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# APPENDICES

THE INTEGRATION OF SEISMIC DESIGN PRINCIPLES INTO PRELIMINARY ARCHITECTURAL DESIGN

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50272 -101 3. Recipient's Accession No. 1. REPORT NO. REPORT DOCUMENTATION 2. NSF/CEE-81117 DRAS 035273 120 PAGE 4. Title and Subtitle 5. Report Date Integration of Seismic Design Principles into Preliminary 1981 Architectural Design, Appendices 6. 7. Author(s) 8. Performing Organization Rept. No. K.I. Britz, L. Libby, D. Hardinata 9. Performing Organization Name and Address 10. Project/Tesk/Work Unit No. Carnegie-Mellon University Institute of Building Sciences 11. Contract(C) or Grant(G) No. Pittsburgh, PA 15213  $(\mathbf{C})$ CEE7900007 **(G**) 12. Sponsoring Organization Name and Address 13. Type of Report & Period Covered Directorate for Engineering (ENG) National Science Foundation 1800 G Street. N.W. 14. Washington, DC 20550 15. Supplementary Notes 16. Abstract (Limit: 200 words) Earthquake causes and effects are reviewed and four basic causes of earthquakes that induce damage are discussed: (1) ground rupture in fault zone; (2) ground failure; (3) tsunamis; and (4) ground shaking. The response of buildings to ground motion, the effects of building shape on response to seismic forces, and the effects of seismic forces on building systems and components are considered. Design and configuration problems are addressed and solutions to these problems are proposed. Commentary on codes which deal with seismic design, a survey guestionnaire, and design and evaluation guidelines are provided. 17. Document Analysis a. Descriptors Architecture Ouestionnaires Earthquakes Tsunamis Design standards Earthquake resistant structures Buildings Surveys Architects Building codes b. Identifiers/Open-Ended Terms Ground motion K.I. Britz, /PI c. COSATI Field/Group 18. Availability Statement 21. No. of Pages 19. Security Class (This Report) 22. Price NTIS 20. Security Class (This Page) OPTIONAL FORM 272 (4-77) (See ANSI-Z39.18) See Instructions on Reverse (Formerly NTIS-35)

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## Primer for Interactive Sessions

**NPPENDIX** 

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#### INTRODUCTION

In the United States, two of the most severe earthquakes did not happen on the West Coast, but in the East and the Midwest (Charleston, South Carolina in 1886 and New Madrid, Missouri in 1811 and 1812). But since seismic activity is more frequent on the West Coast, scientists have concentrated efforts there.

This primer is emphazising how architectural planning design affects the performance of buildings under earthquake conditions. To date, code requirements essentially deal with the structural integrity of a building as it affects life safety. But with much improved structural design methods, building collapse has become less prevalent. This in turn has made architectural (nonstructural) elements more vulnerable to damage, namely, glazing, facades, interior partitions, etc.

This primer is established in conjunction with the workbook which will record the cooperative research project with 3 architects who are chosen among the East Coast architects in dealing with seismic design. It is an interactive association between the researchers and East Coast architects in learning and incorporating seismic designs considerations in the architectural design practice.

#### CHAPTER I

#### EARTHQUAKES CAUSES AND EFFECTS

#### 1.1 GENERAL THEORY OF EARTH MOVEMENT

#### 1.1.1 Plate Tectonics

The theory of plate tectonics asserts that the crust and upper mantle of the earth are made up of 6 major and 6 or more minor internally rigid plates (or segments of the lithosphere) which slowly, continuously and independently slide over the interior of the earth. These plates meet in Convergence Zones and separate in Divergence Zones. Plate motion is thought to create earthquakes, volcanoes, and other geologic phenomenal.

Divergence Zones are zones where molten rock from beneath the crust surges up to fill in the resulting rift and forms a ridge. Convergence Zones are zones where subduction occurs, one plate slides under the other forming a trench, and returns material from the leading edge of the lower plate to the earth's interior. Figure 1.1 shows the Plates of Earth's Lithosphere.

Ninety percent of all earthquakes occur in the vicinity of these "Plate Boundaries" where plates push into one another and one slides beneath the other --shallow to deep-seated earthquakes occur. Deep-seated earthquakes are uncommon where plates slide past each other. The other ten percent of earthquakes occur at faults located "Within Plates". They are much less frequent than those at plate boundaries, and their causes are less well understood.







Figure 1.2

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World's Seismicity Map with epicenters of some 30,000 earthquakes in the years 1961 - 1967, which have focal depths between 0 and 700 km is illustrated in Figure 1.2.

#### 1.1.2 Elastic Rebound Theory

Earthquake theory is generally based on the "Elastic Rebound Theory", proposed by Prof. H.F. Reid. Earthquakes are associated with large fractures or faults in the Earth's crust and upper mantle. Figure 1.3 shows the Elastic Rebound Theory of Earthquake generation.

#### 1.2 MEASURING EARTHQUAKES

About 1,000,000,000 erg of elastic strain energy are released from each cubic meter (1.3 cu yards) of rock at the time of an earthquake. If the fault dimension and the distortion on either side are known, the energy released is then computed as the multiplication of those dimensions by 1,000,000,000. Energy release is the most precise way of measuring the size of an earthquake, but it is a long, complicated process to determine the fault dimensions, the slip and the other factors needed to compute it. Therefore, in general, earthquake size is measured by the magnitude and intensity scale.

The Richter Magnitude Scale is based on the amplitude of seismic waves recorded by seismographs. These magnitudes are based on a logarithmic scale, so a change in magnitude of 1 unit corresponds to a change in the amplitude of seismic waves by a factor of 10. Thus, a "Richter 6" records 10 times the amplitude of a "Richter 5", and a "Richter 7" records 100 times as much as







U. S. Earthquake Intensity V and above through 1970 Figure 1.5  $\,$ 

distorted. Objects thrown into the air" (Intensity XII).

Earthquakes in the Midwest and Eastern U.S. are in the category of earthquakes which occur at faults within plates. Table 1.3 compares large Eastern enthquakes with East Coast events, and shows the extent to which energy was dissipated in the East. Figure 1.5 shows the recorded earthquakes (Intensity V and above) in the United States through 1970, while Figure 1.6 shows the Seismic Risk Map of the United States.

Table 1.3 Comparison of Large U.S. Earthquakes

Location	Magnitude	Peak Intensity	Felt Area Sq.miles
New Madrid (1811,1912)	7.1, 7.2, 7.4+	XII	2,000,000
Charleston (1886)	around 7	IX - X	2,000,000
San Fransisco (1906)	8,3	XI	375,000
Alaska (1964)	8.3	1X - X	700,000

The average rate of occurence of earthquakes with minimum Modified Mercalli intensities of VI through VIII in various areas of the continguous U.S. are given in the Table 1.4 These rates are based on an analysis of the historical seismicity. The areas considered are shown in Figure 1.7. Table 1.4 is given in terms of intensity because the magnitudes of many of the earthquakes in the historical record are unknown. The rate at which large shocks will occur in a particular area depends on the validity of an empirical relationship derived from historical data.



	No Damage
	Minor Damage
	Moderate Damage
	Major Damage
	Seismic Risk Map of the United States
	Figure 1.6



Location Map showing the areas for which recurrence formulas were computed

Figure 1.7

 Table 1.4

 Summary of Earthquakes Recurrence Formulas

ı	Area	Earthqua V	kes per VI	100 Years per VII	100,000 km2 VIII
182	California, Nevada	300	84.6	23.8	6.72
4	Montana, Idaho, Utah, Arizona	64.4	17.7	4.89	1.35
3	Puget Sound, Washington	68.0	16.3	3.92	0.94
8A& 8B	Mississippi Valey, St.Lawrence Valey	24.2	7.65	2.42	0.76
7	Nebraska, Kansas Oklahoma	13.0	4.20	1.35	0.45
5	Wyoming, Colorado, New Mexico	32.8	6.B5	1.42	0.31
6	Oklahoma, North Texas	13.3	3.73	1.07	0.30
9	East Coast	12.8	3.39	0.88	0.23

#### CHAPTER II

#### EFFECTS OF EARTHQUAKES ON STRUCTURES

2.1 Four basic causes of earthquakes that induce damage are:

#### 2.1.1. Ground Rupture in Fault Zones

If a rupture occurs, ground displacement along the fault can be horizontal or vertical or both. A structure directly astride such a break will be severely damaged.

2.1.2. Ground Failure

The result of gound failure may be in the form of landslides, settlement and liquefaction. The phenomenon of liquefaction can occur in sands of relatively uniform size when saturated with water. When this material is subjected to vibration, the resulting upward flow of water can turn the material into a composition similar to "quicksand" with accompanying loss of foundation support. Ground failure is particularly damaging to support systems such as water and gas lines, sewers, communication lines and transportation facilities. This damage then has a serious effect on both health and life safety (causing fires, spreading disease etc.).

#### 2.1.3. Tsunamis

Tsunami is a series of traveling ocean waves of great and long period, generated by disturbance associated with earthquakes in oceanic and coastal regions. Tsunami waves may reach forward speeds exceeding 600 miles per hour. As the tsunami enters the shoaling water of coastlines in its path, the velocity of its waves diminishes and wave height increases. It is in these shallow waters that tsunamis become a threat to life and property, for they can crest to heights of more than 100 feet, and strike with devastating force.

#### 2.1.4. Ground Shaking

As the earth vibrates, all elements on the ground surface will respond to that vibration in varying degres. Vibration and displacement can destroy a structure which is not designed and constructed to be earthquake resistant. Earthquake forces in structures result from the erratic omnidirectional motions of the ground. Vertical motions have customarily been neglected in building design, because most structures have considerable strength in the vertical direction because they are designed to counteract the force of gravity.

#### 2.2 RESPONSE OF BUILDINGS TO GROUND MOTION

Ground motions are normally described in terms of acceleration, velocity and displacement of the ground at a particular location. The cycle of displacement of the structure is a function of the time (duration) of the ground motion. Therefore, the building must be able to undergo these extended periods of ground shaking without failure. See Figure 2.1.

A building acts as a pendulum with respect to the ground, with the rate and frequency of the swing (i.e. the swaying) as a function of building height, mass, cross-sectional area and numerous other factors, as shown in Figure 2.2.







Pendulum Action Figure 2.2

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The rate of oscillation, or "natural period" of a structure, is an extremely important factor because earthquakes do not result in ground movement in only one direction, rather, the ground oscillates back and forth, in all directions. Complex deflections may result as the building vibrates in all its modes of vibration in response to ground motion. The ground motion may coincide with the natural period of the building's motion, resulting a resonance. (Figure 2.3).

The way the structure absorbs or transports the energy released by an earthquake will determine the success or failure of the building's seismic resistant design and construction. The energy transfer and energy dissipation mechanisms involved should be such that no damage would occur. The desired flexibility is illustrated by a flagpole that can sway considerably without fracture or permanent displacement. The opposite situation is represented by a stack of unreinforced bricks whose movements result in permanent displacement of the bricks when a horizontal force is applied, as shown in Figure 2.4.

In design, one must deal with structural systems that fail between these 2 conditions, that is, between an infinitely limber buildings versus those that will bend in several parts when earthquakes forces are applied.

The bending is the result of earthquake forces, and therefore, the structural members will absorb or temporarily store some of this earthquake energy when it is imparted to the structure. This capacity for the storage or absobtion of energy depends on whether the material operates in its elastic or inelastic range. When the structure can deform, yet retain the



Effects of Cyclic Reversals of Ground Acceleration

Figure 2.3



Figure 2.4

ability to return to its original state without permanent deformation, the material has stayed within its elastic range of deformation. The range beyond the elastic range is the inelastic or the plastic range where permanent deformation occurs. In this range fracturing of certain structural building components may occur. The designer should be aware that structural members may fracture before the building experiences maximum energy impact, thus residual energy absorbing mechanisms should be provided in the sturcture.

#### 2.3 EFFECTS OF BULDING SHAPE ON RESPONSE TO SEISMIC FORCES

One of the most critical decisions regarding the ability of buildings to withstand earthquakes is the choice of basic plan shape and configuration. Given that earthquake forces at a site can come from any and all directions, and act upon all elements of the building virtually simultaneously, the obvious "best choice" is a building which is symmetrical in plan and elevation, and, therefore, is equally capable of withstanding forces imposed from any direction. However, given other constraints, such as, shape of site and functional requirements, rarely can the architect satisfy this demand. Therefore, an understanding of how variations in plan and elevation symmetry can affect performance is important. (Figure 2.2 through 2.5).

Since the structure is a unit, torsional movement is created by the earthquake. Torsion is the result of rotation of an eccentric or a less rigid mass about the basic or the more rigid mass of the building. Torsion can also occur in regular-shaped buildings whenever the relative stiffness of one part of the







Figure 2.5

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structure is different from another. Regular-shaped buildings with balanced stiffness elements therefore avoid the secondary effects of torsion and differential movement. (Figure 2.6).

Any irregularity in building shape, such as, in plan, section or elevation is subjected to torsion. (Figure 2.7).

#### 2.4 EFFECTS OF SEISMIC FORCES ON BUILDING SYSTEMS AND COMPONENTS

Most buildings are designed with a combination of flexible and stiff components. The improper combinations of such components may create problems in building performance under earthquake loading. The structural frame may absorb the earthquake forces without significant damage, but the movement of the building induces significant secondary damage to nonstructural components. Nonstructural components must be properly integrated with or effectively isolated from the basic structural frame if excessive damage and loss of life is to be avoided. The interaction between nonstructural components and structural systems can be divided into 2 basic relationships:

2.4.1. The effects of most nonstructural components on the performance of the structure in most cases is neutral, however, in certain cases significant modifications to the building's structural response can occur under seismic loading as a result of nonstructural interaction. These modifications of response generally occur when the nonstructural component has some degree of rigidity and /or mass that causes an unexpected stiffening effect on proportions of the structure. (For example, non-bearing masonry walls and fire walls, spandrels, shaft enclosures and stair framing particularly when intermediate





Torsion Effect on Building Plan Figure 2.6





Oblique View of Vertical Torsion Effect



I



Drift diagram showing lateral displacement and resulting foreshortening

Figure 2.8

landings are tied to columns.)

2.4.2. The effect of the basic structure movement on the nonstructural components. This includes the effects of building drift, building torsion, displacement of cantilevered members and other factors.

#### 2.5 BUILDING DRIFT

The horizontal displacement of basic structure can cause failure of the nonstructural components in a flexible multi-story building. All floors do not drift at the same rate or time, and this action causes a horizontal displacement between floors. Due to the action of the forces at the base of the structure, some floors of the building tend to move in one direction while floors above or below these tend to move in the opposite direction. (Figure 2.8).

The differential movement between floors can and does affect all full-floor height elements of a building, i.e. exterior curtain walls, interior partitions, window/ door frames etc. The exterior curtain wall that is anchored at each floor slab and is cantilevered both up and down can be severely affected. However, an exterior curtain wall that spans floor-to-floor in a simple span is seldom affected by cummulative action. (Figure 2.9).

#### 2.6 BUILDING TORSION

This action is usually brought about by the eccentric lateral resistance or mass of the basic structure, and causes the building to twist vertically. It should be noted that torsion in a building sometimes results from the stiffness of rigid or





Effect of Cantilevered Exterior Walls vs. Simple Span

Figure 2.9

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massive nonstructural components, such as, infill walls. The basic effects of torsion on components are quite similar to drift and will result in the same problems as those produced by drift.

#### 2.7 DISPLACEMENT OF CANTILEVERED MEMBERS

The unrestrained end condition of cantilevered members can result in vertical displacement. This vertical displacement can be expected to be in opposite directions on adjacent floor. Since cantilever construction usually involves exterior walls these conditions can create hazard to life safety due to glass breakage and failing wall elements. (Figure 2.10).

#### 2.8 OTHER FACTORS

Seismic forces are time processes in addition to force processes. As such, the various components of a building will not neccessarily move as a unit even within a single floor. All the above actions may commonly take place simultaneously and produce movement between the nonstructural and structural components that are quite complex. It is important that the designer understands that these forces and motions are transmitted to each component of the structure. Understanding the origins of the forces is vital in dealing with them in the design of nonstructural systems.



Displacement of Cantilevered Members Figure 2.10

#### CHAPTER III

#### CONSIDERATIONS IN DESIGN

Earthquake damage to buildings is critcal because it disrupts vital funcitons; it represents economic loses for families, businesses, and most importantly, it threatens injury and death to the building occupants and people in the vicinity of the building.

The consideration in design should include the following:

1. The expected performance of the building as it affects life safety and property damage.

2. Proper integration of the various building components within the basic planning and design parameters, giving attention to appropriate life safety criteria.

3. Establishment of basic planning and design parameters (form, shape) that will best meet the performance criteria.

#### 3.1 PERFORMANCE REQUIREMENTS

3.1.1 Protection of occupants within, and the public adjacent to, a building during an earthquake.

#### Hazards:

a. Danger of being hit by falling objects (free standing furniture, equipment, suspended ceilings, lighting fixtures,

hanging objects, failing parapets, facade panels/elements, glass and other debris.

b. Danger of electric shock, fire and gas in case of failure in utility lines, and danger of flooding if water lines fail.

To protect people from such hazards, building components and systems must be designed with the potential danger in mind. Population densities of buildings also are included in these critical conditions.

3.1.2 Disaster control and Emergency Subsystems must remain operable after an earthquake.

In situations in which people will be unable to escape, they, and the building itself will be subjected to secondary hazards caused by earthquake damage. Among the most critical are:

a. Fire: can begin at various locations of the building during an earthquake, wherever fuel or electric lines rupture.

b. Electrical hazards: collapse of ceilings on partitions on dislocation of electrical appliances may leave wiring exposed which creates danger of shock, or results in sparkling which can lead to fire or explosion.

c. Flooding: broken water pipes or sanitary lines may lead to flooding of various parts of the building.

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To prevent such secondary disaster, Control and Emergency systems such as the fire protection system should be designed to remain intact after the earthquake.

3.1.3 Occupants must be able to evacuate a building quickly and safely after an earthquake when it is safe to do so.

Hazards such as secondary disasters (explosions, fires, aftershocks) and other potential hazards to life safety should be mitigated through careful consideration in design. Among them are: Debris of falling objects may hinder safe passage in an exit corridor or on a stairway. A doorway blocked by collapsed objects may also slow egress, or the door itself may not open if the frame has been out of alignment. Elevators are vulnerable to damage in earthquakes. Darkness in a staircase makes it impossible to see missing a stairs and railings. Dnce outside, the evacuee also risks being struck by loosened debris falling from the builidng's exterior.

3.1.4 Rescue and Emergency workers must be able to enter the building immediately after an earthquake, encountering minimum interference and danger.

Rescue and Emergency Personnel need clear passage ways to remove casualities and must have operable Control and Emergency Subsystems operable in order to cope with fire and flooding, if they exist.

3.1.5 The building must be returned to useful service as quickly as possible.

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The total "cost" of earthquakes is measured in two parts. First, cost as the direct consequences of bodily injury or death and property damage. Second, cost of social disruption and economic losses related to the inability of a city to function at full capacity after an earthquake (it includes the loss of business activity and revenues and the cost of having to divert many resources to repair and restore services and buildings). In order to minimize the "costs" above, the architects need to concentrate upon preventive design for these subsystems. Availibility of the following systems is critical in returning a building to service.

Sewage disposal and potable water supply: these subsystems are important in larger buildings and are especially critical in facilities, such as, hospitals. Vertical piping systems are particularly subject to damage due to horizontal forces and over-stressing of connections and joints.

Electric power: many important functions in all types of buildings are critically dependent upon the availability of electrical power, including lighting, communications, heating /cooling, vertical transportation etc.

Mechanical systems should be sufficiently operational to provide at least minimum environmental control, particularly in critical use facilities.

The relative importance of subsystems depends a great deal on factors such as building occupancy, size, location and climate. For example, maintenance of a communications system is more critical in a hospital or police station than in a residential building. 3.1.6 The building and personal property within the building should remain as secure as possible after the earthquake.

Danger of looting and vandalism: Broken windows and doors, and the collapse of any part of lower facades cause a problem in security. Maintaining the integrity of the exterior shell of the building and reducing property damage is important in maintaining security.

#### 3.2 DESIGN STRATEGIES FOR COMPONENTS

Basically 2 design concepts can be utilized in the approach to nonstructural component design. First, the deformation approach, where components are designed with the ability to absorb stress through elastic response. It is very useful when the structure is rigid and the expected movements are small. Most non structural component materials will equal or exceed the basic structural material in allowable deformation. However, consideration must be given to component shapes and connection details, also brittle materials such as glass must be isolated properly to protect them.

Second, the detached approach, where components are free from movement and thus avoid direct stress. This method extensively uses hinges, slip joints and resilient edge conditions. Consideration must be given to rotation and three dimensional movement in order to avoid any binding action that will negate the effective action of these details.

Other factors to consider in detailing with architectural components are the interworkings of one nonstructural component with another in addition to being able to effectively respond to the basic structural movement. A failure in one component may cause a failure in another or ultimately the entire system. For example, rigidly fastened duct-work or sprinklers penetrating a non-laterally braced suspended ceiling may move, tearing off sprinkler heads, duct-work, and/or ceiling parts. The failure of a masonry wall in a stair enclosure may cause the failure of the elevators, emergency power, as well as make the stairs ineffective for egress.

Importance of connections and fastenings also can not be neglected. Connections are the weakest links in seismic design, both in fastening of non-structural components to the structure and in the basic structural system. Often a connection is designed with structural consideration in its normal position and not in its extended position which is the critical condition when subjected to stress.

Inadequate tolerances for seismic movement will transmit impact load to adjacent parts. Tolerance for movement must be provided in addition to normal construction tolerances. Inadequate bearing on fastenings, such as in screw thread fastenings where the thread reduces the cross section, as well as bearing area of members. In light gauge material, for example: aluminum, excessive bearing pressure may cause screws to "pull out" --like the use of screws in extruded slots.

Improper detailing, in adjustable anchors, such as, the double angle clip is used often on curtain walls. Frequently, the lack of bearing may cause improper distribution of the loads. (Figure 3.1).

Connection of Double Clip Angles Figure 3.1 Improper welding: welds should be considered as a brittle connection. Welds build up local stresses, particularly at end joints. These residual stresses can increase the chance of failure when the connection is stressed due to movement resulting seismic action. Light gauge welding often results in burn through, especially when a light gauge metal is connected to a heavy structural shape. Tack welds are not considered as structural welds due to their noneffectiveness. Welding light gauge galvanized metal may reduce the strength of the weld due to gas pockets in the weld bead. (Figure 3.2).

#### 3.3 BASIC PLANNING, SEISMIC DESIGN AND BUILDING CONFIGURATION

Building configuration is important in seismic design in two basic ways. First, configuration influences or even determines the kind of resistance system that can be used and the extent to which they will be effective. Second, many failures of engineering detail which result in severe damage or collapse, originate as failures of configuration. That is, the configuration of the building either as a whole or in detail is such that seismic forces place intolerable stress on some structural member or connection causing failure.

#### 3.4 CONFIGURATION DETERMINANTS

Configuration and the formal elements that create it originate in the building program, which can be summarized as a description of the activities that are housed in the building, the services, furniture and equipment they need, and the space that they require. Activities produce a demand for certain





settings and kinds of space division connected by a circulation pattern; the combination of activity spaces and circulation lead to certain dimensions which generate a building configuration. But there are other determinants of configuration which sometimes may dominate: site, geology, size and geometry, urban design requirements and architectural stylistic concerns. The final configuration choice is the result of a decision-process which, by some means, balances these varying requirements and influences, and resolves conflicts into a single result.

#### 3.5 CONFIGURATION PROBLEMS

In his article "Configuration and Seismic Design", Christopher Arnold stated that there are eleven problem areas in which configuration is a major issue, that can be identified. These problem areas can then further be divided into two parts:

The first type involves problems intrinsic to the geometry of the overall configuration of the building and is an aspect of the form of the building as a whole.

The second type is related to the nature, size and location of the resistant elements within the form.

It should be noted that these problems are not mutually exclusive: on the contrary they can be combined with one another, to the overall safety of the seismic design.

3.5.1 Here are building configurations which present intrinsic seismic problems.

3.5.1.1 Extreme Height/Width Ratio

This condition creates large overturning forces. D. Dorwick in Earthquake Resistant Design Suggests limiting the height/width ratio to 3:1 or 4:1. In general, our tall buildings are not as slender as our recollection would have us believe. The World Trade Center towers, with a slenderness ratio of 6.8 to 1 are exceptional, and such unusual buildings can generally afford a very high level of structural design. The common office or apartment up to about 16 stories in height will have little difficulty meeting a slenderness ratio of less than 4. Unusual sites may sometimes produce a high slenderness ratio, even though the building is not unusually high. (Figure 3.3).

#### 3.5.1.2 Extreme Plan Area

When the plan becomes extremely large, even if it is a symmetrical, simple shape, the building can have trouble responding as one unit to earth vibration. If the building program requires a large area of building, the solution is to separate the building by, "seismic joints", into smaller buildings of simple form, and reduce the diaphragm forces. (Figure 3.4).

#### 3.5.1.3 Extreme Elevation Length

This condition may also apply to the large area building, but it can also apply to a long narrow building such as a school, apartment, or hotel. Extreme length allows for the build-up of large shear forces which will seek out members such as stiff short columns or small stiff walls whether designed as shear walls or not. The solution consists of subdividing the building





Figure 3.3



Extreme Plan Area Build-up of Large Lateral Forces in Diaphragms

Figure 3.4

#### by seismic joints. (Figure 3.5).

#### 3.5.1.4 Setback

The setback produces a stress concentration at the notch. It also produces a large shear force which must be transferred through the diaphragm at the transition. The narrow tower, setback from a broad base, is a common building form, particularly for hospitals, hotels and offices, in which the broad base is often used as a parking garage. (Figure 3.6).

#### 3.5.1.5 Re-Entrant Corner and Complex Forms

This is a huge family of forms, including L, T, U shapes and their combinations. Curved forms, and courtyard forms are also included. The re-entrant corner acts as a notch, producing a stress concentration; the form also tends to induce torsion since the free ends of the wings are less stiff than the connected ends. The stress concentration at the hinge produces high diaphragm forces --particularly if the wings are long-- but building circulation requirements always tend to place the elevator and staircase core at this location, so that often the diaphragm is perforated at the location where it needs maximum integrity.

The solution is to separate the building into simple forms, taking care to allow adequate separation to obviate pounding. Other solutions, depending on the size and proportion of the building, are to enclose the building in a shear-wall box, or to provide a stiff box at the point of convergence of the wings. Stress concentration at the notch can be relieved by an



Extreme Elevation Length Build-up of Large Lateral Forces in Perimeter

#### Figure 3.5





#### Set.back

Stress Concentration at Notch, Extreme Shear Transfer to Diaphragm at Transition
architectural splay that allows a triangulated horizontal framing structure. (Figure 3.7).

3.5.2 Problems due to the size, nature, and location of resisting elements.

### 3.5.2.1 Discontinuous Shear Walls

This condition results in a discontinuous load path through walls which are the major resistant elements -- and hence most highly loaded-- of the entire structure. The condition may occur in a vertical or horizontal sense, of which the vertical is far more serious.

The solution to this condition is unequivocally to avoid it (at least in the vertical sense). There need be no penalty in design or planning if the structural system is related to the building program requirements at the outset of schematic design. (Figure 3.8).

### 3.5.2.2 Soft Stories

In its commonest form this is created by a programmatic requirement for a high first floor. The result is an abrupt change of stiffness at the transition from long flexible columns to the stiff superstructure, and a concentration of stress at the transition. When the soft story may occur at an upper floor, the condition is not as serious. (Figure 3.9).

Another form of soft story is caused by the discontinuity of veritcal support, created by the need for wide spans at the first floor level. Again, the result is an indirect load path, and stress concentration. Soft story also includes open first floors







Re-Entrant Corner and Complex Forms Torsion, and Stress concentration at Notch

Figure 3.7



24a

between first & upper floors



at any level



Discontinuous Shear Walls Stress Concentration at Transition discontinuous vertical supports

Soft Stories Stress Concentration at Transition

Figure 3.9

Figure 3.8

basic elevation type





245



Non-Uniform Structural Systems Shear Walls Figure 3.10 a basic elevation type







Non-Uniform Structural Systems Frames Figure 3.10 b







14





"soft" story







Figure 3.10 c



Non-Uniform Structural Systems

Figure 3.10 d

and building on stilts. (Figures 3.10 a, b, c, d).

### 3.5.2.3 Variations in Column Stiffness

This condition is created when columns of varying length, or of varying architectural design, form the supporting structure. Often a sloping site will result in a variation in first floor columns length; sometimes columns are deliberately exposed free-standing to 2 or 3 stories in length for architectural effect. The seismic forces will seek out and concentrate on the stiff elements; the result is that these may receive a disproportionate share of the loads and may fail. Two particular instances are worth comment. It is a common architectural design approach to place a horizontal wide window between columns to provide high level, or clerestory lighting. Its structural effect is counter intuitive, because the short column looks stronger than its neighbor: in fact its strength is the same, but it will receive far more load.

The other instance of note is that often the same kind of condition is created by an infill wall which, if of masonry will greatly stiffen the panel and leave a short stiff column, with the same detrimental result. Such an infill may be done without the structural engineer's knowledge, either as an architectural element or even as a later remodeling activity. (Figure 3.11).

The solution is to carefully equalize the stiffness of all columns. If long columns are desired for aesthetic effect they can be braced to reduce their effective length. If infill walls are required they must be detached from the columns so that inadvertent stiffening does not occur.



Variations in Column Stiffness Stress Concentration at Stiff Columns

Figure 3.11

Page 26

#### 3.5.2.4 Weak Column, Strong Beam

This is a special case of the conditions noted in "Variation in Column Stiffness" above in which a stiff (generally very short) column is rigidly attached or braced by a deep stiff beam. The characteristic condition is that of a deep exterior spandrel between widely spaced brittle columns. The result is that shear forces seek out the stiff columns and subject them to extreme stress. Often the condition is accompanied by conditions of unequal stiffness, as in the above case, and so a small number of columns will be subjected to extreme shear. (Figure 3.12).

The solution is to avoid deep structural spandrels, and to design non-structural spandrels in such a way that they are detached from adjoining columns and cannot act to stiffen them.

#### 3.5.2.5 Variation in Perimeter Strength and Stiffness

This condition occurs in buildings in which there is wide variation in facade structure and materials between the elevations of the building. The reason for this variation is often programmatic: Two common examples are the store, with a glass roont and solid masonry side and end walls, and the fire station with large vehicle openings at one facade and solid walls on the others. (Figure 3.13).

The solution is to equalize the strength and stiffness of the facades dynamically. This is not difficult to do, while still maintaining the desired architectural treatment. If the entire structure is designed as a frame, lightweight cladding can be transparent (glass) or opaque to suit the program requirements while maintaining uniformity of resistance.



perimeter columns & spandrels



interior beams and wall

Weak Column, Strong Beam Extreme Shear Stress in Stiffened Column

Figure 3.12



asymmetrical



symmetrical

Variation in Perimeter Strength and Stiffness

Torsion and/or Stress Concentration at Transition

#### 3.5.2.6 Asymmetrical Cores

Since the building core is often designed as a major resisting element, its location is critical. If the core is located asymmetrically in an otherwise symmetrical structure the result is to make the entire structure dynamically asymmetrical and to induce torsion. This condition is called "false symmetry" which means superficially the building appears symmetrical but dynamically it is not. Experience has shown that this condition creates major torsional problems: furthermore that relatively small design difference between multiple cores in a building may be enough to induce significant torsion. However, it is recognized that the core location has a major impact on the planning and circulation system of the building and it is unrealistic to insist that cores be located solely on seismic requirements. (Figure 3.14).

The solution is to recognize the dynamic conditions that will apply and design the entire resistance system of the building to counteract detrimental tendencies. As part of this strategy it may prove wise not to use the cores as a major resistant element at all: it should be remembered that a core is basically a hole in the diaphragm (which brings its own problems) and the enclosing walls do not necessarily have to be heavy structural walls.





Asymmetrical Core Torsion

Figure 3.14

Page 28

#### SUMMARY

Although seismic activity is not as frequent on the East Coast as it is on the West Coast, the most intense activity has occured on the East Coast. From the discussion of seismic cause and effect, it is apparent that extensive damage to structure and danger to the inhabitants can result from even minor seismic events if the seismic design issue is neglected in the design process.

Because of improvements in structural design methods, total collapse of a building is not probable unless extreme seismic activity occurs. However, danger to life safety and serious damage to the building are still possible even when the structure holds. When considering this possibility, it is obvious that concern in designing for earthquakes goes far beyond assuring the structural integrity of the building. The discussion of the performance of nonstructural architectural components indicates the level at which seismic design must be integrated into the total design.

The objective of this primer is to acquaint architects with the nature of seismic activity and how their designs may be affected by that activity. This knowledge can then be tested and put to practical use by exercises in the workbook. As a product of interactive sessions with East Coast architects, this workbook can "pull together" information relayed in the primer, and after design considerations pertinent to East Coast architects particularly, and to all architects in general.

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APPENDIX

Workbook and Sketches from Interactive Sessions

### EXERCISE ONE

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Given: This three-bay post and beam structure

- A. Add one bay so that the resulting configuration is as stable (or resistant to ground motion) as possible.
- B. Add two bays to make the resulting configuration as stable as possible.
- C. Add three bays to make the configuration more resistant to ground motion.





# EXERCISE TWO



- Given : This post and beam structure and four panels ( of approximately the same density and allowable stress as the column material).
  - A. Draw an arrow in the direction where the structure would exhibit its greatest resistance to ground motion.
  - B. Indicate the most vulnerable columns.
  - C. Change the location of the panels to make the resulting structural- nonstructural combination more resistant to seismic loads.

# SOLUTIONS



Plan I

Сл Сл Comment on this solution in terms of part A of the exercise. (Draw arrows in direction of greater resistance and explain why). Plan II

Place 4 panels to make the structural-nonstructural combination resistant to ground motion, that is, minimize damage to columns (part C). Answer A and B also. Change design of panels and configuration, if desired, so that the result is the best possible combination of panels and columns in terms of seismic resistance.

# EXERCISE THREE



- A. If the red beams are removed, what is the effect on columns a, b, and c, in terms of seismic resistance?
- B. What would be the effect on the other columns.
- C. Can you "re-balance" the distribution of seismic loading on the structure by adding elements (other than replacing the red beams where they were)?

# SOLUTIONS



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EXERCISE FOUR



- If yellow in-fill panels were concrete block:
- A. Indicate which columns would receive greater shear forces under seismic loading, and why?
- B. Where would evidence of their failure be observed?

Assuming a metal frame with moment connection. Place 4 panels in the structure to form a shear wall which will give lateral resistance in one direction.

# EXERCISE FIVE A



This core has the same plan on both levels. Show where torsion will develop in the structure

# EXERCISE FIVE B



- A. Discuss trade-offs between this configuration and one which would have the same number of bays in a simple rectangular configuration. For example, one additional story of 2 x 6 bays.
- b. Place continuous shear walls, core, and circulation path as if this were a rental office building. Bay size : 20 x 20 feet.

# EXERCISE SIX

### Elderly Housing

This is a three story brick bearing wall structure housing 97 single dwelling units. The overall dimensions are 136' by 224' and each wing is 38' by 102'.

a. Considering the infirmity of the residents, the elevations must remain functional after an earthquake. The likelihood of torsion in this plan reduces the probability of keeping the elevators functional. How can the plan be revised to make the elevators more resistant?

b. A fire in this type of occupancy is extremely dangerous to life safety. The torsional effect and difference in wall strength can damage electrical wiring and cause fire. terms of the What measures can be taken to reduce the possibility of fire after an earthquake?

c. Analyze the merits of this plan in terms of access and egress, control orientation, adjacencies, natural lighting, etc. and the disadvantages in probable response to ground shaking Alter the plan to optimize it for both seismic



# EXERCISE SEVEN

### Fire station

This fire station is designed for an urban area. Besides the fire fighting apparatus, the building also houses a communication center, offices for the fire chief and quarters for the fighters. The fire fighters' quarters consist of two stories. The hose-drying tower (which also supports the radio antenna) is 40' high.

a. Because of the unequal b. The communication stiffness of the perimeter of the structure, the columns could fail during an earthquake. Failure of the columns could damage or prevent removal of the equipment from the house. Can the design be altered to prevent this?

center of the station must be operable at all times. How can the design provide the most resistance to failure of the watch room? Since the radio antenna is also integral to the operation of the fire station, how would you designate the optimal location and support for the antenna to avoid damage to it during seismic activity?

c. A hose tower is essential to the design. But the extreme height in relation to the rest of the structure could cause stress concentrations during an earthquake that could cause the tower to fail. The torsional stresses built-up in the tower could cause damage to other parts of the station; falling debris could injure fire fighters and equipment. Can the preliminary design make the tower more resistant?



### EXERCISE EIGHT

### Hospital

This plan is for a general hospital in an urban area. This 10 story design accomodates 600 patients. The overall dimensions are 82' by 166'.

a. Because of the extreme b. The nature of this width/length and height/base ratio of this design, the building is vulnerable to the torsional effects of seismic activity. The support systems (heat, water, electricity) which are critical to the hospital's functioning can be damaged by this motion. Can you design a detail for one of these systems that would make it more resistant to failure? For example, a way of connecting a water pipe to the structure such that seismic activity would not tear it away.

building type requires reduced noise levels and visually pleasant ceiling surfaces in the patients rooms. However, hung ceilings are very susceptible to earthquake damage. Can you provide an alternate ceiling system or design a detail to make the hung ceiling less likely to fail?

c. Hospitals contain numerous pieces of equipment that must be movable or are placed adjacent to the patient's bed. This equipment can be dislodged during an earthquake. causing injury to patients or discontinuity of care. One particularly dangerous element is the call button/ monitoring system hung on the wall above the patient's head. Can you detail a connection to protect this console from damage during an earthquake?

EXERCISE EIGHT



typical plan

# **EXERCISE NINE**

### Elementary School

The elementary school is a one story brick bearing wall structure with glazed exterior classroom walls and corridors.

area of glazing allows natural lighting in the classrooms and corridors. In an earthquake, this glazing could fail causing injury to the students. How can you reconcile the benefits of the natural light and the safety of the students?

a. The large surface b. Tile floors in the school are easily maintained and sound absorbing, but the ground-shaking and torsion resulting from an earthquake can destroy this floor surface. Do the benefits of this floor system outweigh the vulnerability to damage?

c. One advantage to this plan type is the ease with which new classrooms may be added. But considering that the longer the classroom wings extend from the core of the building the more vulnerable they become to torsion, do expansion benefits compensate for the vulnerability of the structure?



plan

### EXERCISE TEN

Given:

A site located at downtown Pittsburgh, surrounded by commercial, business and government office buildings. The site is bounded by one-way streets on both sides, an alley, and a major two-way street with a street car lane in the middle. Across this major street is the Allegheny Court House, a Richardsonian building.

Problem:

Design a 450,000 - 500,000 sqft office building which has a one or two story commercial base of approximately 20,000 sqft accessible from three sides of the site. (Alley only for service). Zoning requires maximum of 80% site coverage, 360 ft height limit. Discuss and sketch the choice of building configuration, structure, mechanical system location, access and egress ( and some specific details -- exterior walls, material selection, etc.)

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# EXERCISE TEN



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### New York Architects



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## EXERCISE TWO



- Given : This post and beam structure and four panels ( of approximately the same density and allowable stress as the column material).
  - A. Draw an arrow in the direction where the structure would exhibit its greatest resistance to ground motion.
  - B. Indicate the most vulnerable columns.
  - C. Change the location of the panels to make the resulting structural- nonstructural combination more resistant to seismic loads.

# SOLUTIONS

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#### Plan I

Comment on this solution in terms of part A of the exercise. (Draw arrows in direction of greater resistance and explain why).

#### Plan II

Place 4 panels to make the structural-nonstructural combination resistant to ground motion, that is, minimize damage to columns (part C). Answer A and B also. Change design of panels and configuration, if desired, so that the result is the best possible combination of panels and columns in terms of seismic resistance.



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Responding to part C, use deepend beams and or diagonal bracing.

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#### EXERCISE FOUR

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- A. Indicate which columns would receive greater shear forces under seismic loading, and why?
- B. Where would evidence of their failure be observed?

Assuming a metal frame with moment connection. Place 4 panels in the structure to form a shear wall which will give lateral resistance in one direction.

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EXERCISE FIVE A

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RESISTING LOAD.

This core has the same plan on both levels. Show where torsion will develop in the structure

## EXERCISE FIVE B



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## SOLUTIONS

EXERCISE 6

1. STRUCTURAL CONSIDERATIONS ELEVATOR COUNTERWEIGHTS SHOULD BE SECURED ON RIGID TRACKS

- 2. USE SHEARWALL & DIAPHRAGM CONSTRUCTION LIMIT DIAPHRAGM TO 2:1 L:W RATIO. PLAN WILL REQUIRE 2 INTERMEDIATE SHEAR WALLS TO MAKE DIAPHRAGM ACTIVE.
- 3. DETAIL SHEARWALLS TO REDUCE POUNDING @ SOFT VOINT. MOVE MECHANICAL SYSTEMS TO AN AREA WHERE THEY ARE ISOLATED FROM "OFFICIAL" STRUCTURE OR DELICATE NON-STRUCTURE.



EXERCISE

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### EXERCISE NINE

#### Elementary School

The elementary school is a one story brick bearing wall structure with glazed exterior classroom walls and corridors. a. The large surface b. Tile floors in the area of glazing allows natural lighting in the classrooms and corridors. In an earthquake, this glazing could fail causing injury to the students. How can you reconcile the benefits of the natural light and the safety of the students?

- school are easily maintained and sound absorbing, but the ground-shaking and torsion resulting from an earthquake can destroy this floor surface. Do the benefits of this floor system outweigh the vulnerability to damage?
- c. One advantage to this plan type is the ease with which new classrooms may be added. But considering that the longer the classroom wings extend from the core of the building the more vulnerable they become to torsion, do expansion benefits compensate for the vulnerability of the structure?



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Cambridge Architects

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# EXERCISE TWO

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- Given : This post and beam structure and four panels ( of approximately the same density and allowable stress as the column material).
  - A. Draw an arrow in the direction where the structure would exhibit its greatest resistance to ground motion.
  - B. Indicate the most vulnerable columns.
  - C. Change the location of the panels to make the resulting structural- nonstructural combination more resistant to seismic loads.

# SOLUTIONS

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Plan I

Comment on this solution in terms of part A of the exercise. (Draw arrows in direction of greater resistance and explain why).

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#### Plan II

Place 4 panels to make the structural-nonstructural combination resistant to ground motion, that is, minimize damage to columns (part C). Answer A and B also.



A-A

B-B

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### **EXERCISE THREE**

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- A. If the red beams are removed, what is the effect on columns a, b, and c, in terms of seismic resistance?
- B. What would be the effect on the other columns. Would inverse doard on others. C. Can you "re-balance" the distribution of seismic
  - . Can you "re-balance" the distribution of seismic loading on the structure by adding elements (other than replacing the red beams where they were)?

# **SOLUTIONS**



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### 518 EXERCISE FOUR



# EXERCISE FIVE A



No.

# EXERCISE FIVE B



a) 2 is more compact and schorter, i mould be more statele and stiffer jundutions on columns mould be more unform. The nervou upper time in I muld tent to oscillate at a defenent (factor?) rate term the base centery a problem at point; 2 muld awid this problem. SOLUTIONS The B



23 due to unequel problems due to uneque stillness. Repeace locating Shear wall into clear G wable structure with span dring room John of Isdade equeri steirs Tie core inter structure invocase stiffners. a. Radighribude Rooms inthe Area year Steel sheldedon 9773 S T S There in dependence Some ctu to compensate Shared " Light story" **W** s 7 de Peritu col to anicl wing ende Jeli une 2 Build in w Floret of X mylim to action . Dimi Room Below ters wing gg SOLUTIONS Vauleut J

Rederigning une to eliminue scissor chairs and symmetriz interior elevation derifm muld in prove orientetion at à. altered plan may improve non-seismic criteria au thi. - allows better visnal supervision and control from - Reduce walking distance to ellevator ave. labbées and unpine egress conditions. central une area, SOLUTIONS 4 J



EXERCISE SEVEN

ted pilabers along direction of structure to invogere stiftuer. b) Seperate out wild donce mans-or b) Seperate out as a free standing from they also preventing brides etc. from tredering outs they Allervertine suggestions re. drying three and autennae; 2) hove to a vure sentral brittin pelituip strafe, and a. Fillant reutauge in plan and cleraling oracle une 6. Equilities descrites of various units, making plan a simple rectangle, and adding stiffering powels and pictures unit in tetrate united center inter bindoir and invited realance to unpert form. peog. failure. 

SOLUTIONS &



# **EXERCISE NINE**

r Terra 330/ 1 mile appropriate to que tim

#### Elementary School

The elementary school is a one story brick bearing wall structure with glazed exterior classroom walls and corridors.

a. The large surface b. area of glazing allows natural lighting in the classrooms and corridors. In an earthquake, this glazing could fail causing injury to the students. How can you reconcile the benefits of the natural light. and the safety of the students?

Tile/floors in the school are easily maintained and sound absorbing, but the ground-shaking and torsion resulting from an earthquake can destroy this floor surface. Do the benefits of this floor system outweigh the vulnerability to damage?

tile esp,

c. One advantage to this plan type is the ease with which new classrooms may be added. But considering that the longer the classroom wings extend from the core of the building the more vulnerable they become to torsion, do expansion benefits

compensate for the vulnerability of the structure?

And it seems to

me that

it is possible to determ a

yes, the not so rulnerable way depend on particular problem or local conditions

plan

Freatmo building and minimize susuiz damage by long narrow contrine

epopul surface alter water fring um shelfer Secularo areas makerale when since home wo a bot whe 3, Carpeting were seam of taylor tile 2. Terrayzo m whill also Ĵ Ś 1, slab Ased s wet a or eight them. include meter Sulut in peding serving there are plexible and can perhaps breathing, will wat shafter and explicate. I wild be achieved b. 21 UAT Hes withing really il quarrent tile, there may be depression or walk whe parting place. There, while by very safety The one with the culedin problem. Intent of the question, veels n a very deliverble undituri Amount of de itable vert. Egit should ust be sacrifical for seis unic veranes alme. experially in classions and living morting environments. a. Believe that national Coul bodily inputy which 13 to reduce the rak of SOLUTIONS

# EXERCISE TEN



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#### **Charleston Architect**

in either divergion vesisted widte ) become more equal so that queud motion c) plan dimension (leng/lis Place your own solutions below, and comment on them equally ى b) positioning it two leaves to wake es equal in with slough diviousion as persibly will have some tagene 0 0 However Θ a) position B optimum are direction if induction in sist too much torque developed 0 0 SOLUTIONS 0 **0**-1 1 <u>ح</u>

because with unbland torgue them bill Comment on the solutions above an's this is not the best solution torow additional two heres probably will develop great. θ Θ lace at ronner Θ host solution to one additional bay to lessen torque pussibility SOLUTIONS Ð 0 -0

### EXERCISE TWO

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c. bert solution would be the assume long abortion force neutralize d by points is place pavels between rolumns in short direction to neutralize force in short direction

- Given : This post and beam structure and four panels ( of approximately the same density and allowable stress as the column material).
  - A. Draw an arrow in the direction where the structure would exhibit its greatest resistance to ground motion.
  - B. Indicate the most vulnerable columns.
  - C. Change the location of the panels to make the resulting structural- nonstructural combination more resistant to seismic loads.

# SOLUTIONS

20



#### Plan I

Comment on this solution in terms of part A of the exercise. (Draw arrows in direction of greater resistance and explain why).

#### Plan II

Place 4 panels to make the structural-nonstructural combination resistant to ground motion, that is, minimize damage to columns (part C). Answer A and B also. Change design of panels and configuration, if desired, so that the result is the best possible combination of panels and columns in terms of seismic resistance.

### **EXERCISE THREE**

C)



- A. If the red beams are removed, what is the effect on columns a, b, and c, in terms of seismic resistance?
- B. What would be the effect on the other columns.
- C. Can you "re-balance" the distribution of seismic loading on the structure by adding elements (other than replacing the red beams where they were)? 4 CS

A seismic load capability will lessen putting more land on The more stiff columns however the seismic load may be great enough to cause a tailure be cause of its stendermess ratio B other columns because now "stiffer" will take on more load.



is,





Responding to part C, use one story high column-to-column panels.

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Responding to part C, use deepend beams and/or diagonal bracing.

# EXERCISE FOUR



- A. Indicate which columns would receive greater shear forces under seismic loading, and why?
- B. Where would evidence of their failure be observed?

Assuming a metal frame with moment connection. Place 4 panels in the structure to form a shear wall which will give lateral resistance in one direction.

# EXERCISE FIVE A

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## EXERCISE FIVE B



### 25 EXERCISE SIX

#### Elderly Housing

This is a three story brick bearing wall structure housing 97 single dwelling units. The overall dimensions are 136' by 224' and each wing is 38' by 102'.

a. Considering the infirmity of the residents, the elevations must remain functional after an earthquake. The likelihood of torsion in this plan reduces the probability of keeping the elevators functional. How can the plan be revised to make SEISMIC JOW resistant? the elevators more

.splanic

b. A fire in this type of occupancy is extremely dangerous to life safety. The torsional effect and difference in wall strength can damage electrical wiring and cause fire. terms of the What measures can be taken to reduce the possibility of fire after an earthquake?

d. Analyze the merits of this plan in terms of access and egress, control orientation, adjacencies, natural lighting, etc. and the disadvantages in probable response to ground shaking Alter the plan to optimize it for both seismic and non-seismic criteria.

complete reconfiguration of lo q. plan to make it = ; thereby acting more as a whole mass unit - Asedmic sout make plan a more balance d contiguization and then place shear walls (1-) to fut balance the resistance I the frame to service forers,

Reproduced from best available copy.

6.6.

essantial idea would be to centralize the electricit conduits and distribution so that it can be placed M avers it velatively equal vigidity. The electrical system should not be allowed to view tall even the building it straight runs' but rather the runs should be calotully placed to negate inequal vertices to sections faires. This means a close coordilation of architectural components such as walt, partitions and ceilligs with the electrical components leaving develop be carefully detailed to either neve totally the of nall systelles or should be automed to develop service resistance. Electrical technologies the 13 be despised with structomatic shut down capability and voncal start-up by phasing after building inspectrum. 6. C. Verepiling in mind the cost trade etts between the bid. functions and the seismic design useds one must conclude this plan as modified about is extremely well laid out as to accupant referrul aroutation, natural light and equess There will be trade-offs because if the somewhat uncompact plan but the occupant requirements needs are more important unloss the building happens to be located on the Son Andress fault. As shown above to optimisze the plan to seismic vestitance (shifting the recumenting lessening the diving vecon glassing when) the big plusses that make it a pleasant "bende." 121

## **EXERCISE SEVEN**

#### Fire station

This fire station is designed for an urban area. Besides the fire fighting apparatus, the building also houses a communication center, offices for the fire chief and quarters for the fighters. The fire fighters' quarters consist of two stories. The hose-drying tower (which also supports the radio antenna) is 40' high.

a. Because of the unequal b. The communication c. A hose tower is stiffness of the perimeter of the structure, the columns could fail during an earthquake. Failure of the columns could damage or prevent removal of the equipment from the house. Can the design be altered to prevent this?

center of the station must be operable at all times. How can the design provide the most resistance to failure of the watch room? Since the radio antenna is also integral to the operation of the fire station, how would you designate the optimal location and support for the antenna to avoid damage to it during seismic activity?

essential to the design. But the extreme height in relation to the rest of the structure could cause stress concentrations during an earthquake that could cause the tower to fail. The torsional stresses built-up in the tower could cause damage to other parts of the station; falling debris could injure fire fighters and equipment. Can the preliminary design make the tower more resistant?



verte, with day wall prove verte viere to correct with voit being two by sale & shere rigid box concept with raot being tworame shear way slab & side walls concrete frame shear walls, with door/wall press made rigid equalling regidity at side walls with wifill non-rigid partition steel moment resisting frame () mouvered versitient from the point Jually. g walls

- to improve the tower, first thought would build as rigid box place antenna on trip of it for or place on ground which ever is easiest to construct. Optimium is separate it sury the pe building thought would be to welk then it would be a marker he to isolate it away from the buildings to beapeve the house hist watter of as to haw seismic resistant the outiest areas, and then it would be bl tot at the how server ic Monstert The constructions specifically dengred. (ed.) leation so that support can be construction would be. an be specifically dispued. optimum is soparall ou prevent while our place autowny on Ø Jbuild as will low D Z 0 Ũ

## **EXERCISE EIGHT**

#### Hospital

This plan is for a general hospital in an urban area. This 10 story design accomodates 600 patients. The overall dimensions are 82' by 166'.

- a. Because of the extreme b. The nature of this width/length and height/base ratio of this design, the building is vulnerable to the torsional effects of seismic activity. The support systems (heat, water, electricity) which are critical to the hospital's functioning can be damaged by this motion. Can you design a detail for one of these systems that would make it more resistant to failure? For example, a way of connecting a water pipe to the structure such that seismic activity would not tear it away.
  - building type requires reduced noise levels and visually pleasant ceiling surfaces in the patients rooms. However, hung ceilings are very susceptible to earthquake damage. Can you provide an alternate ceiling system or design a detail to make the hung ceiling less likely to fail?

c. Hospitals contain numerous pieces of equipment that must be movable or are placed adjacent to the patient's bed. This equipment can be dislodged during an earthquake. causing injury to patients or discontinuity of care. One particularly dangerous element is the call button/ monitoring system hung on the wall above the patient's head. Can you detail a connection to protect this console from damage during an earthquake?

ngid building parts such as at pultition. (ed). located at Amaritions between unequally Which is flexible which would have flexible conductions. this paintion as rigid as surrounding structural forme. second option would be to make (ed)place console in partition wall flexible electrical conduct to be -Walt Him piece (meted to be puinted) Socoud option used be to make their provision as visid cer summer the provision as visid plaster celling Leell which & Flexible while C. r.) place mode in paulition / wind the french controls Turk as at participus 89. Healthe clartical revolutions de la locale et trouvilais between unequality rigid building pouts (ou u potrol 0.b) W

EXERCISE EIGHT



typical plan

## **EXERCISE NINE**

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#### Elementary School

The elementary school is a one story brick bearing wall structure with glazed exterior classroom walls and corridors.

a. The large surface b. Tile floors in the area of glazing allows natural lighting in the classrooms and corridors. In an earthquake, this glazing could fail causing injury to the students. How can vou reconcile the benefits of the natural light and the safety of the students?

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c. One advantage to this plan type is the ease with which new classrooms may be added. But considering that the longer the classroom wings extend from the core of the building the more vulnerable they become to torsion, do expansion benefits compensate for the vulnerability of the structure?



serve to the court your the molence cud s aller building wulmurbility. The 3 verel an firth with it out dow tending sprews. L'unit of the post L'expansion to the former that the (c) where solver a north State of the second of the sec Fuish in we der hew rescripted. the post of the po A the the and the second of th Mur when a selection of the selection of i) substitute to the glass laver by 7) Remove pur lines of the glans i - colo de la vuertorio de la policie JG. Relative to where this select cown to mind glips or loven

# EXERCISE TEN

Given:

137

A site located at downtown Pittsburgh, surrounded by commercial, business and government office buildings. The site is bounded by one-way streets on both sides, an alley, and a major two-way street with a street car lane in the middle. Across this major street is the Allegheny Court House, a Richardsonian building.

Problem:

Design a 450,000 - 500,000 sqft office building which has a one or two story commercial base of approximately 20,000 sqft accessible from three sides of the site. (Alley only for service). Zoning requires maximum of 80% site coverage, 360 ft height limit. Discuss and sketch the choice of building configuration, structure, mechanical system location, access and egress ( and some specific details -- exterior walls, material selection, etc.)

## EXERCISE TEN

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APPENDIX C

# Code Outlines and Commentary

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#### **1. APPENDIX C**

#### CODE OUTLINES AND COMMENTARY

#### Introduction

The codes which deal with the seismic design as it is available now are very general. A great portion of the subject is found in the Structural Engineering Association of California (SEAOC) and the Applied Technology Council (Tentative Provisions) (ATC-3.) The MSBC and the Uniform Building Code (UBC) '79 have some sections on seismic design.

We have tried to gather the necessary information about the code which will aid the architects in the process of design. In SEAOC, we found that the code deals more with the structural design, which is found in the general provision, the commentary section and the appendix of the code book. The ATC-3 deals more with the non-structural design element, such as architecture and mechanical building components, as well as the structural design requirements. The UBC and MSBC have very brief sections on seismic design, and can be considered as cross references of the SEAOC.

A list of topics in SEAOC, ATC-3, and UBC follows on pages 1 to 4.

#### SEAOC OUTLINE

#### Recommended Lateral Force Requirements

p. 15

General Provisions -- Section 2313 Minimum Earthquake Forces for structures - part (a)

structures having irregular shape or framing system - part 2

<b>p.</b> 17	Distribution of Horizontal Shear	– part (e)
	Horizontal Torsional Moments	– part (g)
	Overturning	- part (h)
	Setbacks	- part (i)

p. 18

Structural systems - part (j) special requirements:

- a) building designed with horizontal force factor (k)
- b) building > 160 ft tall

c) concrete space frame

d) framing according distribution horizontal shear (and part e, p. 17)

Design Requirements	- part (k)
Building separations	– part 1
Minor alterations	– part 2
Reinforced masonry/concrete	- part 3

p. 64

- part 4

Exterior element specification for connections

#### Commentary Section of SEAOC

p.42 Minimum Earthquake forces for structures

Total lateral force & distribution of lateral force base shear V = K C W

- p.p. 47,48 Special requirements K values
- P.P. 14,36 Box system
- P. 50 Space frame K values
- P. 50 Rigid elements
- P. 62 Setbacks (more of this: SEAOC Appendix C Report on Setbacks)
- P.P. 19,64 Design requirements Building separations Reinforced Masonry/concrete
- P. 86 SEAOC Appendix C: Setbacks General Dynamics K/M value (stiffness/mass) Practical code considerations
  - if the base portion predominates
  - if tower portion predominates
  - if intermediate cases
- P. 88 Frame action for setbacks

#### ATC-3 OUTLINE

P. 45

<u>Structural design requirements</u> (Chp. 3.) A. Building configuration (sec. 3.4) Plan configuration (sec. 3.4.1) Vertical configuration (sec. 3.4.2)

- P. 49
- B. Structural component load effect (sec. 3.7)
  Diaphragms (sec. 3.7.9)
  Bearing Walls (3.7.10)
  Inverted pendulum-type structures (sec. 3.7.11)

Arch., Mech., & Elec. components & systems (chap. 8)

Non-structural components (Sec. 14.9) Parapets Appendages and Veneers Ceilings Light fixtures Fire escapes Marquees and canopies Non-bearing part-time Mech. & Elec. equipment Stairs Elevators Chimney Water storage tanks

P. 237

Guidelines for emergency post-earthquake & evaluation of earthquakes (Chapter 15) damage in buildings Emergency Earthquake damage inspection form (Sec. 15.6.1)

Background to architectural considerations

Architectural components

Methodology

P. 409

p. 410

P. 411

Arch., Mech., & Elec. Components (ATC Commentary Chapters)

Occupancy classification Performance standards Background to mechanical & Electrical components Design considerations General life safety Property damage affecting life safety Functional impairment of critical facilities imparing recovery Safety of emergency personnel Design consideration for non-structural

P. 411

P. 414

component/systems Seismic induced forces acting directly on component/system On component/system joints & connections Deformation of structural frame & component/system Deformation of structural frame & component/system joints/connections

P. 412 Scope

> General requirements (Sec. 8.1) Connections & attachments (Sec 8.1.2) Performance criteria (Sec. 8.1.3)

P. 416 Architectural Design Requirements (Sec. 8.2) General (Sec. 8.2.1)

		Non-structural components (Sec. 14.9) Parapets
		Appendages and Veneers
		Light fixtures
		Light lixtures
		Marquees and canonies
		Non-bearing part-time
		Mech & Flec equipment
		Staire
		Flevators
	•	Chimney
÷ ,		Water storage tanks
		Hater blorage units
	<b>P. 23</b> 7	Guidelines for emergency post-earthquake & evaluation of earthquakes (Chapter 15) damage in buildings Emergency Farthquake, damage inspection form (Sec. 15.6.1)
	P. 409	Arch. Mech. & Elec. Components (ATC Commentary Chapters)
	1. 105	Background to architectural considerations
		Methodology
		Architectural components
		Occupancy classification
		Performance standards
	<b>D</b> . 410	Background to mechanical & Electrical components
	Fr	
	P. 411	Design considerations
		General life safety
		Property damage affecting life safety
		Functional impairment of critical
		facilities imparing recovery
		Safety of emergency personnel
	P. 411	Design consideration for non-structural
		component/systems
		Seismic induced forces acting directly on
		component/system
		On component/system joints & connections
		Deformation of structural frame &
		component/system
		Deformation of structural frame &
		component/system joints/connections
	P. 412	Scope
		General requirements (Sec. 8.1)
	D 414	Connections & attachments (Sec 8.1.2)
	P. 414	Periormance criteria (Sec. 8.1.3)
	P. 416	Architectural Design Requirements (Sec. 8.2) General (Sec. 8.2.1)

4.

P.	419	Mechanical and	Electrical Desig	n Requirements
		Genera	(Sec. 8.3.1)	
P.	423	Forces	(Sec. 8.3.2)	

#### U.B.C. OUTLINE

P. 126 Eq. <u>Regulations</u> <u>Minimum Eq. forces for structures</u> formulas

P. 130

Distribution of lateral forces Structures having regular shapes or framing systems Setbacks

Structures having irregular shapes or framing systems

Distribution of horizontal shear

Horizontal torsional moments

Overturning

Lateral force on elements of structures and

non-structural components

Fp = 21 Cp Wp

Drift & building separations

Alternate determination & distribution of

seismic forces

Structural systems

1. Ductility Requirements

- a. Building designed with horizontal force factor
  - K = 0.67 / 0.80
- b. Building > 160 ft. high

c. Zone 2,3,4--concrete space frames

- d. Zone 2,3,4--all framing elements (factor K)
- e. Moment-resisting space frames
- f. Necessary ductility for ductile moment-resisting frame
- g. Zone 3 & 4 for building with I > 1.0 in Zone 2

h. Reinforced concrete shear wall

- i. Structural elements below the base
- 2. Design requirements
  - a. Minor alterations
  - b. Reinforced masonry/concrete
  - c. Combined vertical & horizontal forces
  - d. Diaphragms

3. Special requirements

a. wood diaphragms providing later support for concrete or

masonry walls

b. Pile caps & caissons

P. 134

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#### c. Exterior elements

P. 135	Essential	facilities	(Hospital,	Fire/Police
	Sta	ations, etc	)	

P. 665 Earthquake-recording instruments

#### c. Exterior elements

P. 135 Essential facilities (Hospital, Fire/Police Stations, etc.)

P. 665 Earthquake-recording instruments

6

#### Chapter 3 Structural Design Requirements (p. 45)

This section speaks to the control of individual members for shear, axial forces, and moments produced by seismic forces; development of the strength of connections; deformation limits of the building; and the design of a continuous load path.

It does not cite limits nor describe the use of a linearly elastic model. It does bring attention to the problem areas but requires more research.

#### Chapter 3.4 Building Configuration

Indicates two classifications for building configuration / regular and irregular. Regular being buildings with approximate symmetrical geometric configurations / building mass & seismic resisting system nearly coincident. (both plan and vertical configuration)

Gives no limits for any variation of the symmetrical configuration. Other sources needed to evaluate degree of variation.

#### Chapter 3.4.1 Plan Configuration

Addresses diaphragm component forces and distribution of seismic forces to vertical components in terms of "significant" dimensions of re-entrant corners; eccentricity; charges in diaphragm strength in stiffness.

No measure of "significant" in any case.

#### Chapter 3.4.2 Vertical Configuration (p. 48)

Building considered regular or irregular if there is "significant" change in horizontal off-sets; no "approximate" symmetric geometric configuration about the vertical axis; "significant" variation in mass-stiffness ratios between stories.

Generalized limitations with attention brought to problem areas.

#### Chapter 3.7 Structural Component Load Effects (p. 49)

Seismic force resistance should be calculated into the strength of all building

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#### Chapter 8.2.5 Out-of-Plane Bending (p. 79)

See formula 8-1 for force determination: Systems and component forces cannot exceed the delta capacity of their materials.

#### Chapter 8.3 Mechanical and Electric Design Requirements

Design documents must include the design requirements of the systems (which are designed according to this chapter).

#### Chapter 8.3.2 Forces (p. 79)

Designed for forces determined by: Fp= AvCcPacAxWc).

#### Chapter 8.3.3 Attachment Design

Fixed or direct attachment see sec. 8.3.2, cha. 9, 10, 11, 12. Resilient mounting devices required but no limits specified for elastic restraining design based on Form 8-2. Horizontal and vertical devices designed to generate less force than that derived in 8-2.

#### Chapter 8.3.4 Component Design

Manufacturer's certification required when direct attachments are used for components of specific levels in specific seismic index areas. (also resilient mounting devices). Prescribes stable systems.

#### Chapter 8 Architectural, Mechanical and Electrical Components

Development of performance standards and examination of critical facilities the aim of the code: from a rational design conception of the "total" building; integration of all components; and stresses importance fabrication methods.

#### B. Background to Mechanical & Electrical Components

Objective to develop seismic criteria for the design & construction of systems and attachments / to increase protection of life & public welfare; to define an acceptable level of damage.

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### Chapter 8.2.5 Out-of-Plane Bending

Conventional limits based on deflection "may be used."

Chapter 8.3 Mechanical & Electrical Design Requirements

Chapter 8.3.1 General

Lists assumed design forces.

### **Chapter** Forces

Formula 8-2 "shall be used" in component and attachment design. Attachments "shall be" either fixed or directly attached. Friction "cannot" be used to resist seismic force. Certification of manufacturer "must be obtained" for components with certain performance levels. Resilient mounting devices "to decelerate movement and forces" generated by it not more than the forces calculated in formula 8-2. Fp = AvCcAcAxWcP

### Chapter 8.3.5 Utility and Service Interface

Automatic shut-off devices are "required" for certain groups in specific area.

Systems "must" remain operational in S performance charc. level. (on site mechanical & electrical utility services are recommended). <u>Chapter 9 Wood</u> <u>Reference Documents</u>

Chapter 9.3 Seismic Performance Category A

Specifies materials and procedures.

Chapter 9.4 Seismic Performance Category B (p. 86)

Requirements of A plus more restrictions

Chapter 9.5 Seismic Category C

Requirements of B plus more restrictions.

### Chapter 9.5.1 Materials

### Chapter 8.2.5 Out-of-Plane Bending

Conventional limits based on deflection "may be used."

### Chapter 8.3 Mechanical & Electrical Design Requirements

### Chapter 8.3.1 General

Lists assumed design forces.

### Chapter Forces

Formula 8-2 "shall be used" in component and attachment design. Attachments "shall be" either fixed or directly attached. Friction "cannot" be used to resist seismic force. Certification of manufacturer "must be obtained" for components with certain performance levels. Resilient mounting devices "to decelerate movement and forces" generated by it not more than the forces calculated in formula 8-2. Fp = AvCcAcAxWcP

### Chapter 8.3.5 Utility and Service Interface

Automatic shut-off devices are "required" for certain groups in specific area.

Systems "must" remain operational in S performance charc. level. (on site mechanical & electrical utility services are recommended). <u>Chapter 9 Wood</u> <u>Reference Documents</u>

### Chapter 9.3 Seismic Performance Category A

Specifies materials and procedures.

Chapter 9.4 Seismic Performance Category B (p. 86)

Requirements of A plus more restrictions

Chapter 9.5 Seismic Category C

Requirements of B plus more restrictions.

### Chapter 9.5.1 Materials

### Chapter 12.A.4.5 Holes, Pipes and Conduits

Prescribes sleeving and embedding in masonry per sec. 6.3.ACI standard 318.

### Chapter 12.A.6 Design Requirements

Prescribes use of higher stresses; fm and loading age shown on plans; stresses and capacities based on net dimensions, etc.

### Chapter 12.A.6.4 Masonry Shear Walls

### A. Boundary elements

Prescribes the effective flange width at shear wall intersection with other walls; the overhanging effective flange width for reinforced and un-reinforced masonry.

Performance in consideration of vertical shear at intersection of web and flange of shear wall.

### **B.** Vertical Tension and Compression Stresses (p. 151)

Prescribes formula 3-2A for unreinforced masonry. Performance statement for consideration vertical stresses; minimum vertical loads; allowable tension.

### C. Horizontal Elements

Performance standards for shear & flexural effects; allowable shear & tensile stresses; horizontal span of the element – outside reinforcing needed.

Shear reinforcing is prescribed for certain horizontal elements.

### D. Wall Shear

Shear wall resistance computed only by the web (unreinforced masonry-depth of web out to flange). No limits given.

Unreinforced masonry prescribed by form 12A-8

Shear resistance based on net area - prescribes the area to be considered.

### Chapter 12.A.4.5 Holes, Pipes and Conduits

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### Chapter 12.A.6 Design Requirements

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Unreinforced masonry prescribed by form 12A-8

Shear resistance based on net area - prescribes the area to be considered.

### **B.** Appendages and Veneers

Describes means of attachment but gives no limits.

### C. Ceilings

Gives allowable attachment of plastic ceilings. Describes how hung ceilings may be made more resistant but gives neither prescriptive or performance standards. Nailing by code.

### D. Light Fixtures

Must be evaluated for resistance to lateral forces - no limits.

### E. Marquees and Canopies

Anchors "should be exposed. Bring up to code requirements.

### F. Fire Escapes

"Should" be lateral load tested or visually inspected.

### G. Non-bearing Partitions

Anchorage "should" be strengthened; partitions "should" be separated from walls; "should" resist forces normal to the wall.

### H. Mechanical & Electrical Equipment

Stability must be evaluated; allows attachment to structural frame; no limits.

### I. Stairs

Does not limit material, but specifies for resistance to lateral load.

### J. Elevators

Enclosures, guides, anchorage or motor equipment "may" be strengthened and "should" be evaluated. Support beams "analyzed by an engineer."

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### I. Stairs

Does not limit material, but specifies for resistance to lateral load.

### J. Elevators

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Enclosures, guides, anchorage or motor equipment "may" be strengthened and "should" be evaluated. Support beams "analyzed by an engineer."

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### K. Chimneys

Anchorage of ties "should be evaluated" and reinforcement "may be added." No limits given.

### L. Water Storage Tanks

Chapter 15 Guidelines for Emergency post-earthquake inspection & evaluation of Damage

"Investigator" must determine whether structural damage has occurred.

Chapter 15.6.1 Emergency Earthquake Damage Inspection Form

"May" be used by the investigator.

### SEOAC COMMENTARY

### **Recommended Lateral Force Requirements**

General Provisions - Section 2313

(d) Minimum earthquake forces for structures. p. 15

p. 16

- 2. Structures having irregular shape or framing systems. The distribution of the lateral forces in structures which have highly irregular shapes. Large differences in lateral resistance or stiffness between adjacent stories or other unusual features shall be determined considering the dynamic characteristics of the structure.
- p. 17 (e) Distribution of horizontal shear. Total shear in any horizontal plane shall be distributed to the various element of the lateral force resisting system in proportion to their rigities, considering the rigidity of the horizontal bracing system or diaphragm. Rigid elements that are assumed not to be part of the lateral force-resisting system may be incorporated into buildings provided that their effect on the action of the system is considered and provided for in the design.

(g) Horizontal torsional moments. p. 17 Provisions shall be made for the increase MSBC p. 262

(sec 716.4.3) in shear resulting from the horizontal torsion

due to an eccentricity between the center of mass and the center of rigidity. Negative torsional shear shall be neglected. Where the vertical resisting elements depend on diaphragm action for shear distribution at any level. The shear resisting elements shall be capable of resisting a torsional moment assumed to be equivalent to story shear acting with an eccentricity of not less than 5% of the maximum building dimension at that level.

(h) Overturning

MSBC-p. 263 sec 7.6.4.4

p. 17

Every building or structure shall be designed to resist the overturning effects caused by the wind forces and related requirements, or the earthquake forces specified in this section, whichever govern.

At any level, the incremental changes of the design overturning moment, in the story under consideration, shall be distributed to the various resisting elements in the same proportion as the distribution of the shears in the resisting system. When other vertical members are provided which are capable of partially resisting the overturning moment, a re-distribution may be made to these members of sufficient strength and stiffness to transmit the required loads are provided.

Where a vertical resisting element is discontinuous, the overturning moment carried by the lowest story of that element shall be carried down as load to the foundation.

p. 17 (i) Setbacks (def: p. 40)

SEAOC(p. 62)

Buildings have setbacks where in the plan dimension of the tower in each direction is at least 75% of the corresponding plan dimension of the lower part may be considered as uniform buildings without setbacks, providing other irregularities as defined in Section 2313 (d)2 do not exist. Buildings having such irregularities shall conform to the provisions of 2313 (d)2. (see appendix G, p. 141)

p. 18 (j) Structural Systems

1. Special Requirements (see appendix F & G)

see table 23-C (p. 20)

SEOAC(p. 16)

a. All buildings designed with a horizontal factor k = 0.67 or 0.80 shall have space frames - ductile moment resisting. note: k = Numerical coefficient as set

- Principal reinforcement in masonry shall be placed four feet maximum on center except that a maximum two feet shall be used in buildings having a moment resisting space frame. (see Appendix G)
- 4. Combined vertical and horizontal forces In comparing the effect of seismic force in combination with vertical loads, gravity load, stresses induced in members by dead load plus design live load, except roof live load shall be considered.

### 5. Exterior elements

Precast non-bearing non shear wall panels or or other elements which are attached to or enclose exterior shall accommodate movements of the structure resulting from lateral forces or temperature changes. The concrete panels or other elements shall be supported by means of poured in place concrete or by mechanical fasteners in accordance with the following provisions:

- a. Connections and panel joints shall allow for a relative movement between stories of not less than 2 times the story drift caused by wind or seismic forces; or one-fourth inch whichever is greater.
- b. Connection shall have sufficient ductility and rotating capacity so as to preclude fracture of the concrete or brittle failures at or near welds. Marks in concrete shall be attached to or hooked around reinforcing steel or otherwise terminated so as to effectively transfer forces to the reinforcing steel.
- c. Connections to permit movement in the plane of the panel for story drift may be properly designed sliding connections using slotted or oversized holes or may be connections which permit movement by bending of steel.

### p. 20 From table 23 C Horizontal force factor K for buildings or other structures.

Type of Arrangements of Resisting Elements Buildings with a dual bracing system consisting of

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p. 20

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to the main of the element itself. But for such elements as are effectively connected to the structure. They will participate initially in all of their rigidities.

p. 44 By the action described in the "Reserve Energy" analysis it is anticipated that these elements that possess a relatively low strength to rigidity ratio would crack first. In doing so, it is a part of earthquake engineering design to do details here of elements that do not constitute a hazard either to people or to the structural system. Usually these elements are non-structural in nature. Several things which happen in cracking are:

- 1) damping is increased quite materially by the frictional energy generated by movement of the surfaces along the plane of the cracks.
- 2) the natural period of vibration of the structure is lengthened and it is progressively lengthened as various cracks form and propagate.
- 3) Some incremental shear is transformed to non-broken structural and probably non-structural elements.

Let it be assumed that this lateral force resisting system is composed of 2 basic systems:

- 1) a shear wall system
- 2) a moment resisting space frame

Because the preponderant rigidity usually resides in the shear wall system it would be expected that in the middle stages of the earthquake the shear imposed would be resisted primarily, if not entirely, by this system. Should the earthquake be of such intensity and duration as to overstress these shear elements, it is expected that these would crack. In cracking the same 3 phenomena described earlier for the cracking of the low strength/rigidity ratio elements would occur. Some incremental shear would be transferred to the more flexible moment resisting space frame.

In the final stages of the earthquake it is conceivable that the integrity and safety of the structure and its occupants would be relying primarily upon the action of this moment resisting space frame. The action might be called upon only in the elastic range but in a major earthquake it is

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- p. 48 K=1.00 All buildings that do not qualify for K=0.67 or 0.80, or those that do not require K=1.33 by virtue of the "box system" characteristic of shear bearing wall buildings.
  - K=1.33 Buildings with a <u>box system</u> as defined in section 2313 (6) page 14.
- p. 14 Box system is a structural system without a complete vertical load carrying space frame. In this system, the required lateral forces are resisted by shear walls or braced frames as hereinafter defined.
- p. 49 This type of structural system is characterized by shear wall lateral force resisting system and a substantial part of the vertical load carried on bearing walls, which may or may not be a significant part of the shear wall system. (max height 160 feet)
  - The space frame (def: p. 35) It is imperative that the vertical load carrying space frame be substantially complete in order to qualify the structure for some K value less than 1.33. It is not required that the entire vertical load space frame be designated the lateral force resisting system.

### Requirements:

p. 50

- a. The ductile moment resisting space frame must be so deployed that, independent of other structural elements of the building, it is stable under vertical loads combined with lateral loads applied from any direction.
- b. It must not be jeopardized in its vertical or lateral load carrying capabilities by the action or failure of more rigid elements of the building including shear walls.
- c. For a K=0.67 building, the entire torsional as well as direct shears shall be resisted by the ductile moment resisting frame, neglecting such shear walls as may exist.
- d. K=0.80 buildings must be capable of withstanding torsional moments corresponding to its polar moment of inertia compared to the polar moment of inertia of the total structure considering interaction of component parts or to the "accidental torsion," if greater; direct shear resisted by the frame shall be distributed to the elements of the frame

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p. 50

Some codes have gone further by insisting that buildings be separated to avoid interference and possible destructive hammering between buildings. The amount of separation recommended, or in some cases required, depends upon the movement to be accommodated. For more flexible types of construction separation = 1.5 times the expected combined deflections of the 2 units or buildings separated.

Prior to 1961, the UBC required..."all portions of structures shall be be designed and constructed to act as an integral unit in resisting horizontal forces unless separated structurally by a distance of at least one inch + .5 inch for each 10 feet of height above 20 feet.

p. 65

### Reinforced Masonry or concrete

All elements of masonry or concrete whether part of the structural system or the lateral force resisting system or not, are required to be reinforced to qualify as reinforced masonry or reinforced concrete in accordance with the appropriate sections and chapters of the code of which these sections, 2313- General Provisions, p. 34, 2630- Concrete Ductile Moment REsisting Space Frames, p. 66, and 2631- Concrete SHear Walls and Braced Frames, p. 74. Minimum reinforcing is required to assure that a reasonable "basketing" exists which will prevent cracked walls from loosing broken pieces and thus preventing a hazard to building occupants. Such "basketing" is especially important for all walls around exit passages and for walls which have veneer or precast attachments.

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### <u>Appendix</u> <u>C</u>

Report on setbacks

General

Setbacks in practice can be symmetrical or asymmetrical about the base portion in 1 or both axes. Towers and bases can vary in types of construction, the amount of setback, and the height of the tower as compared to the base can cover a wide range.

In addition to the dynamic conditions between 2 (or more) building portions, the "notch" effect must be considered in design, particularly where the tower framing does not extend downward through the base. A shear wall type of design for both a tower and a base could produce sever stresses at and about the 90 degree notches.

### **Dynamics**

The relative values of the k/M of the tower and base

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### Dynamics

The relative values of the k/M of the tower and base

### portions are basic in the problem.

K = stiffness

M= mass

Relative damping is also important. Unfortunately for general code purposes. K depends upon many things such as ration of shear to flexure resistance, the mass, etc. However, a tower is more apt to have flexure as an important action than is the base.

If the values of the K/M and damping should be the same in tower and base it is conceivable that outside of any "notch" effect the building would essentially act as a unit dynamically. The K/M values could be similar because of variations in wall openings and other factors.

Practice COde Considerations

For simplicity 3 basic conditions have been considered:

- a. The base portion predominates and the tower may may be considered as an appendage subject to a ground motion which is equal in acceleration to that of the top of the base portion.
- b. The tower portion predominates and the portion of the base not encompassed by the tower extension to the ground is essentially a structural "lean-to" which goes along for the ride, but also contributes additional mass and resistance.
- c. The intermediate cases which are difficult in determination. IN this category we have to consider the situation where the 2 portions may average out to act as 1 building of the full height or where they may act as independent units but in such a manner as to affect each other.

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### Frame Action for Setbacks

Some setbacks may consist of simple 1 story penthouses. However, most setbacks are important structural elements. In view of the dynamic and also the notch effect phenomena that may occur, it is recommended that structural frames, either moment resisting or braced or combinations, be required for both tower and base of any setback building with more than 1 story of setback. In addition it should be required that the columns supporting the setback be carried straight down from the setback columns all the way to the foundations or a special analysis be submitted to demonstrate that column offsets are fully compatible with the setback conditions.

# APPENDIX D

# Preliminary Design Drawings

## New York Architects



, USE STARK ARRENTED (STO) (ADCIPION FONS-USACIA 》中國 EQUNT ELEVATION South & Stron Refee Plusing ,053 RICHT SIDE ELEVATION SAL 4 100 12 R.S. \$\$ 1005 Codar Share 100 2.0 6.25 , M





# Cambridge Architects

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APPENDIX E

Survey Questionnaire, Design and Evaluation Guidelines

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SURVEY QUESTIONNAIRE

PROJECT: The Integration of Seismic Design Principles Into Preliminary Architectural Design

NSF PFR 7900007 K. BRITZ, PI

This survey is taken as part of an architectural research project sponsored by the National Science Foundation. Its purpose is to assess the general level of awareness about eastern U.S. earthquake activity, and knowledge about seismic design among architects practicing in the eastern U.S. This questionnaire is not meant to be a test of knowledge or skill. In fact, if there is a lack of general knowledge about seismic design we want to know that. Therefore please answer all questions candidly, and where written replies are requested, please try to be concise and brief. Individual qeustionnaires will not be published and respondents will remain strictly anonymous. Thank you.

1. If you have a professional degree in architecture name the institution which granted you the degree

2. In what state(s) are you registered?

3. How long have you been registered?

4. Do you have a NCARB certificate? Yes\_\_\_\_\_No\_\_\_\_

5. Have you had any experience with applying seismic design principles either in school or in professional practice (aside from questions on the professional licensing exam)? Yes No

6. If so, how recent?

within past 2 years \_\_\_\_\_ within past 5 years \_\_\_\_\_ longer \_\_\_\_\_

7. Please respond to each term by indicating whether you: a) basically know its definition; b) have heard or read the term but are not knowledgeable about its meaning; c) have not seen or heard the term.

	know it	heard of it	haven't heard of it
	a.	Ъ.	с.
accelerograph			
ductility			P
epicenter			
fault line			
fundamental period			·····
liquefaction		·····	
modified mercalli intensity		·	
moment frame			مادر بر ومد میں اور
return period		<u> </u>	
seismicity			
seismic surface wave			
story drift	· · · · · · · · · · · · · · · · · · ·		<b></b>
tsunami			

0.	In your current practice how much do you consider seismic safety during preliminary design? Check one: a) High priority among constraints b) Among the more important constraints
	c) Among the lessor constraints d) Very low priority among constraints
9.	Can you identify at least four architectural (non-structural) building components which have been found to be particularly vulnerable to earthquake forces? That is they are likely to be damaged to the point of non-functionality in the event of a moderate to severe earthquake?
	a b
	c d
10.	Can you describe a general type of geometry (shape, plan configuration) for a building which would be particularly advantageous in resisting earthquakes?
11.	Can you name at least two areas in the eastern U.S. which have had several earthquakes in the past?
12.	Are you aware of any seismic zone classification given to the area(s) in which you practice?
	a. Geographic area
	b. Geographic area classification
13.	If so, is this classification recent?
	within past 2 years not recent don't know
14.	Do you know whether your state has mandated local building ordinances requiring design provisions to mitigate against earthquake hazards?
	State has Don't know
15.	Have you become aware of specific research efforts involving the performance of architectural (non-sturcutral) components under earthquake loads?
16	If so from what research organization?
10.	NSE ASCE ATA/DC Other
17	If so, how did you become sware of such resourch
±/•	Through colleague(s)
	Through AIA publication
	Through architectural professional journal
	Through attendence in a class
	Other, please specify
18.	Can you identify which aspects of preliminary (or schematic) design might be affected by the consideration of earthquakes?

19.	Suppose you were now practicing in an area known to have strong earthquakes perhaps once within every fifty years. Also suppose that area was not strictly regulated by seismic codes. Under these circumstances, rank order seismic safety among the rest of the following design criteria.	
	Fire safety       Energy conservation         Minimizing construction cost       Seismic safety         Functional organization       Site context         Aesthetic issues       (1 most important, 7 least important)	
20.	With your currest knowledge about earthquake design, how concerned are you that seismic design provisions might constrain the aesthetic aspects in your design work?	
	Very concerned Mildly concerned Not concerned	
21.	Do you believe that having to comply with seismic codes will usually make architectural design more difficult?	
22.	In what manner would you think it best to integrate seismic design into preliminary architectural design? Check one or more:	
	a) After first design iteration, use a checklist to determine whether seismic safety has been effectively considered	
	b) Have structural engineer review and comment on seismic integrity of the plans	
	c) Use a systematic process of trade-offs to decide whether seismic criteria will overide other conflicting criteria in the design	
	d) Use a checklist of seismic criteria as you begin and proceed with preliminary design work	
	e) Merge seismic structural design and schematic space adjacency and hierarchy as a synthesis of two parallel - but initially separate design processes.	
	f) None of the above.	
23.	How often do you come across news of significant technological developments such as solar energy design, people moving systems, new structural systems, new building materials and finishes, etc.	
	Regularly Frequently Infrequently Rarely	
24.	In general, where do you gain new technical knowledge?	
	Classes , Textbooks or Manuals , Manufacturer's brochures ,	
	Visiting Products Representatives Other	
25.	In general, do you find it easy to assimilate new technological information into your practice? Usually easy, Usually difficult	
26,	Are you generally appreh <sup>ensive</sup> about trying a new technique or product for fear of poor results or uncertainty about performance? Yes No	
27.	What would be the <u>best</u> means for you to learn about seismic design? Lectures at conferences Carefully prepared brochures	
	Classes at architectural school	187

## NSF PROJECT PFR 7900007 "THE INTEGRATION OF SEISMIC DESIGN PRINCIPLES INTO PRELIMINARY ARCHITECTURAL DESIGN"

### Ken Britz, P.I.

The following list of questions and instructions should be answered during the course of preliminary design, where seismic principles as discussed with the researchers are considered in the design process.

To make the experiences of the three firms comparable, we have listed and categorized a set of general questions about the project, the design team, the design objectives, seismic design considerations, and the design process.

We have also requested that a "log" of decision making be recorded and be returned to the researchers, along with the answers to the project related questions.

### General Questions About the Project:

- 1. What is the building type?
- 2. What is the square footage involved in the program?
- 3. What is the basic program?
- 4. Who is the client?
- 5. Who is the user/s?

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- 6. Where is the location (or intended locations)?
- 7. What are the particular site conditions?
- 8. If a system or prefabricated approach is involved, explain how it is to work (i.e., manufacturing, transportation, erection, finishing process)?
- 9. Is there any extraordinary budget constraints or opportunities present?
- 10. Is the time of design or time until completion an unusually important factor in this project?

#### General Questions About Design Team:

- 1. Is a principle or associate of the firm directly involved in the design?
- 2. List the other in-house people directly assigned to the project.
- 3. Was an engineer involved in the preliminary design?
- 4. Can the roles of the individual members of the design team be described?

### Design Objectives

- List, in terms of desired activity and physical solution, any special aesthetic and/or functional criteria which are particularly important in this building.
- 2. Who identified these criteria or objectives?
- 3. Was there any significant discussion about criteria? Were clearly opposing or alternative viewpoints stated?
- 4. Which, if any, design issues were identified during a review of design work already sketched or drawn hardline?

#### Seismic Considerations During Preliminary Design

- 1. Was there initial confusion about what seismic criteria were?
- 2. Did the client have any reservation about seismic criteria?
- 3. Did codes give any quide to architectural design vis a vis seismic problems?
- 4. Was the client aware of potential seismic problems?
- 5. Was it anticipated that seismic criteria would conflict with other criteria, and if so how would they conflict?

#### Design Process

- 1. Was a seismic criteria "checklist" formulated a prioridy?
- 2. Was there confusion about how seismic criteria would be incorporated into the design?
- 3. Was there apparently a situation or situations where other design criteria conflicted with seismic resistant design?
- 4. Was an engineer consulted during preliminary design, and how much involvement did he have?
- 5. Did he provide an explanation with his input? Was it he who offered the solutions?
- 6. How far could seismic strategy prevail before it was considered too impractical or costly given the perceived level

At the appropriate time/s during the preliminary design process, please record:

A paraphrasing or capsulization of the points made in each case of deliberative design-decision-making regarding a seismic related design issue. Relevant points to record would include comments related to the points listed above under "Seismic Considerations" and "Design Process".

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What we would like is a set of these seismic-design-decision-related discussions for each instance when they occurred and in the order in which they occurred. The result should be the design teams "log" of seismic design decision making.

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## NSF PROJECT PFR 79-00007

# "THE INTEGRATION OF SEISMIC DESIGN PRINCIPLES INTO PRELIMINARY ARCHITECTURAL DESIGN"

#### EVALUATION GUIDE

As a final contribution from the participating architectural firms, we are asking for your evaluation of your experience in attempting to integrate seismic design in preliminary architectural design. As a guide to evaluating your experience and as a means to obtain comparable comments from the three participating firms we have provided a list of questions evaluative in nature. Even though some of these may not be applicable to your experience, please answer as best as possible those questions which are relevant. In general we are asking you to evaluate your experience inutilizing seismic design strategy in the recent project targeted for this research effort. Please feel free to add comments additional to the responses we are eliciting.

Please respond to the following questions. If a response cannot be made please explain why.

- 1. Do you believe you have ascertained the appropriate level of design response to the seismic hazards in your area of practice, or the area where your project was located?
- 2. In terms of difficulty where did the resolution of seismic criteria
  rank relative to the other criteria listed here?
  ( Most difficult = 1 Moderate difficulty = 4,5 Least difficult = 8)

 fire safety	 energy conservation	
minimizing construction cost	seismic safety	

 functional	organization	1	site constraints		
aesthetic	issues		other	natural	hazards

- 3. If a design checklist were appropriate for seismic provisions, what major points would it necessarily contain?
- 4. Suggest (in outline form) the contents of a useful seismic building code with respect to non-structural components.
- 5. Can you describe the easiest or most practical way to integrate seismic design principles into the preliminary design process.
- 6. Can you estimate the dollar cost for providing seismic design (as part of the architect's fees) at this point in time?
- 7. Do you believe the cost (Question 6) will be reduced in the future, assuming the same complexity of project and same level of seismic reinforcement attempted?

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- 8. Suggest the best means and format to learn more about seismic design.
- 9. How would you present'the case for seismic design to other clients and local building officials?
- 10. What would you need in the way of information and supporting materials to make a case for seismic design?

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