

PACIFIC EARTHQUAKE ENGINEERING Research center

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by

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REPAIR/UPGRADE PROCEDURES FOR WELDED BEAM TO COLUMN CONNECTIONS

James C. Anderson University of Southern California

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A Report to Sponsors: National Science Foundation American Institute of Steel Construction Matt Construction Company

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Report No. PEER-98/03 Pacific Earthquake Engineering Research Center College of Engineering University of California, Berkeley May 1998

ABSTRACT

Experimental and analytical studies are conducted on four repair/upgrade details for welded moment connections. The first of these seeks to improve the weld material without doing anything to the beam and column sections at the joint (weld enhancement). The simplest of these removes the cracked weld material and replaces it with a more ductile, notch-tough weld material (weld replacement). A more recently developed procedure places a layer of weld material having a higher notch toughness on top of the existing welds (weld overlay).

The second weld repair/retrofit detail considers the addition of rectangular cover plates to the top and bottom beam flanges. The plates which are the same width as the beam flange are beveled to permit partial penetration welds along the sides. A variation of this detail adds a rectangular miniplate which is half the length and width of a full sized plate to the top and bottom beam flanges.

The third detail considers the addition of a vertical, triangular plate (fin) to the top and bottom flanges of the beam in the plane of the web. A modification to the initial detail includes a hole in the fin which moves the net section of the fin away from the column face and causes yielding to occur at the hole rather than the column face. A fourth detail is a retrofit detail which considers a reduced beam section formed by using drilled holes to approximate the geometry of a tapered cut in the beam flanges.

These details are shown to provide varying degrees of improvement in connection behavior with plastic rotation capacities between 1.5 percent and 4 percent being achieved. The best results were obtained by the horizontal flange plates, both full sized and miniplate. The worst performance occurred using a single flange plate. The two weld overlays tested attained plastic rotations of 3 and 3.5 percent.

Detailed finite element analyses are conducted for all of these configurations. The analytical model was used to give direction to the test program and to correlate with the test results. Calculated stresses in the analytical model are shown as colored stress contours. The analytical model was loaded with an increasing, monotonic load at the beam tip. In this manner an estimate of the skeleton curve of the hysteresis curve was obtained.

In order to repair connections in frames located on the perimeter of a building it is often necessary to cut access windows in the web of the beam and in the panel zone of the column. One full size specimen of this type was tested to failure to verify the performance of the connection after the web windows were closed with welded plates. Results indicated that the windows create an eccentricity which may cause premature buckling of the beam web.

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The authors would also like to thank Dr. Gregg Brandow and Mr. Peter Maranian of Brandow and Johnston Associates for their interest in the project and helpful suggestions throughout the course of the study. Thanks are also due Dr. Warner Simon for providing technical direction for the design of the weld overlays. Interaction with many practicing structural engineers during the course of the study was also extremely helpful. The advisory panel instituted at the beginning of the study with ten members grew to more than twenty at the end. Participation of the senior author on the City of Los Angeles Steel Committee under the direction of Mr. Richard Holguin was also very beneficial. Finally, the senior author would like to thank his colleague, Professor Yan Xiao, for his interest and suggestions throughout the course of the study.

Welding required for much of the repair/retrofit work was done by Mr. Phil Martinez (Bay Area Welding). Mr. Jeff Nickler (Southwest Mobile Welding) and Mr. Jack Compton (College of the Canyons) were instrumental in making the overlay welds. Graduate students Babak Mansouri, Ali Rejae and Dan Dapudga helped with the instrumentation, setting up the test specimens and other tasks as needed. These contributions are gratefully recognized.

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1.0 INTRODUCTION

1.1 Overview and Problem Description

One of the more significant issues to arise from the Northridge earthquake (1994) was the detection of cracking in welded beam to column connections of modern steel buildings [1]. In most cases, these connections are hidden from view by spray-on fire-proofing and nonsturctural building partitions making them inaccessible for direct visual inspection. In many cases there was no immediate visual sign of damage to the buildings and they were green-tagged for immediate occupancy. Only after the cracking problem was noticed in buildings that were either under construction or suffered severe distortion in the structural frame did closer inspection reveal connection damage in a significant number of buildings.

The most common type of cracking observed in the buildings appears to start in the weld at the center of the bottom beam flange. This is a region of high stress concentration due to the increased stiffness caused by the column web and beam web. It is also a region of discontinuous welds. Current practice dictates that welds be made in the down-hand position (ie. the welding instrument is pointed downward to make the weld). At the top flange, the weld can be made in a continuous pass since there is no beam web to get in the way. However, the weld at the bottom beam flange has to pass through the beam web. This is done by cutting an access hole (web cope hole) in the beam web and then placing the weld from the beam web to the edge of the flange on each side. This procedure causes the weld at the center of the beam to be subject to porosity and slag inclusions which combined with high stress creates a region that is prone to crack initiation.

Both top and bottom beam flange welds use a thin steel bar (backup bar) to close the gap between the beam flange and the column flange. This bar is usually tack welded into place to keep the weld metal from running down the face of the column flange. Pre-Northridge connections left this backup bar in place after the full penetration welds between the column flange and the beam flange were completed. Recognizing that this was also a source of porosity and slag inclusions, the SAC Joint Venture [2] recommended that these bars be removed after the welding was completed for post-Northridge connections.

The web cope hole itself is a significant source of crack initiation. The geometry and surface quality of these holes varies considerably. Often they are flame cut to no specific geometry and are not ground smooth. This gives rise to a rough surface located in a high stress region which is ideal for crack initiation. This is particularly true when the beam flanges begin to buckle under cyclic loading and plastic hinging. It is of interest to note that the Japanese require that web copes be machine cut to a specified geometry. However, cracks initiating from the web cope were still observed in the connection inspections following the Kobe earthquake, therefore, it is does not appear that this additional fabrication alone will solve the problems associated with the web cope.

The use of overhead welding (ie. the welding instrument is pointed upward to make the weld) would eliminate the need for a web cope and thereby eliminate the problems associated with it. Although some welding engineers claim there is no difficulty making an overhead weld in the field, others claim that this procedure is not economical and hence there is currently no clear consensus on the use of this welding procedure. Therefore, it must be assumed that the web cope will continue to be used in these connections and ways to neutralize its negative effects must be developed as part of any repair/retrofit scheme.

1.2 Objective and Scope

The poor performance of welded beam to column moment connections during the Northridge earthquake placed a priority on developing cost effective means of repairing damaged connections in existing buildings and evaluating alternative modifications for improving connection behavior in new construction. In addition, economic procedures had to be developed for upgrading the resistance of welded connections in existing buildings. In order to contribute to this effort, an integrated analytical and experimental study was initiated. The following objectives were developed for the initial investigation:

(a) Evaluate the yielding mechanisms and failure modes of existing "standard" welded moment connections.

(b) Investigate the influence of the column panel zone on connection behavior.

(c) Investigate the application of new welding techniques such as "weld overlay" on connection performance.

(d) Evaluate cost effective connection modifications for improving cyclic performance with emphasis on procedures not being evaluated by other investigators.

(e) Conduct parallel investigations using nonlinear finite element analysis to establish a correlation between the results of finite element models and the experimental test specimens.

1.3 Approach

In order to accomplish the above objectives, a series of experimental investigations, coupled with finite element analyses were undertaken. Due to constraints on laboratory access, test frame capacity and cost, it was necessary to use connection specimens that are representative of either low rise structures or the upper stories of midrise steel construction. It should also be noted that other researchers were in the process of evaluating the performance of large size connection specimens [3, 4, 5, 6, 7] and hence this study did not duplicate their efforts.

The repair/upgrade procedures considered in this study can be grouped into the following main categories: (a) weld replacement, (b) horizontal flange plates, (c) vertical flange plates, (d) weld overlay and (e) other procedures including beam flange perforation and web access windows. These methods are discussed briefly in the following paragraphs:

1.3.1 Weld Replacement

Perhaps the easiest way to repair a crack in the weld material at a connection is to remove the backup bars at the bottom of the flange welds, remove the existing weld material and reweld using a notch tough (ductile) weld material. The backup bar is removed and a reinforcing fillet weld is placed at the root of the weld. It may also be necessary to weld the shear tab to the beam web.

1.3.2 Horizontal Flange Plates

Another common method of improving the behavior of welded moment connections is the addition of reinforcing flange plates to the connection. The purpose of the flange plates is threefold. First, the centroid of the reinforced section is moved further from the neutral axis of the beam section so that the moment capacity is increased. Second, with the increased moment capacity due to the addition of the plates, inelastic behavior (plastic hinging) is moved away from the connection region and in particular away from the crack sensitive web cope region. Third, the increased beam flange thickness at the column flange reduces the stress concentration.

In this study, the flange plate is the same width and thickness as the beam flange. The sides of the plate are beveled to permit a partial penetration groove weld along the sides instead of the more common fillet weld. The connection to the column is made with a full penetration grove weld and a fillet weld is applied to the opposite end. The existing weld flux core arc weld (FCAW) was ground flush with the top (bottom) flange of the beam to accommodate the beveled plate. A full penetration weld using shielded metal arc weld (SMAW) connected the flange plate to the column flange. Hence the existing FCAW weld was overlayed with SMAW. The connection at the end of the flange plate was made with a 5/8 inch fillet weld. At the bottom flange, the plate is welded in the overhead position, allowing the entire weld to be made without interruption. The standard flange plates for these tests are 8 inches wide by 14 inches long by 3/4 inches thick. For one specimen a half size (miniplate) was used which was 4 inches wide by 7 inches long by 3/4 inches thick. For another specimen, a single, full sized flange plate was welded to only the bottom flange of the beam.

1.3.3 Vertical Triangular Plates

A third type of repair/retrofit detail is the addition of a vertical triangular plate (fin) above the top beam flange and below the bottom beam flange, in line with the beam web. This diverts some to the force in the beam flange around the connection to the column flange. Stresses in the welds are reduced by the additional weld material and by the resulting increase in the reactive moment arm of the modified connection. Following an initial test on a solid fin, it was decided that the performance might be improved by placing a hole in the fin. The purpose of the hole was to move the critical section of the fin from the face of the column to the section through the hole. In this manner, yielding occurs at the hole and thereby limits the amount of force transmitted to the welds at the column flange.

1.3.4 Weld Overlay

A more recently developed procedure which can be used for either repair or upgrading a connection is accomplished by removing only a portion of the existing FCAW weld material on the top and bottom beam flanges and placing a higher quality weld over the remaining weld material (weld overlay). The existing weld may contain small cracks or indications of cracks and other defects, some of which may be nondetectable even with the most sensitive testing methods. The overlay must be able to immobilize existing defects in the weld material, heat affected zone (HAZ) and parent material and at the same time to exert a positive influence on the web cope and k-line regions.

<u>1.3.5 Other Procedures</u>

The use of a reduced beam section (RBS) to protect the beam to column connection region has been reported by other investigators [8]. The RBS is usually formed by removing part of the beam flanges with a cutting torch. The geometry of the removed flange section may be a constant cut, a radius cut or a tapered cut. This moves the location of the plastic hinge away from the connection to the reduced section and also limits the flange force that can be transmitted into the connection. This procedure has been shown to work very well and has been used for new construction [9]. In this study, this concept was applied to the retrofit of connections in existing buildings.

More recently studies have been conducted on using drilled holes to perforate the beam flange in a similar manner to the RBS and thereby reduce the effective section away from the connection. This method is attractive for the retrofit of connections in existing buildings since a heat source is not required. One of the major costs in the repair/retrofit of existing connections is the setup of the work space including the necessary fire protection. Use of a perforated beam flange will greatly reduce the total cost of connection retrofit while providing the advantages of the flame cut flange.

For welded connections in moment frames located on the exterior of the building, it is usually necessary to cut rectangular windows in the webs of the beam and column framing into the joint to access the welds from the interior side. This procedure has been widely used for the repair of damaged moment connections following the Northridge earthquake. When the repair is completed, a steel plate is welded over the window to seal the cutout. The effect of these windows on the cyclic performance of a large scale test specimen is evaluated.

2.0 FINITE ELEMENT ANALYSES

Finite element analyses are used to gain better insight into the behavior of welded beam to column connections and in particular to evaluate the effect of various connection modifications prior to experimental testing. They can also be used to give direction to the testing program by estimating the force requirements needed to reach given displacement limits. Although some information regarding the location of regions of high stress (stress concentration) can be obtained from a linear elastic analysis, substantial redistribution of stress occurs once the material yields. Therefore, it is preferable to use a nonlinear analysis procedure which considers both material and geometrical nonlinearities since at ultimate load, the connection specimen should experience strong material nonlinearity and possible geometric nonlinearity as well. However, it must be recognized that the complexities of the prototype connection preclude consideration of effects such as workmanship and initial stresses due to rolling and welding. Also, the experimental specimen contains pinned connections each of which has clearance tolerances necessary for installation. These conditions are difficult if not impossible to include in the analytical model and hence will introduce some discrepancy in the comparison of results.

Detailed finite element analyses were conducted on the welded beam to column connection specimens tested as part of this study. Dimensions of the analytical models are identical to those of the test specimens. Since the thicknesses of the beam and column sections used in the testing program are all less than one inch, thick shell elements are used to represent all of the connection and member components. This permits a substantial saving in compute time when compared to using solid elements as required for the large connection specimens. Yielding is determined using the "Von Mises" yield criteria. The analyses consider both material and geometric nonlinearity under monotonically increasing load at the end of the beam. In this manner the so called "backbone" curve of the hysteresis curve is obtained.

The analyses were conducted using the COSMOS/M [10] computer program running on a Hewlett Packard LM 5/60 computer with a 60 MHz pentium processor. The connection specimen was modeled using a 4-node quadrilateral, thick shell element having six degrees of freedom per node. A representative finite element model of an exterior moment connection with vertical fins is shown in **Figure 2.1**. A typical model consisted of 1697 nodes and 1014 elements. Shear deformation effects are considered. Material plasticity is modeled using the Von-Mises elasto-plastic model with kinematic hardening. A force control procedure was used to control the progress of the computations along the equilibrium path of the system. In adapting this technique, the loads are incrementally applied according to their associated "time" curves. The "time" curve is chosen such that large load steps are used when the response is in the linear region and small load steps are taken when the response is in the nonlinear region. A Newton-Raphson solution scheme is used in which the tangential stiffness matrix is formed and decomposed at each iteration. This results in a high rate of convergence which is quadratic. The termination scheme for the iterative procedure is based on a displacement tolerance of 0.001 inches.



Figure 2.1 Connection Finite Element Model

3.0 EXPERIMENTAL SYSTEM

3.1 General

The location of the structural engineering laboratory in a sub-basement, places a limitation on the size of test specimen that can be used. Specimens representative of low rise frames can be fabricated outside the laboratory and moved inside for testing. Specimens representative of mid-rise structures can be tested in the laboratory but must also be fabricated in the laboratory due to clearance limitations in moving the specimen from the surface. Large size components are beyond the capabilities of the test frames. Smaller specimens also have a lower capital cost both for material and fabrication. For these reasons it was necessary to test small size specimens representative of low rise steel construction. The basic specimens were fabricated by a local steel fabricator and then moved into the laboratory by a licensed welder. Welding by the fabricator was done using FCAW with E70T-4 wire, whereas welding in the laboratory was done with SMAW using E7018 electrodes.

3.2 Experimental Setup

The load frame used for these tests is a self reacting load frame that can apply an axial compression load to the column in combination with a cyclic load at the beam tip. The tee shaped exterior connection specimen is tested with the column vertical as shown in **Figure 3.1**. The column is pinned at both ends of a nine foot height. Reactions from the applied beam moment are transmitted by pin ended "A" frames to a reaction frame. Axial compression load is applied to the top of the column by two 400 kip Simplex hydraulic cylinders acting on a two inch loading platen. Pressure to drive these cylinders is developed by an Enerpac PAM-3025 air/oil hydraulic pump which produces a constant compression load applied to the top of the column throughout the test, representative of gravity load. Vertical, column loads are reacted through the frame by four, 4 inch diameter, high strength steel rods spanning between two 12 inch thick steel blocks.

The cyclic load at the beam tip is applied by a 235 kip Atlas hydraulic cylinder. A 300 kip tension/compression load cell is connected to the end of the cylinder plunger. A rod-eye mounted on the top of the load cell is connected by a 3 inch diameter pin to a clevis which is bolted to the beam end plate with 4 - 1 3/4 inch diameter bolts. The cylinder is connected through another clevis to the test frame. Motion of the cylinder is controlled by a closed-loop servo system. Pressure to drive

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this cylinder is provided by a Fornaciari Power Unit which delivers 3.5 gallons/minute at 3000 psi.

3.3 Instrumentation

Software running on a Hewlett Packard QS/20 computer controls the loading process and the data acquisition. The data acquisition system consists of two Keithley/Metrabyte Series 500 data systems which are connected to the PC through the parallel interface. A standard configuration has six LVDT channels, twenty four strain gage channels and two control channels. The instrumentation and control setup is illustrated in Figure 3.2.

Labtech Notebook is the data acquisition and control software used for these tests. It has the ability to control various hydraulic actuators and to acquire data from strain gage and LVDT data acquisition boards. Since the tests are to evaluate specimen performance, the instrumentation must monitor the loading history, overall displacement of the specimen and the local strains in the critical regions of the specimen. A closed loop PID algorithm was adapted for displacement control of the actuator which controls the loading process of each test. Strain gage and LVDT channels are configured to collect data from installed instruments and gages.

Strains in the connection region were measured using general purpose gages with elongation to $\pm 20\%$ (Micro Measurements Group, 250BG). Strains in the panel zone were measured using a strain rosette with elongation to $\pm 5\%$ (Micro Measurements Group, 250UR). Deformations in the panel zone and at the beam tip were measured using LVDTs.

3.4 Test Specimens

For the initial series of tests, eight test specimens were provided by the American Institute of Steel Construction (AISC) and one additional specimen, which initially served as a mockup specimen, was donated by Brown-Strauss Steel in Denver. All test specimens were fabricated at Lee and Daniel with welded moment connections representative of pre-Northridge design practice. The first two specimens were tested twice, once in the "as received" condition and then in the repaired condition. The mockup specimen was also retrofitted and tested during the first series of tests. This resulted in a total of eleven tests. All welding was visually inspected and all full penetration welds between the beam flanges and the column flange were ultrasonically tested by an independent testing company.





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Test specimens for the first series of tests consisted of a W21x68 beam (A36 steel) welded to a W12x106 column (A572-GR50). A typical detail is shown in Figure 3.3. Five of the specimens were fabricated with a 1/2 inch doubler plate welded to one side of the column web in the panel zone as shown in Figure 3.3a. This plate extended a distance of 8 inches on either side of the continuity plates. The other four specimens were fabricated without the doubler as shown in the detail in Figure 3.3b.

Five additional specimens were provided by AISC which consisted of a W21x68 beam (A36) welded to a W16x77 (A572-GR50). These specimens were fabricated in the same manner as those discussed above. Three of these specimens had a 1/2 doubler plate welded to one side of the column web in the panel zone (Figure 3.4a) and two were fabricated without the doubler (Figure 3.4b).

3.5 Material Properties

As mentioned previously, columns of the test specimens were ASTM A572-50 and beams were ASTM A36. Material for the specimens was supplied by Nucor-Yamato Steel Co. from their Armorel, Arkansas facility. Standard mill certification tests were conducted by the mill and coupons taken from the fabricated specimens were tested by a commercial materials testing laboratory. Results of these tests are summarized in **TABLE 1**. Mill certifications are listed for each of the heats.

For the A36 steel, the mill certs indicate an average yield stress of more than 51,000 psi, however, the coupon tests result in an average of only 47,400 psi. The results for the G50 steel indicate a yield stress of 50,000 psi based on the mill certification tests and an average of 55,800 based on the coupon tests.

3.6 Test Program

The results of seventeen tests on large scale moment connections are described in this report. The overall test program is summarized in TABLE 2. Basic instrumentation common to all test specimens is summarized in TABLE 3 and indicated on the individual test specimens which follow.



Figure 3.3 Test Specimen, W12x106 Column



Figure 3.4 Test Specimen, W16x77 Column

SPECIMEN	Туре	Yield Strength	Tensile Strength	Elongation at Fracture
1	A36-Mill	52,000	72,000	27
2	A36-Mill	51,000	68,000	27
3	A36-Mill	51,000	68,000	28
4	G50-Mill	50,000	66,000	26
5	A36 Flange	44,118	64,118	30
6	A36 Flange	45,181	65,964	38
7	A36 Web	52,968	67,580	38
8	A36 Web	47,393	65,877	34
9	G50 Flange	53,162	77,075	30
10	G50 Flange	46,735	67,347	44
11	G50 Web	52,288	75,163	38
12	G50 Web	71,207	78,638	24

TABLE 1. MATERIAL PROPERTIES

.

TABLE 2. TEST PROGRAM

SPECIMEN	Characteristics		
1	Baseline W12x106 column, with doubler plate		
1R	Weld replacement repair of specimen #1		
2	Baseline W12x106 column, without doubler plate		
2R	Weld replacement repair of specimen #2		
3	Solid triangular fin without doubler plate		
4	Perforated triangular fin with doubler plate		
5	Dual flange plates with a doubler plate		
6	Perforated triangular fin without doubler plate		
7	Dual flange plates without a doubler plate		
8	Dual miniplates with a doubler plate		
9	Single flange plate with a doubler plate		
10	Class A overlay repair without doubler plate		
11	Baseline W16x77 column with doubler plate		
12	Baseline W16x77 column without doubler plate		
13	Perforated beam flange, W16x77 column with doubler plate		
14	Class C Overlay repair with doubler plate		
15	Full scale specimen with web windows, W36x135 beam and W30x173 column with web doubler		

Number Steel Moment Frame Connection Channel Listing description

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1	Column Axial Load		
2	Force in Load Cell at Beam Tip		
3	Vertical Displacement of beam Tip (LVDT 1 - δ_1)		
4	Column Elastice Rotation (LVDT 2 - δ_2)		
5	Column Elastice Rotation (LVDT 2 - δ_3)		
6	Panel Zone Deformation (LVDT 2 - δ_4)		
7	Panel Zone Deformation (LVDT 2 - δ_5)		
8	Beam top Flange Strain		
9	Beam top Flange Strain		
10	Beam top Flange Strain		
11	Beam top Flange Strain		
12	Beam top Flange Strain		
13	Beam Bottom Flange Strain		
14	Beam Bottom Flange Strain		
15	Beam Bottom Flange Strain		
16	Beam Bottom Flange Strain		
17	Beam Bottom Flange Strain		
18	Column Flange Strain (at Top Beam Flange)		
19	Column Flange Strain (at Top Beam Flange)		
20	Column Flange Strain (at Top Beam Flange)		
21	Column Flange Strain (at Bottom Beam Flange)		
22	Column Flange Strain (at Bottom Beam Flange)		
23	Column Flange Strain (at Bottom Beam Flange)		
24	Column Flange Axial Strain		
25	Column Panel Zone Rosette 1		
26	Column Panel Zone Rosette 2		
27	Column Panel Zone Rosette 3		

4.0 WELD REPLACEMENT

4.1 Initial Test, Specimen #1

This specimen had a W12x106 column with a 1/2 inch doubler plate on one side of the web and a W21x68 beam. The initial specimen was tested to failure in the "as received" condition.

Prior to testing, detailed nonlinear finite element analyses were conducted in an effort to estimate the behavior of this specimen. The load-displacement envelope obtained from a static push test is shown in **Figure 4.1.1**. This figure indicates a force of 120 kips at the beam tip is required to develop a tip displacement of 3.2 inches, representative of a rotation of 4.8%. A color plot of the Von Mises stress contours is shown in **Figure 4.1.2**. This figure shows that a plastic hinge has formed at the column face, indicating high stresses in the welds at that location. It also indicates that regions of high stress occur in the panel zone of the column with stresses above the nominal yield stress of 50 ksi.

This initial specimen was tested to failure in the "as received" condition, repaired in the laboratory and retested to failure. Twenty-seven channels of instrumentation were used for this specimen as shown in **Figure 4.1.3**. Three channels were used for control, seventeen were used for strain gages, four for displacement measurements and three for the rosette in the panel zone.

The specimen sustained three displacement cycles at each amplitude of 1/2 inch, 1 inch and 1 1/4 inches. On the third cycle at a displacement amplitude of 1 1/2 inches, the specimen experienced a pullout at the bottom beam flange (Figure 4.1.4) during an upward stroke of the hydraulic cylinder. This type of failure is similar to those experienced by many welded moment frames during the Northridge earthquake. The test was stopped at this point so that the specimen would not be damaged beyond repair.

The cyclic performance of the specimen is summarized in Figure 4.1.5. The total rotation was 2.3 percent (Figure 4.1.5a), however, the plastic rotation was only 0.5 percent (Figure 1.5b). The displacement history, shown in Figure 4.1.5c, indicates the nine displacement cycles the specimen was able to sustain. The history of the force at the beam tip, shown in Figure 4.1.5d, indicates that there was no unloading of the specimen prior to failure suggesting a sudden type of pullout. At a tip displacement of 1 1/2 inches, the finite element analysis estimated a force of 108 kips (Figure 4.1.1). This agrees very well with the 108 kips measured at the beam tip (Figure 4.1.5d).







A-A View

Bottom View

Figure 4.1.3 Instrumentation Details, Spec. 1



Figure 4.1.4 Bottom Flange Pullout, Sec.1





Figure 4.1.5 Cyclic Behavior, Spec. 1

The distribution of the rotation between the panel zone, column and beam is shown in Figure 4.1.6. It can be seen that the panel zone rotation is approximately 0.1%, the column is 0.6% and the beam is 1.6%. Measured strains in the top beam flange, shown in Figure 4.1.7 indicate a peak strain of over 1.5% at the middle of the flange. Similar data for the bottom beam flange, Figure 4.1.8, indicates that at the four locations on the bottom flange, strains of 1.3 to 1.5% were recorded. Strains in the panel zone, shown in Figure 4.1.9, indicate that the panel zone behavior was linear elastic. The peak recorded strain was 0.13%.

4.2 Class B Repair, Specimen #1R

The Dynamic Load Weld (DLW) Task Group [11] has defined a Class B repair as one in which the entire weld is gouged out and replaced with SMAW with a reinforcing fillet weld placed at the root of the weld. A detail of the weld procedure is shown in **Figure 4.2.1**. The connection at the bottom beam flange of Specimen #1 was repaired in this manner in the laboratory. The backup bar was removed and all existing weld material was removed, including the heat affected zone of the column flange. New weld material was placed using SMAW with E7018 electrodes and a reinforcing fillet weld was added at the root of the weld. The repaired weld is shown in **Figure 4.2.2**. The shear tab was welded on three sides with a fillet weld. Since there was no visible damage to the weld at the top flange, nothing was done to this weld. All welds were ultrasonically tested and found to be sound. This specimen is referred to as Specimen 1R.

The cyclic behavior of the specimen after repair is summarized in Figure 4.2.3. It can be seen that there is a significant increase in the deformation capacity of the connection. The connection was able to sustain 17 cycles of increasing displacement (Figure 4.2.3c) and developed a total rotation of 4.0% (Figure 4.2.3a). However, the plastic rotation increased to only 1.5% (Figure 4.2.3b), which is still not considered to be adequate. No unloading of the specimen occurred prior to failure (Figure 4.2.3d). Failure occurred when a crack opened in the top beam flange (Figure 4.2.4). It can be seen that the crack appears to have started in the web cope and then propagated across the beam flange. Whitewash on the beam indicated the formation of a plastic hinge as shown in Figure 4.21.5. On the last full cycle, prior to failure, both the top and bottom beam flanges buckled. The moment capacity was increased by 33%.

The rotation components for the repaired specimen are shown in Figure 4.2.6. This figure indicates that the panel zone rotation is .25%, the column rotation is .62% and the beam rotation is 3.12% for a total of 4.0%. Since the panel zone remained elastic during the initial test, it was possible to measure strains at this location during the test in



Figure 4.1.6 Rotation Components, Spec. 1



Figure 4.1.7 Beam Top Flange Strain, Spec. 1



(c) Right Edge

(d) Left Middle

Figure 4.1.8 Beam Bottom Flange Strain, Spec. 1





100.00

-100.00

Ю

ROSI

-0.20

-0,10





Figure 4.1.9 Panel Zone Strains, Spec. 1



Figure 4.2.1 Weld Detail, Weld Replacement

.



Figure 4.2.2 Repaired Bottom Flange Weld, Spec. 1R





Figure 4.2.3 Cyclic Behavior, Spec. 1R



Figure 4.2.4 Crack in Top Flange of Beam, 1R



Figure 4.2.5 Plastic Hinge in Beam, 1R



Figure 4.2.6 Rotation Components, Spec. 1R

the repaired condition (Figure 4.2.7). Strain measurements indicate that yielding occurred in the panel zone with strains'reaching 0.5%.

Note that the weld at the top beam flange was made using FCAW with E70T-4 wire and the one at the bottom was made using SMAW with E7018 electrode. Plots of the load versus displacement hysteresis for the original test and for the repair test are compared in **Figure 4.2.8**. This result tends to imply that if the welds on the "standard connection" are done properly and the back-up bar is removed and replaced by a reinforcing fillet weld, the connection will perform in a reasonable manner, at least for the smaller sections considered in this study.

4.3 Initial Test, Specimen #2

This specimen was similar to Specimen #1 except that the column did not contain a doubler plate in the panel zone. The initial specimen was tested to failure in the "as received" condition.

Prior to testing, finite element analyses indicated a beam tip force of 100 kips would be required to reach a 3 inch deflection as shown in **Figure 4.3.1**. Color contours of Von Mises stresses, shown in **Figure 4.3.2**, indicate that the stresses in the beam are much lower than in the previous case. The beam flanges have reached yield but a plastic hinge has not formed in the beam. However, maximum stresses in the panel zone of the column have reached 56 ksi indicating possible yielding.

Instrumentation was similar to that for specimen #1 (Figure 4.1.3). Failure of this specimen was similar to the previous specimen with a sudden pullout occurring at the bottom beam flange (Figure 4.3.3) during an upward stroke of the hydraulic cylinder. The brittle pullout at the bottom flange of the beam also created a vertical crack that ran up the column flange.

The cyclic performance of the specimen is shown in Figure 4.3.4. The specimen was only able to sustain 11 cycles of increasing displacement as shown in Figure 4.3.4c, reaching a maximum displacement of 2 1/4 inches which is representative of a total rotation of 3.4 percent (Figure 4.3.4a). The plastic rotation was approximately 1.52 percent as shown in Figure 4.3.4b. The history of the load at the beam tip, shown in Figure 4.3.4d indicates there was no unloading of the specimen prior to failure.

The distribution of the rotation components is shown in **Figure 4.3.5**. This figure indicates that the panel rotation was 1.1%, the column rotation was 0.6% and the beam rotation was 1.7% for a total rotation of 3.4%. Comparison with the similar data for specimen #1 indicates that most of the increased rotation for specimen #2 is due to deformation of the panel zone. Strain measurements at four locations across the bottom









Figure 4.2.8 Comparative Hysteretic Behavior (1 & 1R)







Figure 4.3.3 Pullout at Bottom Flange of Beam



Figure 4.3.4 Cyclic Behavior, Spec. 2



Figure 4.3.5 Rotation Components, Spec. 2

flange of the beam, shown in **Figure 4.3.6**, indicate that peak strains were approximately 2.2 percent.

Unfortunately, strain data in the panel zone was lost for this specimen so a comparison with the finite element solution cannot be made. At a tip displacement of 2 1/4 inches, the finite element analysis predicted a beam tip force of 95 kips which is less than the 105 kips recorded.

4.4 Class B Repair, Specimen #2R

The connection pullout at the bottom flange of the beam was repaired in the laboratory using the same Class B weld repair procedure as used for Specimen #1R (Figure 4.2.1). The crack in the column flange was found to encompass about one-fourth the flange depth and to extend upward for 1.5 inches. The entire crack area was gouged out as shown in Figure 4.4.1. Ductile weld material (E7018) was "buttered" onto the column flange and the beam was rewelded to the column (Figure 4.4.2). The shear tab was welded along the vertical side with just short returns at the top and bottom. Since there was no visible damage to the weld at the top flange, nothing was done to this weld. Unfortunately, it was not possible to ultrasonically test the repair welds before testing.

The increase in performance of the repaired specimen was not as dramatic as for specimen #1R, being just slightly better than the initial connection. After repair, it was able to develop a rotation capacity of 3.7 percent in both directions before the bottom flange of the beam failed suddenly by a brittle fracture. The crack appeared to start in the weld at the center of the beam flange and then run along the weld in both directions until for about 2 inches. It then turned and ran through the beam flange to the edge, encompassing about an inch of flange material. A crack also developed in the shear tab and propagated along the centerline of the bolts for about half the length of the shear tab. Both failures are shown in **Figure 4.4.3**.

The cyclic performance is summarized in Figure 4.4.4. The specimen was able to sustain 18 cycles of increasing displacement prior to failure (Figure 4.4.4c). The total rotation capacity was 3.7% (Figure 4.4.4a) of which 2.4% was plastic rotation as shown in Figure 2.8b. As indicated in Figure 4.4.4d, there no unloading of the specimen prior to failure. The rotation components, shown in Figure 4.4.5, indicate that the column rotation was .8%, the panel zone rotation was 1.3% and the beam rotation was 1.6% for a total of 3.7%. Plots of the load versus displacement hysteresis for the original test (#2) and for the repair test (#2R) are compared in Figure 4.4.6. Here it can be seen that the capacity of the repaired specimen is equal to that of the initial specimen.



Figure 4.3.6 Beam Bottom Flange Strains, Spec. 2



Figure 4.4.1 Gouged Out Crack, Spec. 2R



Figure 4.4.2 Repaired Weld, Butter Column Flange, Spec. 2R


Figure 4.4.3 Beam Bottom Flange Fracture, Spec. 2R





Figure 4.4.4 Cyclic Behavior, Spec. 2R



Figure 4.4.5 Rotation Components, Spec. 2R



Figure 4.4.6 Comparative Hysteretic Behavior (2 & 2R)

5.0 VERTICAL FLANGE PLATES (FINS)

5.1 Solid Fin, Specimen #3

This specimen consists of a W21x68 beam welded to a W12x106 column with no doubler plate. A single triangular plate (fin) in the plane of the beam web is welded on the top and bottom beam flanges as shown in a detail of the fin, Figure 5.1.1. The instrumentation scheme used for the specimens having a vertical fin is shown in Figure 5.1.2 indicating 32 channels of data acquisition.

Prior to testing, detailed nonlinear finite element analyses were conducted in an effort to estimate the behavior of this specimen. The load-displacement envelope obtained from a static push test is shown in **Figure 5.1.3**. This figure indicates a force of 130 kips at the beam tip is required to develop a tip displacement of 3 inches. A color plot of the Von Mises stress contours is shown in **Figure 5.1.4**. This figure shows that a plastic hinge is just beginning to form at the tip of the vertical fin. It also indicates that regions of high stress occur in the fin near the column face. Possible yielding of the panel zone is also indicated with stresses above 50 ksi.

The specimen was subjected to 19 cycles of load and reached a total rotation of more than 4%. Initial failure was due to a pullout of the top fin from the weld at the face of the column. This may have been due to a lack of complete fusion in the connecting weld or it may have been due to the high stress transmitted through the fin to the column flange weld. The fin actually pulled out of the weld material. This started to occur on the 17th cycle at a displacement of 2 3/4 inches. A crack developed in the weld connecting the top fin to the column flange and extended about half way (2 inches) down the fin. On the following cycle at the same displacement, the weld in the top fin broke completely and a crack began in the corresponding weld of the top beam flange to the column flange fractured. The combined failure at the end of the test is shown in Figure 5.1.5 and the beginning formation of a plastic hinge at the end of the fins is shown in Figure 5.1.6. The crack appeared to start in the web cope of the beam web and then propagate across the beam flange.

The cyclic behavior of the specimen is summarized in Figure 5.1.7. The plot of moment versus rotation (Figure 5.1.7a) indicates a total rotation capacity of 4.5 percent with plastic rotation of 2.5 percent as shown in Figure 5.1.7b. The displacement history, Figure 5.1.7c, indicates that the specimen was capable of sustaining 18 cycles of increasing displacement, reaching a maximum displacement of 3 inches. The load history,



Figure 5.1.1 Detail of Solid Triangular Fin, Spec. 3







A-A View

Bottom View







.



Figure 5.1.5 Fracture at Beam Top Flange, Spec. 3



Figure 5.1.6 Formation of Plastic Hinge, Spec.3





Figure 5.1.7 Cyclic Behavior, Spec. 3

shown in **Figure 5.1.7d**, indicates that the specimen is beginning to unload during the last two cycles after reaching a peak load of 140 kips. These experimental results compare reasonably well with the finite element results considering the complexity of the problem.

The distribution of the connection rotation is shown in Figure 5.1.8. This figure indicates that the column rotation is 1.4%, the panel rotation is 0.7% and the beam rotation is 2.4% for a total of 4.5%. Strains measured on the top and bottom flanges of the beam just in front of the fins are shown in Figure 5.1.9. The peak strain on the top flange of the beam is just over 1.5% (Figure 5.1.9a) and the peak strain on the bottom flange is 1.2% (Figure 5.1.9b). Strains measured on the panel zone are shown in Figure 5.1.10. These results indicate that there is substantial yielding in the panel zone with the peak strain close to 2%. This result also compares well with the finite element solution. Strains measured on the fin are shown in Figure 5.1.11 with the peak strain being 0.5% which indicates yield in the fin which also agree with the finite element solution.

The behavior of the solid fins was satisfactory. The fins at the top and bottom beam flanges continue to work in compression even though the weld has cracked. This tends to add a certain degree of redundancy to the connection. Even after the fins have separated from the column flange, the original connection is still functional. The fin also increases the moment capacity of the connection by approximately 20%. With proper welding and some minor modification to the fin configuration, it appears that a simple and economical retrofit is possible.

5.2 Perforated Fin, Specimen #4

This specimen was similar to specimen #3 with the exceptions that the column had a doubler plate and the triangular fins were modified by drilling a 1 1/2 inch diameter hole in the center of the fin. A detail of the modified fin is shown in **Figure 5.2.1** and the modified test specimen is shown in **Figure 5.2.2**. This modification was made in order to move the net section of the fin away from the column face and thereby limit the stresses that could be transmitted to the welds at the column face. With this modification, the net section becomes the section through the hole which acts as a structural fuse to limit stresses transmitted to the welds at the column face. Instrumentation for this specimen was similar to Specimen #3.

As in the previous case, detailed nonlinear finite element analyses were conducted to estimate specimen behavior. The load-displacement envelope obtained from a static push test is shown in Figure 5.2.3. This curve indicates a force of 145 kips at the beam tip displacement of 2 3/4 inches. A color plot of the Von Mises stress contours, shown in Figure 5.2.4, clearly indicates a plastic hinge at the end of the vertical fins. The figure



Figure 5.1.8 Rotation Components, Spec. 3



Figure 5.1.9 Beam Flange Strains, Spec. 3





Figure 5.1.10 Panel Zone Strain, Spec. 3

0.20

1.00







Figure 5.2.2 Test Specimen Details with Vertical Fins





also indicates that the stresses in the fin are higher at the tip of the fin than at the column face, which implies that the perforation in the fin is working as planned. A high stress region still occurs in the panel zone region indicating possible yielding. A distorted view of the connection is shown in Figure 5.2.5. This clearly indicates the effect of the doubler plate in restricting deformations of the panel zone and forcing most of the deformation into the beam.

The specimen was subjected to 15 cycles of load and reached a total rotation of more than 4%. The test was stopped at this point due to severe deformation in the beam and the concern for damaging the testing equipment. At this point, the top and bottom beam flanges had developed buckles of 1 3/4 inches at the bottom and 2 inches at the top (**Figure 5.2.6**) and the beam web had buckled out of plane. There was only a small crack in the weld at the toe of one of the triangular fins which was due to the prying action of the buckled beam flange. The plastic hinge developed 17 inches from the face of the column at the location of the buckling. By moving the hinge away from the column face, the problems with the web copes which have led to failures in previous specimens were eliminated.

The cyclic performance is summarized in Figure 5.2.7. The total rotation, shown in Figure 5.2.7a, reaches a maximum value of 4.2% of which 2.5% is plastic rotation as shown in Figure 5.2.7b. The connection was able to sustain 15 cycles of increasing displacement, reaching a maximum amplitude of 2.75 inches as shown in Figure 5.2.7c. The load history, shown in Figure 5.2.7d, indicates that the specimen began to unload during the last two cycles due to the buckling of the flanges and web of the beam after developing a maximum force of 150 kips. The displacement of 2.75 inches and the force of 150 kips compare well with the finite element results.

The connection rotation components are shown in Figure 5.2.8. This figure indicates that the column rotation was 0.8%, the panel zone rotation was 0.1% and the beam rotation was 3.5% for a total of 4.4%. Measured strains in the top flange of the beam are shown in Figure 5.2.9. The strain to the left of the fin near the column face, shown in Figure 5.2.9a, reaches a maximum of 0.7%. Just in front of the fin and to the left, the strain reaches 1.3% (Figure 5.2.9b). Strains measured on the bottom flange of the beam are shown in Figure 5.2.10. Directly in front of the fin, the strain is approximately 2%, however, on the final two cycles, the strain increases to more than 5% (Figure 5.2.10a). Recorded strains to the left, in front of the fin are just slightly less as shown in Figure 5.2.10b. Strains in the panel zone, shown in Figure 5.2.11, reach a maximum of 0.5% which indicates yielding and compares well with the finite element results. The fin increases the moment capacity of the connection by approximately 20%.





Figure 5.2.6 Plastic Hinge, Spec.4





Figure 5.2.7 Cyclic Behavior, Spec. 4



Figure 5.2.8 Rotation Components, Spec. 4



Figure 5.2.9 Beam Top Flange Strains, Spec. 4



Figure 5.2.10 Beam Bottom Flange Strains, Spec. 4





5.3 Perforated Fin, Specimen #6

This specimen was similar to specimen #4 except there was no doubler plate in the column. Instrumentation was the same as the previous two specimens.

The load-displacement envelope obtained from a static finite element analysis is shown in **Figure 5.3.1**. This curve shows a force of only 127 kips at the beam tip displacement of 3 inches, indicating the reduced stiffness when the doubler is removed. A color plot of the Von Mises stress contours, shown in **Figure 5.3.2**, shows a plastic hinge beginning to form at the end of the fins, however, the stresses are much lower than in the previous case. A high stress region occurs in the unstiffened panel zone region indicating yielding and the stresses in the column are considerably higher than previously. A distorted view of the connection is shown in **Figure 5.3.3**. This clearly indicates the distortion in the panel zone when the doubler is omitted. Note that almost no deformation is occurring in the beam.

The specimen was able to sustain 16 cycles in increasing displacement. On the final half cycle at a displacement amplitude of 3.5 inches (total rotation of 5.2%) the specimen failed suddenly at the bottom beam flange. The weld holding the fin to the column flange cracked, the bottom flange of the beam pulled out of the column flange (**Figure 5.3.4**) and a crack penetrated the column flange and propagated vertically into the column web in the panel zone (**Figure 5.3.5**). The exact sequence of occurrence of these events is not clear. However, at this large rotation, the panel zone was severely deformed causing a "kink" at the bottom beam flange, not unlike that shown in **Figure 5.3.3**. In addition, on the upward half cycle, the column flange and the beam flange are both loaded in tension. The plastic distortion in the hole of the bottom fin is shown in **Figure 5.3.4**.

The cyclic performance is summarized in Figure 5.3.6. The specimen was able to develop a total rotation of more than 4.5% (Figure 5.3.6a) with a plastic rotation of more than 2.5% (Figure 5.3.6b). It sustained 16 cycles of increasing displacement (Figure 5.3.6c) with a maximum amplitude of 3.75 inches. After reaching a peak load of 145 kips, there was no unloading until the last cycle (Figure 5.3.6d).

The components of the connection rotation are summarized in **Figure 5.3.7**. The figure indicates that the column rotation was more than 1%, the panel zone rotation was approximately .6% and the beam rotation was 3% for a total of 4.6%.

The cracking in the column flange looked very similar to that observed in a previous study [12]. This test appears to indicate that if the panel zone is too flexible, the deformation at high joint rotation may lead to the cracking of the column flange and that this crack may propagate into the panel zone.








Figure 5.3.4 Pullout at Beam Bottom Flange, Spec. 6



Figure 5.3.5 Crack in Panel Zone, Spec. 6





Figure 5.3.6 Cyclic Behavior, Spec. 6



Figure 5.3.7 Rotation Components, Spec. 6

Strains measured on the bottom flange of the beam are shown in Figure 5.3.8. The strains at the toe of the fin, shown in Figure 5.3.8a, reach a maximum value of 1.75% and a similar value is recorded toward the edge of the flange (Figure 5.3.8b). Strains measured on the top flange of the beam are shown in Figure 5.3.9. The strains in front of the fin are 1.4% toward the left edge (Figure 5.3.9c) and reach a maximum at the center of 2.3% (Figure 5.3.9d). Strains in the panel zone, shown in Figure 5.3.10, reach a maximum of 0.6%, indicating yielding in this region as might be expected from both the finite element analysis and the observed behavior.



Figure 5.3.8 Beam Bottom Flange Strains, Spec. 6



Figure 5.3.9 Beam Top Flange Strains, Spec. 6







(d) Principal Strain

Figure 5.3.10 Panel Zone Strains, Spec. 6

6.0 HORIZONTAL FLANGE PLATES

6.1 Dual Plates, Specimen #5

This specimen was a W21x68 beam connected to a W12x106 column which had a standard web doubler plate. Rectangular plates were added to the top and bottom flanges of the beam as shown in **Figure 6.1.1**. However, the configuration and welding are different from those tested previously. The basic configuration and welding was suggested by Blodgett [13]. The flange plates were 14 inches in length, 8 1/4 inches wide (equal to the beam flange), 3/4 inch thick, and beveled on three sides. A full penetration weld was used at the column flange, partial penetration welds were used for the side welds to the beam flange and a 5/8 inch fillet weld was used across the end. The connection, prior to testing, is shown in **Figure 6.1.2**. Welds to the column flange were made using a form of weld overlay. The original FCAW welds at the top and bottom flanges of the beam were ground level in order to place the plates flat. The plates were then attached by a full penetration groove weld on top of the existing weld using SMAW with E7018 electrodes.

The instrumentation used in this series of tests is shown in Figure 6.1.3. Strain gages were placed on top of the flange plate at the column face and on the beam flange at the end of the flange plate. The figure indicates that a total of 32 channels of data acquisition were used for this series of tests.

Nonlinear finite element analyses were conducted in an effort to estimate the behavior of this specimen prior to testing. The load-displacement envelope obtained from a static push test is shown in Figure 6.1.4. This figure indicates a force of 149 kips at the beam tip is required to develop a tip displacement of 3 inches. A color plot of the Von Mises stress contours is shown in Figure 6.1.5. This figure shows that a plastic hinge is forming at the end of the horizontal flange plates. It can also be seen that the flange is very effective in reducing the stress at the face of the column and particularly in the region directly above the web cope. Yielding of the panel zone is indicated with stresses above 50 ksi.

The summary of the cyclic behavior, shown in Figure 6.1.6, indicates that the specimen was subjected to 15 displacement cycles (Figure 6.1.6c) and reached a maximum displacement of 3 inches on the last three cycles. Total rotation was more than 4.5% (Figure 6.1.6a) with a plastic rotation of 3% as shown in Figure 6.1.6b. The history of the load at the beam tip, shown in Figure 6.1.6d, indicates that the beam is beginning to unload during the last two displacement cycles. Comparing the results of



Figure 6.1.1 Test Specimen Details with Flange Plates



Figure 6.1.2 Modified Test Specimen #5





A-A View

Figure 6.1.3 Instrumentation Details, Spec. 5







Figure 6.1.6 Cyclic Behavior, Spec. 5

Figures 6.1.6c and 6.1.6d, it can be seen that at a maximum displacement of 3 inches, the beam tip load is 150 kips which agrees very closely with the finite element solution. The test was stopped due to severe deformation in the beam and the concern for damaging the testing equipment. At this point, a plastic hinge had formed at the end of the flange plate as shown in Figure 6.1.7. This also agrees with the prediction by the finite element analysis. The top and bottom beam flanges had developed buckles of 1 1/4 inches at the bottom and 2 1/2 inches at the top (Figure 6.1.8). The beam web had also buckled out of plane. The welds showed no sign of any cracking. A plastic hinge was developed 16 inches from the face of the column where the buckling occurred. The unloading of the specimen during the last two cycles at 3 inch displacement was due to the local buckling of the beam web and flanges. This resulted in a reduction of 32% in the maximum load capacity.

The rotation components are shown in Figure 6.1.9 which indicates that practically all of the connection rotation is occurring in the beam with only 0.5% in the column and 0.2% in the panel zone. Strains measured on the bottom flange of the beam are shown in Figure 6.1.10. The strain measured just in front of the flange plate has a maximum value of 2.5% as shown in Figure 6.1.10a. The gage to the right, near the edge of the flange shows a similar value up to the last cycle when the strain increases to 6% (Figure 6.1.10b). This large increase is most likely due to the local buckling of the beam flange. Readings on the flange plate near the column face, shown in Figures 6.1.10c and 6.1.10d, indicate maximum values between 0.8% at the left and 1.0% at the center which are less than half the values obtained on the beam flange. Peak strains on the top flange plate, near the column range between 0.4% and 0.8% as shown in Figure 6.1.11. At the center of the top flange of the beam, just in front of the flange plate, the maximum strain is close to 4% during the last displacement cycle (Figure 6.1.12). To the right, near the edge of the beam, the peak strain is 2%. Strains obtained from the column flange near the bottom flange of the beam indicate a value of 0.3% as shown in Figure 6.1.13. Strains recorded in the panel zone, shown in Figure 6.1.14, indicate yielding of the column web with maximum strain reaching 0.7%. This is consistent with the stress results obtained from the finite element analysis.

6.2 Dual Plates, Specimen #7

This specimen was similar to specimen #5 with the exception that it did not have the doubler plate in the column web. The load-displacement envelope obtained from the finite element analysis is shown in **Figure 6.2.1**. This curve indicates that a force of only 111 kips at the beam tip is required to develop a displacement of 3 inches, indicating the



Figure 6.1.7 Plastic Hinge, Spec. 5



Figure 6.1.8 Flange Buckle, Spec. 5

Moment (kips) Moment (kips) 18008.00 19009.90 5000.0 5009.00 Rotațion Rotation 0.QC -0.04 -0.02 0.02 -0.04 -0.03--0.02 -0.01-0.03 0.01 -0.02 -5000.00 5000.00 1,0000.00 10000.cd Column Rotation NSF#5 Panel Rotation NSF#5 -15000.00 -1-15008.00 _ :_ _1_ _ _ L 1_ L -(b) Panel Zone Rotation (a) Column Rotation 5000.00 15000.00 Moment (kips) Moment (kips) 10000.00 10000.00 5009.9 Rotation Rotation -0.06 -80.0-06 0.08 03 Beam Rotaion NSF#5 Total Rotation NSF#5

(c) Beam Rotation

-15009.00-

(d) Total Rotation

15000.00

Figure 6.1.9 Rotation Components, Spec. 5

92

15000.00

5000.00



Figure 6.1.10 Beam Bottom Flange Strains, Spec. 5





(c) Back Right

Figure 6.1.11 Top Flange Plate Strains, Spec. 5







Figure 6.1.13 Column Flange Strain, Spec. 5



00.00

-200.00

(e) Principal Strain

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reduced stiffness when the doubler is removed. At maximum displacement of 4.5 inches, a load of 120 kips is required. A color plot of the Von Mises stress contours, shown in **Figure 6.2.2**, shows that yielding is just beginning to occur at the ends of the flange plate, however, the stresses in the column are much higher than for Specimen #5. A region of high stress occurs in the unstiffened panel zone indicating yielding. This indicates that most of the deformation is occurring in the panel zone and not in the beam. A distorted view of the connection is shown in **Figure 6.2.3**. This clearly indicates the distortion in the panel zone when the doubler is omitted with almost no deformation occurring in the beam.

Specimen performance was very good as can be seen from the cyclic behavior shown in **Figure 6.2.4**. The specimen was subjected to 23 cycles of increasing displacement (**Figure 6.2.4c**) with a peak amplitude of 4.5 inches. The total rotation was almost 7% (**Figure 6.2.4a**) with a plastic rotation capacity of 4% as shown in (**Figure 6.2.4b**). The loading history, shown in **Figure 6.2.4d** indicates no unloading of the specimen due to local buckling. The test was stopped after one cycle at 4.5 inches of tip displacement to protect the test equipment. At this point there was no visible cracking and no local buckling although a plastic hinge had just started to form in the beam at the end of the flange plate (**Figure 6.2.5**) as predicted by the finite element analysis. Deformation of the column and panel zone can be seen in **Figure 6.2.6**. Yielding of the column flanges near the continuity plates was noted and there was significant yielding in the back flange of the column opposite the continuity plates. This region was identified as one of higher stresses in the finite element studies (**Figure 6.2.2**).

Since there was no column doubler, there was extensive plastic deformation in the panel zone. Contributions to total rotation, shown in Figure 6.2.7, were divided as follows: 1.3% column rotation, 1.7% panel zone, 3.9% beam for a total of 6.9%. The effect of the panel zone thickness can be seen by comparing these results with those of specimen #5.

The strain distribution across the top flange plate near the column face can be seen in Figure 6.2.8. At the center, Figure 6.2.8a, the maximum strain was approximately 1.4%. At the middle of the flanges, Figures 6.2.8b and 6.2.8c, the strains were recorded as 1.4% and 1.2%. At the edges of the flange plate, strains of 1.3% were measured on both sides as shown in Figures 6.2.8d and 6.2.8e. Strains in the top flange of the beam just in front of the flange plate are shown in Figure 6.2.9. At the center of the beam, Figure 6.2.9a, the maximum strain is 2%, whereas, on either side of the beam, the strains are 2.5% and 2.1% as shown in Figures 6.2.9b and 6.2.9c. This compares well with the



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Figure 6.2.4 Cyclic Behavior, Spec. 7



Figure 6.2.5 Plastic Hinge Initiation, Spec. 7



Figure 6.2.6 Panel Zone Deformation, Spec. 7



Figure 6.2.7 Rotation Components, Spec. 7





(a) Center





Figure 6.2.9 Beam Top Flange Strains, Spec. 7

finite element solution which indicates that the stresses on the sides are greater than in the center.

Strains on the bottom flange plates are shown in Figure 6.2.10. At the center, shown in Figure 6.2.10a, the peak strain reaches 1.5%, and similar strains are attained on either side, as shown in Figures 6.2.10b and 6.2.10c. Measurements taken on the bottom flange of the beam just in front of the flange plates are shown in Figure 6.2.11. These recordings indicate the strains are almost uniform across the beam with a peak strain of 3% at the center (Figure 6.2.11a) and almost 3% on either side (Figures 6.2.11b and 6.2.11c).

Strains measured on the column flange near the bottom flange of the beam are shown in **Figure 6.2.12**. Maximum strains at this location are almost uniform with a value of 0.75% at the center (**Figure 6.2.12a**) and 0.8% on either side (**Figures 6.2.12b and 6.2.12c**). All of these recordings indicate yield of the column flange. Similar data recorded on the column flange near the top flange of the beam is shown in **Figure 6.2.13**. At the center, the maximum recorded strain is 0.6% as shown in **Figure 6.2.13b and 6.2.13c**. As before, these recordings indicate yielding of the column flange. Unfortunately, the strain data from the rosette in the panel zone was lost for this test.

6.3 Dual Miniplates, Specimen #8

This specimen which was similar to #5, was retrofitted with what was considered to be a minimum upgrade. The backup bar at the bottom beam flange was removed, the weld was back-gouged and overlaid with a reinforcing fillet using SMAW with E7018 electrodes. The welds at the top and bottom flanges of the beam were ground flush with the beam flange and a 4" x 7" x 3/4" "miniplate" was added to both flanges using SMAW with E7018 electrodes. The miniplate was connected to the column flange with a full penetration weld over the existing weld and was welded along both sides with a partial penetration groove weld. The weld at the end of the plate was a 5/8 inch fillet weld.

The load-displacement envelope obtained from the finite element analysis is shown in **Figure 6.3.1**. This curve indicates that a force of 131 kips at the beam tip is required to develop a displacement of 3 inches. At maximum displacement of 4.0 inches, a load of 137 kips is required. A color plot of the Von Mises stress contours, shown in **Figure 6.3.2**, indicates regions of high stress at the ends of the miniplate and the formation of a plastic hinge. Note that the yield region has been moved away from the welded connection to the column flange.





Figure 6.2.10 Bottom Flange Plate Strains, Spec. 7



Figure 6.2.11 Beam Bottom Flange Strains, Spec. 7


Figure 6.2.12 Column Flange Strains, Bottom, Spec. 7





Figure 6.2.13 Column Flange Strains, Top, Spec. 7





The welded miniplate on the bottom flange of the beam is shown in Figure 6.3.3. As can be seen in Figure 6.3.4, the cyclic performance of the modified connection was very good. The specimen was able to sustain 19 cycles of increasing displacement (Figure 6.3.4c) and reached a total rotation of 6% (Figure 6.3.4a). A plastic rotation capacity of 4% (Figure 6.3.4b) was achieved although the specimen began to unload on the last three cycles as shown in Figure 6.3.4d. This occurred due to severe local buckling of the beam flanges and web, shown in Figure 6.3.5, and caused the test to be stopped after two cycles at 4.0 inches of tip displacement (6% total rotation). There was approximately a 32% reduction in maximum load due to this unloading. At this point there was no visible cracking on the test specimen, particularly the welds. However, it appeared the plastic hinge zone had just reached the column face, therefore, it does not seem advisable to reduce the length of the flange plates any further.

The summary of the rotation components, shown in Figure 6.3.6 indicates that practically all of the rotation occurred in the beam. Strains recorded on the top beam flange in front of the flange plate are shown in Figure 6.3.7. Strain at the middle of the beam flange on either side of the web (Figures 6.3.7a and 6.3.7b) indicate peak strains of almost 4%. Near the edge of the flange, the peak strain is 3% as shown in Figure 6.3.7c. Strains in the beam flange and miniplate near the face of the column are shown in Figure 6.3.8. The measured strain on the miniplate at the centerline of the beam flange reaches a maximum value of 1.2% (Figure 6.3.8a). Near the edges of the miniplate (Figures 6.3.8b and 6.3.8c) the strains on both sides are 1.5%. The strains near the edge of the beam flange, shown in Figures 6.3.8d and 6.3.8e, reach 1.5% on one side and 0.9% on the other.

Strains measured on the bottom flange of the beam just in front of the miniplate are shown in Figure 6.3.9. The data shown in Figures 6.3.9a and 6.3.9b are for the two gages located midway between the web and the edge and both indicate strains of approximately 5%. Strains measured on the miniplate at the bottom flange of the beam near the column face are shown in Figure 6.3.10. Just above the beam web, the peak recorded strain on the miniplate is 1.2% as shown in Figure 6.3.10a. On either side, near the edge of the miniplate, the strains increase to 1.5% and 1.25% (Figures 6.3.10b and 6.3.10c). Strain data from the rosette located in the panel zone of the column is shown in Figure 6.3.11. This data indicates that the behavior of the panel zone during this test was primarily elastic.



Figure 6.3.3 Test Specimen with Miniplate



(c) Beam Tip Displacement (d) Beam Tip Force

Figure 6.3.4 Cyclic Behavior, Spec. 8



Figure 6.3.5 Plastic Hinge and Flange Buckle



Figure 6.3.6 Rotation Components, Spec. 8



-200.00

(c) Left Edge









Figure 6.3.10 Back Bottom Flange Strains, Spec. 8





-209.90

Strain %

Principal Strain %

1.00

0.50

6.4 Single Plate, Specimen #9

This specimen was a W21x68 beam connected to a W12x106 column with a doubler plate on one side of the web. It was retrofitted using a procedure that was used extensively in the field for repair immediately after the earthquake. In most cases weld cracks were identified at the bottom flange of the beam and therefore a rectangular flange plate was welded to the beam at this location. In this study, a rectangular plate was attached using partial penetration welds along the sides, a full penetration weld to the column flange and a 5/8" fillet weld along the end of the plate using SMAW with E7018 electrodes. Nothing was done to the FCAW weld at the top beam flange.

The load-displacement envelope obtained from the finite element analysis is shown in **Figure 6.4.1**. This curve indicates that a force of 135 kips at the beam tip is required to develop a displacement of 3 inches. A color plot of the Von Mises stress contours is shown in **Figure 6.4.2**. In the computer model, the single flange plate is on the top flange. Yielding is beginning to occur at the ends of the flange plate, however, it can be seen that there is a significant yield region at the bottom flange of the beam where there is no flange plate. Limited yielding is indicated in the panel zone.

The test specimen with the single flange plate is shown in Figure 6.4.3. Cyclic performance, shown in Figure 6.4.4, was both predictable and not outstanding. The total rotation, shown in Figure 6.4.4a was 3.8% with a plastic rotation of only 1.7% (Figure 6.4.4b). The top flange of the beam, without the plate, was the first to show indications of yielding with yielding at the bottom flange occurring only near the end of the test. The specimen was able to sustain 14 displacement cycles as shown in Figure 6.4.4c. Unloading of the specimen occurred on the last displacement cycle resulting in a 24% drop in loading capacity (Figure 6.4.4d). During the 13th cycle at a displacement of 2.7 inches, inelastic buckling of the top beam flange led to the formation of a slit or tear (ductile crack) which started at the top web cope hole and extended across the top beam flange (Figure 6.4.5). At a displacement of 2 inches, the finite element analysis indicates a load of 129 kips at the beam tip. The test results show a load of 130 kips (Figure 6.4.4d)

Rotation components, shown in **Figure 6.4.6**, indicate that approximately 0.2% rotation occurred in the column, 0.5% occurred in the panel zone and 3.1% occurred in the beam. Strains measured on the top flange of the beam, midway between the web and the edge are shown in **Figure 6.4.7**. On one side the maximum strain is 4.5% and on the other the maximum strain reaches 5.3%. Maximum strains measured across the flange plate at the bottom flange of the beam were approximately uniform and varied between 2 and 2.5% as shown in **Figure 6.4.8**. Strains measured on the column flange, just below the flange plate on the beam are shown in **Figure 6.4.9**. The peak strains are







Figure 6.4.3 Test Specimen With Single Flange Plate





Figure 6.4.4 Cyclic Behavior, Spec. 9



Figure 6.4.5 Crack in Top Flange of Beam



Figure 6.4.6 Rotation Components, Spec. 9



Figure 6.4.7 Beam Top Flange Strains, Spec. 9



(e) Left Edge

approximately uniform at a value of 0.43% which is above yield. Inelastic behavior of the column flange is indicated by the hysteresis loops showing strain versus beam tip load. Strains measured on the rosette in the panel zone of the column are shown in Figure 6.4.10. Inelastic behavior is indicated by strains reaching 1%.

It was hoped that the bottom plate alone might be sufficient to move the plastic hinge away from the joint. This type of behavior had been observed in an earlier test [12]. However, it did not work for this specimen. Based on the result of this test, it does not appear that the addition of a single flange plate on the bottom flange can counted on to reliably produce a significant improvement in connection behavior.





Figure 6.4.9 Column Flange Strains, Spec. 9





Ros-2 100.00 Strain% 0.00 -0'80 -0.40 0.40 0.80 ~100.00 ~200.00 (b) Center Gage, Vertical 200.00 Load (kips) Principal Strain% -2.00 -1.00 1.00 200.00

209.00

Load (kips) |

(d) Principal Strain



7.0 WELD OVERLAY

7.1 Baseline Test, Specimen #12

This test specimen had a W16x77 column without a web doubler plate and a W21x68 beam. The initial specimen was tested in the "as received" condition with a pre-Northridge welded connection (FCAW).

The load-displacement envelope obtained from the finite element analysis is shown in **Figure 7.1.1**. This curve indicates that a force of 103 kips at the beam tip is required to develop a displacement of 3 inches. A color plot of the Von Mises stress contours is shown in **Figure 7.1.2**. This figure shows very limited yielding in the beam, however, the panel zone is a region of very high stress (56 ksi). This indicates that most of the deformation will occur in the panel zone and not in the beam.

The cyclic performance of the specimen is summarized in Figure 7.1.3. The specimen was able to sustain 16 cycles of increasing displacement as shown in Figure 7.1.3c. The moment versus rotation history, shown in Figure 7.1.3a, indicates that the total rotation was just over 3.1% with plastic rotation of 1.8% as shown in Figure 7.1.3b. The loading history, shown in Figure 7.1.3d, indicates increasing load up to the second cycle at a tip displacement of 2 inches when a sudden unloading of the specimen occurred. On this cycle at a total rotation of 3 percent, a crack initiated in the root of the weld at the bottom flange of the beam (Figure 7.1.4) and propagated diagonally through the column flange and into the panel zone as shown in Figure 7.1.5. This type of failure pattern is very similar to those observed in a severely damaged steel frame following the earthquake [12]. At a displacement of 2 inches, the finite element analysis indicates a beam load of 95 kips which is close to the 92 kips measured in the test prior to unloading of the specimen.

The rotation components in the connection region are shown in Figure 7.1.6. This figure indicates that the column rotation was 1.3% (Figure 7.1.6a), the panel zone rotation was .8% (Figure 7.1.6b) and the beam rotation was 1.0% (Figure 7.1.6c) for a total rotation of 3.1% as shown in Figure 7.1.6d.

7.2 Class A Repair, Specimen #10

During the initial loading, a second specimen that was identical to #12, also failed at a total rotation of approximately 3 percent. However, the failure mode for this specimen was a vertical crack through the weld at the bottom flange of the beam. Ultrasonic testing confirmed that the crack was primarily vertical and that it encompassed a small part of the column flange. Ultrasonic testing of the weld at the top







Figure 7.1.3 Cyclic Behavior, Spec. 12



Figure 7.1.4 Crack at Beam Bottom Flange, Spec. 12



Figure 7.1.5 Crack Extension in Panel Zone, Spec. 12



Figure 7.1.6 Rotation Components, Spec. 12

flange of the beam gave no indication of any cracking or defects. This offered an excellent opportunity to test the use of a "Class A" weld overlay. The DLW Task Group [11] defines a Class A weld repair as one in which the joint efficiency is under 50% or undetermined. In this case, a maximum weld overlay using SMAW should be applied to supplement the joint deficiency. A typical detail of a Class A repair is shown in **Figure 7.2.1**. For the connection under consideration, the repair will leave more than half of the crack in place under the overlay and will test the ability of the overlay to immobilize the crack and prevent propagation.

The crack at the bottom flange of the beam was repaired in the laboratory following the detail for a "Class A" type of repair. The existing crack was excavated using an air arc, as shown in **Figure 7.2.2**. It was also necessary to remove a small portion of the column flange in order to encompass all of the crack. On the underside of the beam flange, the backup bar was removed and part of the existing weld was removed by air arc according to the repair detail (**Figure 7.2.3**). Approximately 0.125 inches of the crack were removed from the top and bottom of the existing weld, leaving 0.375 inches of the crack in place. At the top flange of the beam, the backup bar was removed and the weld backgouged according to recommended practice. Since the top flange weld was tested and found to be sound, no action was taken on the upper side of this weld.

Overlays exceeding the minimum requirements of the "Class A" overlay were applied to both sides of the excavated connection at the bottom flange of the beam, using 23 passes for the upper side and 13 passes for the lower side. All overlay welding was done using SMAW with E7018 electrodes. The completed overlay weld on the top side of the bottom flange of the beam is shown in **Figure 7.2.4**. At the top flange of the beam an overlay weld was applied to only the bottom side of the flange. This simulates a repair without removal of the concrete slab above the top flange, a typical condition occurring in buildings.

Specimen performance was very good as summarized in Figure 7.2.5. The specimen sustained 21 cycles of increasing displacement (Figure 7.2.5c) and reached a total rotation of 5.5% (Figure 7.2.5a) of which 3.5% was plastic rotation (Figure 7.2.5b). There was no unloading of the specimen due to local buckling prior to failure on the last cycle (Figure 7.2.5d). It was observed that most of the deformation occurred in the panel zone as expected for this specimen. The resulting deformation in the panel zone can be seen in Figure 7.2.6. During the second cycle at 5.5% rotation a crack occurred in the top flange of the beam at the interface of the weld and the parent metal (Figure 7.2.7). At the same time a horizontal crack (slit) had started from the web cope and propagated along the k-line of the beam for a distance of 1 1/2 inches. At the bottom flange of the beam, a 4



Figure 7.2.1 Class A Repair Detail



Figure 7.2.2 Gouged Out Top Side, Bottom Flange, Spec. 10



Figure 7.2.3 Gouged Out Bottom Side, Bottom Flange, Spec. 10

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Figure 7.2.4 Overlay Weld, Bottom Flange, Spec. 10

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Figure 7.2.6 Panel Zone Deformation, Spec. 10



Figure 7.2.7 Initial Crack in Beam Top Flange, Spec. 10

inch slit occurred in the k-line (Figure 7.2.8). However, both of these slits did not propagate rapidly and appeared to have little influence on the overall connection behavior. Failure occurred when the crack in the top flange of the beam propagated from the center to the sides, as shown in Figure 7.2.9. It should also be emphasized that the overlay appeared to have completely immobilized the vertical crack at the face of the column.

The rotation components at the connection are shown in Figure 7.2.10. The column rotation, shown in Figure 7.2.10a is approximately 2.5%. The rotation in the panel zone (Figure 7.2.10b) has a smooth hysteresis and a maximum value of 2% which is large as expected. The beam rotation (Figure 7.2.10c) is approximately 1.0% for a total rotation of 5.5% (Figure 7.2.10d).

7.3 Baseline Test, Specimen #11

This test specimen used a W16x77 column with a web doubler plate and a W21x68 beam. The specimen was tested in the "as received" condition with a pre-Northridge welded connection using FCAW.

The load-displacement envelope obtained from the finite element analysis is shown in **Figure 7.3.1**. This curve indicates that a force of 119 kips at the beam tip is required to develop a displacement of 3 inches. This compares to a load of 103 kips for Specimen #12 and indicates the stiffening effect of the doubler plate. A color plot of the Von Mises stress contours is shown in **Figure 7.3.2**. This figure shows the formation of a plastic hinge in the beam adjacent to the column flange. Note the reduced stress in the panel zone which indicates elastic behavior.

The cyclic performance of the specimen is summarized in Figure 7.3.3. The specimen was able to sustain 19 cycles of increasing displacement as shown in Figure 7.3.3c. The moment versus rotation curve, shown in Figure 7.3.3a, indicates that the total rotation was just over 3.0% with plastic rotation of 1.6% as shown in Figure 7.3.3b. The loading history, shown in Figure 7.3.3d, indicates there was no unloading of the specimen until the last cycle when failure occurred during the first cycle at a displacement of 2.5 inches (4% rotation). On the first down stroke of this cycle the top flange of the beam suddenly fractured as shown in Figure 7.3.4. The crack appeared to start in the web cope and propagate outward to the edges of the beam flange. Note that the finite element analysis indicated a beam tip load of 112 kips at a displacement of 2 inches compared with 118 kips measured in the test.

The rotation components in the connection region are shown in **Figure 7.3.5**. This figure clearly indicates that most of the rotation occurred in the beam. For this specimen,



Figure 7.2.8 K-Line Slit at Beam Bottom Flange, Spec. 10



Figure 7.2.9 Crack Across Beam Top Flange, Spec. 10



Figure 7.2.10 Rotation Components, Spec. 10







Figure 7.3.3 Cyclic Behavior, Spec. 11



Figure 7.3.4 Crack in HAZ of Beam Top Flange, Spec. 11

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the column rotation was 0.3%, the panel zone rotation was 0.2% and the beam rotation was 2.6% for a total of 3.1%.

Strains measured on the top flange of the beam are shown in Figure 7.3.6. The peak strain at the centerline of the beam was measured to be 3% (Figure 7.3.6a) and the same peak strain was recorded on either side (Figures 7.3.6b and 7.3.6c). At the edges of the beam, the peak strain was 2% as shown in Figures 7.3.6d and 7.3.6e. Strains recorded at two locations on the bottom flange of the beam are shown in Figure 7.3.7. Unfortunately data at the other three locations was lost. The strain data at this location is similar to that obtained at the top flange. At the location midway between the centerline and the edge of the flange (Figure 7.3.7a) the peak strain is 3%. At the edge of the flange the maximum recorded strain is 1.8% as shown in Figure 7.3.7b. Strain data from the rosette in the panel zone is shown in Figure 7.3.8. This data indicates that the panel zone remained elastic.

7.4 Specimen #14, Class C Repair

The next specimen was not tested prior to applying the weld overlays and no defects were known to be present in the existing welds. This specimen represents a field upgrade of a connection made using FCAW with E70T-4 wire. The overlay procedure is representative of a "Class C" repair. The DLW Task Group [11] classifies a Class C repair as one in which the dynamic joint efficiency is over 50%. In this case a minimum overlay using SMAW is applied as shown in the detail in Figure 7.4.1. Backup bars at the top and bottom beam flanges were removed and the root of the weld was backgouged per recommended practice. Overlays exceeding the minimum requirements of the "Class C" overlay were applied to both sides of the top and bottom flanges of the beam. All overlays were done using SMAW with E7018 electrodes. The completed overlay weld on the under side of the lower beam flange is shown in Figure 7.4.2 and the overlay weld on the upper side of the lower beam flange is shown in Figure 7.4.3. Since the overlay weld filled approximately half of the web cope, the remaining opening was plug welded in an effort to reduce the possibility of a secondary failure emanating from this region.

Specimen performance again was very good as summarized in Figure 7.4.4. The specimen was able to sustain 20 cycles of increasing displacement (Figure 7.4.4c). It developed a total rotation of 4.7 percent (Figure 7.4.4a) with a plastic rotation of 3% (Figure 7.4.4b) before starting to unload as shown in Figure 7.4.4d. At a tip displacement of 2.5 inches (3.9% rotation) small horizontal cracks began to develop in the top and bottom k-line areas of the beam web. At both locations the plug welds in the web copes cracked away from the beam web. During the first cycle at a tip displacement of 3





Figure 7.3.7 Beam Bottom Flange Strains, Spec. 11

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Figure 7.4.1 Class C Overlay Detail, Spec. 14



Figure 7.4.2 Overlay Weld at Top Flange, Spec. 14



Figure 7.4.3 Overlay Weld at Bottom Flange, Spec. 14



Figure 7.4.4 Cyclic Behavior, Spec. 14

inches (4.7% rotation) significant buckles began to form in the beam flanges about 4 inches at the top and about 10 inches at the bottom from the face of the column. This caused the specimen to start to unload as mentioned previously and during the last cycle at a tip displacement of 3.5 inches (5.5% rotation) the specimen lost approximately 33% of its moment capacity.

During the first cycle at a tip displacement of 3.5 inches (5.5% rotation), a severe buckle formed in the top flange of the beam about 5 inches from the face of the column. This caused a separation of the flange and the web which in turn caused the horizontal crack at the top k-line to extend almost 7 1/2 inches from the edge of the web cope as shown in Figure 7.4.5. At the bottom flange, the horizontal crack extended approximately 3 1/2 inches from the edge of the web cope (Figure 7.4.6). On the down stroke, the flexing of the buckled top flange caused a crack to occur and propagate across the flange as shown in Figure 7.4.7. With the fracture of the top flange, load was transferred to the web causing yielding around the upper three bolts as can be seen in Figure 7.4.8. Yielding of the top and bottom beam flanges extended a distance of 21 inches from the face of the column.

The rotation components, shown in Figure 7.4.9, indicate that all of the deformation occurred in the beam. Strains measured on the bottom flange of the beam, Figure 7.4.10, indicate peak values of 5%. Strains measured on the top beam flange are shown in Figure 7.4.11. Unfortunately, both of these gages broke prior to reaching the maximum strain, however, they were able to record strains of 3% as shown in Figure 7.4.11b.

7.5 Comparative Behavior

The cyclic behavior of specimen #11 which has a web doubler plate is compared with that of specimen #12 which does not have a doubler plate in Figure 7.5.1. It can be seen that due to yielding of the panel zone in specimen #12, the moment capacity of the connection is considerably less than the moment capacity of specimen #11 which has the doubler plate. It can be shown that specimen #12 was only able to develop 90% of the plastic moment capacity of the beam whereas specimen #11 was able to develop 120%. It can also be seen that the change in stiffness between the two specimens is relatively small.

The positive effect of a Class A overlay is shown in Figure 7.5.2 by comparing the cyclic behavior of specimen #10 with that of specimen #12. The overlay repair has increased the total rotation capacity by 75% (0.031 to 0.054) and has increased the moment capacity by 18% (6833 in-kips to 8083 in-kips).

The positive effect of a Class C overlay is shown in **Figure 7.5.3** by comparing the cyclic behavior of specimen #11 with that of specimen #14. This figure indicates that the connection modification using an overlay was able to increase the total rotation capacity by 18% (0.0393 to 0.0467) and to increase the moment capacity by 6.5% (9000 in-kips to 9583 in-kips).



Figure 7.4.5 K-Line Slit at Beam Top Flange, Spec. 14



Figure 7.4.6 K-Line Slit at Bean Bottom Flange, Spec. 14



Figure 7.4.7 Buckled Flange Fracture, Spec. 14



Figure 7.4.8 Bolt Slippage and Flange Buckle, Spec. 14



Figure 7.4.9 Rotation Components, Spec. 14







Figure 7.4.11 Beam Top Flange Strains, Spec. 14



Figure 7.5.1 Comparative Behavior (#11 & #12)



Figure 7.5.3 Comparative Behavior (#11 & #14)

8.0 OTHER REPAIR PROCEDURES

8.1 Perforated Beam Flange, Specimen #13

The specimen was tested with a W16x77 column having a web doubler on one side of the panel zone so as to force most of the connection deformation into the W21x68 beam. Recent studies [8, 9, 14] have indicated that the use of a reduced beam section (RBS) has shown considerable promise for new construction. In this case, a section of the beam flange is removed by flame cutting following a tapered profile which approximates the moment gradient in the beam flange (Figure 8.1.1). It has been suggested by Yang and Popov [14] that drilling holes in the beam flange (perforated beam section) might serve the same purpose. The attraction of using the perforated beam section (PBS) in place of the flame cut RBS is for application of the procedure to the retrofit of existing buildings. Eliminating the need for fire protection would significantly reduce the cost of the retrofit procedure.

It is well recognized that the reduction of the beam flange makes the beam section more susceptible to lateral buckling. In the case of the PBS, this condition was found to be improved by placing the holes as near to the edge of the flange as possible [14]. The PBS was formed by drilling eight holes with increasing diameters $(2@1"\phi, 2@1 1/4"\phi, 2@1$ $1/2"\phi and 2@1 3/4"\phi)$ having a one inch edge to edge clearance between holes and a 1/4 inch clearance on the edge of the flange. The smaller hole is located nearest the column. This results in an 18 inch PBS with the amount of reduction varying form 22% to 39%. Instrumentation for this specimen, shown in **Figure 8.1.2**, consisted of 32 channels of data acquisition.

The cyclic behavior of the specimen is summarized in Figure 8.1.3. The specimen was able to sustain 20 cycles of increasing displacement as shown in Figure 8.1.3c. The plot of moment versus total rotation, shown in Figure 8.1.3a, indicates that the specimen was able to develop a total rotation of 4 percent with a plastic rotation of 2.5% as shown in Figure 8.1.3b. The loading history shown in Figure 8.1.3d indicates there was no unloading of the specimen prior to failure. Failure occurred on the second cycle at a displacement of 2 1/2 inches (4% rotation) by the formation of a crack in the weld at the top flange of the beam (Figure 8.1.4). The crack appeared to start in the web cope and then propagate outward to the edges (Figure 8.1.5).

The plastic hinge has been shifted from the column face to the center of the hole group as shown in Figure 8.1.6. The holes in the bottom flange of the beam, some of which are out of round, are shown in Figure 8.1.7. This figure indicates that the holes



Figure 8.1.1 Reduced Beam Section, "Dogbone"







Figure 8.1.2 Instrumentation Details, Spec. 13



Figure 8.1.3 Cyclic Behavior, Spec. 13



Figure 8.1.4 Crack in Beam Top Flange, Spec. 13



Figure 8.1.5 Crack in Beam Top Flange, Spec. 13



Figure 8.1.6 Yielding in Flange Perforations, Spec. 13



Figure 8.1.7 Plastic Hinge Formation, Spec. 13

have worked as planned and that there has been no tearing either between holes of between the hole and the edge of the flange. A yield band has developed between the hole pattern and the web of the beam.

Strains measured on the top flange of the beam in front of the hole pattern are shown in Figure 8.1.8. The strains at the centerline of the beam flange, shown in Figure 8.1.8a, indicate limited yielding of the flange has occurred. The results from the other two gages, located in line with the hole patterns on either side of the web, indicate predominately elastic behavior (Figures 8.1.8b and 8.1.8c). Moving closer toward the column, strains recorded by two gages located on the beam flange between the web and the larger diameter holes are shown in Figure 8.1.9. Both of these gages indicate strains of approximately 1% with clearly defined yielding. The results obtained from the next row of gages which are on the beam flange between the web and the smaller diameter holes are shown in Figure 8.1.10. These gages indicate slightly larger strains of 1.25% at both locations.

The rotation components, shown in Figure 8.1.11, indicate that all of the rotation has occurred in the beam with negligible amounts in the column and the panel zone. The 2 1/2% plastic rotation is a definite improvement over the 1.5% plastic rotation obtained by a similar section without PBS (see Figure 8.1.1b). However, this rotation capacity is less than current design requirements of 3 percent plastic rotation. These results indicate that to meet or exceed this goal, some modification will have to be done to the connection of the beam flange to the column flange.

The cyclic performance of specimen #13 is compared to that of the baseline specimen #11 in Figure 8.1.12. Here it can be clearly seen that the perforated beam flange has little effect on the connection performance. The rotation capacity is slightly larger and the moment capacity is slightly less.

8.2 Web Access Windows, Specimen #15

This specimen was tested for Matt Construction Company who have given permission to include this data in this report. The repair procedures used for this specimen are representative of those used to repair moment connections requiring a column flange replacement in moment frames located on the perimeter of a building. Access to the exterior side of the connection was obtained by cutting windows in the beam web and the column web adjacent to the connection of the bottom beam flange to the replaced column flange.

A detail of the full size test specimen is shown in Figure 8.2.1. The column is a W30x173 with a height of 11'-0 between connection points. The beam is a W36x135 with



Figure 8.1.8 Top Flange Strains, Front, Spec. 13







Figure 8.1.10 Top Flange Strains, Back, Spec. 13


Figure 8.1.11 Rotation Components, Spec. 13



Figure 8.1.12 Comparative Behavior (#11 & #13)



a span of 11'-3" from the face of the column to the load point. The panel zone was reinforced with a 5/8 inch web doubler which extended 6 inches above the top continuity plate and 6 inches below the bottom continuity plate. The distance to the actuator from the face of the column was 135 inches and the distance from the face of the column to the displacement transducer was 122 inches. No axial load was applied to the column other than that transmitted by shear from the beam. All repair welding was done in the laboratory using SMAW with E7018 electrodes. Material is A36 steel with assumed $F_y = 47$ ksi. The specimen in the test frame is shown in Figure 8.2.2. Displacement controlled loading at the beam tip was applied by a 300 kip actuator.

Specimen performance, summarized in Figure 8.2.3, met the test objective of developing a total rotation of 3.5% as shown in Figure 8.2.3a. The plastic rotation capacity at this displacement was 2.0% as shown in Figure 8.2.3b. The specimen was able to sustain 17 displacement cycles in satisfying the test objectives (Figure 8.2.3c). The loading history, shown in Figure 8.2.3d, indicates there was only a slight unloading of the specimen on the up stroke toward the end of the test. Buckling of the beam web in the vicinity of the window occurred near initial yield. This was followed by an unsymmetrical buckling of the beam top flange (Figure 8.2.4) and the beam web. Plastic hinging occurred in the top beam flange adjacent to the connection to the column face, however, the hinging in the bottom beam flange was moved away from the column face by the length of the window plate (12 inches). Due to the unsymmetrical buckling of the top beam flange and the top of the beam web, loading in the up direction was not continued due to deformation of the test specimen. However, additional displacement cycles were applied in the down direction as shown in the loading history. The specimen was able to reach a total rotation of 5% in this direction without failure of any of the repair welds.

The rotation components of connection rotation are summarized in Figure 8.2.5. These results indicate that the rotation of the column is 0.9%, the panel zone rotation is almost zero and the beam rotation is 2.7% for a total rotation of 3.6%.

Strain components obtained from a rosette at the center of the column web in the panel zone are shown in Figure 8.2.6. These results indicate that the behavior is predominately linear elastic. The results indicate that there may be some inelastic behavior due to the welding that has been in the vicinity of the windows. A second rosette was located at the center of the panel zone on the web doubler plate. The strains obtained from this gage, shown in Figure 8.2.7, indicate that the behavior is linear elastic with all strains less than 0.1 percent.



Figure 8.2.2 Test Specimen in Reaction Frame



Figure 8.2.3 Cyclic Behavior, Spec. 15



Figure 8.2.4 Buckled Beam Flange and Web, Spec. 15



Figure 8.2.5 Rotation Components, Spec. 15





0.40

0.40

A third rosette was located at the center of the window in the column web. Results obtained from this gage are shown in Figure 8.2.8. Here the behavior is clearly linear elastic with all strains less than 0.05 percent. A rosette was also located at the center of the window in the lower part of the beam web, adjacent to the column. Strains recorded by this gage is shown in Figure 8.2.9. This gage indicates significant inelastic deformation which might be expected in this region adjacent to the column flange. Maximum strains reach 0.6% as shown in Figure 8.2.9d.

Strains recorded across the bottom flange of the beam adjacent to the column flange are shown in Figure 8.2.10. Maximum strains occur at the center of the beam flange and on the right edge and reach values of just over 1.0 percent (Figures 8.2.10a and 8.2.10d). Maximum strains on the opposite side only reach 0.4 percent (Figure 8.2.10b) and 0.6 percent midway between center and edge (8.2.10c). These results indicate the nonsymmetrical behavior due to the addition of the cover plates on the web windows.

Strains recorded in the corner of the panel zone in the vicinity of the web windows are shown in Figure 8.2.11. In general the behavior is linear elastic with recorded strains less than 0.1 percent. The gage shown in Figure 8.2.11a, indicates some inelastic behavior. This gage is located on the column web between the two windows and adjacent to the column flange replacement plate. Due to the significant amount of welding done in this region as part of the repair process, there may be considerable residual stresses which result in the yielding at the lower recorded strains.

Strains recorded on the back column flange are shown in **Figure 8.2.12**. Both of these, one above the beam flange and the other below the beam flange, are linear elastic with strains less than 0.1 percent. Strains recorded in the column web, just above the continuity plate are shown in **Figure 8.2.13**. This data indicates limited inelastic behavior with strains just reaching 0.2 percent.

The results of this test indicate that the performance of this type of repair can be improved by delaying or preventing the buckling of the beam web which appeared to be influenced by the window in the beam web and the nonsymmetry of the closure plate. Addition of a vertical stiffener at this location may further improve performance.





(e) Principal Strain





Figure 8.2.10 Beam Flange Strains, Spec. 15



Figure 8.2.11 Column Web Strains Near Windows



Figure 8.2.12 Column Strains, Back Flange



Figure 8.2.13 Column Web Strain Above Continuity Plate

9.0 SUMMARY AND CONCLUSIONS

Analytical and experimental studies were conducted on large scale test specimens representing four general repair/retrofit procedures. These included weld replacement, horizontal flange plates, vertical flange plates and weld overlays. One test was conducted on a specimen having a perforated beam flange detail which does not require welding and a final test was conducted on a full scale repair specimen containing web windows in the beam and panel zone.

Important parameters for qualifying the performance of welded moment connections are the maximum rotation capacity, maximum plastic rotation capacity, ratio of maximum moment capacity to plastic moment capacity based on measured yield strength of the material and displacement ductility capacity defined as the ratio of the maximum rotation to the rotation at yield. These parameters are shown in the form of bar charts in Figure 9.0.1. The total rotation and the plastic rotation are shown in Figure 9.0.1b. The SAC Joint Venture [2] has recommended a plastic rotation capacity of 0.03 radians (3%) as a guideline for rotation capacity although a lessor value can be used if it can be demonstrated that it will not be exceeded by the earthquake demand.

The initial two specimens (#1 & #2) were tested in the "as received" condition. Both of these failed by a pull-out of the bottom beam flange and were only able to develop plastic rotations of 0.62% and 1.5%, well below the SAC criteria. Moment ratios were close to unity and the ductility ratio varied between 1.2 and 2.2. The two specimens were repaired using what is defined as a Class B weld repair procedure. This procedure requires gouging out the existing weld along with any crack extension into the column flange and rewelding using SMAW with an E7018 electrode. On testing following repair, the first specimen (#1B) developed a plastic rotation of 2.0% and the second specimen (#2B) developed 2.1%. Ductility capacities were also increased to 1.9 and 2.3 respectively. Both Class B repairs improved the connection performance, however, the improvement was not enough to meet the specified criteria of 3% plastic rotation.

The next three specimens shown in the Figure 9.1, #10, #11, and #12, have a W16x77 column section and were tested in the "as received" condition. Specimen #10 developed a plastic rotation of 2.3% due to large panel zone deformations, however, the moment capacity was less than the plastic moment. Specimens #11 and #12 were only





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able to develop plastic rotations on the order of 1.7% with specimen #12 having a moment capacity less than the full plastic value.

Specimen #10 was repaired using a Class A weld overlay with SMAW and E7018 electrodes and became specimen #10A. A 3/8 inch vertical crack at the column face was left in place and covered by the overlay weld. It can be seen that this specimen was able to develop a plastic rotation of 3.5% on retesting and the moment capacity was increased above unity. The overlay was successful in immobilizing the crack that was left in the existing weld. Specimen #14 was not tested prior to applying a weld overlay, therefore, this specimen represented a weld modification. After a minimum, Class C overlay was applied, this specimen became #14C. With the overlay, the specimen was able to develop a plastic rotation of 3%, the moment ratio increased to 1.25 and the ductility capacity increased to 2.5. Both of the specimens repaired or modified using weld overlays were able to meet the performance criteria of 3% plastic rotation.

Specimens #5, #7, and #8 have W12x106 columns and are modified using rectangular cover plates on the top and bottom flanges. Specimen #8 uses a mini-plate which is a half sized plate. It can be seen in Figure 9.1a that these three specimens performed very well developing plastic rotation capacities of 3%, 4.3% and 4.1% respectively. With the addition of the cover plates on the flanges, the moment capacity is increased and the moment ratios, shown in Figure 9.1b were 1.5, 1.4, and 1.4 respectively. Ductility ratios ranged between 2 and 3.1. All three specimens modified by adding cover plates to the top and bottom beam flanges either met or exceeded the 3% plastic rotation criteria.

Specimen #9 was modified by the addition of a rectangular plate to the bottom flange of the beam, a repair technique widely used following the earthquake. The behavior of this specimen was disappointing since it was only able to develop a plastic rotation of 1.5% before failing by a fracture at the top flange of the beam, however, the moment ratio was 1.5 and the ductility was almost 2.5. In a previous test [12], this type of repair resulted in much better performance, resulting in a plastic rotation capacity of more than 3%. Part of the reason for this improved behavior may be due to welding of the shear tab in the earlier test.

A vertical plate (fin) was added to the top and bottom flanges of specimens #3, #4 and #6 and resulted in a reasonable improvement in performance with plastic rotation capacities in the range of 2.5% to 3.0%. Moment ratios were between 1.4 and 1.5 and the ductility ratio varied between 1.9 and 2.5.

The final specimen shown in Figure 9.1 is #13. This specimen was modified by drilling holes in the beam flanges to have the shape of a tapered RBS ("dog bone"). The

performance of this specimen was good but not outstanding. It developed a plastic rotation of 2.5% prior to a failure of the weld at the top flange. It was able to develop the full plastic moment capacity of the section prior to failure and had a ductility ratio of 2.4. Recall that the intent of this test was to improve the performance of an existing connection without requiring any welding.

The results of these repairs/modifications can be summarized as follows:

(a) The use of a Class B weld repair resulted in a small improvement in performance relative to the initial behavior for the two specimens tested, repaired and retested. However, this performance did not come close to meeting the SAC criteria.

(b) Both specimens which were repaired with weld overlays according to the details given for either Class A or Class C repair, exceeded current requirements for cyclic performance (plastic rotations of 3.5% and 3.2% were attained). The Class A procedure was also shown to effective for stopping an embedded, vertical crack in the existing weld.

(c) The cost estimates for applying overlay welds to this size of steel specimen are either equal to or less than those associated with other repair procedures. This procedure should be particularly applicable to the repair of welded connections with only minor weld cracking or indications of weld cracking or to the modification of connections in existing buildings having welded connections made using FCAW with E70T-4 wire.

(d) The overlay weld is designed to carry the entire load, thereby allowing the existing weld to provide added redundancy and to accommodate secondary stresses.

(e) If the overlay weld is extended beyond the web cope, it appears to have a beneficial effect by preventing cracks from propagating through the beam flange. Instead, horizontal cracks (slits) which emanate from the web cope run along the k-line of the beam. These cracks appear to have a limited effect on connection performance until the later stages of the loading when local buckling of the beam flange may occur.

(f) It appears that the use of a proper overlay weld with adequate toughness and ductility can successfully stop the propagation of existing weld cracks and defects.

(g) Repair or upgrade using weld overlays will have minimal effect on the overall dynamic response of the building since changes to member lengths and stiffness are minimal.

(h) The use of the horizontal flange cover plates and the associated welding procedure proved to be very successful in modifying both the strength and rotation capacity of the connection with plastic rotations in excess of 3 percent being obtained for all three specimens. The use of partial penetration welds along the sides of the cover plate

securely connected the plate to the beam flange and no cracks or tears were found following any of the tests.

(i) The use of a half-size (mini) cover plate resulted in high rotation capacity with the plastic rotation reaching 4.1 percent when the test was stopped. The use of the miniplate also reduces the cost of the plate material, reduces the cost of the partial penetration welding and improves the accessibility for making the required welds.

(j) The use of a flange plate on one flange is only marginally successful due to the unknown condition of the weld at the remaining flange. Hence the failure may simply be shifted from the bottom flange to the top flange. A good estimation of the condition of the unrepaired flange is essential if this scheme is to be successful. It also appears that welding the shear tab is beneficial.

(k) The use of a vertical triangular plate (fin) on the top and bottom flanges of the beam resulted in a successful upgrade. The moment capacity of the connection was increased by approximately 20 percent and plastic rotation capacities between 2.5 percent and 3.0 percent were obtained for the three specimens. The use of a drilled hole in the fin serves to move the critical section from the face of the column to the hole and thereby limits the force that can be transmitted to the welds at the column face. This type of connection upgrade has been used in at least two low rise steel buildings [15] in the Los Angeles area. The fins are shop welded to the beam flanges and then field welded to the column.

(1) Beam flange reduction using a perforated beam flange in the shape of a tapered RBS (dogbone) proved marginally successful. The detail was able to move the plastic hinge away from the connection to the approximate center of the hole pattern. The hole pattern worked very well by restraining lateral buckling and flexing without tearing. However since nothing was done to the existing welds in order to avoid the need for welding, their behavior is a big uncertainty. The tested specimen developed a plastic rotation of 2.5% before a crack occurred in the weld area at the top beam flange. The overall performance was similar to that of the baseline specimen.

(m) Both of the columns used in this study, W12x106 and W16x77, are borderline for meeting the panel zone strength requirement in the Uniform Building Code [16]. If the nominal yield strength of the A36 steel, $F_y = 36$ ksi, is used, the panel zone capacity is adequate to develop the plastic moment capacity of the beam. However, if the actual yield strength of the A36 steel, $F_y = 47$ ksi, is used the strength of the panel zone is not sufficient. Therefore, the columns without doubler plates experienced substantial yielding in the panel zone. (n) The effect of the weak panel zone on the connection performance is to reduce the moment capacity, increase the rotation capacity and reduce the local buckling in the connecting beam flanges.

(o) Access windows in the beam web and in the panel zone are necessary for repairing moment connections in frames located on the perimeter of a building. The full scale test specimen was able to meet the test objective of reaching a total rotation of 3.5 percent. At this rotation, the plastic component was 2.0 percent. The eccentricity in the beam web due to the window and associated cover plate, appeared to cause premature buckling. This prevented testing to failure due to the out of plane deformation of the beam and concern for the test fixtures and apparatus.

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