\partial \mathbf{e}}\} \right)^{\mathsf{T}} \left[ \mathbf{C} \right] \{\phi_{\mathbf{j}}\} + \{\phi_{\mathbf{j}}\}^{\mathsf{T}} \left[\frac{\partial \mathbf{C}}{\partial \mathbf{e}}\right] \{\phi_{\mathbf{j}}\} - \frac{1}{2} \frac{\partial \omega_{\mathbf{j}}}{\partial \mathbf{e}} \beta_{\mathbf{j}}$$
(3.15d)

### 3.3 Structural Systems with Nonproportional Damping Matrices

As discussed in Chapter 2, in this investigation a nonproportional damping matrix is obtained by using values of  $\frac{\alpha}{\alpha_y}$  other than 1.0. For such a damping matrix, undamped normal modes cannot be used to decouple the equations of motion. However, as shown by Singh [30], it is still possible to obtain the response by an SRSS procedure, similar to Eq. (3.1), if 2m-dimensional complex eigenvalues [25] are used. Herein, this approach has been referred to as 2m-dimensional state vector. A special advantage of this approach is that commonly used response spectra can be used as seismic input for the calculation of design response. The equation defining the mean square response by this approach is as follows [30]:

$$R_{f}^{2} = \sum_{j=1}^{m} 4[A_{j}I_{1}(\omega_{j}) + a_{j}^{2}I_{2}(\omega_{j})]/\omega_{j}^{2}$$
(3.16)

+ 2 
$$\sum_{j=1}^{m} \sum_{k=j+1}^{m} \{ [Q'I_{1}(\omega_{j})/r^{2} + P'I_{2}(\omega_{j})]/\omega_{j}^{2} + [S'I_{1}(\omega_{k}) + R'I_{2}(\omega_{k})]/\omega_{k}^{2} \}$$

where  $A_j$ ,  $a_j$ , P', Q', R' and S' are defined in Appendix II. The frequency integral  $I_1(\omega_j)$  and  $I_2(\omega_j)$  are the same as in Eq. (3.1) and are also defined in Appendix II.

To obtain the rate of change of  $R_f^2$  with respect to a design variable, the rates of change of complex eigenvalues and complex eigenvectors (which define  $A_j$ ,  $a_j$ , P', Q', R', S') are required. The procedure to obtain these rates is given in the following section.

# 3.3.1 Rates of Change of Dynamic Characteristics for Nonproportionally Damped Case:

The equations of motion, Eq. (2.1), for nonproportional damping matrix [C] can be recast in the following form [25]:

$$[A]\{\dot{z}\} + [B]\{z\} = -[D]\{\frac{\{0\}}{\{r\}}\} \ddot{Y}_{q}$$
 (3.17)

in which the state vector,  $\{z\}$ , and matrices [A], [B] and [D] are defined as follows:

The eigenvalue problem for Eq. (3.17) can be written as:

$$[F_j]$$
  $\{\phi_j\}$  =  $\{0.\}$   $j = 1,2m$  (3.19)  
(2m,2m) (2m,1) (2m,1)

in which

$$[F_{j}] = p_{j}[A] + [B]$$
 (3.20)

and  $\{\phi_{\mathbf{j}}\}$  is the jth 2m-dimensional complex eigenvector and  $\mathbf{p}_{\mathbf{j}}$  is the jth complex eigenvalue. Premultiplying Eq. (3.19) by  $\{\phi_{\mathbf{j}}\}^T$  and taking the derivative with respect to a design variable x, we obtain:

$$\left\{\frac{\partial \phi_{\mathbf{j}}}{\partial \mathbf{x}}\right\}^{\mathsf{T}} \left[F_{\mathbf{j}}\right] \left\{\phi_{\mathbf{j}}\right\} + \left\{\phi_{\mathbf{j}}\right\}^{\mathsf{T}} \left[\frac{\partial F_{\mathbf{j}}}{\partial \mathbf{x}}\right] \left\{\phi_{\mathbf{j}}\right\} + \left\{\phi_{\mathbf{j}}\right\}^{\mathsf{T}} \left[F_{\mathbf{j}}\right] \left\{\frac{\partial \phi_{\mathbf{j}}}{\partial \mathbf{x}}\right\} = 0.$$
 (3.21)

Since  $[F_j]$  is a symmetric matrix, the first and the last terms are the same and are equal to zero in view of Eq. (3.19). Thus Eq. (3.21) becomes

$$\{\phi_{\mathbf{j}}\}^{\mathsf{T}}\left[\frac{\partial F_{\mathbf{j}}}{\partial x}\right]\{\phi_{\mathbf{j}}\} = 0. \tag{3.22}$$

Substituting for the derivative of  $[F_j]$  in terms of the derivative of [A] and [B], we obtain:

$$\frac{\partial \mathbf{p}_{\mathbf{j}}}{\partial \mathbf{x}} = -\frac{\{\phi_{\mathbf{j}}\}^{\mathsf{T}} (\mathbf{p}_{\mathbf{j}} [\frac{\partial \mathsf{A}}{\partial \mathbf{x}}] + [\frac{\partial \mathsf{B}}{\partial \mathbf{x}}]) \{\phi_{\mathbf{j}}\}}{\{\phi_{\mathbf{j}}\}^{\mathsf{T}} [\mathsf{A}] \{\phi_{\mathbf{j}}\}}$$
(3.23)

To obtain the rate of an eigenvector, the expansion theorem is used as:

$$\{\frac{\partial \phi_{\mathbf{j}}}{\partial \mathbf{x}}\} = \sum_{\ell=1}^{2m} \mathbf{a}_{\mathbf{j}\ell} \{\phi_{\ell}\}$$
 (3.24)

Using Eq. (3.19)

$$[F_{\mathbf{j}}]\{\frac{\partial \phi_{\mathbf{j}}}{\partial \mathbf{x}}\} = -\{\frac{\partial F_{\mathbf{j}}}{\partial \mathbf{x}}\}\{\phi_{\mathbf{j}}\}. \tag{3.25}$$

Substituting for  $\{\frac{\partial \phi j}{\partial x}\}$  from Eq. (3.24)

$$[F_j] \sum_{\ell=1}^{2m} a_{j\ell} \{\phi_{\ell}\} = -[\frac{\partial F_j}{\partial x}] \{\phi_j\}$$
 (3.26)

Premultiplying Eq. (3.26) by  $\{\phi_k\}^T$ ,

$$\sum_{k=1}^{2m} a_{jk} \{\phi_k\}^T [F_j] \{\phi_k\} = -\{\phi_k\}^T [\frac{\partial F_j}{\partial x}] \{\phi_j\}$$
(3.27)

Using orthogonality condition

$$\{\phi_{\mathbf{k}}\}^{\mathsf{T}}[\mathsf{F}_{\mathbf{j}}]\{\phi_{\ell}\} = 0. \quad \text{if } \mathbf{k} \neq \ell$$
 (3.28)

Eq. (3.27) reduces to  $a_{j\ell}$  as follows:

$$\mathbf{a}_{j\ell} = -\frac{\{\phi_{\ell}\}^{\mathsf{T}} \left[\frac{\partial F_{j}}{\partial \mathbf{x}}\right] \{\phi_{j}\}}{\{\phi_{\ell}\}^{\mathsf{T}} \left[F_{j}\right] \{\phi_{\ell}\}}$$
(3.29)

The numerator of Eq. (3.29) can be written as:

$$\{\phi_{\ell}\}^{\mathsf{T}}\left[\frac{\partial \mathbf{F}_{\mathbf{j}}}{\partial \mathbf{x}}\right]\{\phi_{\mathbf{j}}\} = \frac{\partial \mathbf{p}_{\mathbf{j}}}{\partial \mathbf{x}}\{\phi_{\ell}\}^{\mathsf{T}}\left[\mathbf{A}\right]\{\phi_{\mathbf{j}}\}$$

$$+\{\phi_{\mathcal{L}}\}^{\mathsf{T}}(\mathsf{p}_{\mathbf{j}}[\frac{\partial \mathsf{A}}{\partial \mathsf{x}}] + [\frac{\partial \mathsf{B}}{\partial \mathsf{x}}]\{\phi_{\mathbf{j}}\}$$
(3.30)

The first term on the right hand side of Eq. (3.30) is zero because of the orthogonality condition. The denominator of Eq. (3.29) can also be written in terms of the complex eigenvalues as follows:

$$\{\phi_{\ell}\}^{\mathsf{T}}[\mathsf{F}_{\mathbf{j}}]\{\phi_{\ell}\} = \mathsf{p}_{\mathbf{j}}\mathsf{A}_{\ell}^{\star} + \mathsf{B}_{\ell}^{\star} \tag{3.31}$$

in which

$$A_{q}^{\star} = \{\phi_{q}\}^{\mathsf{T}}[A]\{\phi_{q}\} \text{ and } B_{q}^{\star} = \{\phi_{q}\}^{\mathsf{T}}[B]\{\phi_{q}\}$$
 (3.32)

If the eigenvectors are normalized with respect to [A], that is  $A_{\ell}^{*}$  = 1.0, then from equation (3.31),  $B_{\ell}^{*}$  = -p<sub> $\ell$ </sub>. Substituting these in

equation (3.31) we obtain:

$$\{\phi_{\ell}\}^{\mathsf{T}}[\mathsf{F}_{\mathbf{j}}]\{\phi_{\ell}\} = \mathsf{P}_{\mathbf{j}}-\mathsf{P}_{\ell} . \tag{3.33}$$

Using Eqs. (3.30) and (3.33),  $a_{j\ell}$  is defined as follows:

$$a_{j\ell} = -\frac{1}{p_{i}-p_{\ell}} \{\phi_{\ell}\}^{T} (p_{j} [\frac{\partial A}{\partial x}] + [\frac{\partial B}{\partial x}]) \{\phi_{j}\} \qquad \text{if } j \neq \ell \qquad (3.34)$$

To define  $a_{j\,\ell}$  for j=1, we use the eigenvector normalization equation as:

$$\{\phi_{j}\}^{\mathsf{T}}[\mathsf{A}]\{\phi_{j}\} = 1.0$$
 (3.35)

Differentiating with respect to x, we obtain:

$$2\left\{\frac{\partial \phi_{\mathbf{j}}}{\partial \mathbf{x}}\right\}^{\mathsf{T}}[\mathsf{A}]\left\{\phi_{\mathbf{j}}\right\} + \left\{\phi_{\mathbf{j}}\right\}^{\mathsf{T}}\left[\frac{\partial \mathsf{A}}{\partial \mathbf{x}}\right]\left\{\phi_{\mathbf{j}}\right\} = 0. \tag{3.36}$$

Using Eq. (3.24),

$$2 \sum_{\ell=1}^{2m} a_{j\ell} \{\phi_{\ell}\}^{\mathsf{T}} [A] \{\phi_{j}\} + \{\phi_{j}\}^{\mathsf{T}} [\frac{\partial A}{\partial x}] \{\phi_{j}\} = 0.$$
 (3.37)

Using orthogonality and normalization, Eq. (3.35) for eigenvectors, we obtain:

$$\mathbf{a}_{\mathbf{j},\mathbf{j}} = -\frac{1}{2} \left\{ \phi_{\mathbf{j}} \right\}^{\mathsf{T}} \left[ \frac{\partial \mathbf{A}}{\partial \mathbf{x}} \right] \left\{ \phi_{\mathbf{j}} \right\}$$
 (3.38)

With  $a_{j\ell}$  defined by Eqs. (3.34) and (3.38), the rate of change of an eigenvector is obtained from Eq. (3.24).

Eqs. (3.23), (3.24), (3.34) and (3.38) giving the rates of change of  $p_j$  and complex eigenvectors are defined in terms of matrices and vectors in 2m-dimensional space. However, realizing that the upper and lower parts of an eigenvector are simply related as [25],

$$\begin{cases} \{\phi_{j}\} \\ (2m,1) \end{cases} = \begin{cases} \begin{cases} p_{j}^{\{\phi_{j}\}}L \\ \{\phi_{j}\}L \\ (2m,1) \end{cases}$$
 (3.39)

the equation defining the rates can be written in terms of the lower eigenvector  $\{\phi_j\}_L$  alone, as follows:

# Rate of Eigenvalue:

$$\frac{\partial p_{j}}{\partial x} = -\left\{\phi_{j}\right\}^{T} \left(p_{j}^{2} \left[\frac{\partial M}{\partial x}\right] + p_{j} \left[\frac{\partial C}{\partial x}\right] + \left[\frac{\partial K}{\partial x}\right]\right) \left\{\phi_{j}\right\}$$
(3.40)

#### Rate of Eigenvector:

$$\{\frac{\partial \phi_{\mathbf{j}}}{\partial \mathbf{x}}\} = \sum_{\ell=1}^{2m} \mathbf{a}_{\mathbf{j}\ell} \{\phi_{\ell}\}$$
 (3.41)

where

$$\mathbf{a}_{j\ell} = \begin{cases} -\frac{1}{2} \left\{ \phi_{j} \right\}^{\mathsf{T}} \left( 2p_{j} \left[ \frac{\partial \mathbf{M}}{\partial \mathbf{x}} \right] + \left[ \frac{\partial \mathbf{C}}{\partial \mathbf{x}} \right] \right) \left\{ \phi_{j} \right\} & \text{if } j = \ell \\ \frac{1}{\left( p_{\ell} - p_{j} \right)} \left\{ \phi_{\ell} \right\}^{\mathsf{T}} \left( p_{j}^{2} \left[ \frac{\partial \mathbf{M}}{\partial \mathbf{x}} \right] + p_{j} \left[ \frac{\partial \mathbf{C}}{\partial \mathbf{x}} \right] + \left[ \frac{\partial \mathbf{K}}{\partial \mathbf{x}} \right] \right) \left\{ \phi_{j} \right\} & \text{if } j \neq \ell \end{cases}$$

$$(3.42)$$

The  $\{\phi_j\}$  in Eqs. (3.40)-(3.42) now represent only the lower part of the jth eigenvector.

The derivatives of quantities such as A  $_j$  , a  $_j$  , P', Q', R' and S' are in turn defined in terms of the rate of p  $_j$  and  $\{\phi_j\}$  and are given in Appendix II.

In Eq. (3.16), the frequency integrals are defined in terms of the frequency  $\omega_{\mathbf{j}}$  and modal damping ratio  $\beta_{\mathbf{j}}$ , which in turn are related to the eigenvalue  $p_{\mathbf{j}}$  as follows [30]:

$$\omega_{\hat{\mathbf{1}}} = \sqrt{\zeta_{\mathbf{R}}^2 + \zeta_{\mathbf{I}}^2} \tag{3.43}$$

$$\beta_{j} = \frac{\zeta_{R}}{\omega_{j}} \tag{3.44}$$

where  $\epsilon_R$  and  $\epsilon_I$  are the real and imaginary part of the eigenvalue  $\mathbf{p}_j$  defined as follows:

$$p_{j} = -\zeta_{R} + i\zeta_{I} \tag{3.45}$$

The rates of change of  $\omega_j$  and  $\beta_j$  can now be defined in terms of rates of change of real and imaginary parts of  $p_j$ , obtained by Eq. (3.23), as follows:

$$\frac{\partial \omega_{\mathbf{j}}}{\partial \mathbf{x}} = \frac{1}{\omega_{\mathbf{j}}} \left( \zeta_{\mathbf{R}} \frac{\partial \zeta_{\mathbf{R}}}{\partial \mathbf{x}} + \zeta_{\mathbf{I}} \frac{\partial \zeta_{\mathbf{I}}}{\partial \mathbf{x}} \right) \tag{3.46}$$

$$\frac{\partial \beta_{\dot{\mathbf{J}}}}{\partial \mathbf{x}} = \frac{1}{\omega_{\dot{\mathbf{J}}}^2} \left( \omega_{\dot{\mathbf{J}}} \frac{\partial \zeta_{\mathbf{R}}}{\partial \mathbf{x}} - \zeta_{\mathbf{R}} \frac{\partial \omega_{\dot{\mathbf{J}}}}{\partial \mathbf{x}} \right) \tag{3.47}$$

# 3.3.2 Rates of Change with Respect to Mass, Stiffness and Eccentricity Variables

The rates of change of p  $_j$  and  $\{\phi_j\}$  can now be specialized for the basic variables  $r_m$  ,  $r_k$  and e as follows:

Rates of change with respect to  $r_{\rm m}$ :

$$\frac{\partial \mathbf{p_j}}{\partial \mathbf{r_m}} = -\mathbf{p_j^2} \{\phi_j\}^T [\mathbf{M}] \{\phi_j\}$$
 (3.48a)

$$\{\frac{\partial \phi_{\mathbf{j}}}{\partial \mathbf{r}_{\mathbf{m}}}\} = -\mathbf{p}_{\mathbf{j}} (\{\phi_{\mathbf{j}}\}^{\mathsf{T}} [\mathbf{M}] \{\phi_{\mathbf{j}}\}) \{\phi_{\mathbf{j}}\} 
+ \sum_{\substack{\ell=1 \ \ell \neq \mathbf{j}}}^{2m} \frac{1}{(\mathbf{p}_{\ell} - \mathbf{p}_{\mathbf{j}})} \mathbf{p}_{\mathbf{j}}^{2} (\{\phi_{\ell}\}^{\mathsf{T}} [\mathbf{M}] \{\phi_{\mathbf{j}}\}) \{\phi_{\ell}\}$$
(3.48b)

Rates of change with respect to  $r_k$ :

$$\frac{\partial \mathbf{p}_{j}}{\partial \mathbf{r}_{k}} = - \{\phi_{j}\}^{\mathsf{T}} [\bar{K}] \{\phi_{j}\}$$
 (3.49a)

$$\{\frac{\partial \phi_{\mathbf{j}}}{\partial \mathbf{r}_{\mathbf{m}}}\} = \sum_{\substack{\ell=1\\ \ell \neq \mathbf{j}}}^{2m} \frac{1}{(\mathbf{p}_{\ell} - \mathbf{p}_{\mathbf{j}})} (\{\phi_{\ell}\}^{\mathsf{T}} [\bar{K}] \{\phi_{\mathbf{j}}\}) \{\phi_{\ell}\}$$
(3.49b)

Rates of change with respect to e:

$$\frac{\partial \mathbf{p_j}}{\partial \mathbf{e}} = -\left\{\phi_{\mathbf{j}}\right\}^{\mathsf{T}} \left(\mathbf{p_j} \left[\frac{\partial \mathbf{C}}{\partial \mathbf{e}}\right] + \left[\frac{\partial \mathbf{K}}{\partial \mathbf{e}}\right]\right) \left\{\phi_{\mathbf{j}}\right\}$$
(3.50a)

# 3.4 Rate of Change of a Response Quantity Mode Shape

To assess variability of  $R_f^2$  from Eqs. (3.1) and (3.16), we need the rate of a response quantity mode shape. This response quantity could be story shear, story torsion, bending moment in a column, etc. The mode shapes of these quantities are linearly related to the deformation mode shape  $\{\phi_j\}$  as follows:

$$\{f_{j}\} = [T]\{\phi_{j}\}$$
 (3.51)

in which [T] is a transformation matrix, which for force response quantities will involve the stiffness parameter of the system. Using Eq. (3.51), the rate of  $f_j$  can be written as:

$$\{\frac{\partial \mathbf{f}_{\mathbf{j}}}{\partial \mathbf{x}}\} = \left[\frac{\partial \mathsf{T}}{\partial \mathbf{x}}\right]\{\phi_{\mathbf{j}}\} + \left[\mathsf{T}\right]\{\frac{\partial \phi_{\mathbf{j}}}{\partial \mathbf{x}}\}$$
(3.52)

#### CHAPTER 4

#### NUMERICAL RESULTS

#### 4.1 General

In this chapter, various numerical results obtained for a five story, 10-degrees-of-freedom torsional building system shown in Fig. 2.1 are presented. Response values for maximum relative displacement, maximum rotation, base shear, base torsional moment and bending moments in the two corner columns of the first story are obtained. Systems with parameter  $\frac{e}{r}$  varying between 0.001 to 0.5 have been considered. results for very large  $\frac{e}{r}$  (>• 3 or more) may not be of much practical interest. However, they are given here for comparison purposes.) Various combinations of damping ratio values used here are:  $\beta = 0.02$ ,  $\alpha_{\rm X}/\alpha_{\rm V}$  = 2.5;  $\beta$  = 0.02,  $\alpha_{\rm X}/\alpha_{\rm V}$  = 4.0;  $\beta$  = 0.02,  $\alpha_{\rm X}/\alpha_{\rm V}$  = 1.0 and  $\beta$  = 0.05,  $\alpha_{\rm v}/\alpha_{\rm v}$  = 0.40. Numerical results are obtained by the complex mode state vector approach, as well as by the normal mode approach. Comparison of the results obtained by the two approaches shows the sensitivity of the system response to the assumption of proportionality. The frequency parameter, ω, characterizes the stiffness of the system and its values of 1.0, 6.0, 10.0 and 40.0 cycles per second (CPS) have been considered. This parameter governs the lowest frequency of the system. For  $\omega$  = 1.0 and 40.0 CPS., lowest frequencies of 0.28 and 11.40 CPS, respectively, were obtained. These fundamental frequencies represent a spectrum of structures ranging from a flexible tall multi-story building to a more stiff nuclear power plant.

Also obtained are the rates of change of frequencies, displacement and rotational responses, base story shear and torsional moment and

column bending moments with respect to change in mass, stiffness and eccentricity ratio parameters. These rates show the sensitivity of a response quantity with respect to the variable.

Ground motion used in this study has been modeled by a stationary random process, defined by a spectral density function of the Kanai-Tajimi form [4] as follows:

$$\Phi_{g}(\omega) = \sum_{i=1}^{3} S_{i} \frac{\omega_{i}^{4} + 4\beta_{i}^{2} \omega_{i}^{2} \omega^{2}}{(\omega_{i}^{2} - \omega^{2})^{2} + 4\beta_{i}^{2} \omega_{i}^{2} \omega^{2}}$$
(4.1)

The parameters  $S_i$ ,  $\omega_i$  and  $\beta_i$  of this density function are given in Table 4.1. This density function represents a fairly broad-band seismic input, suitable for design purposes [33].

#### 4.2 Frequency and Response Characteristics of the System

#### 4.2.1 Frequency Characteristics:

Fig. 4.1 shows the variation with  $\frac{e}{r}$  of two lowest frequencies, which can be identified as translational and rotational frequencies. The frequencies were obtained, both by the undamped (normal mode) and damped (complex mode) eigenvalue analyses. However, the difference in the values calculated by the two approaches is indiscernible. Thus the presence of nonproportionality apparently does not affect the values of frequencies. For small values of  $\frac{e}{r}$ , the two frequencies are close to each other, as has been mentioned by several investigators in the past [2,29]. This indicates a strong coupling between the translational and torsional mode. However, as  $\frac{e}{r}$  increases, the separation between these two frequencies increases with decreasing modal interaction effect. The rates of change with respect to mass and stiffness parameters and eccentricity, e, for these two frequencies are shown in Figs. 4.2 and 4.3.

#### 4.2.2 Characteristics of Relative Displacement and Rotation Responses:

Fig. 4.4 shows the variations of the root mean square (RMS) values of the maximum relative displacement (in ft.) and rotational displacement (r0, in ft. units) of the system: the values for the top floor represent the maximum values. The relative displacement values are first seen to decrease with an increasing value of  $\frac{e}{r}$  and then start to increase, whereas, the floor rotation, as would be expected, increases with increasing value of  $\frac{e}{r}$ .

Fig. 4.5 shows these values obtained by the two approaches: Normal and complex mode approaches. The effect of assuming proportionality, i.e. ignoring the nonproportionality effect introduces some error in the calculation of responses for some low values of  $\frac{e}{r}$ ; however, it does not appear to be very large.

For various sets of damping ratios used, Figs. 4.6-4.8 show the variation with  $\frac{e}{r}$  of the rates of change of the relative displacement and rotational responses with respect to the mass and stiffness parameters and eccentricity ratio  $\frac{e}{r}$ .

# 4.2.3 Characterisitcs of Base Shear and Torsional Moment Responses:

The base shear and torsional moment are obtained by a cummulative summation of shear forces and torsional moments obtained in various stories of a multistory system. Such total forces are commonly used in earthquake design of structures, and their values are obtained on the basis of building code specification. Code provisions usually consider inelastic behavior of structures. Here, however, the forces have been obtained only for elastically behaving torsional systems, mainly with the purpose of studying their sensitivity to the change in various structural parameters.

Figs. 4.9 and 4.10 show the variations of normalized base shear and torsional moment RMS values with  $\frac{e}{r}$  for various combinations of damping ratios. Normalization is done with respect to the weight parameter mg. Thus, the plotted values in these figures represent the actual values of base shear and torsional moment divided by mg. Again to examine the effects of the assumption of proportionality, both the story shear and torsional moments have been obtained by the normal and complex mode approaches. Again some differences are noticed for small value of  $\frac{e}{r}$ .

Of special design significance is the rapid build up of torsional moment due to introduction of even small values of  $\frac{e}{r}$  = 0.05. A corresponding reduction in the story shear is also noted. However, if the presence of eccentricity is in advertant, no advantage of reduction in story shear may be taken in a design. On the other hand, the effect of the increased torsional moment must be considered to ensure safety of a structural design.

Figs. 4.11-4.13 show the rates of change of these design quantities with respect to mass and stiffness parameters and eccentricity ratio  $\frac{e}{r}$ . Large values of the rates of changes and their variation for small values of  $\frac{e}{r}$  may also be noted.

### 4.2.4 Column Bending Moment Response:

For earthquake design of a structure, one usually obtains the total story shear and torsional moment as per the provisions of an appropriate code. These shear and moment values are then distributed among various columns and other resisting elements to obtain the design bending moments in them. In a dynamic analysis of an elastic system, however, the column bending moment can also be obtained directly by using the bending moment modes in Eq. (3.1). For example, the mode shapes for

bending moment in the jth column of the ith story can be written in terms of the relative displacement and rotation mode shapes as follows:

$$M_{X_{i,j}} = \frac{1}{2} k_{X_{i,j}} L_{i} [X_{i} - X_{i-1} - y_{i,j} (\theta_{i} - \theta_{i-1})]$$
 (4.2a)

$$M_{y_{ij}} = \frac{1}{2} k_{y_{ij}} L_{i} [Y_{i} - Y_{i-1} + x_{ij} (\theta_{i} - \theta_{i-1})]$$
 (4.2b)

in which  $M_{x_{ij}}$  and  $M_{y_{ij}}$  are the bending moments in the x- and y-directions, respectively, are the x- and y-relative displacement and  $\theta_i$  is the rotation of the ith floor; and  $x_{ij}$  and  $y_{ij}$  are the coordinates of the column with respect to the mass center.

The variations with  $\frac{e}{r}$  of the normalized root mean square bending moment response of columns 1 and 5 of Fig. 2.2 are shown in Figs. 4.14-4.18 for various damping ratio combinations. Again, the normalization is done with respect to the parameter mg. In the calculation of bending moments in these columns, the values of  $\mathbf{x}_{i,j}$  and  $\mathbf{y}_{i,j}$  equal to  $\sqrt{1.5}~r$ have been used. This will be the case for the corner columns supporting a square floor slab. The lower set of curves is for the bending moment in the x-direction and the higher set is for the bending moment in the y-direction. A difference in the variation trend for columns l and 5 is noted. Also plotted on the same scale in Fig. 4.16 are shown the bending moment values in the x- and y-direction for these two columns. The bending moment in column 1 is seen to increase with  $\frac{e}{r}$ (almost monotonically, except near the small value of  $\frac{e}{r}$ ), whereas in column 5, it becomes constant with increasing  $\frac{e}{r}$ . Probably it is due to the reason that for large  $\frac{e}{r}$ , it is the difference between Y<sub>i</sub> and  $(\theta_i x_{i,i}/r)$  that determines the bending moment in column 5 and as seen from Figs. 4.4 and 4.5 it does not increase rapidly with  $\frac{e}{r}$ . For column

I it is the sum of  $Y_i$  and  $(\theta_i x_{ij}/r)$  that determines the bending moment and as shown from Fig. 4.16, it is seen to increase more rapidly with  $\frac{e}{r}$ .

Also shown are the results obtained by the normal and complex mode approaches to evaluate the effect of nonproportionality. It does not seem to introduce much error for column 1, but for column 5 some differences are noted, especially in the y-bending moment values for small values of  $\frac{e}{r}$ .

Figs. 4.19-4.24 show the variations with  $\frac{e}{r}$  of rates of change of the bending moments in column 1 and 5 with respect to mass and stiffness parameters and eccentricity ratio  $\frac{e}{r}$  for various sets of damping values. Sensitivity of the bending moment response with respect to eccentricity ratio  $\frac{e}{r}$  at small values of  $\frac{e}{r}$  is indicated by large change in the rate of change. The differences in the rate of change characteristics of bending moments in the two opposite side columns, column 1 and 5, especially near small values of  $\frac{e}{r}$  may also be noted.

# 4.3 Effect of Variation of Frequency Parameter:

The results shown in Figs. 4.1-4.24 were obtained for a frequency parameter value of 10 cps. which gave a lowest frequency of 2.81 cps. for the system. To examine what effect the bending stiffness will have on various response characteristics, similar plots were also obtained for other values of the frequency parameter. The numerical values of various results were different, but the variation in the values had a similar trend and therefore the results for other values of  $\omega$  have not been shown. However, for comparison selected results of the response values of base shear, base torsional moment and Y-bending moments in columns 1 and 5 are plotted in Figs. 4.25-4.28 for different values of

the frequency parameter. Higher response values are obtained for a frequency parameter of 10 cps, as the input spectral density function, Eq. 4.1, has a relatively higher ordinates in the frequency range near the corresponding fundamental frequency of 2.81 cps. For the other two frequency parameter values, the spectral density ordinates are of relatively smaller magnitude.

#### 4.4 Coefficient of Variation of Root Mean Square Response

Using Eqs. (3.3) and (3.4), the coefficient of variation of the root mean square response of base shear, base torsional moment and bending moments in columns 1 and 5 due to the variabilities in the mass parameter, stiffness parameter and eccentricity ratio,  $\frac{e}{r}$ , have been obtained. The coefficients of variation of  $r_m$ ,  $r_k$  and  $\frac{e}{r}$  have been taken as 0.15. Columns 2, 3 and 4 in Tables 4.2-4.6 show the coefficients of variation for various responses due to each parameter variation individually, whereas the combined coefficient of variation is shown in column 5. To obtain the combined coefficient of variation, the random variables  $r_m$ ,  $r_k$  and  $\frac{e}{r}$  are assumed to be independent. No specific trend in the values is apparent; and even if there were a trend that would be attributable to characteristics of the system. These results, however, demonstrate the application of the methodology proposed herein for the calculation of the uncertanity in the response.

#### CHAPTER 5

#### SUMMARY AND CONCLUSIONS

In this study the effects of changes in various structural parameters on the response of a structure excited by random ground excitation has been studied. The random parameters considered are: the structural mass, stiffness and eccentricity between mass and stiffness centers on a floor. The variabilities in the mass and stiffness are characterized by the random variables with the mean values equal to 1.0 and known standard deviations. This assumes that all masses and stiffnesses varry in the same proportion. More involved variations of masses and stiffnesses are also possible. A uniform multistory building with eccentric mass and stiffness center has been studied. The rates of change of frequencies and various response quantities with respect to mass, stiffness and eccentricity parameters are obtained. These rates of change indicate the sensitivity of a quantity with respect to a parameter and emphasize the relative importance of that parameter for that response. These rates are also used in the calculation of response coefficient of variation for given coefficient of variation values of the parameters.

Numerical results are obtained for a five-story, 10-degrees-of-freedom structural system with various damping ratio and frequency parameter values. Both approaches of response calculations- a more accurate complex mode approach and an approximate normal mode approach - have been used to see what error is introduced if the nonproportionality effects of a damping matrix are ignored. Based on these results the following conclusions can be drawn:

- 1. For large  $\frac{e}{r}$  ratios, the system frequencies are rather well separated. In such a case neglecting off-diagonal terms of the matrix  $[\Phi]^T[C][\Phi]$ , which represent the nonproportional damping coupling are not important and can be neglected. That is, the damping matrix [C] can be assumed to be proportional and the modal damping ratios can be obtained by Eq. 3.2. This may, however, not be done if the  $\frac{e}{r}$  ratio is small and the torsional stiffness ratio parameter is close to 1.0. In such a case the structural frequencies are not well separated and modal interaction is possible and it may affect some structural response. However, not very severe effects were observed in some response values even at these low  $\frac{e}{r}$  values. Torsional moment response values are in large errors; however, since the torsional moment values are very small at small  $\frac{e}{r}$ , these large errors are of no practical significance. The differences in the story shear values, Fig. 4.10, and column bending moment values, Fig. 4.18, calculated by the two approaches, may differ significantly for small  $\frac{e}{r}$  ratio (less than 0.05). Thus in such a case, if the damping matrix is nonproportional, it may be necessary to use the nonproportional damping analysis approach presented in Ref. [30]. However, at this stage of analysis it appears that for a safe design, the effect of eccentricity may be neglected to obtain a conservative estimate of the total base shear.
- 2. An introduction of eccentricity in a direction introduces torsional moments in the system. This, however, is seen to reduce the direct story shear. There is a sharp drop in the shear and a fairly sudden rise in torsional moment up to  $\frac{e}{r} = 0.05$ . However, no advantage in a design can probably be taken of this reduction in a story shear.

For a safe design a value for  $\frac{e}{r}$  = 0 should be used in the calculations for shear. This conclusion, however, needs further verification by a more complete study in which an eccentricity in the y-direction is also considered. The effects of inadvertent eccentricity inducing torsional moment should, however, be considered, even if a structure is intended to be symmetrical. A consideration of an  $\frac{e}{r}$  value of the order of 0.05 for an inadvertant eccentricity seems very desirable.

3. The response coefficient of variation values calculated here reflect the effect of uncertainties in the parameters. No dramatic values of variabilities are obtained. It probably is due to the fact that the seismic input defined by the spectral density function of Eq. (4.1) represents a wide band input. A response spectrum curve for this input is shown to be a broad band spectrum with a flat top over a fairly wide frequency range. The variations in the mass and stiffness, though cause a change in the system frequencies, do not produce much variations in the response. This may, however, not be the case if the input is not broad banded such as the filtered motion of a floor. In such cases, a proper use of the methodology presented here may be desirable to include the variabilities of parameters in the calculation of design response.

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Table 2.1 TORSIONAL STIFFNESS RATIOS FOR 9 DIFFERENT COLUMN LAYOUTS IN FIGS. 2.4

FLOOR	Ratio of translational stiffness in x- and y-direc. $K_x/K_y$					
PLAN	0.50	0.75	1.00	1.25	1.50	1.75
a	2.25	2.62	3.00	3.38	3.75	4.13
b	1.65	1.73	1.80	1.88	1.95	2.03
С	1.40	1.43	1.46	1.49	1.51	1.55
d	1.29	1.31	1.32	1.34	1.35	1.37
е	1.65	1.73	1.80	1.88	1.95	2.03
f	1.75	2.13	2.50	2.88	3.25	3.63
g	1.50	1.65	1.80	1.95	2.10	2.25
h	0.97	1.19	1.42	1.65	1.88	2.10
i	1.02	1.22	1.44	1.64	1.84	2.04

Table 4.1 PARAMETERS OF SPECTRAL DENSITY FUNCTION,  $\phi_g(\omega)$ , Eq. (4.1)

i	S <sub>i</sub> ft <sup>2</sup> -sec/rad	ω <sub>i</sub> rad/sec.	β
7	0.0015	13.5	0.3925
2	0.000495	23.5	0.3600
3	0.000375	39.0	0.3350

Table 4.2 Coefficient of Variation of Root Mean Square Response of Base Shear for  $\beta_X$  = 0.05 and  $\beta_y$  = 0.02

Fogoatuioitu	Coefficient of Variation in Percent Due To				
Eccentricity Ratio ε (1)	Mass Parameter (2)	Stiffness Parameter (3)	Eccentricity Ratio (4)	Combined	
0.001	4.272	3.167	0.031	5.318	
0.01	3.510	2.411	2.083	4.740	
0.02	2.971	1.855	3.509	4.970	
0.03	3.067	1.997	3.210	4.868	
0.04	3.304	2.250	2.528	4.730	
0.05	3.512	2.473	1.932	4.710	
0.06	3.667	2.641	1.481	4.755	
0.07	3.778	2.766	1.149	4.821	
0.08	3.857	2.860	0.901	4.886	
0.10	3.954	2.991	0.564	4.990	
0.15	4.017	3.186	0.133	5.128	
0.20	3.942	3.366	0.092	5.184	
0.25	3.744	3.622	0.209	5.214	
0.30	3.399	4.008	0.175	5.258	
0.40	2.293	5.170	0.827	5.716	
0.45	1.762	5.718	1.932	6.287	
0.50	1.563	5.922	3.142	6.883	

Table 4.3 Coefficient of Variation of Root Mean Square Response of Base Torsional Moment for  $\beta_{\chi}$  = 0.05 and  $\beta_{y}$  = 0.02

	Coefficient of Variation in Percent Due To				
Eccentricity Ratio  E (1)	Mass Parameter (2)	Stiffness Parameter (3)	Eccentricity Ratio (4)	Combined	
0.001	9.822	8.687	14.949	19.885	
0.01	8.436	7.299	11.186	15.798	
0.02	6.657	5.513	6.349	10.724	
0.03	5.683	4.529	3.694	8.152	
0.04	5.187	4.022	2.336	6.967	
0.05	4.917	3.740	1.592	6.380	
0.06	4.759	3.570	1.152	6.060	
0.07	4.662	3.459	0.875	5.870	
0.08	4.599	3.381	0.692	5.750	
0.10	4.529	3.282	0.478	5.613	
0.15	4.467	3.172	0.297	5.487	
0.20	4.406	3.174	0.266	5.436	
0.25	4.237	3.317	0.226	5.386	
0.30	3.863	3.677	0.027	5.334	
0.40	2.251	5.268	1.907	6.038	
0.45	1.206	6.298	4.343	7.744	
0.50	0.463	7.020	7.862	10.550	

Table 4.4 Coefficient of Variation of Root Mean Square Response of y-Bending Moment in Column 1 for  $\beta_X=0.05$  and  $\beta_y=0.02$ 

		ient of Variati Percent Due To		,
Eccentricity Ratio   (1)	Mass Parameter (2)	Stiffness Parameter (3)	Eccentricity Ratio (4)	Combined (5)
0.001	4.630	11.772	0.264	12.653
0.01	5.268	11.174	0.777	12.378
0.02	4.980	11.550	0.173	12.579
0.03	4.789	11.849	0.537	12.791
0.04	4.730	12.020	0.501	12.928
0.05	4.732	12.131	0.315	13.025
0.06	4.761	12.213	0.077	13.109
0.07	4.802	12.282	0.180	13.189
0.08	4.848	12.342	0.445	13.269
0.10	4.944	12.450	0.985	13.431
0.15	5.139	12.642	2.431	13.861
0.20	5.181	12.671	4.101	14.290
0.25	4.948	12.421	6.039	14.671
0.30	4.297	11.573	8.187	14.954
0.40	1.359	8.789	12.273	15.157
0.45	0.680	6.752	13,801	15.380
0.50	2.429	5.020	15.556	16.525

Table 4.5 Coefficient of Variation of Root Mean Square Response of y-Bending Moment in Column 5 for  $\beta_X=0.05$  and  $\beta_y^=0.02$ 

	Coefficient of Variation				
Farantuiait	in Percent Due to				
Eccentricity Ratio ε	Mass Parameter	Stiffness Parameter	Eccentricity Ratio	Combined	
(1)	(2)	(3)	(4)	(5)	
0.001	4.244	12.152	0.289	12.875	
0.01	2.852	13.485	1.713	13.890	
0.02	3.201	12.979	0.055	13.368	
0.03	3.868	12.334	0.844	12.901	
0.04	3.895	11.990	0.949	12.643	
0.05	3.966	11.802	0.802	12.476	
0.06	3.980	11.684	0.601	12.357	
0.07	3.967	11.599	0.403	12.265	
0.08	3.943	11.532	0.223	12.190	
0.10	3.882	11.423	0.075	12.069	
0.15	3.733	11.246	0.538	11.862	
0.20	3.626	11.133	0.706	11.730	
0.25	3.558	11.064	0.607	11.638	
0.30	3.496	11.004	0.192	11.548	
0.40	3.089	10.602	1.720	11.176	
0.45	2.501	10.028	3.354	10.866	
0.50	1.705	9.242	5.464	10.871	

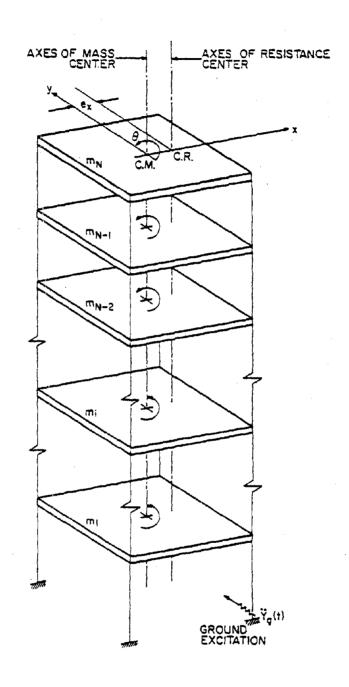


FIG. 2.1 A MULTISTORY BUILDING WITH ECCENTRIC MASS AND STIFFNESS CENTERS

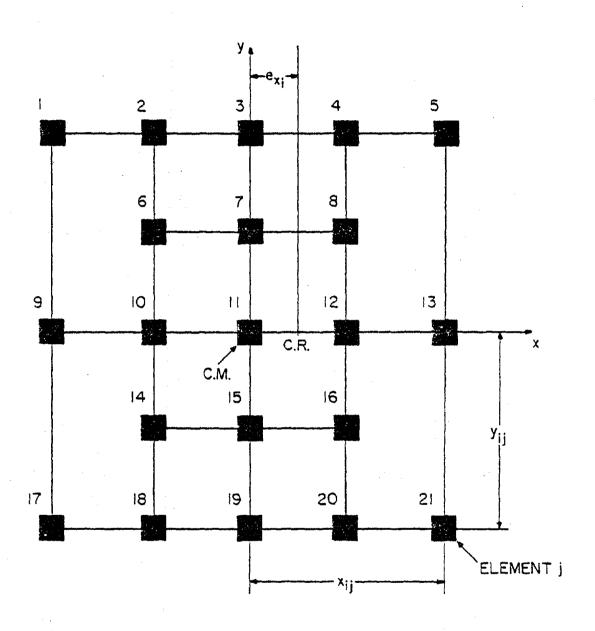


FIG. 2.2 A TYPICAL PLAN VIEW OF THE 1th FLOOR OF A MULTISTORY BUILDING

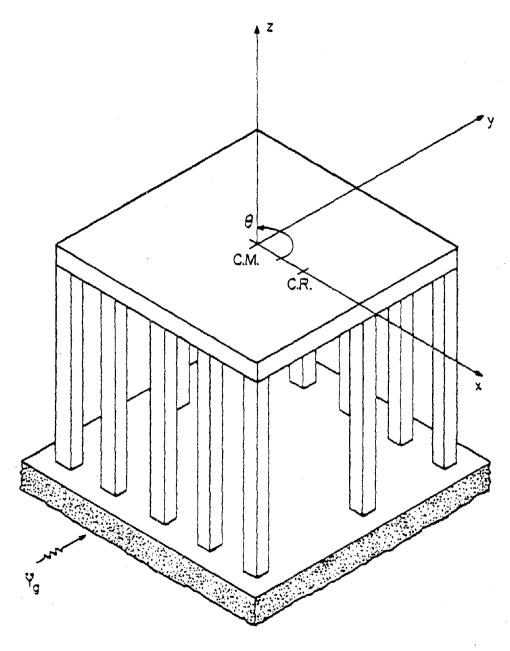


FIG. 2.3 A SINGLE STORY STRUCTURAL SYSTEM WITH ECCENTRIC MASS AND STIFFNESS CENTERS

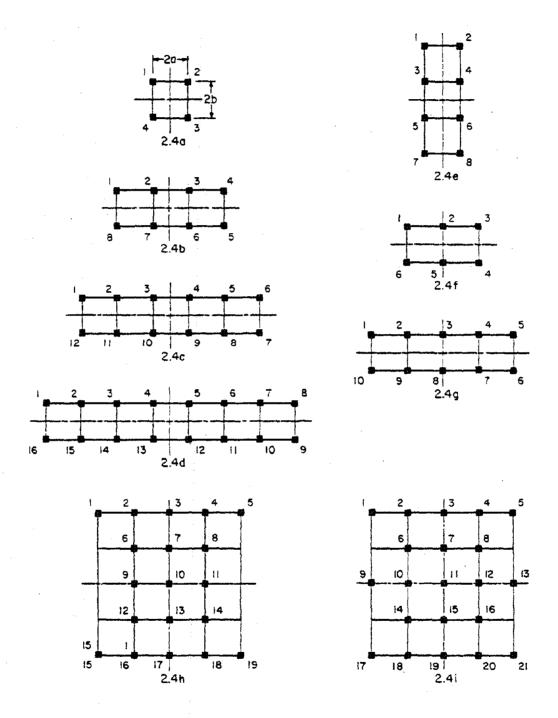


FIG. 2.4 PLAN VIEW OF 9 DIFFERENT COLUMN LAYOUTS

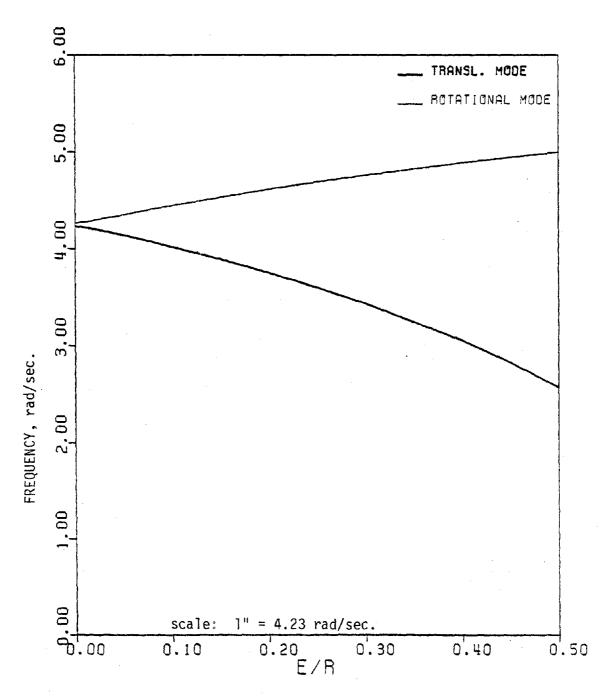


FIG. 4.1 LOWEST TRANSLATIONAL AND ROTATIONAL FREQUENCIES OBTAINED BY COMPLEX AND NORMAL MODE

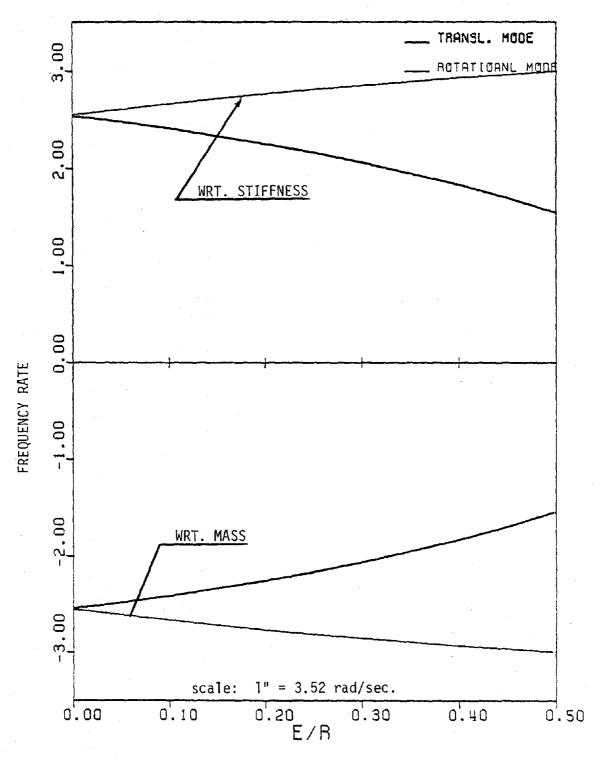


FIG. 4.2 RATES OF CHANGE OF LOWEST TRANSLATIONAL AND ROTATIONAL FREQUENCIES WITH RESPECT TO MASS AND STIFFNESS PARAMETERS.

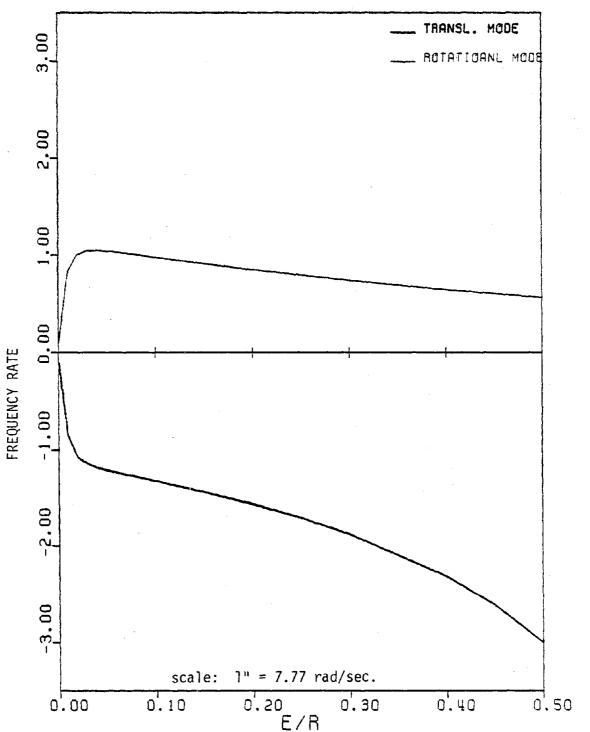


FIG. 4.3 RATES OF CHANGE OF LOWEST TRANSLATIONAL AND ROTATIONAL FREQUENCIES WITH RESPECT TO ECCENTRICITY PARAMETER

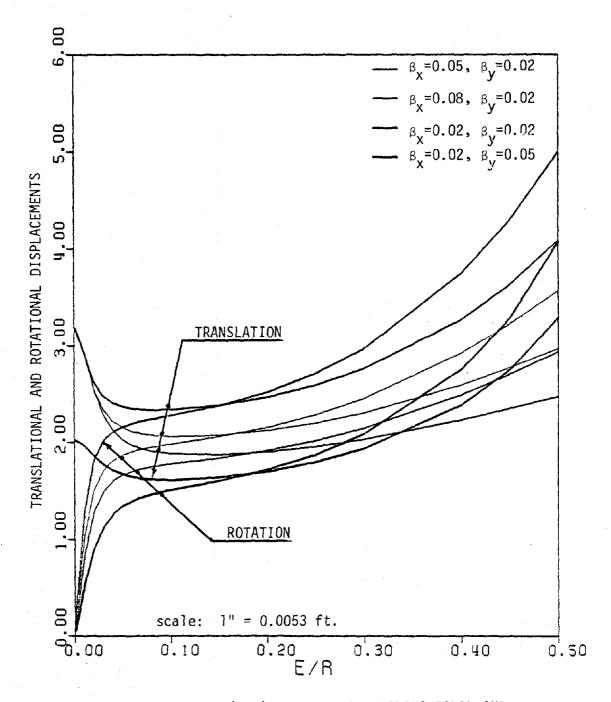


FIG. 4.4 ROOT MEAN SQUARE (RMS) RESPONSE OF TRANSLATIONAL AND ROTATIONAL (r $\theta$ ) DISPLACEMENTS OBTAINED BY COMPLEX MODE APPROACH.

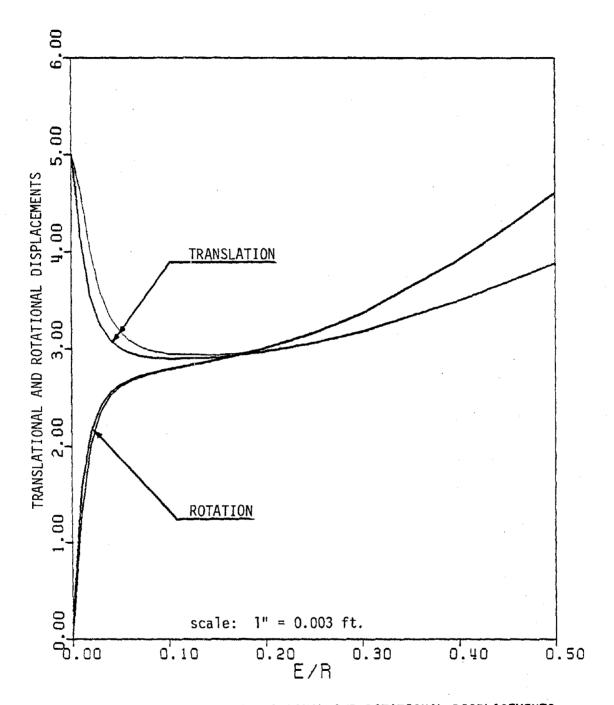


FIG. 4.5 RMS RESPONSE OF TRANSLATIONAL AND ROTATIONAL DISPLACEMENTS OBTAINED BY COMPLEX AND NORMAL MODE APROACHES FOR  $\beta_\chi$  =0.05 AND  $\beta_y$  =0.02 REL. TRANSLATION : \_\_\_\_ COMPLEX MODE \_\_\_\_ NORMAL MODE

ROTATION : \_\_\_ COMPLEX MODE \_\_\_ NORMAL MODE

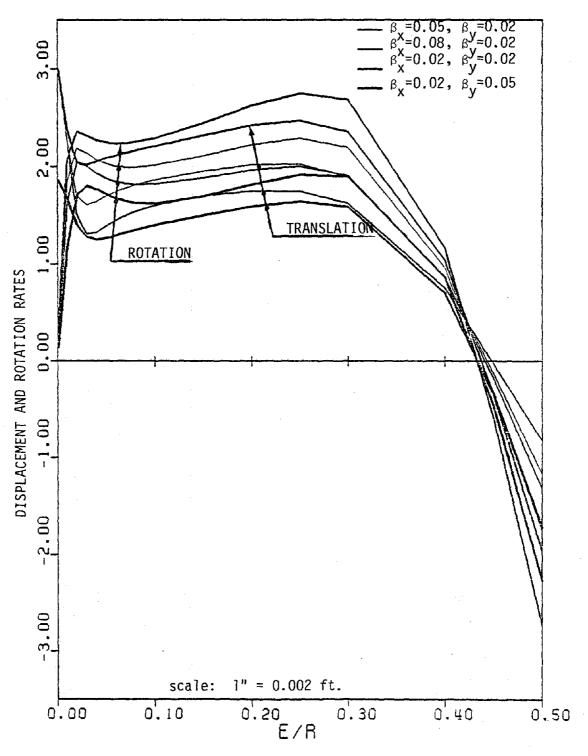


FIG. 4.6 RATES OF CHANGE OF RMS RESPONSE OF TRANSLATIONAL AND ROTATIONAL DISPLACEMENTS WITH RESPECT TO MASS PARAMETER.

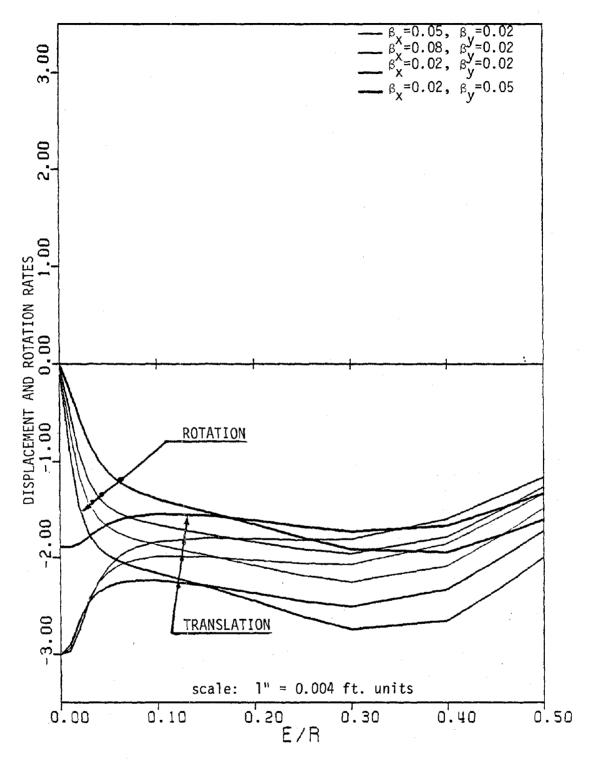


FIG. 4.7 RATES OF CHANGE OF RMS RESPONSE OF TRANSLATIONAL AND ROTATIONAL DISPLACEMENTS WITH RESPECT TO STIFFNESS PARAMETER.

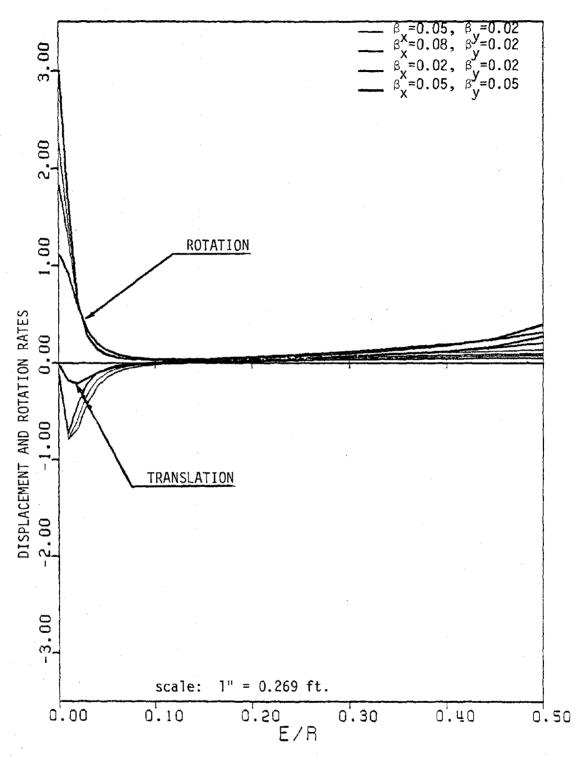


FIG. 4.8 RATES OF CHANGE OF RMS RESPONSE OF TRANSLATIONAL AND ROTATIONAL DISPLACEMENTS WITH RESPECT TO ECCENTRICITY PARAMETER.

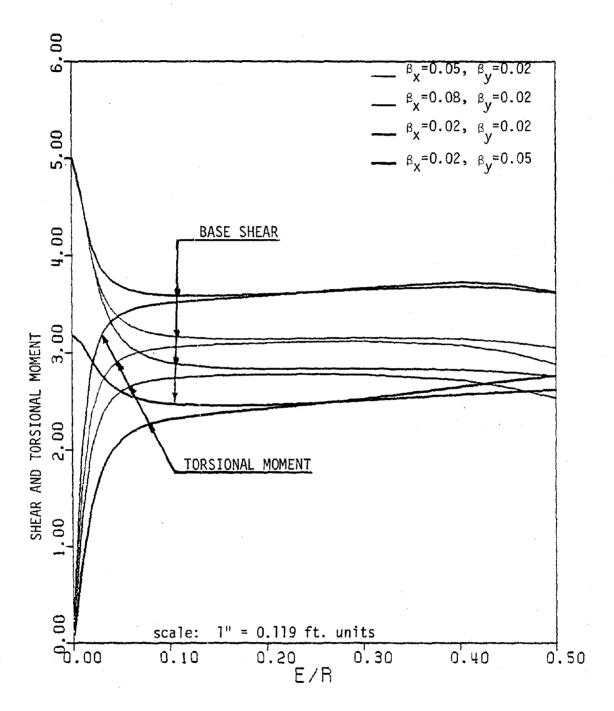


FIG. 4.9 RMS VALUES OF NORMALIZED BASE SHEAR AND TORSIONAL MOMENT OBTAINED BY COMPLEX MODE APPROACH.

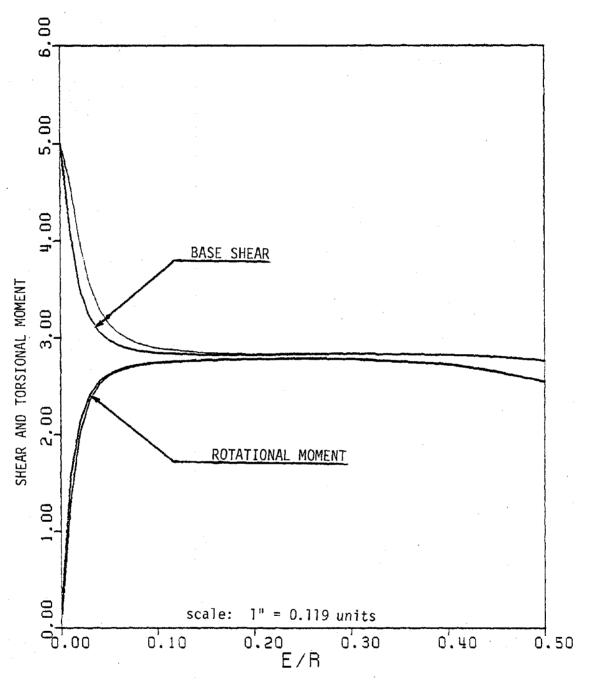


FIG. 4.10 RMS VALUES OF NORMALIZED BASE SHEAR AND TORSIONAL MOMENT OBTAINED BY COMPLEX AND NORMAL MODE APPROACH FOR \$\beta\_{\text{X}} = 0.08 AND \$\beta\_{\text{Y}} = 0.02.

BASE SHEAR: \_\_\_\_\_ COMPLEX MODE \_\_\_\_\_ NORMAL MODE

TORSIONAL MOMENT: \_\_\_\_ COMPLEX MODE \_\_\_\_\_ NORMAL MODE

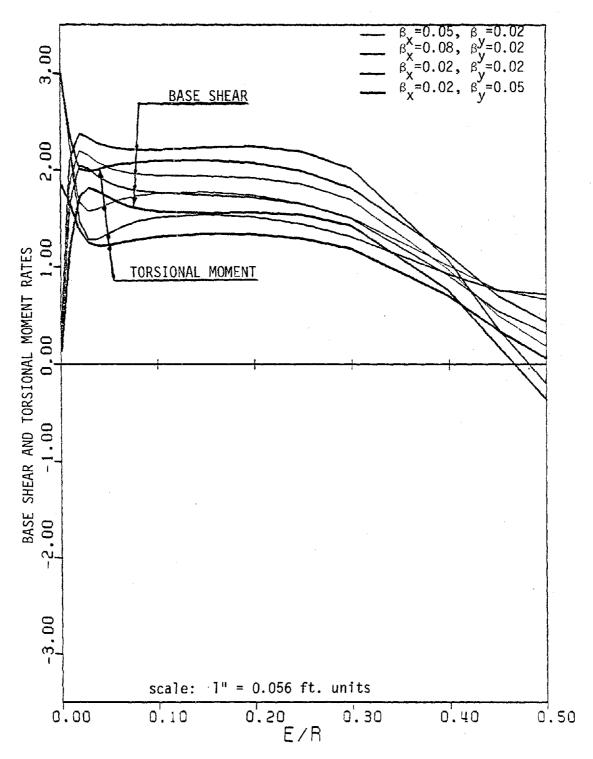


FIG. 4.11 RATES OF CHANGE OF RMS VALUES OF NORMALIZED BASE SHEAR AND TORSIONAL MOMENT WITH RESPECT TO MASS PARAMETER.

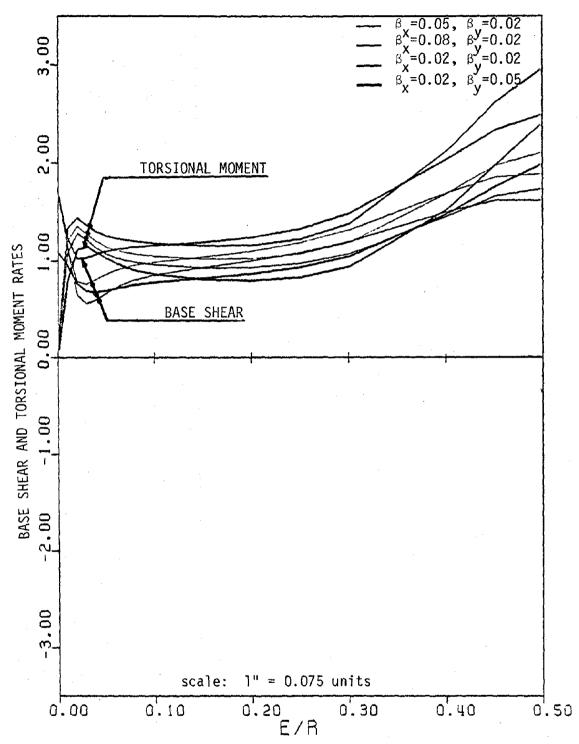


FIG. 4.12 RATES OF CHANGE OF RMS VALUES OF NORMALIZED BASE SHEAR AND TORSIONAL MOMENT WITH RESPECT TO STIFFNESS PARAMETER.

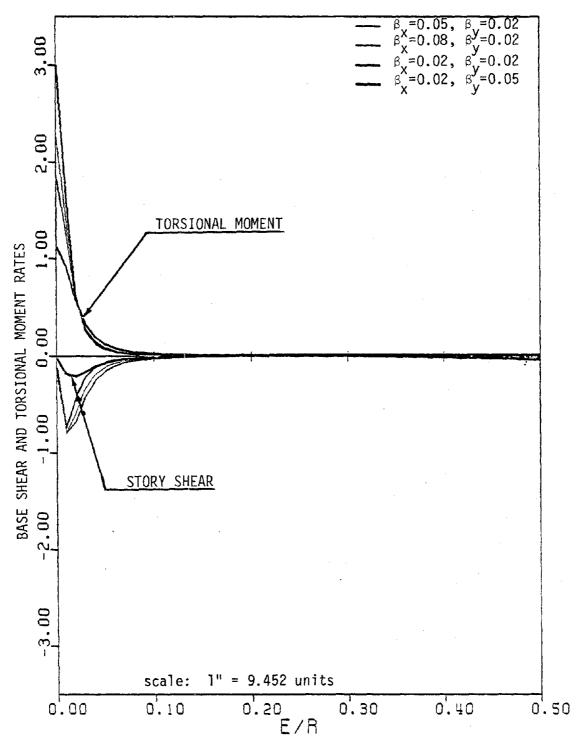


FIG. 4.13 RATES OF CHANGE OF RMS VALUES OF NORMALIZED BASE SHEAR AND TORSIONAL MOMENT WITH RESPECT TO ECCENTRICITY PARAMETER.

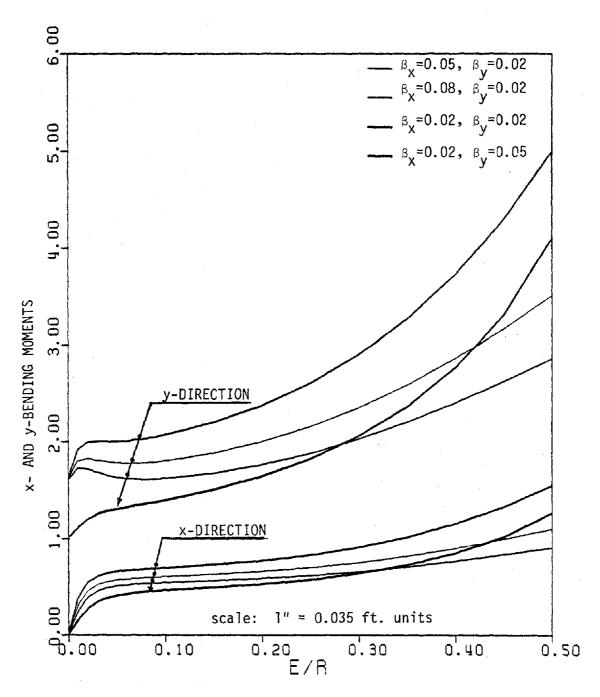


FIG. 4.14 RMS VALUES OF NORMALIZED BASE BENDING MOMENTS IN THE x-AND y-DIRECTIONS IN COLUMN 1 OF FIG. 2.2.

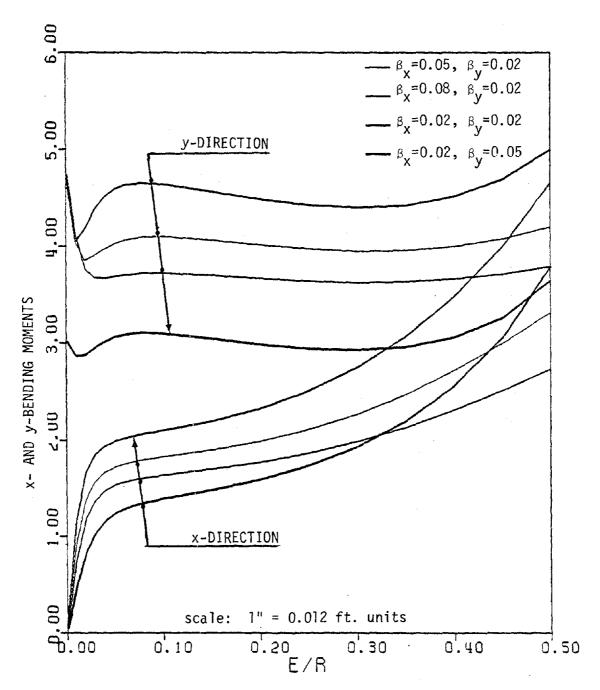
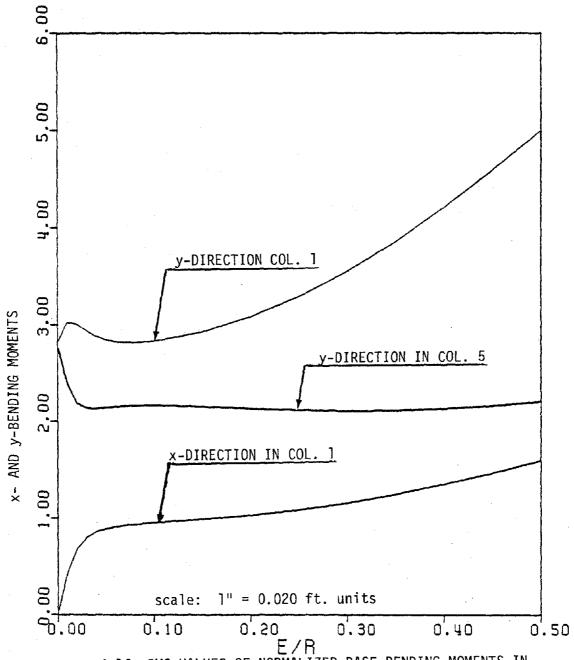


FIG. 4.15 RMS VALUES OF NORMALIZED BASE BENDING MOMENTS IN THE x-AND y-DIRECTIONS IN COLUMN 5 OF FIG. 2.2.



E/R
FIG. 4.16 RMS VALUES OF NORMALIZED BASE BENDING MOMENTS IN x- AND y-DIRECTIONS IN COLUMNS 1 AND 5 OF FIG. 2.2.

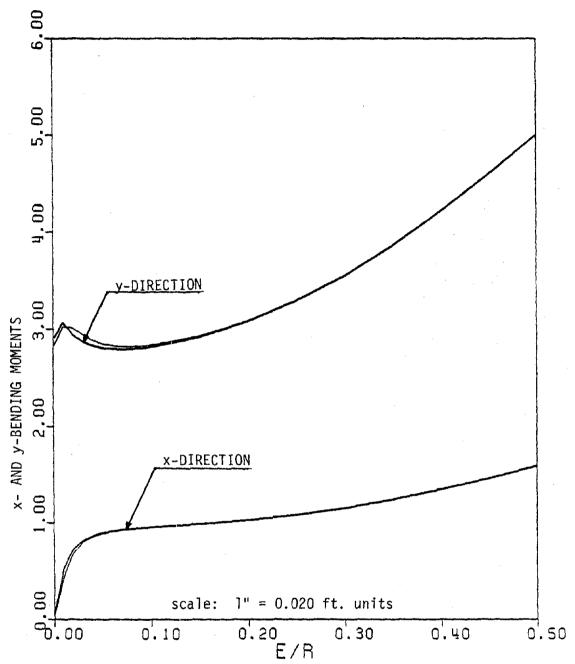


FIG. 4.17 RMS VALUES OF NORMALIZED BASE BENDING MOMENTS IN THE x- AND y-DIRECTIONS IN COLUMN 1 FOR  $\beta_x$ =0.08 AND  $\beta_y$ =0.02.

X- BENDING MOMENT: \_\_\_ COMPLEX MODE \_\_\_ NORMAL MODE

Y- BENDING MOMENT: \_\_\_ COMPLEX MODE \_\_\_ NORMAL MODE

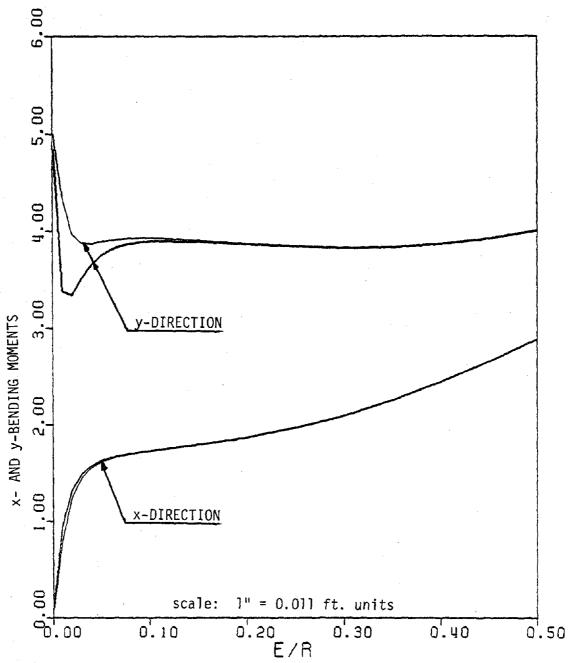


FIG. 4.18 RMS VALUES OF NORMALIZED BASE BENDING MOMENTS IN x-AND y-DIRECTIONS IN COLUMN 5 FOR  $\beta_x$ =0.08 AND  $\beta_y$ =0.02

X- BENDING MOMENT: \_\_\_ COMPLEX MODE \_\_\_ NORMAL MODE
Y- BENDING MOMENT: \_\_\_ COMPLEX MODE \_\_\_ NORMAL MODE

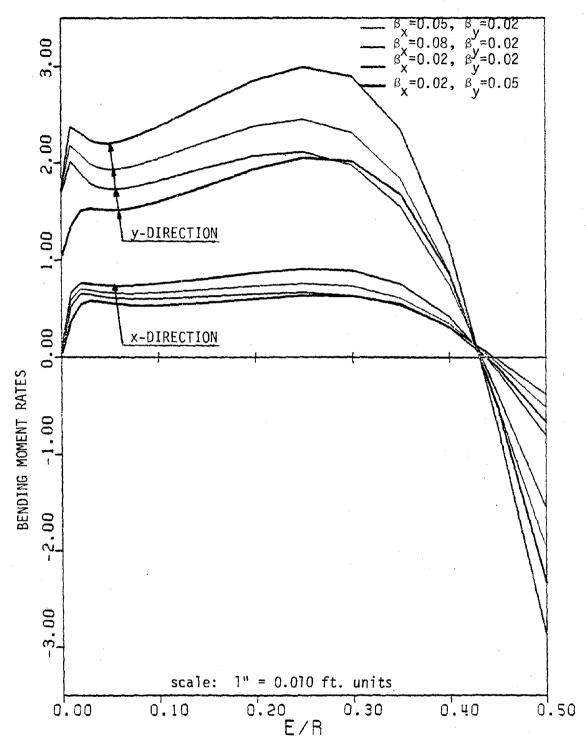


FIG. 4.19 RATES OF CHANGE OF RMS VALUES OF NORMALIZED BASE BENDING MOMENTS IN x- AND y-DIRECTIONS FOR COLUMN 1 WITH RESPECT TO MASS PARAMETER.

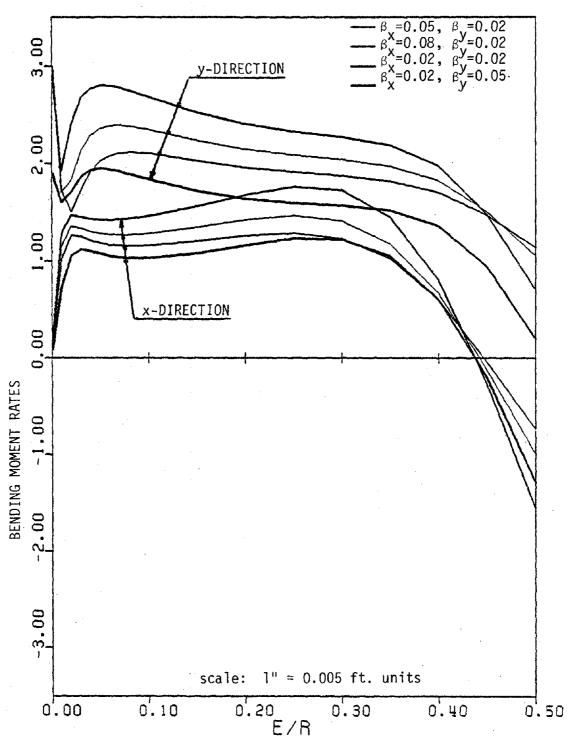
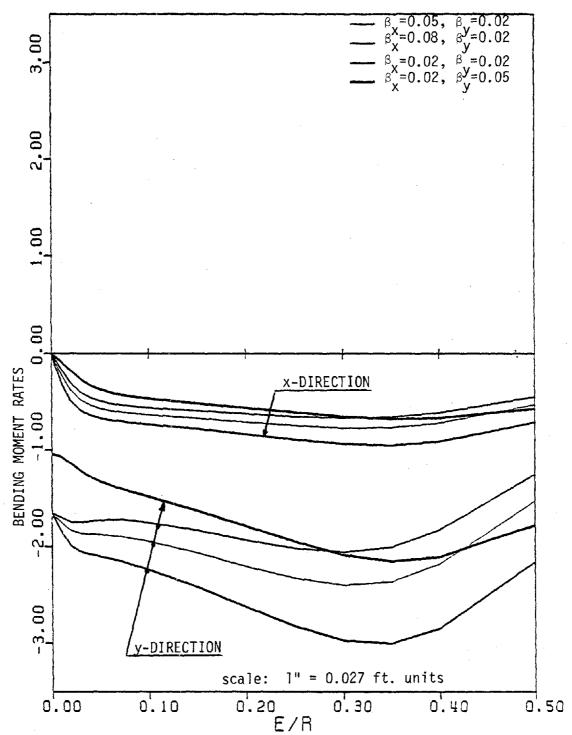


FIG. 4.20 RATES OF CHANGE OF RMS VALUES OF NORMALIZED BASE BENDING MOMENTS IN x- AND y-DIRECTIONS FOR COLUMN 5 WITH RESPECT TO MASS PARAMETER.



RATES OF CHANGE OF RMS VALUES OF NORMALIZED BASE BENDING MOMENTS IN x- AND y-DIRECTIONS FOR COLUMN 1 WITH RESPECT TO STIFFNESS PARAMETER.

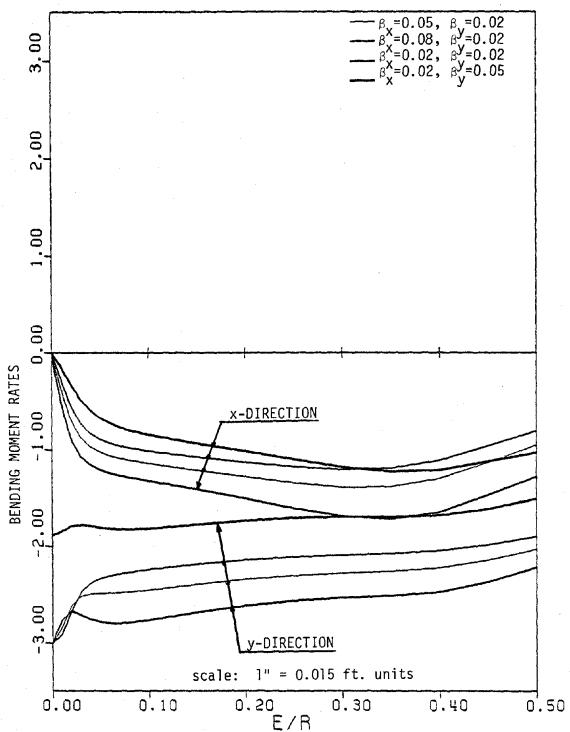


FIG. 4.22 RATES OF CHANGE OF RMS VALUES OF NORMALIZED BASE BENDING MOMENTS IN x- AND y-DIRECTIONS FOR COLUMN 5 WITH RESPECT TO STIFFNESS PARAMETER.

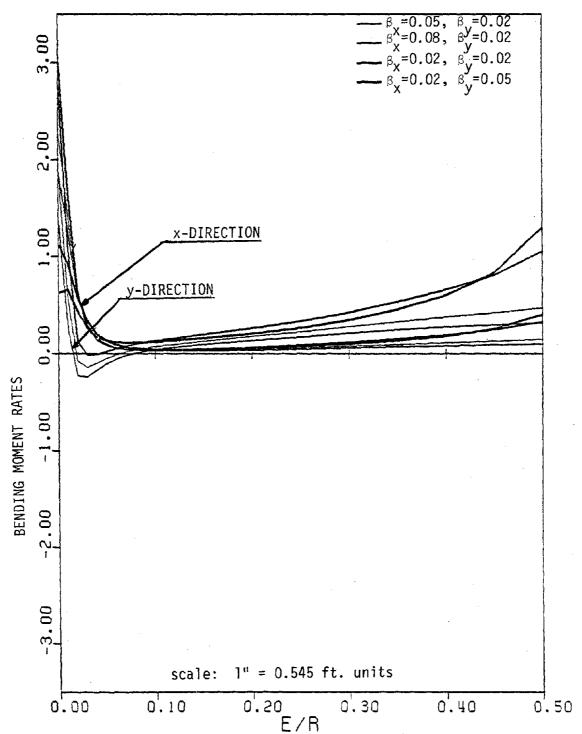


FIG. 4.23 RATES OF CHANGE OF RMS VALUES OF NORMALIZED BASE BENDING MOMENTS IN THE x- AND y-DIRECTIONS FOR COLUMN 1 WITH RESPECT TO ECCENTRICITY PARAMETER.

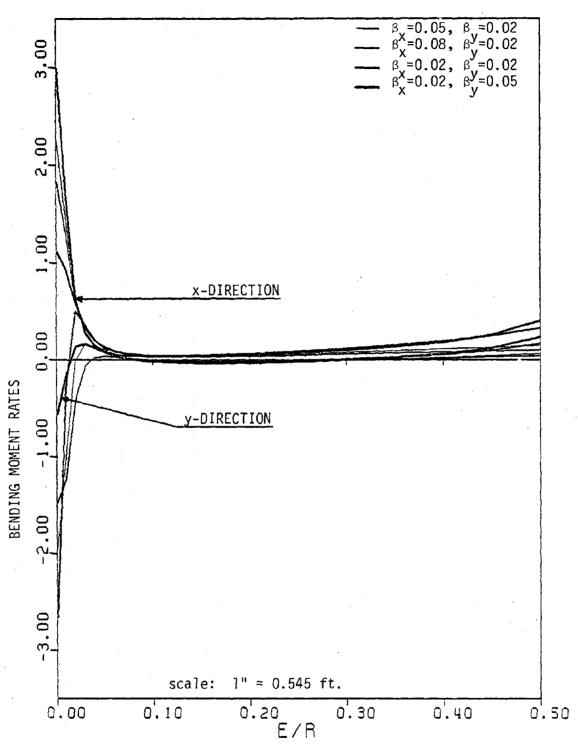


FIG. 4.24 RATES OF CHANGE OF RMS VALUES OF NORMALIZED BASE BENDING MOMENTS IN x- AND y-DIRECTIONS FOR COLUMN 5 WITH RESPECT TO ECCENTRICITY PARAMETER.

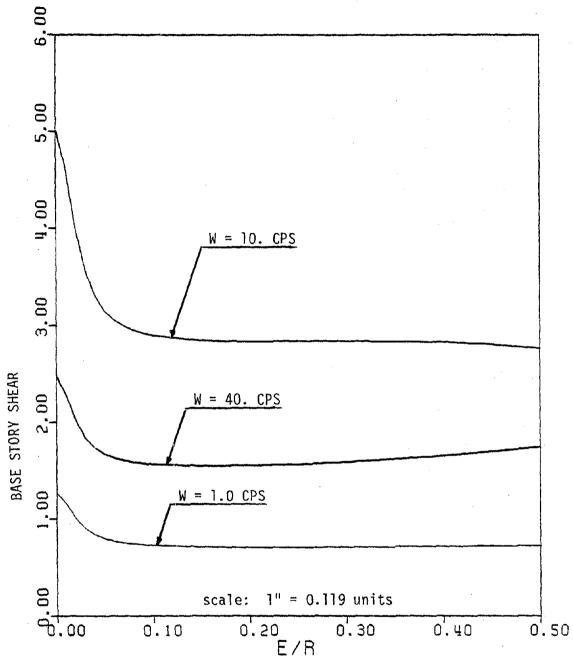


FIG. 4. 25 RMS VALUES OF NORMALIZED BASE SHEAR FOR FREQUENCY PARAMETER VALUES OF 1, 10 AND 40 CPS.

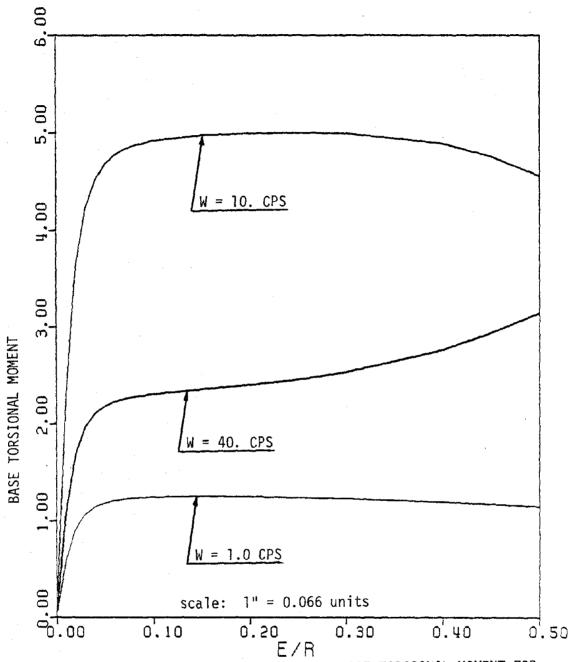


FIG. 4. 26 RMS VALUES OF NORMALIZED BASE TORSIONAL MOMENT FOR FREQUENCY PARAMETER VALUES OF 1, 10 AND 40 CPS.

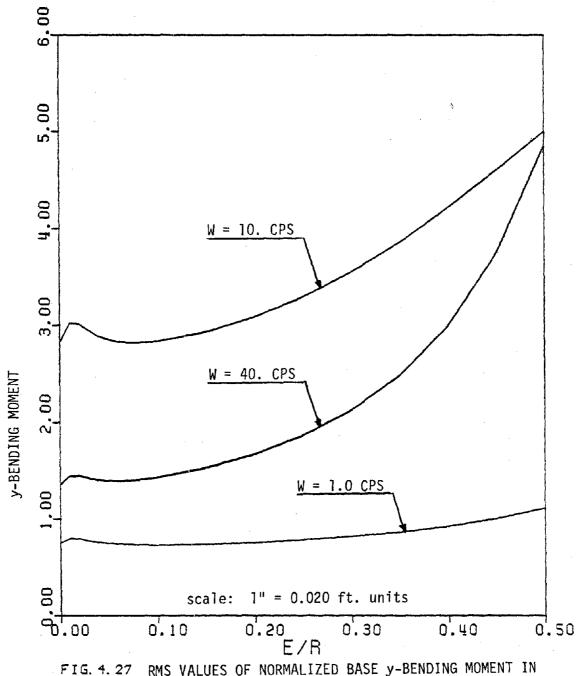


FIG. 4.27 RMS VALUES OF NORMALIZED BASE y-BENDING MOMENT IN COLUMN 1 FOR FREQUENCY PARAMETER VALUES OF 1, 10 AND 40 CPS.

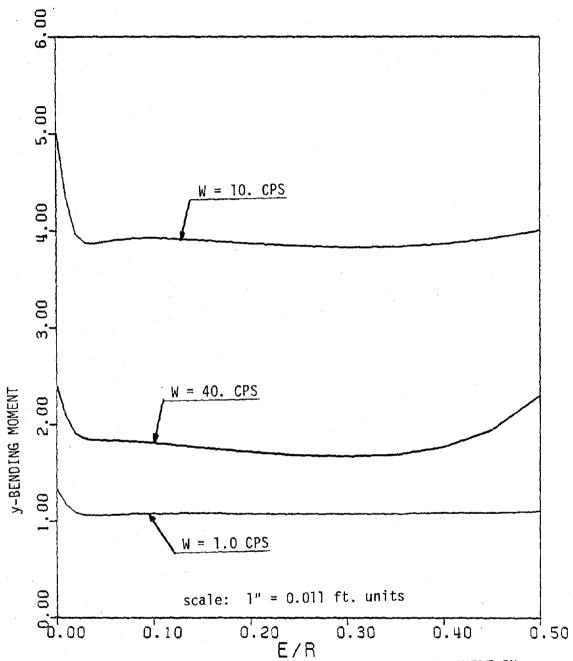


FIG. 428 RMS VALUES OF NORMALIZED BASE y-BENDING MOMENT IN COLUMN 5 FOR FREQUENCY PARAMETER VALUES OF 1, 10 AND 40 CPS.

# APPENDIX I

# MASS, DAMPING AND STIFFNESS MATRICES

Relative displacement vec r,  $\{u\}$ , and nondimensional mass [M], damping [C] and stiffness [K] matrices of the torsional system are given as follows:

$$\{u\} = \begin{cases} y_1 \\ ro_1 \end{cases}$$

$$\vdots \\ y_i \\ ro_1 \end{cases}$$

$$\vdots \\ y_N \\ ro_N \end{cases}$$

$$ro_N$$

$$(I.1)$$

		N <sub>T</sub> N <sub>T</sub> N <sub>T</sub> N <sub>T</sub> N <sub>T</sub> N <sub>T</sub>
	•	ENTN PN
<b>.</b>		$^{-\epsilon}N_{-1}\mu_{N-1}$ $^{\mu}N_{-1}^{+\mu}N$ $^{\epsilon}N_{-1}\mu_{N-1}^{+\epsilon}N^{\mu}N$ $^{-\mu}N_{-1}^{+\mu}N^{\mu}N_{-1}^{+\mu}N^{\mu}N_{-1}^{+\mu}N^{\mu}N_{-1}^{+\mu}N^{\mu}N_{-1}^{-\mu}N$
•	-41 <sup>11</sup> 1+1	. KH H H H H H H H H H H H H H H H H H H
0. -ε <sub>3</sub> μ <sub>3</sub> -μ <sub>3</sub> ψ <sub>3</sub>	· [+] [+]	N <sub>1</sub> N-1+1 <sub>N</sub> 1-1 <sub>N</sub>
	ε <sub>ι</sub> μ <sub>ι</sub> <sup>+</sup> ε <sub>ί+1</sub> μ <sub>i+1</sub> υ <sub>ι</sub> ψ <sub>ι</sub> <sup>+</sup> μ <sub>i+1</sub> ψ <sub>i+1</sub>	ν ν γ γ γ γ γ γ γ γ γ γ γ γ γ γ γ γ γ γ
$^{-\mu}_{2}$ $^{-\epsilon}_{2}^{\mu}_{2}$ 0. $^{-\epsilon}_{2}^{\mu}_{2}$ $^{-\mu}_{2}^{\psi}_{2}$ 0. $^{\mu}_{2}^{+\mu}_{3}$ $^{\epsilon}_{2}^{2}^{\mu}_{2}^{+\epsilon}_{3}^{3}_{3}$ $^{-\mu}_{3}$ $^{\epsilon}_{2}^{2}^{\mu}_{2}^{+\epsilon}_{3}^{3}_{3}$ $^{\mu}_{2}^{\psi}_{2}^{+\mu}_{3}^{3}_{4}^{3}$ $^{-\epsilon}_{3}^{3}^{\mu}_{3}$		
-42 -6242 42+43 5242+6343		-µ <sub>N-1</sub> -E <sub>N-1</sub> <sup>µ</sup> N-1 0.
ε <sub>1</sub> μ <sub>1</sub> +ε <sub>2</sub> μ <sub>2</sub> μ <sub>1</sub> ψ <sub>1</sub> +μ <sub>2</sub> ψ <sub>2</sub> -ε <sub>2</sub> μ <sub>2</sub> -μ <sub>2</sub> ψ <sub>2</sub>		.0
11+12 -12 -12 -242		. •

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N<sub>U</sub>N<sub>N</sub>
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               N'N>-
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                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              Nu- NuN3+1-Nu1-N3
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       -ein; -kin; ein; ein; +e; + | Kin; +k; + | n; + | - E; + | n; + | - K; + | n; + |
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          -n;+1
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                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 -EN-1"N-1 -KN-1"N-1
                                                                                                                                       -K2<sup>N</sup>2
                                                                                                                                                                                                                                                                                                                                                                      \epsilon_{2}^{\eta_{2}}
                -<sub>2</sub>2<sub>12</sub>
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                                                                                                                                             -62<sup>n</sup>2
\varepsilon_1 \eta_1 + \varepsilon_2 \eta_2
                                                                                                                                                                                        ^{\kappa_1}^{\eta_1}^{+\kappa_2}^{+\kappa_2}^{\eta_2}
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                                                                                                                                                                        <sub>5</sub>1<sub>0</sub>1<sub>2</sub>1<sub>5</sub>1<sub>5</sub>
1^{+1}
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           [K] = \omega^2
```

(1.4)

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These matrices can also be partitioned as follows:

#### Mass Matrix

$$[M] = \begin{bmatrix} M^{1} & & & & & \\ & M^{2} & & 0. & & & \\ & & M^{\dagger} & & & & \\ & & & M^{N-1} & & & \\ & & & & M^{N} \end{bmatrix}$$
 (I.5)

where

$$M^{i} = \begin{bmatrix} \rho_{i} & 0 \\ 0 & \rho_{i} \left(\frac{r_{i}}{r}\right)^{2} \end{bmatrix}$$
 (I.6)

## Damping Matrix

$$\begin{bmatrix} c^{1}+c^{2} & -c^{2} & 0 \\ -c^{2} & c^{2}+c^{3} & -c^{3} & 0. \\ & & -c^{i} & c^{i}+c^{i+1} & -c^{i+1} \\ & & 0. & & -c^{N-1} & c^{N-1}+c^{N} & -c^{N} \\ & & 0. & & -c^{N} & c^{N} \end{bmatrix}$$
where

where

$$C^{\dagger} = \begin{bmatrix} \mu_{i} & \varepsilon_{i} \mu_{i} \\ \varepsilon_{i} \mu_{i} & \psi_{i} \mu_{i} \end{bmatrix}$$
 (I.8)

The mass, damping and stiffness matrices for the symmetric system used to study the effect of variabilities of mass and stiffness on the response of the system can be obtained from equations (I.2)(I.4) by eliminating the rows and columns corresponding to the rotational distortion, r0, in the matrices [M], [C] and [K]. These are given as follows:

$$\begin{bmatrix} \rho_1 & & & & \\ & \rho_2 & & & \\ & & & \\ (N,N) & & & \\$$

#### APPENDIX-II

Various terms and their corresponding derivatives used in proportional and nonproportional damping cases in Chapter 3 are defined in this Appendix.

## II.1 Proportional Damping Case

## II.1.1 Frequency Integrals

Frequency integrals used in Eq. (3.1) are defined as follows:

a. 
$$I_1(\omega_j) = C^2 \int_{-\infty}^{\infty} \Phi_g(\omega) \frac{\omega_j^4}{(\omega_j^2 - \omega^2)^2 + 4\beta_j^2 \omega_j^2 \omega^2} d\omega$$
 (II.1)

This integrals for the spectral density defined by Eq. (4.1) can be written as:

$$I_{\mathbf{1}}(\omega_{\mathbf{j}}) = \sum_{\mathbf{i}=1}^{3} \frac{\pi}{2} S_{\mathbf{i}} \omega_{\mathbf{i}} \omega_{\mathbf{j}} \frac{G_{\mathbf{1}}(\omega_{\mathbf{j}}, \beta_{\mathbf{j}})}{B(\omega_{\mathbf{j}}, \beta_{\mathbf{j}})}$$
(II.2)

where

$$G_{\mathbf{j}}(\omega_{\mathbf{j}}, \beta_{\mathbf{j}}) = (\beta_{\mathbf{j}}\omega_{\mathbf{j}}^{+} + \beta_{\mathbf{j}}\omega_{\mathbf{j}})[\omega_{\mathbf{i}}^{2} + \omega_{\mathbf{j}}^{2} + 4\beta_{\mathbf{i}}\beta_{\mathbf{j}}\omega_{\mathbf{i}}\omega_{\mathbf{j}} + 4\beta_{\mathbf{i}}^{2}\omega_{\mathbf{j}}^{2}]$$

$$- \omega_{\mathbf{i}}\omega_{\mathbf{i}}(\beta_{\mathbf{i}}\omega_{\mathbf{j}} + \beta_{\mathbf{j}}\omega_{\mathbf{i}})$$

$$(II.3)$$

$$B(\omega_{j},\beta_{j}) = \omega_{i}\omega_{j}[(\beta_{i}\omega_{j} + \beta_{j}\omega_{i})^{2} + (\beta_{i}\omega_{i} + \beta_{j}\omega_{j})^{2}]$$
$$- (\beta_{i}\omega_{i} + \beta_{j}\omega_{j})(\beta_{i}\omega_{j} + \beta_{j}\omega_{i})(\omega_{i}^{2} + \omega_{j}^{2} + 4\beta_{i}\beta_{j}\omega_{i}\omega_{j}) (II.4)$$

in which  $S_i$ ,  $\omega_i$  and  $\beta_i$  are parameters of the spectral density function as shown in Table 4.1.

b. 
$$I_{2}(\omega_{j}) = C^{2} \int_{-\infty}^{\infty} \Phi_{g}(\omega) \frac{\omega_{j}^{2} \omega^{2}}{(\omega_{j}^{2} - \omega^{2})^{2} + 4\beta_{j}^{2} \omega_{j}^{2} \omega^{2}} d\omega$$
 (11.5)

which can be written as:

$$I_{2}(\omega_{j}) = \sum_{j=1}^{3} \frac{\pi}{2} S_{j} \omega_{j} \omega_{j} \frac{G_{2}(\omega_{j}, \beta_{j})}{B(\omega_{j}, \beta_{j})}$$
(II.6)

where

$$G_2(\omega_j, \beta_j) = 4\beta_i^2 \omega_i \omega_j (\beta_i \omega_j + \beta_j \omega_i) + \omega_i^2 (\beta_i \omega_i + \beta_j \omega_j)$$
 (II.7)

The rates of change of these frequency integrals can be obtained using the following derivatives:

$$\frac{\partial}{\partial x} \left[ \frac{I_{\ell}(\omega_{j})}{\omega_{j}^{4}} \right] = \frac{1}{\omega_{j}^{5}} \left[ \omega_{j} \frac{\partial I_{\ell}(\omega_{j})}{\partial x} - 4I_{\ell}(\omega_{j}) \frac{\partial \omega_{j}}{\partial x} \right]$$
 (II.8a)

and

$$\frac{\partial}{\partial x} \left[ \frac{I_{\ell}(\omega_{j})}{\omega_{j}^{2}} \right] = \frac{1}{\omega_{j}^{3}} \left[ \omega_{j} \frac{\partial I_{\ell}(\omega_{j})}{\partial x} - 2I_{\ell}(\omega_{j}) \frac{\partial \omega_{j}}{\partial x} \right]$$
 (II.8b)

$$\frac{\partial I_{\ell}(\omega_{\mathbf{j}})}{\partial x} = \sum_{i=1}^{3} \frac{\pi}{2} S_{i}\omega_{i} \left[ \left[ \frac{\partial \omega_{\mathbf{j}}}{\partial x} G_{\ell}(\omega_{\mathbf{j}},\beta_{\mathbf{j}}) + \omega_{\mathbf{j}} \frac{\partial G_{\ell}(\omega_{\mathbf{j}},\beta_{\mathbf{j}})}{\partial x} \right]$$

$$-\omega_{\mathbf{j}}G_{\mathcal{L}}(\omega_{\mathbf{j}},\beta_{\mathbf{j}}) \frac{\partial B(\omega_{\mathbf{j}},\beta_{\mathbf{j}})}{\partial \mathbf{x}} \} / [B(\omega_{\mathbf{j}},\beta_{\mathbf{j}})]^{2}$$
 (II.9)

where,

$$\frac{\partial G_{\ell}(\omega_{j},\beta_{j})}{\partial x} = \frac{\partial G_{\ell}}{\partial \omega_{j}} \frac{\partial \omega_{j}}{\partial x} + \frac{\partial G_{\ell}}{\partial \beta_{j}} \frac{\partial \beta_{j}}{\partial x}$$
 (II.10)

where  $\ell$  = 1 and 2 in Eqs. (II.8)-(II.10) pertain to I<sub>1</sub> and I<sub>2</sub> respectively, and

$$\frac{\partial G_1}{\partial \omega_j} = \beta_j \left[ \omega_j^2 + 8\beta_j \beta_j \omega_i \omega_j + 4\beta_i^2 (\omega_j^2 + 3\omega_j^2) \right] + 8\beta_i^3 \omega_i \omega_j$$
 (II.11a)

$$\frac{\partial G_1}{\partial \beta_i} = \omega_j \left[ \omega_j^2 + 8\beta_i \beta_j \omega_i \omega_j + 4\beta_i^2 (\omega_i^2 + \omega_j^2) \right]$$
 (II.11b)

$$\frac{\partial G_2}{\partial \omega_{j}} = 4\beta_{i}^{2} \omega_{j} (2\beta_{i} \omega_{j} + \beta_{j} \omega_{i}) + \omega_{i}^{2} \beta_{j}$$
 (II.11c)

$$\frac{\partial G_2}{\partial \beta_j} = \omega_i^2 \omega_j (4\beta_i^2 + 1.) \tag{II.11d}$$

$$\frac{\partial B(\omega_{\mathbf{j}},\beta_{\mathbf{j}})}{\partial x} = \frac{\partial B}{\partial \omega_{\mathbf{j}}} \frac{\partial \omega_{\mathbf{j}}}{\partial x} + \frac{\partial B}{\partial \beta_{\mathbf{j}}} \frac{\partial \beta_{\mathbf{j}}}{\partial x}$$
(II.12)

in which

$$\frac{\partial B}{\partial \omega_{j}} = \omega_{i} \left[ (\beta_{i}\omega_{j} + \beta_{j}\omega_{i})^{2} + (\beta_{i}\omega_{i} + \beta_{j}\omega_{j})^{2} \right] 
+ 2\omega_{i}\omega_{j} \left[ \beta_{i}(\beta_{i}\omega_{j} + \beta_{j}\omega_{i}) + \beta_{j}(\beta_{i}\omega_{i} + \beta_{j}\omega_{j}) \right] 
- \left[ \beta_{j}(\beta_{i}\omega_{j} + \beta_{j}\omega_{i}) + \beta_{i}(\beta_{i}\omega_{i} + \beta_{j}\omega_{j}) \right] (\omega_{i}^{2} + \omega_{j}^{2} + 4\beta_{i}\beta_{j}\omega_{i}\omega_{j}) 
- 2(\beta_{i}\omega_{j} + \beta_{j}\omega_{i})(\beta_{i}\omega_{j} + \beta_{i}\omega_{i})(\omega_{i} + 2\beta_{i}\beta_{i}\omega_{i})$$
(II.13a)

$$\frac{\partial B}{\partial \beta_{j}} = 2\omega_{i}\omega_{j} \left[\omega_{i}(\beta_{i}\omega_{j} + \beta_{j}\omega_{i}) + \omega_{j}(\beta_{i}\omega_{i} + \beta_{j}\omega_{j})\right] 
- \left[\omega_{j}(\beta_{i}\omega_{j} + \beta_{j}\omega_{i}) + \omega_{i}(\beta_{i}\omega_{i} + \beta_{j}\omega_{j})\right]\left(\omega_{i}^{2} + \omega_{j}^{2} + 4\beta_{i}\beta_{j}\omega_{i}\omega_{j}\right) 
- 4(\beta_{i}\omega_{i} + \beta_{j}\omega_{j})(\beta_{i}\omega_{j} + \beta_{j}\omega_{i})\beta_{i}\omega_{i}\omega_{j}$$
(II.13b)

#### II.1.2 Partial Fraction Factors P,Q,R,S

Constants P,Q,R and S are obtained from the solution of the following simultaneous equations [30]:

$$[c]{T} = {W}$$
 (II.14)

where

$$[c] = \begin{bmatrix} 1 & 0 & 1 & 0 \\ u & 1 & s & 1 \\ v & u & t & s \\ 0 & v & 0 & t \end{bmatrix} ; \{T\} = \begin{cases} P \\ Q \\ R \\ S \end{cases} ; \{W\} = \begin{cases} W_1 \\ W_2 \\ W_3 \\ W_4 \end{cases}$$
 (II.15)

in which  $u = -2(1-2\beta_k^2)$ ; v = 1.0;  $s = -2r^2(1-2\beta_j^2)$ ;  $t = r^4$ ;  $w_1 = 0.$ ;  $w_2 = 1.0$ ;  $w_3 = -(1+r^2-2\beta_j\beta_k r)$ ; and  $w_4 = r^2$ .

The rates of change of partial fraction factors  $\frac{\partial P}{\partial x}$ ,  $\frac{\partial Q}{\partial x}$ ,  $\frac{\partial R}{\partial x}$  and  $\frac{\partial S}{\partial x}$  with respect to a structural parameter can be obtained by solving the following equations:

$$[c] \left\{ \frac{\partial T}{\partial x} \right\} = \left\{ \frac{\partial W}{\partial x} \right\} - \left[ \frac{\partial c}{\partial x} \right] \{T\}$$
 (II.16)

in which  $\{\frac{\partial W}{\partial x}\}$  and  $[\frac{\partial C}{\partial x}]$  are obtained by straight forward differentiation of terms in [c] and [W] with respect to parameter x of interest.

## II.2 Nonproportional Damping Case

#### II.2.1 Frequency Integrals

Frequency integrals used in equation (3.16) are identical to proportional damping case in Section II.1.1.

# II.2.2 Partial Fraction Factors P',Q',R',S'

Factors P',Q',R',S' can be obtained from Eq. (II.15) in which matrix [C] remains the same as in Eq. (II.15) and the elements of vector  $\{W\}$  are defined as:

$$W'_1 = D_1$$
;  $W'_2 = C_1D_1 + D_2 + E_2$ ;  $W' = C_1D_2 + C_2D_1 + E_2$ ;  $W'_4 = C_2D_2$  (II.17)

in which

$$D_1 = 4a_i a_k$$

$$D_{2} = 4r[a_{j}a_{k}\beta_{j}\beta_{k} + b_{j}b_{k}\sqrt{1-\beta_{j}^{2}}\sqrt{1-\beta_{k}^{2}} - a_{j}b_{k}\beta_{j}\sqrt{1-\beta_{k}^{2}} - a_{k}b_{j}\beta_{k}\sqrt{1-\beta_{j}^{2}}]$$

$$C_{1} = -(1 + r^{2} - 4\beta_{j}\beta_{k}r) ; C_{2} = r^{2}$$
(II.18)

$$E_2 = (r\beta_j - \beta_k)$$
;  $E_3 = r(r\beta_k - \beta_j)F$ 

$$F = -8[a_j a_k (\beta_k - r\beta_j) - (a_j b_k \sqrt{1-\beta_k^2} - b_j a_k r \sqrt{1-\beta_j^2})]$$

The derivatives of D<sub>1</sub>, D<sub>2</sub>, C<sub>1</sub>, C<sub>2</sub>, E<sub>2</sub>, E<sub>3</sub> and F and consequently of W<sub>1</sub>, W<sub>2</sub>, W<sub>3</sub> and W<sub>4</sub> are straightforward. Also, factor A<sub>j</sub> is defined as:

$$A_{j} = b_{j}^{2} + (a_{j}^{2} - b_{j}^{2}) \beta_{j}^{2} - 2 a_{j}b_{j} \beta_{j} \sqrt{1 - \beta_{j}^{2}}$$
 (II.19)

and its derivatives are straightforward.

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